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1	Infrared spectroscopy coupled with a dispersion model for quantifying the
2	real-time dynamics of kanamycin resistance in artificial microbiota
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21 Abstract

Over-usage of antibiotics leads to the widespread induction of antibiotic resistance 22 genes (ARGs). Developing an approach to allow real-time monitoring and fast 23 prediction of ARGs dynamics in clinical or environmental samples has become an 24 urgent matter. Vibrational spectroscopy is potentially an ideal technique towards the 25 characterization of the microbial composition of microbiota as it is non-destructive, 26 high-throughput and label-free. Herein, we employed attenuated total reflection 27 Fourier-transform infrared (ATR-FTIR) spectroscopy and developed a 28 spectrochemical tool to quantify the static and dynamic composition of kanamycin 29 resistance in artificial microbiota to evaluate microbial antibiotic resistance. Second 30 order differentiation was introduced in identifying the spectral biomarkers, and 31 32 principal component analysis followed by linear discriminant analysis (PCA-LDA) was used for the multivariate analysis of the entire spectral features employed. The 33 34 calculated results of the mathematical dispersion model coupled with PCA-LDA showed high similarity to the designed microbiota structure, with no significant 35 difference (P > 0.05) in the static treatments. Moreover, our model successfully 36 predicted the dynamics of kanamycin resistance within artificial microbiota under 37 kanamycin pressures. This work lends new insights into the potential role of 38 spectrochemical analyses in investigating the existence and trends of antibiotic 39 resistance in microbiota. 40

41

- 42 Keywords Antibiotic resistance, Artificial microbiota, ATR-FTIR spectroscopy,
- 43 Kanamycin, Multivariate analysis, Spectrochemical

45 Introduction

Antibiotics have played a vital role in modern medicine contributing to a considerable 46 reduction in childhood mortality and increasing life expectancy¹. However, the 47 increasing number of fatal infections caused by antibiotic-resistant bacteria is 48 gradually developing into a global threat. The environment has become the primary 49 "sink" for most applied antibiotics and their residues arising from human or animal 50 excretion¹⁻³. Since bacteria with antibiotic resistance genes (ARGs) can tolerate 51 antibiotics, selection pressures from contaminated water or soil will boost the 52 abundance of ARGs in the environment and increase the possibility of their spread 53 through microbial species^{4,5}. Therefore, real-time monitoring and quantification of 54 ARGs or antibiotic-resistant bacteria is urgently required. 55

Besides measuring the concentration of antibiotics via chemical analysis, various 56 biological analytical methods have been used to determine the presence, abundance 57 and diversity of ARGs in the microbiota to capture a "static map" of their existence, 58 e.g., meta-sequence and quantitative polymerase chain reaction (qPCR)^{6,7}. However, 59 genetically identical cells from the same population have stochasticity in gene 60 expression, meaning that there is significant variation in their molecular content and 61 phenotype, even under similar environmental influences. Moreover, bacterial 62 resistance to the antibiotics can also be affected and regulated epigenetically⁸. In 63 combination, these factors provide an opportunity for phenotypic and cell-type 64 diversity regardless of genotype⁹. This questions the reliability of determining ARGs 65 abundance by molecular biological approaches in real-world situations, leading to the 66 necessity of developing a phenotypic assay that depicts in situ dynamics of ARGs or 67 microbial antibiotic resistance in environmental samples. 68

69

- independently as a complete phenotype emerges from both together¹⁰. The
- ⁷¹ spectrochemical analysis is an alternative approach to characterize the phenotypic

It is well accepted that genetic and epigenetic factors cannot be studied

72 features of organisms and has already demonstrated its ability to investigate clinical

samples, as well as to describe and identify bacterial species^{11,12}. Previous studies 73 indicates that spectroscopic techniques are capable of studying phenotypic features, at 74 either population¹³ or single-cell¹⁴ level, such as diagnosing the distinct spectral 75 signatures and metabolomes from isogenic cell lines¹⁵. However, the current 76 techniques have limited application in characterizing ARGs under antibiotic pressures, 77 78 mainly due to the lack of appropriate analytical models and well-trained databases. Recently, some studies using spectroscopic techniques have set out to investigate 79 biological response to environmental stress, like nanomaterials^{16,17} and antimicrobial 80 reagents^{18,19}. The introduction of spectroscopic techniques coupled with a suitable 81 prediction model to characterize microbial composition may bring new insights in 82 detecting the presence or even the dynamics of microbial antibiotic resistance in 83 environmental microbiota in real-time, owing to its non-destructive, high-throughput 84 and label-free character^{20,21}. It also allows for *in situ* spectral measurements, helping 85 in understanding the interactions between microbes and their physical environment. 86

Kanamycin is a subclass of aminoglycoside antibiotics, one of the most widely 87 applied antibiotics in health and molecular $biology^{22}$. Because of the well-established 88 mechanisms of kanamycin resistance and characterized sequence²³, it was selected as 89 the model antibiotic in the present study. Herein, we used attenuated total reflection 90 Fourier-transform infrared (ATR-FTIR) spectroscopy, coupled with the multivariate 91 92 analysis and the dispersion indicator model, to quantify the kanamycin resistance within artificial microbiota and evaluate their phenotypic change associated with 93 kanamycin resistance, from both static and dynamic perspectives. This work raises the 94 potential feasibility of applying spectroscopic techniques to diagnose ARGs 95 phenotypic dynamics in the microbial community in situ. 96

97

98 **Experimental section**

99 Sample preparation

100 The present study included two strains without kanamycin-resistant-gene,

101 *Mycobacterium vanbaalenii* PYR-1 and *Escherichia coli* DH5α, and one

- 102 kanamycin-resistant strain *Acinetobacter baylyi* ADPWH_recA, which has a
- 103 continuously expressed kanamycin resistance gene kan^{R} (from Mini-Tn5/Km²⁴,
- 104 Genbank accession number: U32991.1) inserted into the *recA* gene in the
- 105 chromosome of A. baylyi ADP1²⁵. Before the experiment, they were all cultured in
- 106 Luria-Bertani (LB) broth medium for 24 h at $30\pm 2^{\circ}$ C.

107 The three control groups contained pure *M. vanbaalenii* PYR-1, *E. coli* DH5α

and *A. baylyi* ADPWH_recA, respectively. The artificial microbiotas were prepared

109 for both static (M1 to M5) and dynamic (AM1 and AM2) experiments by gently

mixing the cells in the compositions listed in Table 1. The optical density at 600 nm

111 (OD_{600}) in each treatment was monitored continuously for 24 h by a multimode plate

reader (FLUOstar Omega, Germany) to evaluate bacterial growth. For static tests, the

cells were directly collected by centrifugation (4000 rpm for 5 min), washed three

times with sterile deionized water to remove the residues of growth media and then

suspended in 70% ethanol to fix the bacterial cells. For dynamic tests, all the artificial

microbiotas were treated with kanamycin (final concentration 10 mg/L). After

exposure for 4, 8, 12 or 24 h, the cells from microbiotas were harvested following the

118 same procedure as above.

119

Table 1. The compositions of artificial microbiotas (volume ratio, v:v:v).

	Control			Static test					Dynamic test	
Treatments	M. vanbaalenii	E. coli	A. baylyi	M ₁	M_2	M ₃	M ₄	M ₅	AM ₁	AM ₂
M. vanbaalenii	100%	-	-	40%	30%	30%	15%	5%	40%	25%
E. coli	-	100%	-	50%	45%	20%	10%	5%	40%	25%
A. baylyi	-	-	100%	10%	25%	50%	75%	90%	20%	50%

121

122 ATR-FTIR spectroscopy

123 The washed cell pellets (minimal amount $>5 \mu$ L) were applied onto Low-E slides for

the interrogation by ATR-FTIR spectroscopy. A TENSOR 27 FTIR spectrometer

125 (Bruker Optics Ltd., UK) equipped with a Helios ATR attachment (containing a

- diamond internal IRE; incidence angle of the IR beam: 45°) was used. Instrument
- 127 parameters were set at 32 scans and spatial resolution of 8 cm⁻¹. Before the
- measurement of a new sample, the crystal was cleaned with deionized water and
- background readings were retaken. A total of 30 spectra were randomly acquired for
- each treatment (3 replicates).
- 131 *Computational analysis*
- 132The primary analysis methods employed in this study involved multivariate analysis
- and the dispersion indicator model. The initial data generated from ATR-FTIR
- spectroscopy were analyzed within MATLAB R2011a (*TheMathsWorks, Natick, MA,*
- 135 USA) software, coupled with IRootLab toolbox $(http://irootlab.googlecode.com)^{26}$.
- 136 Unless otherwise stated, the acquired spectra were cut to the biochemical-cell
- 137 fingerprint region (1800-900 cm⁻¹), rubberband baseline corrected and normalized to
- Amide I (1650 cm^{-1}). Second order differentiation baseline correction and vector
- normalization was also performed as an alternative mean to process the data (the
- 140 number of the filter coefficients of the Savitzky-Golay smoothing/differentiation filter
- 141 was 9). Principal component analysis followed by linear discriminant analysis
- 142 (PCA-LDA) was subsequently applied to the pre-processed data to reduce the number
- of spectra to 10 uncorrelated principal components (PCs), which account for >99% of
- the total variance; LDA is a supervised technique coupled with PCA in order to
- maximize inter-class and minimize intra-class variance²¹. In addition, cluster vector
- approach was conducted to visualise the discriminating difference 21,27 . This method
- takes input from PCA-LDA to create a loadings vector for each category contributing
- to respective data points. The pseudo-spectra allow identifying which variables (or
- 149 wavenumber) are responsible for variance in the data set related to the original
- spectra^{21,27}. The detailed information of the dispersion indicator model was described
- 151 in the Electronic Supplementary Information (ESI).

152 *Biological analysis*

- 153 The copy numbers of total bacterial 16S rRNA and targeted kanamycin resistance
- 154 gene (kan^{R}) were determined by quantitative polymerase chain reaction (qPCR). For
- 155 16S rRNA, the primer pair set was 341F (5'-CCTACGGGNGGCWGCAG-3') and
- 156 805R (5'-GACTACHVGGGTATCTAATCC-3'), and the primer pair for kan^{R} was
- 157 KanF (5'-TGTCATACCACTTGTCCGCC-3') and KanR

158 (5'-ATCGAGCTGTATGCGGAGTG-3'). The 20 μ L qPCR system consisted of 2 μ L

of each primer, 1 μ L DNA template, 5 μ L molecular water and 10 μ L iTaqTM

160 Universal SYBR® Green Supermix (BioRad, USA). The relative abundance of *kanR*

in each pure strain was calculated as the ratio of *kanR* copy numbers to 16S rRNA

162 copy numbers (kanR/16S). The microbial kanamycin resistance within the artificial

163 microbiota was calculated as the ratio of *A. baylyi* population to the total bacterial

164 population.

165 Statistical analysis

166 One-way analysis of variance (ANOVA) with Tukey's post hoc test/or T-test was

167 employed to examine the discriminating differences. All statistical analysis was

carried out in GraphPad Prism 6.

169

170 **Results and Discussion**

171 Growth and kanamycin resistance gene of individual strains

All the three bacterial strains (A. baylyi ADPWH recA, M. vanbaalenii PYR-1 and E. 172 173 *coli* DH5a) had similar growth curves without kanamycin pressure (see ESI Figure S1A). Cultivated in 10 mg/L kanamycin, only A. baylyi ADPWH recA maintained 174 positive growth because of the expression of kan^{R} gene and resistance to kanamycin 175 (see ESI, Figure S1B). Neither M. vanbaalenii PYR-1 nor E. coli DH5a grew 176 post-exposure to 10 mg/L kanamycin. The results of qPCR further confirmed that the 177 high relative abundance of kan^{R} gene (kanR/16S) were only found in A. bavlvi 178 ADPWH recA (0.306 in medium without kanamycin and 0.275 in medium with 10 179 mg/L kanamycin respectively, no significant difference), whereas it was less than 180 0.001 or below the limit of detection for M. vanbaalenii PYR-1 or E. coli DH5a (see 181 ESI, Figure S2). It was further proved that kanamycin resistance gene is only 182 detectable in A. baylyi ADPWH recA, but neither M. vanbaalenii PYR-1 nor E. coli 183 DH5 α , and the latter two cannot tolerate kanamycin pressure. The active group of 184 kanamycin, 2-deoxystreptamine, impairs bacterial protein synthesis through binding 185 to prokaryotic ribosomes 30S subunit 22 . The kan^R encoding neomycin 186 phosphotransferase is an aminoglycoside-modifying enzyme, using ATP as donor to 187 modify the hydroxyl functions of 2-deoxystreptamine and inhibit its binding to 188

ribosomes²⁸. The kan^{R} gene is therefore a reliable molecular indicator in detecting the kanamycin resistance.

191 IR spectral fingerprints of individual strains and microbiotas

The IR spectral fingerprint region (1800 - 900 cm⁻¹) of the three strains and artificial 192 microbiotas are shown in Figure 1. The representative peaks of the biochemical 193 fingerprint include lipids (\sim 1750 cm⁻¹), Amide I (\sim 1650 cm⁻¹), Amide II (\sim 1550 cm⁻¹), 194 Amide III (~1260 cm⁻¹), carbohydrate (~1155 cm⁻¹), asymmetric phosphate stretching 195 vibrations ($v_{as}PO_2$; ~1225 cm⁻¹), symmetric phosphate stretching vibrations (v_sPO_2 ; 196 ~1080 cm⁻¹), glycogen (~1030 cm⁻¹) and protein phosphorylation (~970 cm⁻¹)^{20 21}. 197 Past literatures^{12,20,29,30} suggest the characteristic peaks given by the region can be 198 199 used as biomarkers to characterize microbial cell types (even at subspecies level) and diagnose microbe-induced diseases. 200

However, the visual spectral differences with the mean spectra are almost 201 identical regardless of the bacterial species or community composition. For this 202 reason, we applied the cluster vectors after multivariate analysis (PCA-LDA) and the 203 second order differentiation baseline correction to further reveal the underlying 204 biochemical differences between each strain or microbiota. Based on the derived 205 spectral biomarkers from PCA-LDA (Figure 1B), all the microbiota samples showed 206 marked segregation (see ESI, Table S1). Characteristics associated with microbial 207 composition were observed in particular wavenumber-absorbance intensities. For 208 instance, the intensities at 980 cm⁻¹ and 1740 cm⁻¹ were increased with increasing 209 ratio of ARGs but fluctuated in some artificial microbiotas, particularly for microbiota 210 M3 (M. vanbaalenii PYR-1: E. coli DH5a: A. baylyi ADPWH recA = 211 30%:20%:50%). Additionally, IR spectral analysis (Figure 2A) based on the second 212 order differentiation baseline correction and vector normalization highlighted several 213 key biomarkers. Two apparent shifts from $\sim 1630 \text{ cm}^{-1}$ to $\sim 1640 \text{ cm}^{-1}$ (Amide I) and 214 from ~1222 cm⁻¹ to ~1235 cm⁻¹ ($v_{as}PO_2^{-1}$) associated with A. baylvi were regarded as 215 biomarkers for the presence of kanamycin resistance. These spectral alterations might 216 be attributed to the upregulated activities of the kan^{R} encoding aminoglycoside 217 *O*-phosphotransferase, which contributes to microbial resistance by inactivating 218 kanamycin molecular via catalyzing ATP-dependent phosphorylation of specific 219 aminoglycoside hydroxyl groups³¹. Some other weaker discriminations included the 220

polysaccharide fingerprint region (1000-1150 cm⁻¹) and the protein absorbance region 221 $(1500-1700 \text{ cm}^{-1})^{27}$. These alterations were probably induced by the interference of 222 extracellular polymeric substances (EPS) produced by different species³²⁻³⁴ and 223 resulted in the difficulties in distinguishing biomarkers from the PCA-LDA extracted 224 peaks. Based on the previous studies^{32,35,36}, we speculate that these extracellular 225 materials may interact with each other and generate new biochemical compositions 226 within the communities, influencing the discriminating peaks obtained with 227 spectrochemical interrogation. 228

229



230

Figure 1. (A) Infrared spectra of *A. baylyi*, *M. vanbaalenii*, *E. coli* and five artificial

232 microbiotas (M1-M5). (B) Cluster vector plots after PCA-LDA, indicating significant

wavenumbers for the segregation between bacterial species and artificial microbiotas.



Figure 2. Class means spectra of pre-processed data based on second order
differentiation baseline correction and vector normalization. (A) Processed spectra of

A. baylyi, M. vanbaalenii, E. coli and five artificial microbiotas (M1-M5). (B)

238 Processed spectra of AM1 at different time point in dynamic experiment. (C)

239 Processed spectra of AM1 at different time point in dynamic experiment.

240

234

241 Predicting community composition in artificial microbiotas

Comparing to the IR spectra in the static tests, we observed identical spectral biomarkers in artificial community dynamics (Figure 2B and 2C) that the same shifts from ~1630 cm⁻¹ to ~1640 cm⁻¹ (Amide I) and from ~1222 cm⁻¹ to ~1235 cm⁻¹ $(v_{as}PO_2^{-})$ developed along with the time. The results indicated the consistent spectral biomarkers in both static and dynamic microbiotas in analyzing the phenotypic presence and abundance of kanamycin resistance gene in the targeted microbiota.

The PCA-LDA scores plot (Figure 3A) also illustrates a significant segregation of 248 the different groups, associated with differing microbiota compositions. The control 249 groups (M. vanbaalenii, E. coli, and A. baylyi) are clearly separated from each other. 250 In contrast to *M. vanbaalenii* and *E. coli*, all the converted spectral values of *A. baylyi* 251 are aligned as negative along linear discriminant one (LD1), likely attributed to its 252 kanamycin resistance. Meanwhile, along with linear discriminant two (LD2), the 253 group of *M. vanbaalenii* (Gram-positive bacteria) is located on the negative axis alone, 254 separated from the other two groups (E. coli and A. baylyi), which are Gram-negative. 255

256 The five artificial microbiota samples (M1 to M5) are located inbetween, and their

distances to the control groups are correlated with their community compositions.

258





Figure 3. (A) Two-dimensional (LD1, LD2) scores plot after PCA-LDA of pure microbial strains and artificial microbiotas with different composition. (B) Correlation between kanamycin resistance gene abundance and group distance dispersion (D_I). (C) Comparison of artificial microbiota composition between experimental data and model prediction.

265

In order to predict the composition of artificial microbiota, the dispersion indicator 266 model³⁷ was carried out by transferring the dispersion analysis from the IR spectral 267 variables to the vectors (LD1 and LD2) and using D_1 as the indicator, comparing to 268 the ARGs gene copy numbers quantified by qPCR as reference. This method used the 269 summarized spectral information from PCA-LDA which accounts for over 90% of 270 spectral variations in the present study, and was more conclusive than the limited 271 biomarkers from second order differentiation. Here, microbiotas with less abundance 272 of A. baylyi were further separated from the A. baylyi group, but closer to those of E. 273 *coli* and *M. vanbaalenii*, leading to an increasing D_1 against the decreasing 274 kanamycin resistance (kanamycin resistance genes in A. baylyi). Figure 3B illustrates 275 the negative linear correlation between D_I and the abundance of A. baylyi 276 (kanamycin resistance gene abundance) within the artificial microbiotas (D_I = 277 $-0.8482 \times [kanamycin resistance gene] + 0.7705$). The high coefficient 278 $(R^2=0.9779)$ suggests a good linear regression of D_I against kanamycin resistance. 279 The composition of each microbiota was, therefore, calculated from the D_1 linear 280

regression based on PCA-LDA, as shown in Figure 3C. The results indicated that the predicted microbial compositions had high similarity to their theoretical structure with

no significant differences found (P > 0.05). The standard deviation of microbiota M3

(middle point in Figure 3B) was greater than the others, possibly attributing to their

higher Shannon-Wiener index (1.02) than other microbiotas (0.35 to 0.94 for M1, M2,

- 286 M4 and M5). Shannon-Wiener index represents the diversity of microbial community,
- and higher microbial diversity has been reported to increase complicated
- intracommunity interaction³². It might cause huge variation of microbial chemical
- composition, consequently leading to the difficulties in interrogating spectral
- biomarkers and significant standard deviation in data prediction.

291 Quantification of kanamycin resistance dynamics within microbiota

Figure 4A illustrates the PCA-LDA scores plot of microbiotas post-exposure to 292 kanamycin, derived from the spectral dynamics of the artificial microbiotas (see ESI 293 Figure S3). All the interrogated communities exhibit a dramatic shift from the original 294 location as the exposure time increases. The M. vanbaalenii category moves towards a 295 different direction when compared to A. baylyi and E. coli, which might be attributed 296 to distinct cell structures between Gram-positive (M. vanbaalenii) and Gram-negative 297 bacteria (A. baylyi and E. coli). Specifically, there is only one lipid bilayer in the 298 membrane of Gram-positive bacteria, with a thick ring of peptidoglycan and teichoic 299 acid^{38,39}. On the other hand, the cell membrane of Gram-negative bacteria contains 300 two lipid associated bilayers, which appear to increase the chance that the applied 301 treatments influence their structure^{38,39}. The artificial microbiotas, AM1 and AM2, 302 follow similar trends as the A. baylvi and they come even closer to A. baylvi after 303 extended exposure to the kanamycin antibiotic. After PCA-LDA, the most 304 discriminating peaks were observed in Gram-negative bacteria and were attributed to 305 lipids (~1750 cm⁻¹), $v_{as}PO_2^{-1}$ (~1225 cm⁻¹) and $v_sPO_2^{-1}$ (~1080 cm⁻¹). Kanamycin's 306 antimicrobial mechanism is associated with aminoglycosides, interfering with 307 aminoacyl-tRNA recognition at the ribosomal A site and disrupting protein 308 expression⁴⁰. Such a mechanism causes series of secondary effects, *e.g.*, membrane 309 damage. Our results are consistent with previous findings showing that the damage is 310 mainly linked to a broad range of alterations associated with the elements of 311 membranes, e.g., proteins, supported by derived peaks the protein absorbance region 312 from 1500 to 1700 cm⁻¹, such as Amide II (~1517 cm⁻¹, ~1543 cm⁻¹) and Amide I 313

314 $(\sim 1650 \text{ cm}^{-1}, \sim 1680 \text{ cm}^{-1})^{16,21,41,42}$

Applying the linear D_I regression model, we successfully predicted the dynamic 315 abundance of A. baylyi and kanamycin resistance within the microbiotas under 316 kanamycin antibiotic pressures. Both artificial microbiotas, AM1 (Figure 4B) and 317 AM2 (Figure 4C), had defined community composition at 0 h, with A. baylyi 318 319 (kanamycin resistance gene) accounting for 10% and 40% of the total population, respectively. Post-exposure to kanamycin, the ARGs abundance from qPCR results 320 gradually increased to 85.0% in AM1 and 92.2% in AM2 after 12 h, which is 321 explained by the competitive advantages of bacteria with kanamycin resistance gene 322 in the community⁴³. It therefore led to a faster growth of *A. baylyi* compared to other 323 strains and subsequent dominancy of A. baylyi within the microbiota. From the 324 dynamics of discriminant functions, the predicted ARGs abundance in both 325 microbiotas fitted efficiently with experimental data (Figure 4B and 4C). The linear 326 correlation at each time point did not show significant difference between predicted 327 and experimental ARGs abundance (Figure 4D), with a Pearson correlation 328 coefficient of 0.9487. The prediction via infrared spectroscopy coupled and 329 multivariate analysis fitted the experimental data better at higher ARGs abundance, 330 but was slightly lower than the qPCR results at low ARGs abundance, e.g., 33% in 331 Figure 4D, which might underestimate the ARGs abundance to some extent. These 332 results not only prove that our model can be used for static community composition 333 and abundance/dynamics of kanamycin resistance gene, but they also evaluate the 334 impact of antibiotic pressure on kanamycin resistance gene transfer or dominancy. 335

336



Figure 4. (A) Two-dimensional (LD1, LD2) scores plot after PCA-LDA of IR
dynamics of artificial microbiotas. Dots along with the arrow point in each colour

- refer to the measurement at 0, 4, 8, 12 and 24 h, respectively. The prediction of
- kanamycin resistance gene abundance is based on the dispersion among the
- classification groups in PCA-LDA for artificial microbiotas AM1 (B) and AM2 (C).
- 343 (D) Regression correlation of kanamycin resistance gene abundance between
- experimental data *via* qPCR and model prediction.
- 345

It is worth mentioning that less dispersion is observed for A. bavlvi after exposure 346 because A. baylyi ADPWH recA contains the kan^R kanamycin resistance gene, which 347 is capable of tolerating kanamycin pressure. In the present study, the kan^{R} kanamycin 348 349 resistance gene belongs to *npt* encoding neomycin phosphotransferase and shows high similarity to addA encoding aminoglycoside phosphotransferase (aminoglycoside 350 kinase), which modifies the aminoglycosides by phosphoryl transfer, catalysing the 351 phosphate addition from ATP to 3'-hydroxyl group⁴⁰. By expressing kan^R, A. baylyi 352 ADPWH recA inactivates the interference of protein expression by kanamycin, 353 achieves fast recovery from suppression, and minimizes spectral alterations as 354 compared to others. It is confirmed by the presence of consistent shifts and 355 discriminating biomarkers in A. baylyi postexposure to kanamycin, including Amide I 356 $(\sim 1630 \text{ cm}^{-1}, \sim 1640 \text{ cm}^{-1})$ and $v_{as}PO_{2}^{-1}(\sim 1222 \text{ cm}^{-1}, \sim 1235 \text{ cm}^{-1})^{42}$. 357

An unexpected decline of kanamycin resistance gene was observed for AM2 358 artificial microbiota after 24 h exposure to kanamycin (42%, Figure 4C), but the 359 predicted kanamycin resistance by D_1 regression model remained close to 100%. It 360 might be explained by the dramatically decreasing kanamycin concentration via the 361 metabolism of aminoglycoside modifying enzyme and the change in microbial 362 community structure. The functions of kan^{R} encoding aminoglycoside kinase are 363 stabilizing a metaphosphate transition state and inactivating kanamycin³¹, and the 364 spectral alterations represent the alignment disruption of β -phosphate and γ -phosphate 365 by amide backbone. The declining kanamycin results in less inhibition on bacteria 366 without kanamycin resistance gene (M. vanbaalenii and E. coli), and their growth and 367 regeneration consequently reduce the abundance of A. *baylvi* and kan^{R} gene. 368 Alternatively, the FTIR spectral alteration reflects such phenotypic changes of the 369 whole microbiota under the low kanamycin exposure, illustrating the fact that the 370 majority of microbial cells within the microbiota have the pseudo-resistance to 371

kanamycin. The spectrochemical interrogation therefore actually quantifies themicrobial phenotypic antibiotic resistance rather than the ARGs abundance only.

Infrared spectroscopy has demonstrated the ability to diagnose the phenotypic 374 alteration of the cellular components induced by kanamycin, hinting its potential 375 possibility for the application to other members of the aminoglycoside family. Our 376 findings indicate that this dispersion model coupled with PCA-LDA is a potential 377 approach for monitoring the population dynamics within a microbiota in real-time. 378 Additionally, the model applied in the present study summarizes the whole spectral 379 information derived from the multivariate analysis, rather than only several 380 biomarkers, showing its potential as a universal predicting tool for a broad spectrum 381 of antibiotics based on well-trained databases. Though only successfully applied in 382 383 the case of kanamycin through phosphotransferase resistance pathway, this technique is also feasible for detecting N-acetyltransferases and O-nucleotidyltransferases, 384 which also belong to aminoglycoside-modifying enzymes assisted by 385 acetyl-coenzyme A and ATP respectively²², attributing to their similar anti-kanamycin 386 mechanisms as kan^{R} encoding neomycin phosphotransferase. Future work should 387 refer to more comprehensive range of antibiotics and their mechanisms including 388 penicillin-class (e.g., ampicillin and amoxicillin), which disrupts the synthesis of 389 peptidoglycan layer and inhibits bacterial cell wall synthesis ⁴⁴, and tetracycline, 390 which inhibits the binding of aminoacyl-tRNA and suppresses protein expression⁴⁵. 391 For the urgent need to characterize antibiotic resistance in complex environmental 392 microbiota with spectroscopy, the primary challenges are raised as the lack of routine 393 protocols, reproducible computational analysis, and reliable database¹⁰. Validated in 394 the artificial microbiota, our work provides the solutions for the first two barriers by 395 distinguishing biomarkers representing antibiotic resistance from the numerous 396 biological fingerprints. A well-built dataset along with robust analytical models 397 398 coupled with spectroscopic methods are suggested to address the antibiotic resistance dynamics in real environmental samples. 399

The present study indicates that infrared spectroscopy, in conjunction with multivariate analysis, is a potential tool for diagnosing the phenotypic existence and dynamics of ARGs within microbial communities. Our work employed ATR-FTIR spectroscopy coupled with a dispersion model to quantify microbial kanamycin resistance, based on secondary derivative and PCA-LDA. This method not only

405 quantified the static community composition of the artificial microbiotas but also

- successfully predicted the population dynamics of microbial communities and
- 407 kanamycin resistance under antibiotic pressure. We also suggest that spectroscopic
- techniques have great potential in real-time monitoring of microbiota of interest in
- 409 medical or environmental fields; this would provide an excellent opportunity to
- 410 visualize the vivid phenotypic transformation during a biological and biochemical
- 411 process rather than only intermittent snap-shots.
- 412

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