The Illusion of Competency versus the Desirability of Expertise: Seeking a Common Standard for Support Professions in Sport

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Key Points

1. The paper examines limitations in the commonly applied competency method of evaluation for support professions and promotes an alternative, expertise-focused approach.

2. The expertise approach goes beyond the use of competency-based systems, and even the definitions of competence provided in this paper, to evaluate and facilitate capacities for more elaborative and adaptive thinking, judgment and growth.

3. Bodies responsible for professional development and evaluation need to lead a long overdue, widespread shift from competency-driven to expert practice across the spectrum of science and coaching in sport, reflecting the situation already common in medicine.

Abstract

In this paper we examine and challenge the competency-based models which currently dominate accreditation and development systems in sport support disciplines, largely the sciences and coaching. Through consideration of exemplar shortcomings, the limitations of competency-based systems are presented as failing to cater for the complexity of decision making and need for proactive experimentation essential to effective practice. To provide a better fit with the challenges of the various disciplines in their work with performers, an alternative approach is presented which focuses on the promotion, evaluation and elaboration of expertise. Such an approach resonates with important characteristics of professions, whilst also providing for the essential ‘shades of grey’ inherent in work with human participants. Key differences between the approaches are considered through exemplars of evaluation processes. The expertise-focused method, although inherently more complex, is seen as offering a less ambiguous and more positive route, both through more accurate representation
of essential professional competence and through facilitation of future growth in proficiency and evolution of expertise in practice. Examples from the literature are also presented, offering further support for the practicalities of this approach.
1 Introduction

As support professions in sport science and medicine evolve, two distinct lines of accreditation and consequent development have emerged. The first, built around evaluation against a prescribed list of competencies, have become standard features of many accreditation pathways in the sciences [1] and the core support role of coaching [2]. While undeniably necessary and important however, higher level proficiency, or the development of professional expertise, requires more than just the demonstration of inherently limited prescribed competencies [3]. Perhaps as a consequence, a second and more expertise-based system of training and accreditation has developed, led largely by the medical professions. Somewhat confusingly, this approach is often referred to as the evaluation of competence; we will return to examine the essential differences between these two apparently identical terms in Section 2 of this paper. Expressly, however, and extending beyond the general response to general challenge patterning of competency-based models, this expertise/competence focus is grounded in the assumption that a multiplicity of solutions often exist for particular problems and that optimum solutions often require specific or even idiosyncratic blends [4-6]. Given that professional bodies must develop practitioners for complex and multifaceted environments, we argue that an elevation in the standards and reputation of sport science and coaching, as well as the efficacy of their interactions, requires a greater emphasis on expertise than currently afforded.

Indeed, while acquisition of specific competencies may be a valuable building block for initial development (providing the basic tools of the trade for example), discrepancies across professions with regards to their competency or expertise/competence orientation during final accreditation/continued assessment phases also pose particular issues for multi- and inter-disciplinary support provision. More explicitly, the use of these different approaches is, we suggest, illogical, suboptimal and perhaps even divisive. To clarify, it is
strange for parallel professions, working in the same domain and in increasingly closer interdisciplinary harmony, to be trained and evaluated in such contrasting ways. A simple example of this is medics being evaluated by expertise/competence, including the appropriate weighting of factors to meet specific but diverse challenges, as opposed to coaches who are usually evaluated on behavioral competency alone [5-7]. Second, with two almost opposite styles, one has to be sub-optimal to the other. And third, we see it divisive (at least potentially) in that these two approaches make clearly contrasting statements about the nature of professionalism and the ways in which the professions should work. In simple terms, practice is either grounded in judgment and decision or reproduction of (often prescribed) behavior. Accordingly, it seems that reconsideration on this matter is overdue.

In undertaking such evaluation, we suggest that competency-based approaches are not only inherently limited but also unsuitable for facilitating high level proficiency in the sports science, medicine, and coaching professions. This contention is not new. In sport psychology for example, and despite ongoing support for competency-based approaches to training and continued professional development [8], it has been acknowledged that learning from ‘recipe-like’ experiences of expert practitioners (i.e., what they did) is limited unless considered in tandem with why they did it [9]. In similar fashion, Jones and Wallace [10] have highlighted how the ambiguities inherent in coaching require a much broader adaptive expertise [11] if one is to effectively deal with the role’s regular challenges. In strength and conditioning, an increased recognition of the need for individualized [12] and evidence-based [13] prescription is also reflective of this thrust. Unfortunately, despite this growing awareness, the positive examples set by medical disciplines [e.g. 4, 6], and even explicit and detailed coverage of what competence assessment should look like [14: developed in psychology but, so far in our experience, not followed by sport psychology organizations) competency models nonetheless remain an industry standard.
Our case for expertise - rather than competency-based approaches in supporting and guiding sports disciplines along pathways to expert performance is made in four parts. Firstly, we offer some clarification between the various terms which serve to obfuscate debate. Secondly, we consider some limitations of competency-based models. Thirdly, we examine some exemplars of how expertise-based models can work to comparatively greater effect. Finally, we conclude by suggesting some simple steps for action, together with a call for this issue to be placed at the forefront of organizational debate over professional accreditation and development systems.

2 Competency, Competence, Expertise and Professionalism

While the competency approach retains popularity across many interpersonal settings, the inherent difficulty, as either a specific or generic term, is illustrated by the tautological definition of Dooley et al.: “competency based behavioral anchors are defined as performance capabilities needed to demonstrate knowledge, skill and ability (competency) acquisition” [15]. According to this view, and problematically, competency is therefore a subdivision of itself. Unsurprisingly, competency has therefore been described as a “fuzzy concept” [16] and the few attempts to establish a coherent terminology appear to have had little impact [17]. As such, typical competencies such as “arrives before the start of each session in order to plan and prepare appropriately” offer apparent clarity but leave much unanswered (e.g. what needs to be planned and what is appropriate?).

In contrast to competency, competence is more positively defined by Epstein and Hundert (in relation to medical physicians) as “the habitual and judicious use of communication, knowledge, technical skills, clinical reasoning, emotions, values, and reflection in daily practice for the benefit of the individual and community being served” [18, p. 227]. Crucial for our argument, these authors, Kaslow et al. [14], and Schön [19] see professional competence as more than the acquisition and application of knowledge to simple
problems. Rather, “it is defined by the ability to solve ambiguous problems, tolerate uncertainty, and make decisions with limited information” [18, p. 227]. This definition, we suggest, resonates more closely with the type of problem likely to be met by professionals across the performance sport environment. Additionally, and although Epstein and Hundert still class the “demonstration of [more than] isolated competencies” as a “competence” [18, p. 227], it also fits within the construct of *expertise*, which has been defined in terms of: a) cognitive development (progression from superficial and literal understanding to articulated, conceptual, and principled understanding); b) knowledge structure (more sophisticated knowledge organization, and more elaborate mental models); and c) reasoning processes (enhanced perceptual skill, more case-based reasoning, and greater reasoning flexibility) [20].

Finally, it also matches Carr’s fifth distinguishing characteristic of a profession; namely, that which requires “a high degree of individual autonomy – independence of judgment – for professional practice” [21, p. 34].

In summarizing this overview of definitions, we would highlight four issues which seem to stand out as requirements for professional practice additional to subject knowledge; namely, judgment, elaboration, flexibility and decision making. We will return to these factors later. However, they should be borne in mind as criteria against which any standard of professional practice may be measured. The key differences between competence and competency are, hopefully, demonstrated as a lot more than mere semantics.

### 3 Competency-Based Problems

#### 3.1 Apparent Comprehensiveness Masks Over-Simplification

As a core feature of competency-based models, the number of statements which comprise a particular ability suggests a careful and, at first sight, creditable attention to detail from those who oversee professional training and evaluation. With more careful consideration, however, this must be questioned. For example, at the time of writing the
British General Medical Council set 16 “outcomes” which must be realized in the 5500 hours of training required for doctors [22]. Acknowledging that single, correct solutions can rarely be prescribed – as practitioners cope with uncertainty and dynamic challenge in complex and individual ways [4] – training and evaluation in this setting is, therefore, inherently thematic [5, 6]. In contrast, qualification as a UK Level 1 sports coach (capable only of assisting other coaches) requires development and assessment of some 18 “competency units”, each with numerous sub-divisions, in a 33 hour period [7]. Allowing for the various sub-components, and diverging from medicine’s use of broader criteria to enable adaptive and creative problem solvers, those that aspire to this coaching award (clearly of a much lower level than medical training) must therefore satisfy a set of 123 learning criteria! Despite the complexity faced, such lists of standalone abilities and activities are also found in many other support discipline qualifications [1, 23, 24].

To emphasize our point, addressing such an extensive range of attributes is both practically impossible and epistemologically questionable in that practitioners are being trained and assessed in a way which is at odds with their operational environment. Thus, competency-based models provide an apparently comprehensive yet ultimately deceptive portrayal of practice requirements. The completeness of the competency-based descriptor is clearly compromised by the volume of items covered, making it virtually impossible to address all facets. As a consequence, examiners must opt to focus more on some criteria than others and, paradoxically, thereby defy the logic on which the competency approach is founded. By contrast, in an expertise-based approach, the differential weighting of factors (some are clearly more important than others, and this differential co-varies with time) is made explicit and overtly situated as a part of the evaluation, if only because fewer factors are completed in more comprehensive detail [14; also see our worked example in section
4.2. This approach, we suggest, is much closer to the real world challenges inherent in interpersonal tasks.

3.2 The Problems of Relevance, Balance and Complexity

Contrary to optimally impactful real world practice, the key competencies currently espoused by many professions can be viewed as context-independent, generic, and apparently applicable across different settings, occupations, and tasks [1, 23, 24]. If, as Bolden and Gosling [25] suggest, competencies are derived from practical job analyses, then they are primarily functional, simplistic, and possess little applicability to the development and training of professionals. To an extent, therefore, job competencies are limited to the expression of what is measurable, tangible, and technical.

As such, one critical issue is relevance; in short, there is a fundamental lack of fit between the basic premise of the competency approach and its practical applicability to interpersonal settings in general, and even less to specific sport environments. For example, how does the notion of competency relate to the moral, emotional, and relational dimensions of client/patient/performer interaction? The problem, we argue, resides with the competency approach’s preoccupation with a set of job performance measures which (presumably) represent the desired standard across environments. Thus, even when a Likert scale is employed for measurement, the use of competencies implies that there is a right and wrong way to perform; obviously a situation which is sometimes correct but usually not so in the more complex challenges which typify the interpersonal elements of coaching and science support [26, 27]. In effect, the emphasis on whether or not an individual is competent patently neglects the essential subtleties of executional decision making, and emphasis on the ‘what’ instead of the ‘why’ represents satisfaction of a minimum rather than the far more desirable expert standard.
Additionally, competencies are commonly concerned with an extremely broad but undifferentiated range of skills. In the sport-support profession of psychology, for example, the application of ethical principles, conducting research, delivering presentations and (of greatest relevance) planning consultancy are all presented as equally weighted competencies [23]. Furthermore, as these what**s** are often presented as equivalent, both in importance and complexity, the practitioner’s ability to monitor fundamental client/patient/performer safety or comfort is presented with the same weighting as his/her ability to form effective relationships, discern and design optimum actions/interventions for each situation, or even make long term, interdisciplinary plans with a broad range of support staff [26]. Such issues exemplify the challenges of balance which are left unaddressed by the competency approach.

Finally, competency frameworks are also somewhat limited in their sensitivity to and management of complexity. In coaching, for instance, it could be argued that key activities like safety checks and basic planning fit well with competency criteria. When applied to a more esoteric and crucial responsibility, however, this framework is far less pertinent. For example, when managing change in high level coaching the landscape is characterized by a level of uncertainty, unpredictability, and discretion which runs counter to the essence of the competency model (i.e., to separate and silo work roles rather than to represent them holistically). Arguably, the notion of competency represents only a fraction of the complexity. On this premise, the acceptance of competencies as a basis for evaluating complex performance seems particularly problematic and misplaced [28].

3.3 Inherently Limited Applications for Optimizing Performance

Despite their prevalence across a host of domains, Mintzberg [29] has identified that “acquiring various competencies does not necessarily make an individual competent”.

Indeed, simply exhibiting a competency in the test environment, or meeting a baseline requirement, does not guarantee that the competency will be used appropriately in other
settings; nor does the absence of a competency in a test make one incompetent unless reasons for its omission are considered. Recognizing that the measurement-driven approach also fails to consider the appropriateness of using a particular behavior for a particular context, such data are unlikely to provide an accurate picture of a professional’s performance, or provide much in the way of facilitating optimally critical and informative feedback. For instance, the overuse of a normally beneficial competency can become a weakness in certain circumstances, as studies on organization derailment have demonstrated [30, 31]. This is acknowledged in some competency frameworks, although such approaches would seem to reflect a move towards the more reason-focused, expertise approach described in section 4 of this paper.

Furthermore, and problematically, the idea of a competency-based performance measure clearly undermines its applicability for formative purposes [14]. For example, if individuals feel that they are being assessed, this can impact significantly on the criticality and openness required for a developmental process to work. Furthermore, the ‘experimenter mentality’ [32] requires a tolerance for the drop in performance which often results from engaging in development-focused activities. In simple terms, competencies are commonly too gross to account for the important nuances or the shades of grey which are often the subtle tipping points between success and failure in high level sport [33, 34].

4 Advantages and Exemplars of Expertise-Based Solutions

4.1 What Does it Take to Get Better? Pursuing a Developmental Focus

Perhaps if competency frameworks were used to suggest what individuals ‘could do’, rather than what they ‘should do’ (i.e. proficiency scaling) this would offer a productive way forward. In this manner, switching the focus toward exploring the factors affecting progression, including the ability to learn, reflect and adapt [35], would facilitate the evolution of new variants and mental models on professional service delivery [36]. Focused
on individual and organizational needs, competencies could then be deployed as hypothesis-
generating (rather than hypothesis–testing) tools to drive development- (rather than
assessment-) oriented conversations [37]. This fits well with the view of practitioner as
experimenter [32] and would lead to even greater benefit from the skills of reflective practice
which, perhaps inappropriately, currently coexist with competency models: culminating in a
problematic mix of shades of grey with black and white! Unfortunately, while professional
adaptability and judgment require such an experimental approach, this isn’t an inherent
feature of competency evaluations. In short, the ‘it depends on the context’ outcomes of
carefully considered critical reflection are often inherently at odds with the ‘do it this way to
pass’ specificity of competency assessments; at least, how they are currently employed in
many sports settings.

In fact, the potential to focus on features of effective performance evolution (evaluating ongoing growth rather than just current competence) is already well established in
sport, with the characteristics of both the developing individual [38, 39] and the optimum
development environment [40, 41] having been established, applied, and successfully
exploited. In the support practitioner domain, it is interesting to see that coaches think
similar features apply to their own profession; indeed, an orientation to which they might
aspire [42]. As such, the ‘skills to become more expert’ are already apparent and tacitly
accepted, offering an important potential for growth [42].

4.2 An Exemplar of the Expertise Approach – A focus on Decision Making

As eloquently stated by Smith, Shanteau, and Johnson [43, p. 4] “academic research
generally and our society particularly have largely neglected the fact that sound judgment and
decision making are the crux of many professions. By understanding and communicating
what professional decision makers do and how they do it well, we make valuable
contributions both to our field and to the professional community at large.” Of course, a
much wider range would be used in an expertise approach; for example, the development of
more self-driven, autonomous approaches to development. For the present, however, we
outline the understanding and development of declarative reasoning as an exemplar focus
which may effectively address our identified four part curriculum of judgment, elaboration,
flexibility and decision making. It is in this vein that we see the scenario-based training and
formative testing of expertise in support professionals to offer an opportunity for: facilitating
expert learning; enabling practitioners to form more complete mental models of practice;
providing a “cognitive apprenticeship” model which makes thinking “visible” to peers and
supervisees [44]; and establishing “cognitive authenticity” [45]. Significantly, fewer factors
are considered but in a lot more detail, with the underpinning rationale of decisions and
choices explicitly explored. With regard to the weighting issues highlighted earlier, only key
factors are considered, whilst other, less important aspects are examined only if they impact
on these core issues.

Given that time on the job alone is insufficient for developing expertise [46], teaching
the *structures* of ‘ideal’ thinking [47], rather than ideal solutions, holds great promise for
professional training and evaluation. Once again, there is already a good start in this
direction; for example, Kahneman and Klein’s recent work on the blending of systematic
analysis and skilled intuition [48]. Teaching and assessing the skills of professional judgment
also offers a structure to the more widespread (although often sub-optimally applied) ideas of
Schön on critical thinking [32]. Significantly, this approach offers a means to enhance
aspects of expertise which seemingly play no role in the existing evaluative structures of
competency. Moreover, the existence of a strong literature base [49] means that application
of expertise would be more strongly grounded than the competency based models which
represent the pillars of effective practice (at least as it is currently defined).
As a means of briefly demonstrating the differences underpinning the approaches considered, consider the evaluation process to be followed with a coach under a competency or an expertise focus. In the former case, the evaluator would look for behavioral or verbal examples of satisfying the criteria; typical examples would include “identify the types of information needed to plan an activity within sessions” or, from a higher level award, “explain how to structure language during instruction that is appropriate to participants”. In contrast, an expertise focused evaluation would consider the processes and meta-processes associated with these target behaviors. Exploring the why of a behavior, the reasoning underpinning its selection and use, candidates would also be asked about alternatives; namely, what other options were considered, why they were rejected, and what would need to change for a different option to be taken [50]. Through this focus on decision making, training routes would therefore help to develop the aforementioned thinking structures, adaptability, and critical analysis that will allow practitioners to prosper in their dynamic and complex (and eventually unsupervised) applied environments.

Furthermore, specific reference to underpinning principles (for example, what jargon terms were and were not essential and why, or the need for declarative knowledge in certain kinds of learner) would be required so further increasing the candidate’s ability to make judgments and adapt in different situations to that presented as the test environment [4, 27]. Such approaches would seem essential if trainees are to go beyond clear knowledge that \( X \) means \( Y \) towards the more subtle blending and elaboration necessary for professional practice [51]. As another example, we would highlight the use of validated measures of reflective thinking, once again using a range of simulations, which are highly predictive of effective clinical thinking and decision making later in training [52].

5 Conclusion
In concluding this brief overview, we should stress that not all practitioners who utilize a competency-based approach are guilty of the problems identified in this paper. As with so many prescribed methods these approaches are, as we have observed, used solely for guidance while the assessment process encourages broader and extra-evaluation debate so as to offer formative direction to the candidate. It is interesting that such a reflective coaching approach has sometimes been criticized as ‘going beyond’ the process. Accordingly, in support of more effective professional practice and skillful practitioners across the board, we would hope that an expertise based approach would be encouraged as more of a core modus operandi rather than infrequent and unregulated extra.

Indeed, our message is that competency approaches are just too simplistic for all but the most basic of roles and responsibilities apparent in the sports world. As an alternative, the expertise approach seems to fit better with the characteristics of professionalism, going even beyond the definition of competence (as distinguished throughout this paper from competency) to evaluate and facilitate capacities for more elaborative and adaptive thinking, judgment and growth. Of course, this is inherently more complex (matching the situations it is designed to test for) but the complexities are both lower on difficulty and higher on reward than staying with the existing, albeit well established system of competency-based evaluation.

Finally, we should stress that the differences between competence and competency evaluations are far from simple semantics. The first has a well-grounded and theoretically consistent basis while the second seems to have emerged from administration-heavy assessment systems (see, for example, the criteria applied by the UK Coaching Certificate, BASES sport science accreditation, or SESNZ sport science accreditation) [1, 2, 53], with little or no theoretical or empirical support.
Accordingly, we hope that this paper has presented a strong case for change. From a sports perspective, expertise and professional judgment and decision making have already been well examined in sport psychology [54, 55], coaching [26], and strength and conditioning [56] and therefore provide a strong base from which these approaches can be exploited. There are also, notably, training and evaluation methods already available in the public domain [27]. As a consequence, we hope that bodies responsible for professional development and evaluation recognize and harness this evidence-base and lead the long overdue, widespread shift from competency-driven to expert practice across the spectrum of science, medicine and coaching in sport.

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References


