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Title	Preparation of core-crosslinked linear-dendritic copolymer micelles with
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Туре	Article
URL	https://clok.uclan.ac.uk/id/eprint/17481/
DOI	https://doi.org/10.1016/j.ijpharm.2017.03.032
Date	2017
Citation	Zhou, Zhengyuan, Forbes, Robert T. and D'Emanuele, Antony (2017)
	Preparation of core-crosslinked linear-dendritic copolymer micelles with
	enhanced stability and their application for drug solubilisation. International
	Journal of Pharmaceutics, 523 (1). pp. 260-269. ISSN 0378-5173
Creators	Zhou, Zhengyuan, Forbes, Robert T. and D'Emanuele, Antony

It is advisable to refer to the publisher's version if you intend to cite from the work. https://doi.org/10.1016/j.ijpharm.2017.03.032

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1	
2	Preparation of core-crosslinked linear-dendritic copolymer micelles with
3	enhanced stability and their application for drug solubilisation
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15	Keywords: Linear-dendritic copolymers, Thiol-ene reaction, Micellisation, Core-crosslinking,
16	Charged aerosol detection, Drug solubilisation.
17	
18	

- 19 Abstract
- 20

21 In this study we explore the preparation of core-crosslinked micelles of linear-dendritic 22 methoxy-poly(ethylene glycol) (MPEG)-co-poly(ester-sulfide) (PES) polymers to improve the 23 stability of such polymeric micelle systems against premature disintegration and drug release. A 24 series of MPEG-PES copolymers were synthesised via stepwise reactions of acetylation and 25 thiol-ene photoreaction. Surface tension measurement showed that the copolymers with ethenyl 26 surface groups could self-associate in dilute aqueous solutions to form micelles. Crosslinking 27 within the micelle cores in the presence of dithioerythritol (DTT) linker was initiated under UV 28 radiation. The formation of core-crosslinked micelles was confirmed by HPLC in combination 29 with charged aerosol detection (CAD). The copolymers were found to readily hydrolyse under 30 acidic conditions due to the ester-containing dendrons. Drug solubilisation capacities of the 31 micellar solutions were determined using griseofulvin as a poorly water-soluble model drug. The 32 solubility of griseofulvin showed a 10-fold enhancement in 1% w/v micelle solution and 33 increased with the concentration of the copolymers. Drug release studies indicated that a more 34 sustained release of griseofulvin was achieved for the core-crosslinked micelles compared to the 35 non-crosslinked micelles, attributable to greater stability of the crosslinked core structure. The 36 findings of this study present a new pathway towards developing biodegradable polymeric 37 nanocarriers. 38

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- 40

## 41 **1. Introduction**

42

43 It is known that more than half of potentially useful drug candidates fail to progress to 44 formulation development due to their low solubility in physiological aqueous environment. The 45 poor solubility results in limited gastrointestinal absorption and poor bioavailability. Numerous 46 methods have been investigated in recent decades to improve the water solubility of lipophilic 47 drugs, e.g. control of pH, chemical or physical modification, conjugation with polymeric carriers, 48 and encapsulation in nanoparticles/micelles (Torchilin, 2001; Williams et al., 2013). Polymeric 49 micelles, which can enhance the solubility of drugs by encapsulating drug molecules within the 50 micelle core, have been investigated extensively for pharmaceutical applications (Kwon, 2003; 51 Adams et al., 2003; Attwood et al., 2007). The hydrophobic micelle core provides a suitable 52 domain for the incorporation of a lipophilic drug. The stability and bioavailability of the drug 53 encapsulated is improved due to the unfavoured access to solvents and inert nature of the micelle 54 core. The hydrophilic corona can reduce nonspecific uptake by the reticuloendothelial system 55 and prolong the circulation time of drugs in the body (Gaucher et al., 2010). 56 Although polymeric micelles are thermodynamically stable, dissociation of the micelles as 57 a result of dilution in biological fluids or under solvent changes is still a concern, leading to 58 premature release of drug. Numerous attempts have been made to improve the stability of 59 polymeric micelle systems. Among the most promising strategies is the introduction of a 60 crosslinking structure by covalently connecting polymer chains in the micelle (O'Reilly et al., 61 2006; Read and Armes, 2007). The monomers with functional groups are designed and utilised 62 to synthesise a specific block of amphiphilic block copolymer. After micellisation the functional 63 groups undergo crosslinking and thus provide reinforcement to the micellar structure. Various

64	methods have been developed to facilitate the crosslinking of block copolymers (Nostrum, 2011),
65	e.g. free radical polymerisation (Hu et al., 2009; Wu et al., 2012), addition of bifunctional
66	reagents (Liu et al., 2002; Yue et al., 2012), photochemical reaction (Kim and Youk, 2009;
67	Huang et al., 2016), and disulfide reduction (Li et al, 2015). Zhong and co-workers developed
68	core-crosslinked biodegradable micelles based on poly(ethylene glycol)-poly(2,4,6-
69	trimethoxybenzylidene-pentaerythritol carbonate-co-pyridyl disulfide carbonate) [PEG-
70	P(TMBPEC-co-PDSC)] copolymers (Chen et al., 2015). The hydrophobic core-forming PDSC
71	units contained disulfide bonds that readily crosslinked under the presence of dithioerythritol by
72	the thiol-disulfide exchange reaction. In vitro release studies showed that sustained release was
73	achieved for the crosslinked micelles under physiological conditions with ca. 19.9% of
74	doxorubicin (DOX) released in 24 h. The release of DOX was accelerated in acidic solutions or
75	in the presence of the biological reducing agent glutathione. The synthesis of amphiphilic
76	$poly (N-acroyloxy succinimide) - b-poly (N-isopropy lacry lamide) - b-poly (\epsilon-caprolactone) \ triblock$
77	copolymer was reported by Zhang and co-workers using reversible addition fragmentation chain
78	transfer and ring-opening polymerisation (Quan et al., 2011). The hydrophilic poly(N-
79	acroyloxysuccinimide) blocks containing reactive NHS ester groups formed the micelle corona
80	and crosslinked via reaction with cystamine, a bifunctional linker. It was found that media
81	change had no impact on the micelle shape due to the shell-crosslinking. Xiong et al. designed
82	and synthesised the poly (ethylene glycol)-b-poly(acryloyl carbonate)-b-poly(D,L-lactide) (PEG-
83	PAC-PLA) and folate-PEG-PLA block copolymers by sequential ring-opening polymerisation
84	(Xiong et al., 2011). The copolymers formed mixed micelles with a hydrophobic PLA core, a
85	hydrophilic PEG corona and an interfacial PAC layer. The acrylic side groups of the PAC blocks
86	underwent radical polymerisation under UV radiation and thus formed a crosslinked structure

between the micelle core and shell. The crosslinked micelles demonstrated enhanced colloidal
stability and smaller size than non-crosslinked micelles. High drug loading efficiencies and
sustained release of paclitaxel were obtained in dilute micellar solutions.

90 Dendrimers are a class of hyperbranched macromolecules with a high degree of uniformity 91 and monodispersity, and multiple surface functional groups (Esfand and Tomalia, 2001; 92 D'Emanuele and Attwood, 2005). Drug molecules can be encapsulated within the dendritic 93 structure or covalently attached to the surface functional groups (D'Emanuele et al., 2013). 94 Linear-dendritic block copolymers, comprising a dendrimer or dendron conjugated to a linear 95 polymer chain, have attracted considerable attention for their applications in drug solubilisation 96 and delivery in the last two decades (Whitton and Gillies, 2015). Gitsov and Fréchet first 97 explored the synthesis of poly(ethylene glycol) (PEG)-dendritic poly(benzyl ether) copolymers 98 (Gitsov and Fréchet, 1993). It was found that the micellisation behavior was dependent on 99 concentration and dendrimer generation. In our earlier study we synthesised triblock linear-100 dendritic-linear copolymers comprising two poly(oxybutylene)–b–poly(oxyethylene) (BE) 101 copolymers conjugated to a full generation PAMAM dendrimer (Zhou et al., 2009). Significant 102 solubility enhancement of paclitaxel was achieved in dilute micellar solutions of the copolymers 103 (Zhou et al., 2013). Recently, core crosslinking within the linear-dendritic copolymer micelles 104 has been exploited by several groups to prepare stimuli-responsive micelle systems and enhance 105 their stability. Lam and co-workers synthesised linear-dendritic copolymers comprising a 106 hydrophilic PEG and a thiolated poly(L-Lysine) dendron surface functioned with cholic acids (Li 107 et al., 2011). The thiol groups in the dendrons were then oxidised to form disulfide linkage in the 108 micelle core. The core-crosslinked micelles had improved stability in human plasma and in 109 sodium dodecyl sulfate solution. The release study showed that the release of paclitaxel from the

crosslinked micelles was more sustained but accelerated in the presence of glutathione due to the 110 111 reduction of disulfide bonds. Chen and co-workers have designed and prepared liner-dendritic 112 copolymers consisted of PEG and a PAMAM dendron (Zhang et al., 2014). The surface amino 113 groups of the PAMAM dendron were then partially conjugated with DOX and lipoic acid. After 114 micellisation the lipoic acid moieties in the micelle core were crosslinked via the thiol-disulfide 115 exchange reaction with DTT in borate buffer. The crosslinked micelles were more stable against 116 dilution and high salt concentration. The release of DOX from the crosslinked micelles was slow 117 under neutral conditions but the release rate was increased in acidic solution and in the presence 118 of glutathione due to hydrolysis and disulfide reduction.

119 Poly(ester-sulfide) dendrimers have been prepared by Hawker and co-workers via a 120 combination of thiol-ene photochemistry and esterification by 4-pentenoic anhydride. Thiol-ene 121 photochemistry has been proved to be efficient for free radical addition of thiol with ethenyl 122 group in the presence of a photo initiator. Sedaghat-Herati and co-workers synthesised linear-123 dendritic copolymers of methoxy-poly(ethylene glycol) (MPEG) and poly(ester-sulfide) (PES) 124 dendron via acrylation by acryloyl chloride and thiol-ene addition with thioglycerol (Fury et al, 125 2009). However the thiol-ene reaction was completed via an ionic mechanism (Michael addition). 126 Recent work from the same group employed thiol-ene photochemistry and esterification with 4-127 pentenoyl chloride to prepare MPEG-PES copolymers (Fury et al., 2013). Up to generation 3.5 128 PES dendron was constructed to the hydroxyl end of MPEG chain. In the present study we 129 explored the core-crosslinking and stability study of MPEG-PES copolymer micelles and 130 investigated their applications for drug solubilisation and sustained release. This work reported 131 the synthesis and characterisation of linear-dendritic MPEG-PES block copolymer via stepwise 132 reactions of acrylation by acryloyl chloride and thiol-ene photochemistry. The core-crosslinked

133	micelles were prepared by thiol-ene free radical addition in the presence of DTT and Irgacure
134	2959 photoinitiator. The formation and stability of the crosslinked micelles were studied using
135	the CAD-HPLC technique. The solubilisation of griseofulvin in the copolymer micellar solutions
136	was investigated and the drug release profiles were determined by UV assay.
137	
138	2. Experimental
139	
140	2.1. Materials
141	
142	Poly(ethylene glycol) methyl ether (MPEG) (MW 5000), acryloyl chloride, triethylene
143	amine (TEA), 1-thioglycerol, anhydrous chloroform, 2,2-dimethoxy-2-phenylacetophenone
144	(DMPA), 1,4- dithioerythritol (DTT), 2-Hydroxy-4'-(2-hydroxyethoxy)-2-methylpropiophenone
145	(Irgacure 2959), Sephadex® LH-20 were purchased from Sigma-Aldrich (UK). Spectra/Por®
146	Dialysis Membrane (MWCO 3,500) was from Spectrum Laboratories Inc. (UK) and Slide-A-
147	Lyzer <sup>®</sup> MINI Dialysis unit (MWCO 2,000) was purchased from Thermo Scientific Inc. NMR
148	grade chloroform-d and deuterium oxide were from Goss Scientific Instruments Ltd.
149	Griseofulvin (97%) was purchased from ACROS Organics UK.
150	
151	2.2. Synthesis and characterisation of MPEG-poly(ester-sulfide) copolymers
152	
153	The MPEG-poly(ester-sulfide) copolymers were synthesised via acrylation and thiol-ene
154	photochemical reaction. In this paper the MPEG-poly(ester-sulfide) copolymers are noted as
155	MPEG-DEN-G <sub>X</sub> (X means dendron generation) for simplicity. Half generation dendrons are

156	terminated with ethenyl groups while full generation dendrons have hydroxyl surface groups.
157	Thiol-ene photochemical reactions were performed by UV cross-linker, UVItec Ltd (365 nm,
158	99.99 J cm <sup>-2</sup> ). The copolymers were characterised by <sup>1</sup> H and <sup>13</sup> C NMR spectroscopy (Bruker
159	Avance 400, Bruker, Coventry, UK).
160	
161	Synthesis of half generation MPEG-DEN (acrylation)
162	
163	1 mmol of MPEG (or MPEG-DEN-OH <sub>x</sub> ) and excess acryloyl chloride (molar ratio of
164	carbonyl chloride : hydroxyl = 1.2:1) was dissolved in 100 ml anhydrous chloroform at 25 $^{\circ}$ C.
165	Triethylene amine (equal moles to acryloyl chloride) in 5 ml chloroform was added drop-wise in
166	the MPEG solution and stirred at 30 $^{\circ}$ C for 48 h. The mixture was dried under vacuum,
167	redissolved in 25 ml chloroform, and then suspended in 500 ml diethyl ether. The precipitant was
168	filtered and dried under vacuum. The crude product was dissolved in chloroform and purified by
169	Sephadex LH-20 column (methanol:chloroform 60:40). Product with a yield of over 70 % was
170	recovered after evaporating the solvent.
171	
172	Synthesis of full generation MPEG-DEN (thiol-ene photoreaction)
173	
174	MPEG-acrylates (or MPEG-DEN-ene <sub>x</sub> ) (1mmol) and DMPA (12.2 mg, 0.1 mmol) were
175	dissolved in a mixed solvent of 50 ml chloroform and 5 ml methanol. Excess 1-thioglycerol
176	(molar ratio of thiol : $acrylate = 2:1$ ) was added to the solution. The solution was irradiated
177	under UV light for 60 min. The mixture was precipitated into 500 ml diethyl ether and then

178 filtered and dried under vacuum. The crude product was redissolved in 30 ml chloroform,

179 washed with brine twice and then dried under vacuum.

180

181 NMR Characterisation:

182 MPEG: <sup>1</sup>H NMR (CDCl<sub>3</sub>): 3.38 (s, CH<sub>3</sub>O–), 3.45–3.95 (m, –OCH<sub>2</sub>CH<sub>2</sub>O–, backbone). <sup>13</sup>C

183 NMR (CDCl<sub>3</sub>): 58.72 (CH<sub>3</sub>O–), 61.29 (–CH<sub>2</sub>CH<sub>2</sub>OH), 70.48 (–OCH<sub>2</sub>CH<sub>2</sub>O–), 71.62

184 (CH<sub>3</sub>O*CH*<sub>2</sub>–), 72.27 (–*CH*<sub>2</sub>CH<sub>2</sub>OH).

- 185
- 186 MPEG-DEN-ene (G0.5, MPEG-acrylate): <sup>1</sup>H NMR (CDCl<sub>3</sub>): 3.38 (s, CH<sub>3</sub>O–), 3.45–3.95
- 187 (m,  $-OCH_2CH_2O-$ ), 4.32 (t,  $-CH_2OCO-$ ), 5.83, 5.86 (dd,  $-CH=CH_2$  cis), 6.12–6.19 (q, -

188 *CH*=CH<sub>2</sub>), 6.41, 6.45 (dd, -CH=*CH*<sub>2</sub> trans). <sup>13</sup>C NMR (CDCl<sub>3</sub>): 58.98 (CH<sub>3</sub>O-), 63.63 (-

189 CH<sub>2</sub>CH<sub>2</sub>OCO–), 69.05 (–CH<sub>2</sub>CH<sub>2</sub>OCO–), 70.50 (–OCH<sub>2</sub>CH<sub>2</sub>O–), 71.88 (CH<sub>3</sub>OCH<sub>2</sub>–), 128.25 (–

190 CO–*CH*=CH<sub>2</sub>), 130.95 (–CO–CH=*CH*<sub>2</sub>), 166.04 (–OCO–).

191

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192 MPEG-DEN-OH<sub>2</sub> (G1): <sup>1</sup>H NMR (CDCl<sub>3</sub>): 2.55–2.75 (m, –OCOCH<sub>2</sub>–, –SCH<sub>2</sub>CH(OH)–),
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193 2.85 (t, -CH<sub>2</sub>CH<sub>2</sub>SCH<sub>2</sub>-), 3.38 (s, CH<sub>3</sub>O-), 3.45-3.95 (m, -OCH<sub>2</sub>CH<sub>2</sub>O-, -CH(OH)-, -CH<sub>2</sub>OH),

194 4.25 (t,  $-CH_2OCO-$ ). <sup>13</sup>C NMR (CDCl<sub>3</sub>): 27.40 ( $-COCH_2CH_2S-$ ), 34.76 ( $-COCH_2CH_2S-$ ),

195 35.53 (-SCH<sub>2</sub>CH<), 59.00 (CH<sub>3</sub>O–), 63.81 (-CH<sub>2</sub>CH<sub>2</sub>OCO–), 65.27 (-CH<sub>2</sub>OH), 69.02 (-

196 *CH*<sub>2</sub>CH<sub>2</sub>OCO–), 70.50 (–O*CH*<sub>2</sub>*CH*<sub>2</sub>O–, –*CH*(OH)–), 71.88 (CH<sub>3</sub>O*CH*<sub>2</sub>–), 171.84 (–OCO–).

197

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198 MPEG-DEN-ene<sub>2</sub> (G1.5): <sup>1</sup>H NMR (CDCl<sub>3</sub>): 2.60–2.90 (m, –OCOCH<sub>2</sub>–, –SCH<sub>2</sub>CH(OH)–,
```

199 –CH<sub>2</sub>CH<sub>2</sub>SCH<sub>2</sub>–), 3.38 (s, CH<sub>3</sub>O–), 3.45–3.95 (m, –OCH<sub>2</sub>CH<sub>2</sub>O–), 4.10–4.50 (m, –CH<sub>2</sub>OCO–),

200 5.10–5.40 (m, >CHOCO–), 5.83–5.90 (m, -CH=CH<sub>2</sub> cis), 6.05–6.19 (m, -CH=CH<sub>2</sub>), 6.35–6.50

(m, -CH=CH<sub>2</sub> trans). <sup>13</sup>C NMR (CDCl<sub>3</sub>): 27.47 (-COCH<sub>2</sub>CH<sub>2</sub>S-), 32.23 (-SCH<sub>2</sub>CH<), 34.58 (-201 202 COCH<sub>2</sub>CH<sub>2</sub>S-),58.95 (CH<sub>3</sub>O-), 63.64 (>CHCH<sub>2</sub>OCOCH=), 63.79 (-CH<sub>2</sub>CH<sub>2</sub>OCO-), 68.97 (-203 CH<sub>2</sub>CH<sub>2</sub>OCO-), 70.50 (-OCH<sub>2</sub>CH<sub>2</sub>O-), 71.88 (CH<sub>3</sub>OCH<sub>2</sub>-), 127.79, 127.91 (-CO-CH=CH<sub>2</sub>), 204 131.45, 131.66 (-CO-CH=CH<sub>2</sub>), 165.16, 165.47 (-OCOCH=CH<sub>2</sub>), 171.48 (-OCOCH<sub>2</sub>-). 205 MPEG-DEN-OH<sub>4</sub> (G2): <sup>1</sup>H NMR (CDCl<sub>3</sub>): 2.50–2.95 (m, –OCOCH<sub>2</sub>–, –SCH<sub>2</sub>CH<, – 206 207 CH<sub>2</sub>CH<sub>2</sub>SCH<sub>2</sub>-), 3.38 (s, CH<sub>3</sub>O-), 3.40-3.95 (m, -OCH<sub>2</sub>CH<sub>2</sub>O-, -CH(OH)-, -CH<sub>2</sub>OH), 4.10-4.50 (m, -CH<sub>2</sub>OCO-), 5.10-5.40 (m, >CHOCO-). <sup>13</sup>C NMR (CDCl<sub>3</sub>): 27.38 (-COCH<sub>2</sub>CH<sub>2</sub>S-), 208 209 32.13 (-SCH<sub>2</sub>CH(O)CH<sub>2</sub>-), 34.54 (-COCH<sub>2</sub>CH<sub>2</sub>S-), 35.42 (-SCH<sub>2</sub>CH(OH)CH<sub>2</sub>-), 58.96 210 (CH<sub>3</sub>O–), 63.82 (>CH*CH*<sub>2</sub>OCO–, -CH<sub>2</sub>*CH*<sub>2</sub>OCO–), 65.20 (-*CH*<sub>2</sub>OH), 68.94 (-*CH*<sub>2</sub>CH<sub>2</sub>OCO–), 211 70.50 (-OCH<sub>2</sub>CH<sub>2</sub>O-, -CH(OH)-), 71.84 (CH<sub>3</sub>OCH<sub>2</sub>-), 171.17, 171.40, 171.62 (-OCOCH<sub>2</sub>-). 212 MPEG-DEN-ene<sub>4</sub> (G2.5): <sup>1</sup>H NMR (CDCl<sub>3</sub>): 2.50–3.00 (m, –OCOCH<sub>2</sub>–, –SCH<sub>2</sub>CH<, – 213 214 CH<sub>2</sub>CH<sub>2</sub>SCH<sub>2</sub>-), 3.38 (s, CH<sub>3</sub>O-), 3.45-3.95 (m, -OCH<sub>2</sub>CH<sub>2</sub>O-), 4.10-4.50 (m, -CH<sub>2</sub>OCO-), 215  $5.10-5.40 \text{ (m, >CHOCO-)}, 5.83-5.90 \text{ (m, -CH=}CH_2 \text{ cis}), 6.05-6.19 \text{ (m, -CH=}CH_2), 6.35-6.50 \text{ (m, -CH=}CH_2), 6.50 \text{ (m, -CH=}CH_2), 6.50 \text{ (m, -CH=}CH_2), 6.50 \text{ (m, -CH=}$ (m, -CH=CH<sub>2</sub> trans). <sup>13</sup>C NMR (CDCl<sub>3</sub>): 27.40 (-COCH<sub>2</sub>CH<sub>2</sub>S-), 32.18 (-SCH<sub>2</sub>CH(O)CH<sub>2</sub>-), 216 217 34.56 (-COCH<sub>2</sub>CH<sub>2</sub>S-), 58.98 (CH<sub>3</sub>O-), 63.65 (>CHCH<sub>2</sub>OCOCH=), 63.81 (>CHCH<sub>2</sub>OCO-, -218 CH<sub>2</sub>CH<sub>2</sub>OCO–), 68.98 (–CH<sub>2</sub>CH<sub>2</sub>OCO–), 70.50 (–OCH<sub>2</sub>CH<sub>2</sub>O–), 71.88 (CH<sub>3</sub>OCH<sub>2</sub>–), 127.78, 219 127.90 (-CO-CH=CH<sub>2</sub>), 131.52, 131.74 (-CO-CH=CH<sub>2</sub>), 165.21, 165.52 (-OCOCH=CH<sub>2</sub>), 220 170.83, 171.10, 171.53 (-OCOCH<sub>2</sub>-). 221

222 Gel permeation chromatography (GPC) was used to characterise the MPEG-DEN

223 copolymers. The GPC system was an Agilent 1260 Infinity with triple detectors and two Agilent

224	PLgel Mixed-D columns. Dichloromethane was used as eluent at a flow rate of 1ml min <sup>-1</sup> . The
225	system was calibrated with Agilent EasiVial PS-M and PS standards ( $M_p$ 217,900).

227 2.3. CMC measurement

228

229 The critical micelle concentrations (CMC) of the MPEG-poly(ester-sulfide) copolymers at 230 20 °C were measured by surface tension measurement using the pendant drop method. An 231 FTA1000 video system (First Ten Ångstroms Inc) was used to visualise drops formed on the tip 232 of a 20-gauge stainless-steel needle (aperture 22, brightness and contrast 50%). The tip width of 233 the needle was measured to perform a calibration of the video camera's magnification. Surface 234 tension of aqueous polymer solution ranging in concentration from 0.0001 to 2 % w/v was 235 calculated via drop-shape analysis; measurements were repeated ten times and the results averaged. The standard deviation of the drop-shape analysis was approximately  $\pm$  0.5 mN m  $^{-1}$ 236 237 and the measurement error was less than 5%. 238 239 2.4. Preparation and stability study of core-crosslinked micelles 240

1g (0.175 mmol) of MPEG-DEN-G2.5 was dissolved in 25 mL of distilled water. 62 mg
(0.4 mmol) of DTT and 4.5 mg (0.02 mmol) of Irgacure 2959 were added in the solution and
stirred at RT for 2 h. The solution was irritated under UV (365nm) for 1 h. The completion of
crosslinking was assessed by <sup>1</sup>H NMR. The solution was dialysised again distilled water
(MWCO 3500) overnight and then lyophilized to recover the core-crosslinked micelles.

246	The crosslinking was investigated by HPLC, using an Agilent 1100 Series HPLC system
247	equipped with a Luna 5 $\mu$ m, C18 column (250 mm x 4.6 mm) (Phenomenex, Cheshire, UK) at
248	40 °C. The mobile phase was MeOH:TFA (0.05% w/v) (80:20), with a flow rate of 1.0 ml min <sup>-1</sup> ,
249	and Corona Plus CAD detection (ESA) with gas pressure of 35 psi.
250	1% w/v solutions of the crosslinked and non-crosslinked copolymers were prepared in
251	phosphate buffer (0.067M, pH 7.4) and hydrochloric acid buffer (0.085M, pH 1.2) and incubated
252	at 37 $^{\circ}$ C for 48 and 24 h respectively. The solutions were diluted 5 times with 80 % methanol
253	and analysed by the HPLC assay described above.
254	
255	2.5 Micellar size
255	
230	
257	Analysis of micelle size distribution of the copolymer solutions before and after
258	crosslinking was conducted using dynamic light scattering (Zetasizer Nano, Malvern Instruments,
259	UK). The polymer solutions (1 $\%$ w/v) were prepared in phosphate buffer (0.067M, pH 7.4) and
260	clarified by filtering through a PVDF filter (Millipore, 0.45 $\mu$ m pore size) into a clean scattering
261	cell.
262	
263	2.6. Drug solubilisation
264	
265	The solubilisation method has been reported previously (Crothers et al., 2005). Briefly,
266	saturated drug-loaded solutions were prepared by suspending excess griseofulvin in 5 ml of 1 %
267	w/v copolymer solutions and stirring at 37 °C for three days. The unsolubilised drug was then
268	filtered (Millipore 0.45 µm). The amount of drug solubilised was determined by LW assay. The
200	intered (immpore, 0.75 µm). The amount of drug solutinised was determined by 0 v assay. The

269	filtrate	was diluted 10 times with methanol, and the UV absorbance was determined at optimum
270	wavele	ength 292 nm (Jenway 7315 spectrophotometer). The absorbance of the polymers at the
271	same c	dilution was also measured and deducted from the result. Calibration with drug alone
272	provid	ed satisfactory Beer's law plots. All measurements were carried out in triplicate and the
273	results	averaged.
274		
275	2.7.	Drug release study
276		
277	F	Release of griseofulvin from the micellar solutions was evaluated using a dialysis technique.
278	Aliquo	ots of 100 $\mu$ l saturated drug-loaded copolymer solutions (1% w/v) were placed into 10
279	Slide-A	A-Lyzer <sup>®</sup> MINI Dialysis units. The dialysis was performed under sink conditions against
280	buffer	solutions in a stirring water bath at 37 °C for 24 h. A dialysis unit was taken out at specific
281	time ir	nterval. The solution was diluted with methanol and the amount of griseofulvin remained
282	in the	unit were measured by the UV assay described above. All measurements were carried out
283	in trip	licate and the results averaged. Statistical analysis of the data was carried out using the
284	Studer	nt's t-test. Probability values of $p < 0.05$ were considered to be statistically significant.
285		
286	3.	Results and discussion
287		
288	3.1.	Synthesis and Characterisation of MPEG- poly(ester-sulfide) copolymers
289		

290 As shown in Scheme 1, a series of linear-dendritic copolymers of MPEG and poly(ester-291 sulfide) dendron were synthesised by stepwise reactions of acrylation and thiol-ene 292 photochemical reaction. Acrylation with acryloyl chloride is efficient to introduce acrylic groups 293 and excess acryloyl chloride was easily removed by evaporation and precipitation. Thiol-ene 294 reaction was classified as a click reaction, which can be performed under mild reaction 295 conditions and has a very high yield. Structures up to generation 2.5 poly(ester-sulfide) dendron 296 was constructed on the hydroxyl end of a linear MPEG by this scheme. 297 The complete conversion of dendritic surface function at each reaction step was confirmed by <sup>1</sup>H and <sup>13</sup>C NMR, which indicates the successful formation of the dendritic structure. 298 Representative <sup>1</sup>H and <sup>13</sup>C NMR spectra of MEPG-DEN copolymers (full generation G2 and half 299 300 generation G2.5) are shown in Fig. 1. Acrylation of MPEG results in the peaks of two methylene 301 groups next to the hydroxyl end to shift from 72.3 ppm to 69.1ppm and from 61.3 ppm to 63.6 ppm in <sup>13</sup>C NMR spectrum, respectively. Three peaks from the acrylic group appear at 128 and 302 303 131 ppm for ethenyl group and 166 ppm for carbonyl group. The acrylic group undergoes free 304 radical addition with thiol group in thiol-ene reaction to form hydroxyl-functioned dendrons. 305 Then the two ethenyl peaks downshifted to 27.4 and 34.7 ppm while the carbonyl peak upshifted 306 to 171.8 ppm. Two new peaks located at 35.5 and 65.3 ppm are from the methylene groups of 307 thioglycerol. The CH peak of thioglycerol is overlapped with the big peak of MPEG backbone. 308 Further acrylation of hydroxyl-terminated dendrons results in the two methylenes of thioglycerol to downshift from 35.5 and 65.3 to 32.2 and 63.6 ppm in <sup>13</sup>C NMR, respectively. The acrylic 309 310 groups showed multiple peaks at 128, 131 and 165 ppm due to the slightly different chemical environment when attaching on primary or secondary hydroxyl groups. In <sup>1</sup>H NMR, a group of 311 312 well-separated peaks are shown between 5.8 and 6.5 ppm after acrylation, which are from the

313	protons of the ethenyl groups. After addition with thiol groups, those peaks are completely
314	removed, indicative of the full conversion of acrylic groups to hydroxyl functional groups.
315	The MPEG-DEN-G2.5 copolymer was characterised by GPC to determine the molecular
316	weight. As shown in the chromatogram (Fig. 2), the retention time of MPEG-DEN-G2.5 is lower
317	than that of free MPEG, which indicates an increase in the molecular weight. The molecular
318	weight obtained via calibration is 5510 g mol <sup>-1</sup> , which is smaller than the value calculated from
319	NMR (5702 g mol <sup>-1</sup> ). This discrepancy is thought to be due to the more compact dendritic
320	structure and thus smaller size compared to linear polymers with a similar molecular weight.
321	

## 322 3.2. Critical Micelle Concentration

323

324 The half generation MPEG-DEN copolymers, comprising a hydrophilic PEG chain and a 325 hydrophobic dendron with ethenyl surface groups, are able to micellise in aqueous solution. The 326 drop-shape analysis method was employed to determine the CMCs of the copolymers. The 327 method is sensitive to measure the surface tension at low concentrations and only requires small 328 quantities of sample (Zhou et al., 2013). However, the measurements were performed at room 329 temperature (approx. 20 °C) due to lack of temperature control accessories. 330 The CMC of the MPEG-DEN-G2.5 copolymer determined from inflection points in plots of surface tension versus logarithm concentration (Fig. 3) was  $0.9 \text{ g dm}^{-3}$ . Fury et al. reported the 331

332 CMC measurement of MPEG-poly(ester-sulfide) copolymer (synthesised using pentenoyl

333 chloride) (Fury et al., 2013). A lower CMC value (7.5 mg dm<sup>-3</sup>) was found for the G3.5

334 copolymer with 8 ethenyl groups. This is probably due to the longer alkenyl building units and

doubled hydrophobic surface groups. In this paper the micellar properties and solubilisation

characteristics of the copolymers were measured in 1% w/v (10 g dm<sup>-3</sup>) aqueous solution. It was 336 337 assumed that micellisation is complete at the concentration and temperature. The surface tension 338 measurement of full generation MPEG-DEN copolymers showed that no CMC was detected at 339 the equivalent concentration range. It indicates that full generation MPEG-DEN copolymers are 340 not able to form micelles due to the hydrophilicity of their hydroxyl surface groups. This is in 341 agreement with the findings reported by Fury et al. (Fury et al, 2013).

342

### 343

#### 3.3. Preparation of core-crosslinked micelles

344

345 As shown in Fig. 4, half generation MPEG-DEN copolymers are amphiphiles that can self-346 associate in dilute aqueous solutions. Hydrophobic poly(ester-sulfide) dendrons form the micelle 347 cores while hydrophilic MPEG chains form the periphery of the micelles. The G2.5 Poly(ester 348 sulphide) dendron has 4 ethenyl surface groups that are suitable for crosslinking via reaction with 349 an appropriate linker. The bithiol linker DTT and photoinitiator Irgacure 2959 were added into a 350 pre-prepared micellar solution of MPEG-DEN-G2.5. DTT and Irgacure 2959 are soluble in a 351 wide range of aqueous and organic solvents. They can be easily dispersed in water and then 352 penetrate into the micelle cores. Thiol-ene photoreaction was initiated by UV radiation and 353 excess DTT was removed by dialysis after crosslinking. The completion of crosslinking was confirmed by <sup>1</sup>H NMR. The peaks from the ethenyl groups (5.8–6.5 ppm) disappeared after 354 355 reaction, which indicates full conversion of the ethenyl groups. 356

The formation of the core-crosslinked micelles was confirmed by HPLC equipped with a 357 CAD detector. Charged aerosol detection (CAD) has been introduced as a powerful technique in 358 combination with HPLC to analyse compounds without strong UV chromophores (Vehovec and Obreza, 2010; Almeling et al., 2012). The HPLC eluent is nebulised with a flow of nitrogen to form droplets. The volatile components and mobile phase are then evaporated to obtain analyte particles. The particles are charged by meeting with a secondary stream that has passed a highvoltage platinum wire. The resulting positively-charged particles are collected and measured by an electrometer. CAD detector is mass-dependent and the response is generated regardless of the spectral and physicochemical properties of analytes.

365 Fig. 5 shows the CAD chromatogram of MPEG, non-crosslinked and crosslinked micelles 366 of MPEG-DEN-G2.5 copolymers. The polymers were analysed by reversed-phase HPLC and 367 separated by the hydrophobic interaction with the stationary phase of the C18 column. MPEG 368 are hydrophilic molecules and eluted fast from the column. MPEG shows a narrow peak due to 369 its low polydispersity and the similar polarity of all the molecules. However the hydrophobic 370 poly(ester-sulfide) dendrons, especially the ethenyl groups, can interact with the stationary phase, 371 which increases the retention time of the linear-dendritic copolymers. The micelles of MPEG-372 DEN-G2.5 copolymer are unstable upon dilution in mobile phase and could disassociate fully or 373 partially during elution in the column. The molecules of MPEG-DEN-G2.5 copolymer exhibit 374 various polarities as the hydrophobic dendrons are conjugated to MPEG molecules with different 375 chain lengths. Hence the MPEG-DEN-G2.5 copolymer was eluted more slowly and separated 376 into fractions. This explains the multiple broad peaks seen in the chromatogram. Compared to 377 the non-crosslinked micelles, the core-crosslinked MPEG-DEN micelles only show a single peak 378 which is very similar to MPEG but relatively broader. The micelles are stable after crosslinking 379 and remain intact during elution. The crosslinked micelles have a relatively uniform structure 380 and the hydrophobic micelle cores are shielded by the MPEG corona. So they demonstrate 381 similar polarity and thus retention time to MPEG.

# 383

## 3.4. Stability of core-crosslinked micelles

384

385 The hydrolysis of the crosslinked and non-crosslinked micelles of MPEG-DEN-G2.5 386 copolymer was investigated in acidic and neutral solutions. As shown in Fig. 6, both the 387 crosslinked and non-crosslinked micelles have good stability at pH 7.4. No degradation was 388 detected for the crosslinked micelles after 2 days. The peaks of the non-crosslinked micelles 389 were reduced slightly due to the dynamic equilibrium of micellisation and relatively direct 390 exposure of the molecules to the solvent. The MPEG-DEN copolymers contain ester bonds and 391 thus more readily hydrolyse in acidic solutions. The dendritic branches could be fully or partially 392 cleaved from the MPEG chains, which results in an increase of the polarity and a decrease of the 393 retention time. Fig. 7 showed that both micelles demonstrated more apparent changes after 394 incubation at pH 1.2 for 24 h. The peak at 2.1 min is from the chloride ions in the buffer. The 395 non-crosslinked micelles showed clear sign of degradation over the elution range. The peaks of 396 the more hydrophobic fractions were greatly reduced and the copolymers were eluted faster due 397 to the increased hydrophilicity. The crosslinked micelles also showed a similar tendency. The 398 peaks became more narrow and the retention times were slightly decreased. Although the 399 evidence of hydrolysis was found by the CAD technique, the quantitative determination of the 400 degradation of the copolymers is not achievable due to lack of calibration standards. As CAD 401 detection is mass-dependent not concentration-dependent, different compounds could be eluted 402 at the same time and produce very close responsive signals in the detector. However the CAD 403 cannot provide any spectral information to identify the compounds. The results of the stability 404 study indicate that the copolymers are relatively stable under neutral conditions. Crosslinking

within the micelle cores could enhance the stability of micelles, limit the access to thesurrounding solutions and retard the hydrolysis of the copolymers.

407

408 3.5. Micellar size

409

410 The MPEG-DEN copolymers are nonionic polymeric surfactants and hence pH will not 411 have an impact on their micellar properties. The micellar properties of the MPEG-DEN 412 copolymers were measured in aqueous phosphate buffer (0.067 M, pH7.4) to assure the stability 413 of the copolymers during the measurement. The effect of the buffer on the micellar properties is 414 negligible because of its low ionic strength. Dynamic light scattering was used to measure the 415 micellar size of the polymers in dilute aqueous solutions. It was found that the hydrodynamic 416 radius of MPEG molecules in 1% w/v buffer solution at 25 °C is approx. 2.5 nm (Fig. 8). In 417 contrast, the size distribution curve for 1% w/v micellar solution of the MPEG-DEN-G2.5 418 copolymer showed a peak at ca. 12.5 nm (radius) taken as evidence of association to form 419 spherical micelles. The size distribution is within the similar range (diameter 10~100 nm) of the 420 MPEG-G3.5 poly(ester-sulfide) copolymer reported by Fury et al. 2013, which shows a larger 421 peak size at  $r \approx 20$  nm due to the larger dendrons with longer alkenyl building units. The core-422 crosslinked MPEG-DEN-G2.5 micelles show a very similar size distribution to the non-423 crosslinked micelles under the equivalent conditions, which indicates that the crosslinking within 424 the micelle cores does not affect the micelle size. 425

426 3.6. Drug solubilisation

428 The amphiphilic MPEG-DEN copolymers can self-associate to form micelles with a 429 dendritic micelle core and hydrophilic MPEG corona. The hydrophobic cores are the favoured 430 domain for encapsulation of poorly water-soluble drugs. Compared to linear molecules the core-431 forming dendritic blocks are not able to closely packed due to steric hindrance and thus could 432 lead to a relatively large core size. The MPEG corona is also a possible site for incorporation of 433 guest molecules. Crothers et al. investigated the solubilisation of griseofulvin in solutions of 434 PEG6000 in excess of the solubility in water (Crothers et al., 2005). The solubilisation capacity 435 of 2 mg of griseofulvin per gram of polymer was obtained for a 10 wt.% PEG solution. The 436 solubilisation of griseofulvin showed a linear increase with the concentration of PEG6000 in 437 solutions. In this work the solubilisation of MPEG-DEN copolymers was measured in 1 % w/v 438 solution. The mass fraction of MPEG5000 in the copolymers is less than 0.87. Thus the volume 439 of PEG micelle corona in solution is rather small. So the contribution of MPEG corona to the 440 solubilisation capacities of the copolymers is negligible.

441 Table 1 shows the solubility of griseofulvin in the buffer solutions of the crosslinked and non-crosslinked MPEG-DEN micelles at 37°C. An approx. 10-fold increase of the solubility was 442 443 found for both copolymer solutions at 1% w/v. The solubility of griseofulvin in phosphate buffer  $(0.01 \text{ mg ml}^{-1})$  was deducted for calculation of the solubilisation capacity (S<sub>cp</sub>, expressed as 444 445 milligram drug per gram of copolymer). The crosslinked micelles show relatively higher 446 solubilisation capacity than the non-crosslinked ones under equivalent conditions. The micelle 447 size measurement indicates that both micelles have very similar hydrodynamic radii and 448 crosslinking has no impact on the size of micelle cores. It is known that micellisation is a 449 thermodynamic equilibrium and thus the drug molecules encapsulated are possible to diffuse out 450 of the micelle cores. However the escape of drug molecules is considered to be hindered by core451 crosslinking that enhances the stability of the micelles. It should be noted that crosslinking can 452 also affect the diffusion of drug into the micelle. Therefore sufficient suspension time was 453 ensured in order to achieve saturated drug-loaded solutions. It was observed that an approximate 454 doubling of solubility of griseofulvin in the copolymer solutions was obtained by increasing 455 solution concentration from 1 to 2 % w/v. The micellisation was considered to be completed 456 under the measurement conditions due to the low CMC of the copolymers. Hence an increase of 457 concentration was expected to increase the number of micelles. Although the solubility increases 458 the concentration, the solubilisation capacities of the copolymers remain the same as the 459 concentration does not affect the micelle size and thus the number of drug molecules 460 incorporated in each micelle.

461

## 462 *3.7. Drug release profiles*

463 The stability study indicates that the MPEG-DEN copolymers have good stability under 464 neutral conditions. Hence the main mechanism of drug release at pH 7.4 is attributed to the 465 diffusion of molecules out of the micelles. Fig. 9 shows the release profiles of griseofulvin from 466 1% w/v buffer solution (pH 7.4) of crosslinked and non-crosslinked MPEG-DEN-G2.5 467 copolymers at 37 °C. The sink condition was ensured by refreshing phosphate buffer during 468 dialysis. A rapid release with approximately 36% and 57% drug within the initial 6 h was seen for crosslinked and non-crosslinked copolymer solutions, respectively. The release curves 469 470 showed that approximately 60% and 85% griseofulvin was released from the copolymer 471 solutions after 24 h of dialysis where a plateau was reached. Drug release from the crosslinked 472 micelles was significantly (p < 0.05) more sustained than from the non-crosslinked micelles, 473 attributable to the crosslinking structure within the micelle cores which may hinder the diffusion 474of drug molecules. To investigate the effect of hydrolysis of copolymers on the drug release, the475release of griseofulvin from 1% w/v crosslinked micellar solution at pH 1.2 was also measured476(Fig. 9). A slightly faster release rate was observed under acidic conditions at the initial stage of477dialysis and a significant (p < 0.05) increase of the amount of drug released (approximately 15%)478was found after 24 h. The acceleration of release is due to the degradation of hyperbranched479micelle cores or cleavage of the MPEG chains from the micelle corona.

480

481 **4. Conclusions** 

482

483 Poly(ester-sulfide) dendrons were successfully constructed on the chain ends of MPEG via 484 a combination of acrylation and thiol-ene photochemical reaction. The surface functionality of 485 dendrons was found to have an impact on the physicochemical properties of the linear-dendritic 486 copolymers, especially their micellisation behavior in aqueous solutions. The half generation 487 MPEG-DEN copolymers with ethenyl groups are able to self-associate to form micelles with a 488 hydrophobic hyperbranched core and MPEG corona. Crosslinking within the micelle cores can 489 be initiated by UV radiation via thiol-ene reaction with dithiol linkers, which can reinforce the 490 micelle structure and enhance their stability. The copolymer micelles show good stability under 491 neutral conditions but readily hydrolyse in acidic solutions due to the cleavage of ester bonds in 492 the dendrons. The micelles of the copolymers demonstrated the capability to increase the 493 solubility of poorly water-soluble drugs by incorporation of the drug in the hydrophobic cores. 494 The release profiles from the copolymer solutions indicated that crosslinked micelles showed 495 more sustained release of griseofulvin than the non-crosslinked micelles attributable to the

496	improved stability and crosslinked core structure. This study explores a new strategy on
497	designing biodegradable crosslinked micelles for drug solubilisation and delivery.
498	
499	Acknowledgements
500	The authors would like to thank Dr Zhuo Yang for assistance with copolymer
501	characterisation. This work was financially supported by the University of Central Lancashire
502	under a science research program.
503	
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591	
592	Figure legends
593	
594	Scheme 1. Synthesis of Methoxy-poly(ethylene glycol)-b-poly(ester-sulfide) dendron
595	copolymers.
596	
597	
598	
599	Fig. 1. <sup>1</sup> H and <sup>13</sup> C NMR spectra of MPEG-DEN-G2.5 (1) and MPEG-DEN-G2 (2). The peaks at
600	52 and 89 ppm in <sup>13</sup> C NMR are the noise peaks generated by the instrument.
601	
602	Fig. 2. GPC chromatogram of MPEG and MPEG-DEN-G2.5 copolymer.
603	
604	Fig. 3. Surface tension versus logarithm concentration (g $dm^{-3}$ ) for MPEG-DEN-G2.5 copolymer
605	at 20 °C.

607	Fig. 4. Schematic illustration of micellisation of half generation MPEG-DEN copolymers and
608	core-crosslinking of the micelles in the presence of DTT.
609	
610	Fig. 5. HPLC chromatogram of MPEG, non-crosslinked and core-crosslinked micelles of
611	MPEG-DEN-G2.5 copolymer detected by CAD.
612	
613	Fig. 6. HPLC chromatograms of non-crosslinked (a) and core-crosslinked micelles (b) of MPEG-
614	DEN-G2.5 copolymer at pH 7.4 for 48 h detected by CAD.
615	
616	Fig. 7. HPLC chromatograms of non-crosslinked (a) and core-crosslinked micelles (b) of MPEG-
617	DEN-G2.5 copolymer at pH 1.2 for 24 h detected by CAD.
618	
619	Fig. 8. Intensity fraction distributions of logarithm hydrodynamic diameter of MPEG ( $\blacksquare$ ) and
620	MPEG-DEN-G2.5 copolymer ( $\Box$ ) in a 1% (w/v) buffer solution at 37 °C.
621	
622	Fig. 9. Drug release profiles from 1% w/v micellar solutions of MPEG-DEN-G2.5 copolymer at
623	37 °C: non-crosslinked micelles at pH 7.4 ( $\bullet$ ), crosslinked micelles at pH 7.4 ( $\bullet$ ) and pH 1.2 ( $\blacksquare$ )
624	(mean $\pm$ SD, n=3). * indicates a significant difference (p < 0.05) from crosslinked micelles at pH
625	7.4.
626	
627	Table 1. Solubility of griseofulvin in copolymer solutions at various concentrations

MPEG-DEN-G2.5	Conc. /%w/v	S /mg ml <sup>-1</sup>	Scp /mg g <sup>-1 a</sup>
Non-crosslinked micelles	1.0	0.101	9.1
Crosslinked micelles	2.0	0.210	9.5
	1.0	0.123	11.3
	2.0	0.242	11.1

629 a. Measurement uncertainty  $\pm 1 \text{ mg g}^{-1}$ .











![](_page_35_Figure_1.jpeg)

![](_page_36_Figure_1.jpeg)

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![](_page_38_Figure_1.jpeg)

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