Probiotics to prevent infantile colic (Protocol)

Banks, Shel C, Thomas, Megan R, Gordon, Morris, Wallace, Chris and Akobeng, Anthony

Available at http://clok.uclan.ac.uk/16769/


It is advisable to refer to the publisher’s version if you intend to cite from the work. http://dx.doi.org/10.1002/14651858.CD012473

For more information about UCLan’s research in this area go to http://www.uclan.ac.uk/researchgroups/ and search for <name of research Group>.

For information about Research generally at UCLan please go to http://www.uclan.ac.uk/research/

All outputs in CLoK are protected by Intellectual Property Rights law, including Copyright law. Copyright, IPR and Moral Rights for the works on this site are retained by the individual authors and/or other copyright owners. Terms and conditions for use of this material are defined in the http://clok.uclan.ac.uk/policies/
<table>
<thead>
<tr>
<th>TABLE OF CONTENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>HEADER</td>
</tr>
<tr>
<td>ABSTRACT</td>
</tr>
<tr>
<td>BACKGROUND</td>
</tr>
<tr>
<td>OBJECTIVES</td>
</tr>
<tr>
<td>METHODS</td>
</tr>
<tr>
<td>ACKNOWLEDGEMENTS</td>
</tr>
<tr>
<td>REFERENCES</td>
</tr>
<tr>
<td>APPENDICES</td>
</tr>
<tr>
<td>CONTRIBUTIONS OF AUTHORS</td>
</tr>
<tr>
<td>DECLARATIONS OF INTEREST</td>
</tr>
<tr>
<td>SOURCES OF SUPPORT</td>
</tr>
</tbody>
</table>
**Probiotics to prevent infantile colic**

Shel SC Banks, Megan R Thomas, Morris Gordon, Chris Wallace, Anthony K Akobeng

1Department of Child Health, Blackpool Teaching Hospitals NHS Foundation Trust, Blackpool, UK. 2School of Medicine, University of Central Lancashire, Preston, UK. 3Families Division, Blackpool Victoria Hospital, Blackpool, UK. 4Postgraduate Department, Blackpool Victoria Hospital, Blackpool, UK. 5Sidra Medical & Research Center, Doha, Qatar. 6Weill Cornell Medical College, Doha, Qatar

Contact address: Morris Gordon, School of Medicine, University of Central Lancashire, Preston, UK. morris@betterprescribing.com, general@biyeeproperties.com.


Copyright © 2016 The Cochrane Collaboration. Published by John Wiley & Sons, Ltd.

**ABSTRACT**

This is a protocol for a Cochrane Review (Intervention). The objectives are as follows:

1. To assess the effectiveness and safety of prophylactic probiotics for preventing or reducing colic in infants.
2. To identify the likely effective probiotic strains for such an approach.

**BACKGROUND**

This protocol contains some technical terms, the definitions of which can be found in Appendix 1.

**Description of the condition**

Infantile colic is defined as periods of inconsolable, unexplained, and incessant crying in a seemingly healthy infant that, quite understandably, leads to exhausted, frustrated, and concerned parents seeking to comfort their child (Landgren 2011). Colic can affect up to 10% to 30% of infants worldwide (Clifford 2002; Rosen 2007), and although the prevalence of excessive crying varies according to the definition used, it most often peaks during the second month of life, with a prevalence of up to 12% (Lucassen 2001; Reijneveld 2001). Traditionally, the definition of the condition was based on the rule of three, that is, unexplained episodes of crying for more than three hours per day for three days per week for at least three weeks (Wessel 1954). More recently, a new definition has been proposed, which refers to a clinical condition of fussing and crying for at least one week in an otherwise healthy infant (Hyman 2006). Rome III diagnostic criteria for functional gastrointestinal disorders includes the following measures, in infants from birth to four months of age, for infantile colic: paroxysms of irritability; fussing or crying that starts and stops without obvious cause; episodes lasting three or more hours per day and occurring at least three days per week for at least three weeks; and no failure to thrive (Mostafa 2008). Colic is a symptom rather than a condition or diagnosis.

In colic, flushing of the face, meteorism (excessive flatulence in the intestinal tract with distention of the abdomen), drawing up of the legs, and flatulence often accompany the inconsolable crying (Savino 2010a). Symptoms typically start in the second week of life, in both breastfed and formula-fed infants, and usually resolve...
by three months of age (Lucas 1998). Generally speaking, these symptoms are not indicative of disease, and thus hospital admission for these infants is generally unnecessary, detrimental, and not to be encouraged (Savino 2007a). However, about 5% of colicky, crying infants do have a serious, underlying medical problem (Freedman 2009; Savino 2005; Savino 2007a), and there is evidence that older children presenting with migraine are more likely to have been babies who had suffered colic (Romanello 2013). Therefore, all colicky infants should undergo a complete medical assessment in order to exclude underlying medical conditions that require investigation and treatment (Savino 2010a).

The etiopathogenesis of infantile colic remains undefined and is most likely multifactorial. Despite the common nature of the condition, there is a general paucity of strong evidence in this area. It has been suggested that a number of behavioural factors (psychological and social) and biological components (food hypersensitivity or allergy, or both; gut microorganisms; dysmotility) can contribute to its manifestation (Gupta 2007). These include the following.

- First, the immunological model, which focuses on possible allergens, has been suggested as a cause of colic.
  - A key allergen is cows’ milk proteins in infant formula or even mothers’ milk. Intact proteins from a mother’s diet can sometimes cross over into the breast milk, provoking an allergic response and symptoms of colic in her infant. Consequently, a low-allergen maternal diet or hypoallergenic infant formula have been proposed as a form of treatment (Hill 2005; Iacovou 2012; Schach 2002). Shannon 1921 first described the possibility of a relationship between infantile colic and allergens, and since then, a number of studies have evaluated the possible association between colic and food hypersensitivity (Heine 2013; Heine 2014; Hill 1995; Iacono 1991; Lothe 1982; Merras-Salmio 2013; Saps 2011).
  - The evidence shows that about 25% of infants with moderate or severe symptoms have cows’ milk, protein-dependent colic (Axelsson 1986; Hill 2000; Lindberg 1999), which improves after some days on a hypoallergenic diet (Campbell 1989; Duport 2010; Estep 2000; Iacono 1991; Iacono 2005; Jakobsson 1983, Jakobsson 2000; Lothe 1989; Savino 2001). For these infants, infantile colic could be the first manifestation of atopic disease, and for this reason, dietetic treatment should be one of the first therapeutic approaches (Gupta 2007; Hall 2012; Perry 2011; Savino 2010a). Indeed, dietary changes are particularly indicated in cases of suspected intolerance to cows’ milk proteins (for example, in infants with a positive family history; eczema or onset after the first month of life; or colic associated with other gastrointestinal symptoms, such as vomiting or diarrhoea) (Hill 1995; Hill 2005; Jakobsson 1983; Lucassen 2000; Savino 2014). Additionally, there is growing evidence that colic is 25% more prevalent in the babies of cigarette smokers and mothers who have used nicotine replacement in pregnancy and breastfeeding, suggesting that there is an intolerance of the nicotine itself (Milidou 2012), which manifests in symptoms of colic.
  - Second, some studies have identified lactose intolerance - due to a relative lactase deficiency - as a possible causative factor in infant colic (Canabar 2001). Carbohydrate malabsorption leads to the colonic fermentation of sugars and an increase in the levels of hydrogen gas (Infante 2011). The rapid production of hydrogen in the lower bowel distends the colon, sometimes causing pain, whereas the osmotic pressures generated by lactose and lactic acid in the colon cause an influx of water leading to further distension of the bowel (Canabar 2001). Although studies evaluating the degree of hydrogen in the breath of colicky infants have produced inconsistent results, increases in breath hydrogen levels have been reported (Hyams 1989; Miller 1990; Moore 1988).
  - Third, there is growing evidence that the intestinal microbiota in colicky infants differ from those in healthy controls, since higher levels of anaerobic bacteria, such as coliform and Escherichia coli, and a lower concentration of Lactobacilli have been reported in infants with colic (Savino 2010a; Savino 2013b).
    - Recent evidence also shows that the microbiota of infants with colicky symptoms contain greater levels of aerobic bacteria, such as Helicobacter pylori (Ali 2012), and infants without colicky symptoms have more varied types of microbiota (de Weerth 2013). There is accumulating evidence that babies who are born by caesarean section have different intestinal microbiota (Grünlund 1999), and this and other factors affect infant gut colonisation. A recent review by Houghteling 2015 examined these factors and the mechanisms of disease that result from disrupted colonisation.
    - Human milk naturally contains prebiotics; they are defined as indigestible oligosaccharides, which could selectively enhance the proliferation of certain probiotic bacteria in the colon, especially Bifidobacterium species (Thomas 2010). Some studies have failed to find a protective effect of breastfeeding on the development of colic in breastfed infants (Clifford 2002). However, it is unclear whether these studies compared infants who were exclusively breastfed from birth with infants who were exclusively artificially fed from birth, so it is still not known whether breastfeeding has some protective effect or whether artificial feeding compromises the infant gut microbiome in some way. Savino 2013a, however, demonstrated higher levels of coliforms in colicky infants who were not breastfed than in those who were breastfed or who were not colicky. Evidence also suggests that oligosaccharide prebiotics (a mixture of galacto-oligosaccharides and fructo-oligosaccharides) to encourage growth of the positive bacteria in the gut may be effective treatments for allergy and food intolerance in general (Arslanoglu 2012), and for crying in formula-fed infants with colic in particular (Savino 2006).
Many studies, such as Dupont 2010, Savino 2007b, Savino 2010b, and Szajewska 2013, and a Cochrane Review, Praveen 2014, have looked at the treatment or management of colicky symptoms and other functional gut disorders with probiotics and prebiotics. However, in these times of large-scale deviation from the biological norms of vaginal birth (NHS Maternity Statistics, England 2014-15), skin-to-skin contact after delivery, and exclusive breast-milk feeding in the first weeks of life (NHS England Breastfeeding Initiation Q1 2015/16), it is easy to understand how an infant’s microbiome may be altered from its intended formation by the absence of these events and the unintended gut colonisation of less favourable bacteria from the hospital, staff, or feeding equipment. It is thought that the altered microbiota may be responsible for the colicky pain experienced by some infants and that prophylactically receiving probiotics might protect the infant from that colicky pain ever occurring, by steering the trajectory of microbial gut colonisation nearer to that which was intended (Indrio 2014). Of course, it is likely that there is no ‘one cause’ of colic, and potential multifactorial aetiologies may exist even in a single infant with colicky symptoms, while certainly existing in the colicky population.

**Description of the intervention**

The role of aberrant gut microbiota in infant colic has resulted in the increased study of the use of probiotics in this area in recent years (Braeggar 2011; Kukkonen 2008; Praveen 2014). Probiotics are live organisms with potential health benefits; they provide resilience to bacterial insult and threat to the host (Rijkers 2011). *Lactobacillus* and *Bifidobacterium* species are the organisms most commonly used as probiotics; associated terms include ‘prebiotics’ and ‘synbiotics’. Prebiotics are indigestible food ingredients that benefit the host by selectively stimulating the favourable growth or activity, or both, of one or more indigenous probiotic bacteria (Roberfroid 2007). Synbiotics are products containing both probiotics and prebiotics and are often used. There have been numerous studies around the effectiveness of supplementing the already symptomatic infant’s diet with various probiotics and synbiotics to reduce the symptoms of colic, but these seem inconclusive when taken as a whole (Savino 2010b; Sung 2014; Szajewska 2013). However, evidence is building around the effectiveness of prophylactically supplementing the newborn infant with probiotics to prevent colic and other symptoms (Indrio 2014; Oozer 2013). Additionally, evidence is accumulating on the safety of such an intervention (Savino 2010b).

**How the intervention might work**

Given the growing evidence that the intestinal microbiota in colicky infants differ from those in healthy controls, it is proposed that supplying probiotic bacteria can redress this balance and provide a healthier intestinal microbiota landscape (Savino 2010a; Savino 2013a, Savino 2013b). As the evidence base suggests, common factors impact this colonisation process, such as birth by caesarean section (Grönlund 1999), and it is proposed that offering probiotics prophylactically to all as a form of primary prevention could offer significant benefit to the population with minimal risk.

**Why it is important to do this review**

As previously stated in Praveen 2014 and above, infantile colic is a common disorder with a stressful effect on both the infant and parent/carer; however, the pathogenesis of colic is poorly understood and involves a range of risk factors. Some of the most commonly prescribed treatments for infant colic have been found to be no more effective than placebo (Garrison 2000; Lucassen 2000; Savino 2012). It has been increasingly thought that gut microbiota play an important role in the pathogenesis of colic (Savino 2007b), and probiotic supplementation has been suggested as a treatment for colic symptoms in the infant, although observational studies and clinical trials have provided mixed reports on whether this is beneficial (Savino 2010a; Sung 2012; Sung 2014). Two Cochrane Reviews are currently underway examining the effects of probiotics for infantile colic and pain-relieving agents for the condition (Praveen 2014; Savino 2012, respectively). Considering the impact of the condition and the increasing scope of oral probiotics in the field of neonatology (necrotising enterocolitis) and paediatrics (allergic enteritis) (Baldassarre 2010; Deshpande 2010; Deshpande 2011), as well as the relatively low cost and easy availability of probiotics, we believe it is important to evaluate the current evidence on probiotics as a prophylactic therapy to prevent the onset of infant colic, in terms of both effectiveness and safety, using the rigorous methodology of a Cochrane Review.

Increasingly, work is being undertaken to assess and describe microbiota in the days, weeks, and months after the infant’s birth, for example, de Weerth 2013 reports the evolution of changes in microbiota that match the course of infant colic resolving over three months. This illustrates why it may be more effective to give probiotics prophylactically, early in life, to ‘prevent’ colic rather than using them to try to treat it after it has occurred. New, large-scale studies have come to light in this area of postnatal probiotics, including Indrio 2014, which enrolled 589 infants in a multicentric study; Pärty 2013 with almost 100 preterm participants; and Kukkonen 2008, which included over 1000 infants. It is thus timely to revisit this area and assess the potential use of probiotics as a preventative measure for colic, which if proven effective, could reduce or eliminate infant and parent/carer stress in the early weeks and months of a baby’s life the world over.

**OBJECTIVES**

Probiotics to prevent infantile colic (Protocol)

Copyright © 2016 The Cochrane Collaboration. Published by John Wiley & Sons, Ltd.
1. To assess the effectiveness and safety of prophylactic probiotics for preventing or reducing colic in infants.
2. To identify the likely effective probiotic strains for such an approach.

**METHODS**

**Criteria for considering studies for this review**

**Types of studies**
We will include randomised controlled trials (RCTs).

**Types of participants**
We will include newborn infants younger than one month of age without a diagnosis of infantile colic at recruitment, as defined by the study.

**Types of interventions**
We will include any probiotic, alone or in combination with a prebiotic (also known as synbiotics), versus no intervention, another intervention(s), or placebo, where the focus of the study is the effect of the intervention on infantile colic. We will not consider studies in which probiotics are given to infants for other purposes and in which colic or crying is not one of the main outcomes of interest. While, ideally, we are looking for comparisons of probiotic intervention versus no intervention, and probiotic intervention versus placebo, we will include studies comparing probiotic intervention with other interventions for separate analysis. In such a situation, we will only draw conclusions from these trials when there is evidence of the effectiveness of each intervention from other (versus no treatment or placebo) trials.

**Types of outcome measures**
For all proposed outcomes, we will use final outcomes from the end of the trials, and we will record the timings of these outcomes as they may guide the subgroup analysis.

**Primary outcomes**
1. Reduction in the duration of crying (post-treatment versus baseline). Data may be continuous (for example, hours per day) or dichotomous (for example, reduction under a predefined threshold, as determined by the trial authors).
2. Adverse effects, including parental depression and mental illness, choking, bacterial infection, or apparent life-threatening events (dichotomous outcome).

**Secondary outcomes**
1. The number of responders in each group after treatment. We will define responders as those who experienced a decrease in the daily, average crying time of 50% from baseline (dichotomous outcome).
2. Reduction in frequency of crying episodes per 24 hours, where frequency is specified in the trials separately to the duration of the infant’s crying (post-treatment versus baseline) (dichotomous outcome).
3. Infant sleep duration per 24 hours at seven, 14, and 21 days (post-treatment versus baseline) (continuous outcome) or where it is not grouped in this way in the individual trials, using a time window of between seven and 28 days.

**Search methods for identification of studies**
We will identify relevant trials by searching the sources described below.

**Electronic searches**
We will search the following electronic databases and trial registers from inception onwards.
1. Cochrane Central Register of Controlled Trials (CENTRAL; current issue) in the Cochrane Library, which includes the Cochrane Developmental, Psychosocial and Learning Problems Specialised Register.
2. MEDLINE Ovid (from 1946).
3. MEDLINE In-Process & Other Non-Indexed Citations OvidSP (current issue).
4. MEDLINE Epub Ahead of Print Ovid (current issue).
5. Embase Ovid (from 1980).
6. CINAHL EBSCO (Cumulative Index to Nursing and Allied Health Literature; from 1937).
7. PsycINFO Ovid (from 1967).
8. Science Citation Index Expanded Web of Science (SCI; from 1970).
9. Social Sciences Citation Index Web of Science (SSCI; from 1970).
10. Conference Proceedings Citation Index - Science Web of Science (CPCI-S; from 1990).
11. Conference Proceedings Citation Index - Social Science & Humanities Web of Science (CPCI-SS&H; from 1990).
12. LILACS (Latin American and Caribbean Health Science Information Database; lilacs.bvsalud.org/en; all available years).
13. Cochrane Database of Systematic Reviews (CDSR; current issue) in the Cochrane Library.
14. Database of Abstracts of Reviews of Effects (DARE; current issue) in the Cochrane Library.
15. Epistemonikos (limited to systematic reviews; www.epistemonikos.org; all available years).
16. WorldCat (limited to theses; www.worldcat.org; all available years).
17. US National Institutes of Health Ongoing Trials Register ClinicalTrials.gov (www.clinicaltrials.gov; all available years).
18. World Health Organization International Clinical Trials Registry Platform (WHO ICTRP; apps.who.int/trialsearch; all available years).

We will search MEDLINE using the search strategy in Appendix 2, which uses the sensitivity maximising version of the Cochrane highly sensitive search strategy for identifying RCTs or quasi-RCTs, as recommended in the Cochrane Handbook for Systematic Reviews of Interventions (Lefebvre 2011). We will adapt this strategy for other databases, without imposing any date or language restrictions. We will ensure the professional translation in full of studies published in languages other than English.

Searching other resources

Grey literature

We will handsearch abstracts presented at relevant international meetings, including the European Society for Paediatric Gastroenterology Haematology and Nutrition (ESPGHAN) and the North American Society for Pediatric Gastroenterology, Hepatology and Nutrition (NASPGHAN), published from their earliest availability (2010) until the most recent meeting (2015), with the aim of finding relevant studies that are not yet published in full. There is some evidence that data from abstracts can be inconsistent with data in published articles (Pitkin 1999). Therefore, we will only include abstract publications if they present sufficient data on which to judge inclusion and assess quality. Where they do not present such data, we will attempt to contact authors for more information and meanwhile list them under 'Studies awaiting classification'.

Supplementary searching

We will inspect the references of all relevant studies and reviews and contact authors to request missing or incomplete data. In addition, we will run citation searches of included studies.

Personal contacts

We will contact leaders in the field to try to identify other published and unpublished studies.

Pharmaceutical companies

We will contact companies that produce probiotics and synbiotics, as well as companies that produce medication and formula preparations, as per the Background section, to search for any other relevant ongoing and unpublished studies.

Data collection and analysis

Selection of studies

Having collated references and removed duplicates, two reviewers (MG and SSCB) will independently screen titles, abstracts, and full reports for eligibility against the inclusion criteria (see Criteria for considering studies for this review). Specifically, they will undertake the following tasks:

1. merge search results using reference management software and remove duplicate records of the same report;
2. examine titles then abstracts, and remove any records that do not meet the inclusion criteria;
3. retrieve the full texts of potentially relevant reports;
4. link together multiple reports of the same study;
5. examine full-text reports to determine whether studies meet the eligibility criteria;
6. correspond with investigators, when appropriate, to clarify study eligibility;
7. at all stages, note reasons for inclusion and exclusion of articles on a study flow spreadsheet, and resolve any disagreements through consensus;
8. make final decisions on study inclusion and resolve any discrepancies through a process of consensus; and
9. proceed to data collection.

We will record our selection process in a PRISMA diagram (Moher 2009).

Data extraction and management

We will develop data extraction forms a priori, as per the recommendations in the Cochrane Handbook for Systematic Reviews of Interventions (Higgins 2011a). We will extract the following information.

1. Characteristics of participants: source of participants, inclusion and exclusion criteria, total number at baseline, total number at completion, setting, definition of 'colic' applied, diagnostic criteria applied, type of feeding (breastfeeding, formula feeding), age at onset of colic, age at commencement of intervention, and evaluation of potential effect modifiers (e.g. age, gender).
2. Interventions and controls: number of groups, intervention(s) applied, frequency and duration of treatment, total number of treatments, and permitted cointerventions.
3. Methods: study design and duration, sequence generation, allocation concealment, blinding of outcome assessors, and evaluation of success of blinding.

4. Outcomes: outcomes assessed, definitions used, values of means and standard deviations (SDs) at baseline and at time points as defined by the study protocol (or change from baseline measures, if given).

5. Results: measures at the end of the protocol, follow-up data (including means and SDs, standard errors, or confidence intervals (CI) for continuous data, and summary tables for dichotomous data), withdrawals, and losses to follow-up.

6. Other: references to other relevant studies, points to follow-up with the study authors, comments from the study authors, key conclusions from the study (by the study authors), and other comments from the review authors.

Two review authors (MG and SSCB) will independently using the data extraction form. A third review author (MRT) will resolve any disagreements. We will collate data in the latest version of Review Manager (RevMan 2014).

Assessment of risk of bias in included studies

Two review authors (MG and SSCB) will independently evaluate each study for risk of bias using the criteria recommended in the Cochrane Handbook for Systematic Reviews of Interventions for the following domains (Deeks 2011; Higgins 2011b): sequence generation; allocation concealment; blinding of parents and health professionals; blinding of outcome assessment; incomplete outcome data; selective outcome reporting; and other potential threats to validity. We will judge each domain as being at ‘low’, ‘high’, or ‘unclear’ risk of bias. We will compare the judgments and discuss and resolve any inconsistencies in the assessments. A third review author (MRT) will resolve any persisting disagreements.

Sequence generation for randomisation

We will include only RCTs in the review. We will assess randomisation as being at low risk of bias if the procedure of randomisation sequence generation was explicitly described; examples include computer-generated random numbers, a random numbers table, or coin-tossing. If no description is given, we will contact the authors for further information, and if we fail to receive a response, we will assign a judgment of unclear risk of bias. We will consider studies that use non-randomised procedures (hospital number, date of birth) to have a high risk of bias.

Allocation concealment

We will assess concealment of treatment allocation as being at low risk of bias if the procedure was explicitly described and adequate efforts were made to ensure that intervention allocations could not have been foreseen in advance of, or during, enrolment; examples include centralised randomisation, numbered or coded containers, or sealed envelopes. Procedures that we will consider to have a high risk of bias include alternation or reference to case record numbers or dates of birth. We will also assign a judgement of high risk of bias to studies in which allocation concealment did not occur as intervention allocation may not have been in a random fashion and may have increased bias. We will contact the study authors if no description is given, and if we do not receive a response, we will assign a judgment of unclear risk of bias.

Blinding of parents and health professionals

In this context, the intervention is administered by parents, so in effect, we will consider them the target of the blinding procedures. Indeed, as the participants will be less than four months of age by the defined inclusion criteria, it is deemed that this item is not applicable to them. Furthermore, parents often act as outcome assessors. We will primarily assess the risk of bias associated with the blinding of parents of participants based on the likelihood that such blinding was sufficient to ensure that parents had no knowledge of which intervention the infant received.

Blinding of outcome assessment

For each included study, we will describe the methods used, if any, to blind the outcome assessors from knowledge of which intervention a participant received. We will judge studies to be at low risk of bias if they blinded the outcome assessors, or if we consider that the lack of blinding could not have affected the results. If blinding was not done or was not possible because of the nature of the intervention, we will judge the study to be at high risk of bias because it is possible that the lack of blinding influenced the results. If no description is given, we will contact the study authors for more information, and if we do not receive a response, we will assign a judgment of unclear risk of bias. We will note the blinding of health professionals if reported.

Incomplete outcome data

Incomplete outcome data essentially include attrition, exclusions, and missing data. We will assign a judgment of low risk of bias in the following instances:

1. if participants included in the analysis are exactly those who were randomised into the trial; missing outcome data are balanced in terms of numbers across intervention groups, with similar reasons for missing data across groups; or if there are no missing outcome data;

2. if for dichotomous outcome data, the proportion of missing outcomes compared with observed event risk is not sufficient to have a clinically relevant impact on the intervention effect estimate;

3. if for continuous outcome data, the plausible effect size (standardised mean difference (SMD)) among missing outcomes

Probiotics to prevent infantile colic (Protocol)

Copyright © 2016 The Cochrane Collaboration. Published by John Wiley & Sons, Ltd.
is not sufficient to have a clinically relevant impact on observed effect size; or
4. if missing data have been imputed using appropriate methods.

We will assign a judgment of high risk of bias in the following instances:

1. when reasons for missing outcome data are likely to be related to the true outcome, with either an imbalance in numbers or reasons for missing data across intervention groups;
2. when for dichotomous outcome data, the proportion of missing outcomes compared with the observed event risk is sufficient to induce clinically relevant bias in the intervention effect estimate;
3. when for continuous outcome data, the plausible effect size (SMD) among missing outcomes is sufficient to induce clinically relevant bias in the observed effect size;
4. when an ‘as-treated’ analysis is carried out in cases where there is a substantial departure of the intervention received from that assigned at randomisation; or
5. when there is a potentially inappropriate application of simple imputation.

We will assign a judgment of unclear risk of bias in the following instances:

1. when there is insufficient reporting of attrition or exclusions, or both, to permit a judgment of low or high risk of bias;
2. when the study reported incomplete outcome data; or
3. when the trial did not clearly report the numbers randomised to intervention and control groups.

Selective outcome reporting

We will assess the reporting of outcomes as being at low risk of bias if the results of the trial report all of the study outcomes declared in the trial’s methods section. We will also evaluate whether different reports of the study are available, including protocols, and examine them to ensure that there is no suggestion of selective outcome reporting. If no description is given, we will contact the authors for more information, and if we do not receive a response, we will assign a judgment of unclear risk of bias. If there is evidence of selective reporting (deviation from protocol, key planned outcomes not reported), we will assign a judgment of high risk of bias.

Other potential threats to validity

If the study is at risk of other sources of bias not captured by the above domains, we will assess it as being at high risk of bias, for instance, if the study was stopped early due to a data-dependent process, having a baseline imbalance between the group, or its sources of sponsorship or funding. We will assess the study as being at low risk of bias if it appears to be free from such threats to validity.

When the risk of bias is unclear from the published information, we will attempt to contact the study authors for clarification. If this is not forthcoming, we will assess these studies as being at unclear risk of bias.

Measures of treatment effect

Dichotomous data

We will present dichotomous data as risk ratios (RR), since the effects of the RR are readily understood (Walter 2000). We will report all RRs with their associated 95% confidence intervals (CIs) and probability values (when possible).

Continuous data

If all studies use the same measurement scale, we will calculate mean differences (MD). When studies use different scales, we will calculate the SMD using Hedges’ g. We will also report the 95% CI of the MD or SMD. If necessary, we will calculate effect estimates from P values, t-statistics, analysis of variance (ANOVA) tables, or other statistics, as recommended in the Cochrane Handbook for Systematic Reviews of Interventions (Deeks 2011), but only in situations when the raw data (MD or SMD) are not directly available in the study publications. For this analysis, we will use, according to need, either change scores or final values without combining them.

If both continuous and dichotomous data are available for an outcome, we will include only the continuous outcome in the primary analysis. If some studies report an outcome as a dichotomous measure and others use a continuous measure of the same construct, we will convert the results for the former from the dichotomous measure to a SMD, provided that we can assume that the underlying continuous measure has approximately a normal or logistical distribution. (Otherwise, we will carry out two separate analyses.)

Unit of analysis issues

Cluster-randomised studies

For each included study, we will determine whether the unit of analysis is appropriate for the unit of randomisation and the design of that study (that is, whether the number of observations matches the number of randomised ‘units’ (Deeks 2011)). It is unlikely that we will find cluster-randomised trials because such a design is uncommon in this field. However, if we do, we will use the intraclass correlation coefficient (ICC) to convert trials to their effective sample size before incorporating them into the meta-analysis, as recommended in the Cochrane Handbook for Systematic Reviews of Interventions (Higgins 2011b). If the ICC is not available, we will
use values from the published literature as an external source, when available, as well as contacting the study author to supply more data to allow calculation of an ICC estimate (Campbell 2000). It is only for those cluster trials that did not account for the cluster effects that we will use the ICC to calculate the effective sample size or the effective SD.

**Studies with multiple treatment arms**

In the primary analysis, we will combine results across all eligible intervention arms and compare them with the combined results across all eligible control arms (another intervention(s) or placebo), making single pairwise comparisons. Where such a strategy prevents investigation of potential sources of heterogeneity, we will analyse each type separately (against a common control group: placebo), but divide the sample size for common comparator arms proportionately across each comparison (Higgins 2011b). This simple approach allows the use of standard software (including RevMan 2014) and prevents the inappropriate double-counting of individuals.

**Cross-over studies**

In randomised, cross-over studies, individuals receive each intervention sequentially, in a random order. Cross-over studies usually contain a washout period, which is a stage after the first treatment but before the second treatment, where time is given for the active effects of the first treatment to wear off before the new treatment begins (that is, to reduce the carry-over effect). A concern with the cross-over design is the risk of a carry-over effect (when the first treatment affects the second), which is of particular concern for this review given the nature of the interventions we are assessing. For this review, we will not include any data in cross-over studies after the first treatment period.

**Dealing with missing data**

Where data are missing, we will contact the corresponding authors of included studies to supply any unreported data. For all outcomes in all studies, we will carry out analyses as far as possible on an intention-to-treat basis; that is, we will attempt to include all participants randomised to each group in the analyses, and we will analyse all participants in the group to which they were allocated regardless of whether or not they received the allocated intervention.

For missing continuous data, we will estimate SDs from other available data, such as standard errors, or we will impute them using the methods suggested in Higgins 2011b. We will conduct analyses based on participants completing the trial, in line with available case analysis; this will assume that missing data are at random. If there is a discrepancy between the number randomised and the number analysed in each treatment group, we will calculate and report the percentage lost to follow-up in each group.

When it is not possible to obtain missing data, we will record this in the data collection form, report it in the 'Risk of bias' table, and discuss the extent to which the missing data could alter the results and hence the conclusions of the review. For included studies, we will note levels of attrition. We will explore the impact of including studies with high levels of missing data in the overall assessment of treatment effect by conducting sensitivity analyses (see Sensitivity analysis).

**Assessment of heterogeneity**

We will assess clinical heterogeneity by comparing the distribution of important participant characteristics between trials (for example, age) and trial characteristics (for example, randomisation, concealment, blinding of outcome assessment, losses to follow-up, treatment type, cointerventions). We will employ a Chi² test of homogeneity, with a 10% level of significance, to determine the strength of evidence that heterogeneity is genuine. We will also present tau².

In addition, we will assess statistical heterogeneity by examining the I² statistic (Deeks 2011), a quantity that describes the proportion of variation in point estimates that is due to variability across studies rather than sampling error. We will interpret the I² statistic as suggested in the latest version of Deeks 2011:

1. 0% to 40%: might not be important;
2. 30% to 60%: may represent moderate heterogeneity;
3. 50% to 90%: may represent substantial heterogeneity; and
4. 75% to 100%: suggests considerable heterogeneity.

**Assessment of reporting biases**

In order to minimise publication bias, we will attempt to obtain the results of any unpublished studies, in order to compare the results extracted from published journal reports with the results obtained from other sources (including correspondences).

In addition, if there are more than 10 studies grouped in a comparison, we will evaluate whether reporting biases are present by using funnel plots to investigate any relationship between effect estimates and study size or precision, or both, as recommended in the Cochrane Handbook for Systematic Reviews of Interventions (Sterne 2011). Due to the small number of studies expected, no formal test for plot asymmetry is planned.

**Data synthesis**

When interventions are similar in terms of type of intervention, type of outcome assessed, and type of colic, we plan to group the studies and synthesise their results in a meta-analysis. We will present results for each combination of probiotic intervention, assessed outcome, and colic type, with the exception of those studies for which no data are observed. For instance, if two or more studies assessed the effects of prophylactic probiotic use in otherwise healthy children with colic and both measured daily crying, we
will perform a meta-analysis of the results. Because we assume that clinical heterogeneity is very likely to impact on our review results, given the wide breadth and types of interventions included, we will combine the studies using a random-effects model, regardless of statistical evidence of heterogeneity of effect sizes, calculating individual treatment effects and assigning weight using inverse variance. We will use these calculations to produce a pooled effect, which we will present in a forest plot. We will carry out statistical analysis using RevMan 2014. When data are insufficient, we will provide a narrative description of the results.

'Summary of findings' tables

We will assess the overall quality of evidence using the GRADE approach (Guyatt 2008). The GRADE approach appraises the quality of a body of evidence based on the extent to which one can be confident that an estimate of effect, or association, reflects the item being assessed. RCTs start as high-quality evidence, but may be downgraded due to risk of bias (methodological quality), indirectness of evidence, unexplained heterogeneity, imprecision (sparse data), and publication bias. Two review authors (SB and MG) will independently assess the overall quality of the evidence for each outcome after considering each of these factors and will grade them as follows:

1. high quality: further research is very unlikely to change confidence in the estimate of effect;
2. moderate quality: further research is likely to have an important impact on confidence in the estimate of effect, and may change the estimate;
3. low quality: further research is very likely to have an important impact on confidence in the estimate of effect, and is likely to change the estimate; or
4. very low quality: any estimate of effect is very uncertain.

We will use the outcomes below.

1. A reduction in the duration of crying (post-treatment versus baseline).
2. Adverse effects, including parental depression and mental illness, choking, bacterial infection, or apparent life-threatening events.
3. The number of responders in each group after treatment.
4. Reduction in frequency of crying episodes per 24 hours, where frequency is specified in the trials separately to the duration of the infant's crying (post-treatment versus baseline).
5. Infant sleep duration per 24 hours at seven, 14, and 21 days (post-treatment versus baseline) or where it is not grouped in this way in the individual trials, using a time window of between seven and 28 days.
6. Infant sleep duration per 24 hours at seven, 14, and 21 days.

Subgroup analysis and investigation of heterogeneity

Large numbers of subgroup analyses may lead to misleading conclusions (Oxman 1992; Yusuf 1991). We plan to carry out the following subgroup analyses, when possible:

1. mode of delivery of baby (vaginal versus caesarean section);
2. type of feeding (artificially fed versus breastfed);
3. short-term and long-term follow-up (fewer than four weeks versus four weeks or more of treatment);
4. preterm (pre-37 weeks and pre-33 weeks gestation) versus ‘term’ babies (born between 37 and 43 weeks gestation);
5. low-quality trials versus high-quality trials (allocation concealment versus lack of allocation concealment; blinding versus lack of blinding); and
6. type of probiotic (or combination of probiotic with prebiotic, also known as ‘synbiotic’).

These analyses will be exploratory as they involve non-experimental (cross study) comparisons and will involve primary outcomes. We will treat any conclusions with caution.

Sensitivity analysis

We will conduct sensitivity analyses to determine whether findings are sensitive to the following:

1. bias, by restricting the analyses to studies judged to be at low risk of bias for blinded assessment of the primary outcome;
2. imputed data, by calculating the treatment effect including and excluding the imputed data to assess whether this alters the outcome of the analysis;
3. dropouts and exclusions, by conducting worst-case versus best-case scenario analyses;
4. the definition of colic used, by conducting analyses on studies using the stringent Wessel definition of infant colic (Wessel 1954), the more recent definition given by Hyman 2006, and a non-recognised definition; and
5. the choice of meta-analysis model used, by comparing results from the fixed-effect model with those of the random-effects model.

While there may be heterogeneity in the interventions, as well as the comparisons, we consider that the consensus on definitions of symptoms for eligibility manages the risk of ‘blurring’ the results, but we remain vigilant, and if we perceive a risk while evaluating our findings, we may undertake a sensitivity analysis removing such trials to provide more definite findings.

ACKNOWLEDGEMENTS

We would like to acknowledge the editors for their helpful comments on earlier versions of this protocol, as well as the support from Cochrane Developmental, Psychosocial and Learning Problems.
REFERENCES

Additional references

Ali 2012

Arslanoglu 1986

Axelsson 1986

Baldassarre 2010

Braegger 2011

Campbell 1989

Campbell 2000

Canabar 2001

Clifford 2002

de Weerth 2013

Deeks 2011

Deshpande 2010

Deshpande 2011

Dupont 2010

Estep 2000

Freedman 2009

Garrison 2000

Gordon 2012

Grönlund 1999
Grönlund MM, Lehtonen OP, Eerola E, Kero P. Fecal microflora in healthy infants born by different methods of delivery: permanent changes in intestinal flora after cesarean...
Gupta 2007

Guyatt 2008

Hall 2012

Heine 2013

Heine 2014

Higgins 2011a

Higgins 2011b

Hill 1995

Hill 2000

Hill 2005

Houghteling 2015

Hyams 1989

Hyman 2006

Iacono 1991

Iacono 2005

Iacovou 2012

Indrio 2014

Infante 2011
Lucassen 2001

Merras-Salmito 2013

Milidou 2012

Miller 1999

Moher 2009

Moore 1988

Mostafa 2008

Naidoo 2011

NHS England Breastfeeding Initiation Q1 2015/16


Oozeer 2013

**Oxman 1992**


**Perry 2011**


**Pitkin 1999**


**Praveen 2014**


**Pärtty 2013**


**Reijneveld 2001**


**RevMan 2014 [Computer program]**


**Rijkers 2011**


**Roberfroid 2007**


**Romanello 2013**


**Rosen 2007**


**Saps 2011**


**Savino 2001**


**Savino 2005**


**Savino 2006**


**Savino 2007a**


**Savino 2007b**


**Savino 2010a**


**Savino 2010b**


**Savino 2012**

Savino 2013a

Savino 2013b

Savino 2014

Schach 2002

Shannon 1921
Shannon WR. Colic in breast-fed infants as a result of sensitization to foods in the mother’s diet. *Archives Paediatrica* 1921;38:756–61.

Sterne 2011

Sung 2012

Szajewska 2013

Thomas 2010

Walter 2000

Wessel 1954

Yusuf 1991

* Indicates the major publication for the study

APPENDICES

Appendix I. Definition of terms
<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paroxysms</td>
<td>A sudden recurrence or intensification of symptoms such as a spasm or seizure. Also called paroxysmal attacks</td>
</tr>
<tr>
<td>Dysmotility</td>
<td>A condition in which muscles of the digestive system become impaired and changes in the speed, strength or coordination in the digestive organs occurs. In the normal small intestine, liquefied food and secretions, including digestive enzymes are pushed onwards by waves of muscular contraction</td>
</tr>
<tr>
<td>Oligosaccharides</td>
<td>A saccharide polymer (complex carbohydrate) containing a small number of simple sugars, which are not digestible by humans, and instead function as prebiotics to support the growth of certain types of bacteria in the gut</td>
</tr>
<tr>
<td>Microbiota</td>
<td>“the ecological community of commensal, symbiotic and pathogenic microorganisms that literally share our body space.” (Lederberg 2001)</td>
</tr>
<tr>
<td>Microbiome</td>
<td>The microorganisms in a particular environment (including the body or a part of the body)</td>
</tr>
<tr>
<td>Coliforms</td>
<td>Coliform bacteria are an indicator of sanitary quality of foods and water. They ferment lactose with the production of acid and gas. Coliforms can be found in the aquatic environment, in soil and on vegetation; they are universally present in large numbers in the faeces of warm-blooded animals. While coliforms themselves are not normally causes of serious illness, they are easy to culture, and their presence is used to indicate that other pathogenic organisms of faecal origin may be present. Such pathogens include disease-causing bacteria, viruses, or protozoa and many multicellular parasites. Coliform procedures may be performed in aerobic or anaerobic conditions</td>
</tr>
<tr>
<td>Necrotising enterocolitis</td>
<td>A medical condition primarily seen in premature infants where portions of the bowel undergo necrosis (tissue death). It occurs postnatally and is one of the most common causes of morbidity in premature infants</td>
</tr>
<tr>
<td>Enteritis</td>
<td>Inflammation of the intestine, especially the small intestine, usually accompanied by diarrhoea</td>
</tr>
</tbody>
</table>

**Appendix 2. MEDLINE search strategy**

1 colic/
2 colic$.tw.
3 ((stomach or abdominal or abdomen$) adj3 (spasm$ or pain$ or cramp$)).tw.
4 ((gastric or gastro$) adj3 (spasm$ or pain$ or cramp$)).tw.
5 crying/
6 (cry or crying or cries).tw.
7 or/1-6
8 Dietary Supplements/
9 Complementary Therapies/
10 Gastrointestinal Agents/
11 probiotics/
12 (probiotic$ or synbiotic$).mp.
13 exp lactobacillaceae/
14 lactobacill$.mp.
15 exp Bifidobacterium/
CONTRIBUTIONS OF AUTHORS

MG is the named correspondent; SB is the lead author. SB and MG wrote the protocol; MT and CW reviewed the draft protocol. MG has overall responsibility for managing the review.

DECLARATIONS OF INTEREST

- Shel SC Banks (SSCB) is being paid as a research assistant for this review from Blackpool Teaching Hospitals NHS Foundation Trust. SSCB is chair of the Local Infant Feeding Information Board (LIFIB), which produces evidence-based information on infant feeding topics for health professionals. SSCB is a self-employed Infant Feeding Information Specialist and provides expertise in infant feeding, writing briefing papers and newsletters, etc., and delivering workshops across the northwest of England. This is for the LIFIB and the Sudden Unexpected Death of a Child Prevention Team in Lancashire. Money from Lancashire County Council, via The Breastfeeding Network, funds the latter, and work related to this is paid for by the hour. SSCB is self-employed as an International Board Certified Lactation Consultant in private practice. SSCB declares that neither she personally nor any of the entities that she represents take funding of any kind from any commercial interests in infant feeding or early years and that she works completely within the professional code of ethics as an International Board Certified Lactation Consultant.

- Megan R Thomas (MRT) has been part of an advisory board for Roche related to a study for individuals with Down syndrome about improving cognition. MRT was reimbursed for her travel costs, and her Trust received fees for her time. MRT confirms that she has not received any fees from any other commercial sources in the past three years.

- Morris Gordon (MG) has received travel grants in the last three years from Ferring and BioGaia to attend scientific meetings and produce treatments for colic that may be tested for inferiority in this study. MG has received travel grants from Abbott Nutrition; Warner Chilcott; Norgine Pharmaceuticals; Ferring Pharmaceuticals; BioGaia; Tillotts; Clinova; Vifor; Nutricia; Danone; and Laboratorios Casen Fleet to attend meetings to present the results of previous works. They have had no input or involvement in any aspect of the review process during this or previous systematic reviews carried out by MG, such as Bowel preparation for paediatric colonoscopy and Probiotics for maintenance of remission in ulcerative colitis (Gordon 2012; Naidoo 2011, respectively). MG is a
Paediatrician with an interest in gastroenterology, which involves seeing patients referred with infantile colic and managing in line with current, accepted, evidence-based practice.

- Chris Wallace (CW) was involved in a review looking at probiotics as treatment for chronic constipation, which was accepted for poster presentation at the European Society for Paediatric Gastroenterology Hepatology and Nutrition (ESPGHAN) Annual Meeting. CW received funding from the Young Investigator Award (YIA) for travel and accommodation to attend the meeting.
- Anthony K Akobeng: nothing to declare.

**SOURCES OF SUPPORT**

**Internal sources**

- Blackpool Teaching Hospitals NHS Foundation Trust, UK.

  Blackpool Teaching Hospitals is the employer of three reviewers: MG and MT are employed in the medical team for the hospital, and SB is employed by the hospital as a research assistant.

**External sources**

- No sources of support supplied