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The prevalence and influence of psychosocial factors on technical refinement amongst highly-skilled tennis players

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24 Abstract

25 The present study investigated the prevalence and influence of *psychosocial* factors amongst
26 a sample of highly-skilled athletes who had previously attempted to refine their technique.
27 Semi-structured qualitative interviews were conducted with eight tennis players to gain an in-
28 depth understanding of their experiences during the process. Results revealed that
29 participants sought to refine their technique in order to address an ‘attenuated’ movement
30 pattern perceived as causing a competitive disadvantage. Addressing the psychosocial factors
31 of interest here, commitment and confidence were reportedly important concomitants during
32 the refinement process. Upon reflection, participants indicated that taking a break from
33 competition and dedicating more time to the refinement might have increased the likelihood
34 of effective change and performance improvement. Overall, findings indicate that
35 psychosocial factors have a significant influence on players’ ability to successfully enact
36 technical refinement. However, it is suggested that greater consideration towards other
37 motoric factors could also have improved levels of success. In conclusion, while the
38 importance *for* change was understood, there is a need for improved understanding and
39 planning in terms of how a coach might operationalize these factors within training for the
40 competition environment.

41

42 Keywords

43 Commitment, Confidence, Expertise, Five-A Model, Sports coaching.

44 The prevalence and influence of psychosocial factors on technical refinement amongst
45 highly-skilled tennis players

Longitudinal sporting involvement at the highest level is most typically depicted as a nonlinear pathway, beset by challenges that should be identified, prepared for, and then, hopefully, negotiated; usually with varying degrees of success (MacNamara, Button, & Collins, 2010). Indeed, effectively confronting such challenges can be *frustrating* for athletes at any performance level, due to the destabilizing effect they can impart. As such, athletes may benefit from support and guidance from a coach and/or sport psychologist. Exemplar challenges reported within the literature include athletes transitioning between sports (MacNamara & Collins, 2015), returning from injury (Podlog & Dionigi, 2010) and making refinements to already long-practiced and well-established motor skills (Hanin, Korjus, Jouste, & Baxter, 2002). Crucially, scholars identified the deployment of key psychosocial skills (e.g., psychological characteristics of developing excellence or PCDEs) as being essential in facilitating the *transition* through, and optimising benefits from, these disruptive times (MacNamara et al. 2010; Orlick, 1990). It is, therefore, of interest to understand the different contexts in which these skills are utilised and how applied science support might be structured and implemented to optimise the experience through this “rocky road” (Collins & MacNamara, 2012). Therefore, reflecting the current scarcity of research addressing this topic during periods of technical refinement, and recent recognition of its importance within the field of applied sport psychology, the current study focused on exploring the prevalence and influence of psychosocial factors during the refinement process amongst highly-skilled performers (Carson & Collins, 2016).

Exemplifying the high-risk nature of technical refinement, anecdotal reports from highly-skilled performers document the difficulties one may face in completing this task. For instance, Luke Donald, the former world number one ranked golfer attempted to refine his

69 swing in order to improve the chances of winning his first major championship.

70 Unfortunately, this process was unsuccessful and Donald dropped to a world ranking of 96

71 (end of year world ranking of 140 in 2017) subsequently explaining that: “it was a big

72 alteration but I thought I could do it as I’ve always considered myself a fast learner. But I

73 can see how difficult it is to break down 30 years of golfing DNA” (Corrigan, 2014). Indeed,

74 this self-reflection highlights an important distinction between initial *learning* and later

75 *refinement*, suggesting that processes involved in one might not be *directly* applicable to the

76 other (Carson & Collins, 2011). Carson and Collins (2015) recently documented accounts of

77 unsuccessful refinement resulting, in part, from concomitant psychosocial factors including a

78 failure to “buy-in” to the prescribed change. Such empirical evidence suggests that altering

79 well-established motor skills involves a degree of risk given that performers are required to

80 “de-chunk” a proceduralized movement pattern before reautomatizing the movement to be

81 performed subconsciously (Beilock, Carr, MacMahon, & Starkes, 2002).

82 In seeking to address this issue, the Five-A Model of technical refinement has been

83 proposed as an interdisciplinary guide for coaches and support specialists, when working

84 with performers to refine their already long-practiced and well-established motor skills

85 (Carson & Collins, 2011). Using a pragmatic and nonlinear approach, the model synthesises

86 many different evidence-bases into a five-stage framework (for a detailed description of the

87 entire model and its theoretical underpinning see Carson & Collins, 2011, 2016). To provide

88 an overview of these stages, their designed purpose and exemplar references to support the

89 use of each stage, see Table 1.

90

91

Insert Table 1 about here

92

93 Significantly, during the model's formation, these authors identified a number of
94 concomitant *psychosocial* factors (i.e., mental states, psychological characteristics, and
95 aspects of the social environment) that impact upon success. According to these researchers,
96 the psychosocial factors likely to have the greatest bearing on refinement success include an
97 athlete's *involvement, commitment, trust* and *confidence*. For example, involvement in the
98 process may be crucial for establishing athlete buy-in (Kidman & Lombardo, 2010).
99 Previous research revealed that adherence to technical refinement is enhanced when coaches
100 encourage their athletes to help diagnose and plan an appropriate intervention targeting the
101 cause of the inefficient movement pattern (Carson & Collins, 2015). Moreover, buy-in was
102 particularly evident when the performer understood the rationale for training practices and
103 how these were positively different to previously unsuccessful attempts to refine their
104 technique.

105 Commitment is also believed to play a hugely important role in athletic development
106 since it directly influences an athlete's involvement and persistence in a given activity (Weiss
107 & Weiss, 2006). Moreover, commitment has a strong relationship with levels of intrinsic
108 motivation (Zahariadis, Tsorbatzoudis, & Alexandris, 2006) and mental toughness (Clough,
109 Earle, & Sewell, 2002). To illustrate, researchers found that commitment (e.g., leading to
110 perseverance at challenging times) facilitated the successful development of athletes from
111 initial involvement to achieving and maintaining a world-class status (MacNamara et al.,
112 2010). Trust is also important in at least two respects, firstly during the execution of the
113 motor skill to enable higher levels of automaticity and, secondly, within the athlete-coach
114 relationship. The level of trust that the athlete places in his/her coach's ability to oversee the
115 process may influence his/her adherence to the prescribed technical change (see Toner,
116 Nelson, Potrac, Gilbourne, & Marshall, 2012). Closeness (i.e., the emotional tone that
117 coaches and athletes experience and express in describing their athletic relationships) is

118 characterized by mutual trust and this has been found to play an important role in an athlete's
119 development as a performer and a person (Jowett & Cockerill, 2003).

120 Finally, the confidence that athletes possess in their ability to consistently execute the
121 new movement pattern may have an important bearing on the technical change process. High
122 levels of sport confidence are believed to facilitate performance proficiency through their
123 positive effect on athlete's cognitions, affects and behaviours, while low self-confidence is
124 associated with negative effect, defective cognitions and ineffective behaviours (Beaumont,
125 Maynard, & Butt, 2015; Hays, Thomas, Maynard, & Bawden, 2009). Relatedly, athletes'
126 self-efficacy to refine their technique is likely to be influenced by a number of sources of
127 information, including: their mastery or performance experiences (e.g., previous occasions
128 when they have attempted to enact change), their vicarious experiences (e.g., whether anyone
129 in their stable of athletes has successfully refined their technique), any verbal persuasion they
130 may have been subjected to by coaches and their physiological and emotional states
131 (Bandura, 1977). Although the constructs of *trust* and *confidence* bear conceptual
132 similarities, an athlete's trust in their coach assumes that they are confident in his/her
133 qualities (based on the trust giver's expectations of the coach's future behaviours), while
134 confidence in one's ability to successfully refine technique does not imply trust in the coach's
135 ability to oversee the process.

136 Despite the apparent ubiquity of technical refinement within the applied setting,
137 research has yet to explore whether the concomitant *psychosocial* factors identified by the
138 Five-A Model and/or others (resilience), might underpin successful and unsuccessful cases of
139 technical refinement. This is an important issue to address, as equipping athletes with a range
140 of positive psychosocial assets (e.g., realistic performance evaluations, coping with pressure,
141 self-awareness) will assist both their performance and personal development (Abbott &
142 Collins, 2004; Harwood, 2008; MacNamara et al., 2010; Nicholls, Taylor, Carroll, & Perry,

143 2016). Therefore, the principal aim of this exploratory study was to identify the prevalence
144 and influence of these factors by conducting interviews with highly-skilled tennis players
145 who had previously attempted to refine a well-established movement pattern.

146 **Method**

147 *Philosophical orientation*

148 The study was grounded in a post-positivist paradigm (Guba & Lincoln, 2005). This
149 had a number of implications for our study including our ontological (i.e., critical realism)
150 and epistemological stance (i.e., modified dualist/objectivist), our choice of method (i.e.,
151 interviews that were informed by existing literature), data collection (i.e., single interviews),
152 data analysis (e.g., calculating the number of participants who represented each theme),
153 trustworthiness techniques (e.g., peer debriefing) and representation of the findings (i.e.,
154 realist form characterized by experiential authority, the participant's point of view and
155 conveying interpretive omnipotence).

156 *Participants*

157 Six males and two females aged between 19–30 years ($M_{age} = 23.5$, $SD = 4.3$) with
158 experience of attempting to refine their technique within the last 5 years participated in this
159 study. Participants had spent between 1 to–4 years working on the refinement, with all but
160 two athletes training alongside different coaches. Retrospective in-depth interviews are
161 commonly employed by qualitative researchers (e.g., Swann, Crust, Keegan, Piggott, &
162 Hemmings, 2015) and were required in the current context since participants and coaches are
163 often reluctant to discuss the refinement process as it unfolds for fear that this might hinder
164 the athlete's ability to successfully enact change. Researchers have argued, however, that
165 athletes are capable of remembering significant life events a long time after their occurrence
166 (Gould, Finch, & Jackson, 1993). Participants were identified via purposive and snowball
167 sampling. A purposive sample of athletes was sought which entailed those who had

168 competed at an advanced level (i.e., national events and had a Lawn Tennis Association
169 rating of 3.1 or below) at the time of the technical refinement. According to Swann, Moran,
170 and Piggott's (2015) taxonomy of expertise, our sample are representative of semi-elite
171 athletes as they participate just below the top standard possible in their sport (i.e., talent-
172 development programmes). Likewise, they may also be considered as participating along the
173 Elite Referenced Excellence pathway (Collins et al. 2012). Electronic-mail was used to
174 contact potential participants within the United Kingdom. Once initial contact had been
175 made with athletes, we then used snowball sampling; a strategy where further participants are
176 identified from existing participants (Patton, 2002). Ethical approval was granted by the
177 University ethics committee and all participants provided signed informed consent prior to
178 data collection.

179

180

Insert Table 2 about here

181

182 *Procedure*

183 Each participant took part in an in-depth, face-to-face interview. Interview locations
184 and times were selected at the convenience of each participant. The interview guide was
185 informed by the work of scholars in the field of technical refinement (e.g., Carson & Collins,
186 2011) and covered three topics to address the study's aims: (a) *why* the athlete decided to
187 refine their technique and *what* components of technique were refined, (b) the *moderators* of
188 change (i.e., the psychosocial factors that influenced the refinement process) and (c) the
189 participants' *reflections* upon the whole process (what, if anything, they might do differently
190 if they were to go through this process again and, consequently their recommendations for
191 coaches). Accordingly, the interview used a structured and standardized format in order to
192 address time periods pre, during and post refinement. While participants were asked the

193 same questions in the same way, the sequence of questions varied according to the flow of
194 the conversation and follow-up probes were used in order to elaborate (e.g., “Could you
195 please explain that in more detail?”) and clarify (e.g., “What do you mean by that?”) some
196 responses. This approach helped establish rapport and allowed for greater depth of
197 information to be collected. Interviews lasted between 55–95 minutes, were recorded in mp3
198 file format and later transcribed verbatim.

199 *Data Analysis*

200 Following transcription of the interviews, we conducted a content analysis involving
201 three stages to this process (Patton, 2002). First, transcribed interviews were read several
202 times to gain a clear comprehension of the participants’ responses and subjected to line-by-
203 line analysis to identify raw data codes. Second, we used a combination of inductive and
204 deductive approaches to identify meaning units which were subsequently grouped together to
205 form emergent categories (lower-order themes) based on their similarity to each other and
206 distinction from other categories (Patton, 2002). This process was then repeated in order to
207 generate higher-order themes. Next, higher-order themes were organized to form a
208 chronological representation (i.e., from the start to finish) of participants’ experiences of the
209 technical refinement process. As such, higher-order themes were placed deductively into the
210 pre-determined dimensions of pre-change, in-change and post-change evaluation.

211 Comparative analysis was used to identify common themes across participants and, in line
212 with our philosophical stance, a frequency analysis was conducted to illustrate the number of
213 participants representing each theme (see Table 3).

214 *Trustworthiness*

215 We employed both peer debriefing and member checking as a means of enhancing the
216 rigour of the findings. Peer debriefing acts as an external check on the research process while
217 member checking is used to establish the credibility of the findings and interpretations

218 (Creswell, 2007). The first and fourth author started this process by identifying common
219 themes from the transcripts independently and then acted as critical friends (Faulkner &
220 Sparkes, 1999). Here, the authors questioned each other's interpretations, refined emergent
221 themes and ensured that personal experiences or beliefs did not unduly bias the findings.
222 There was a high level of agreement between the authors, with only a small number of minor
223 discrepancies (less than 5% of data codes) requiring adjustment or further rationale. The
224 identified themes were then discussed with and challenged by the second and third author
225 until a consensus was reached. Next, using an approach based on synthesised member
226 checking (see Birt, Scott, Cavers, Campbell, & Walter, 2016), participants were sent their
227 results and asked to confirm whether or not they were an accurate representation of their
228 experiences. No changes were made at this point.

229 **Results**

230 The first section addresses why athletes decided to make a technical refinement and
231 what aspect of their movement they chose to refine; that is, the important considerations
232 occurring prechange. Next, we outline key psychosocial moderators that influenced the
233 extent to which the process was successful or unsuccessful. Finally, we present results
234 relating to the perceived consequences of the technical refinement process, or in other words
235 the "post-process review" (see Table 3).

236 **Pre-change**

237 Across participants, several different technical components were refined. Four
238 players addressed their dynamic forehand movement, two changed their forehand grip, while
239 two sought to change their backhand. Notably, all intended refinements were individually-
240 specific; as would be expected at this high level, after the development of a well-established
241 movement pattern.

242 All participants decided to make a technical refinement to improve their performance
243 by altering what they, or their coach, considered to be an “attenuated” aspect of their
244 movement. These players were aware that a feature of their game (e.g., backhand) was weak
245 and was being targeted by opponents in competitive matches. The coach–athlete dyad
246 reached a mutual decision that a technical refinement was required to address the issue. Six
247 participants were quite explicit about their desire to achieve a world ranking or to compete at
248 a higher level. Take, for example, Mike’s comment that “throughout my whole time as a
249 junior the aim was to try and get to a slam and we felt the changes to my game would get me
250 there”. Others recognized that they had a technical flaw that was likely to hold them back as
251 they moved to a higher ratings band. For example, Matty revealed:

252 I recognized that it was a problem because in matches I was finding it so hard to
253 attack; because I could never be on the front foot . . . I was always making contact
254 with the ball late, so I’d only be able to attack off real easy balls.

255 Similarly, Scott revealed, “basically my backswing was too big and I was getting caught out
256 if someone hit the ball fast at me”.

257

258 Insert Table 3 about here

259

260 **In-Change: Psychosocial Factors that Influenced the Process**

261 **Commitment.** The extent to which participants *committed* to the prescribed
262 refinement had a hugely important bearing on its success. In the following section we discuss
263 four specific factors (i.e., competitiveness, discomfort during competition, regulation of
264 performance expectations, process vs. outcome goals) that influenced whether or not
265 participants remained committed to technical refinement. Although all of the participants
266 indicated that they were fully committed to the new movement in practice, this changed for

267 some during a competitive event. Here, a *competitive* urge to win appeared to override the
268 desire to remain committed to trying the new movement. For example, Scott explained that
269 he:

270 Was sticking to the shape but it's almost the competitive side of you . . . I wanted to
271 win too much to be able to just to stay with it . . . I stuck with the new movement
272 when I hit a top-spin forehand but I wouldn't say that I hit that many of them as I was
273 trying to avoid hitting it.

274 John's competitive instincts led him to revert back to his old movement:

275 My performance was significantly weak for me to go back to the original technique in
276 the first match of a four match tournament . . . I was playing someone who I had
277 preconceived notions that I was going to beat, the fact that I wasn't beating him and
278 that it wasn't feeling good . . . my natural instinct as a competitor and someone who
279 has a fixed mindset and that I have to take care of this particular match, I can't
280 consider losing this match so I have to change back.

281 Both of these players' commitment to the new movement was also influenced by the degree
282 of *discomfort* they felt when first using it in competition. Scott felt that the new movement
283 was:

284 Awful, timing was off, wasn't really going in the court, there wasn't much power . . .
285 my swing got very short, jittery almost and I wouldn't time it great because of that . . .
286 I was just a sitting duck and thought I might as well hit a slice – I might be able to
287 control that, I didn't feel comfortable with it at all.

288 Although Scott initially committed to the new technique, his level of discomfort was such
289 that he ended up making "adjustments like playing around with my grip just trying to find a
290 way to be able to hit it in the court with the new shape because I couldn't go back to the old

291 one". Significantly, although all of the participants found the new movement uncomfortable,
292 not all of them reverted back to their old technique or experimented with different ways of
293 performing the skill. In fact, as we discuss in the following sections, a number of coaches
294 had persuaded their players that there was little point in doing so and convinced them that
295 setbacks (which were characterized by feelings of extreme discomfort) were a natural part of
296 the process.

297 Even though a number of participants struggled to commit to the new movement, four
298 revealed that, despite initial setbacks in competition and the discomfort they experienced,
299 they steadfastly committed to the prescribed refinement. Participants who *regulated their*
300 *performance expectations* by accepting that it could take many months before they could
301 successfully execute the new movement were more likely to commit to it in the long-term
302 than those who thought the change could be made with long-term permanence quickly. Dave
303 drew attention to the important role coaches play in this process when he suggested that "the
304 coaches were saying it's going to take time . . . they re-iterated that to me so I felt under no
305 pressure to quickly change it, I knew it was going to be a long period of time where I really
306 had to focus".

307 In contrast, participants who failed to successfully enact change adopted unrealistic
308 performance expectations; that is, they hoped that the process could be accomplished quite
309 quickly. For example, Paul struggled to execute the new movement (although he eventually
310 did almost 5 years after he started to make the change) because he was thinking of:

311 The time limit . . . I was getting older . . . I knew I was almost on my way out of full-
312 time tennis trying to make it . . . so I was thinking can we get this done as quickly as
313 possible.

314 Commitment was enhanced by coaches who sought to remove pressure from their
315 players by emphasizing that practice and competitive results were not important in the early

316 stages of the change process. Here, the coaches encouraged their athletes to focus on the
317 *process* (i.e., getting the technique right) rather than the *outcome* and this helped them to
318 accept that they were likely to make a large number of errors early on. Dave had a number of
319 conversations with his coach which helped him realize that it was inevitable that he would:

320 Hit a lot of errors but in my head I knew it was better going for it and making the
321 errors than just running around it or hitting a slice and winning . . . because I won a
322 couple of matches where I was like ‘but yeah, you didn’t do the right thing’, so the
323 winning and losing part became secondary, so it was all about the performance goals
324 rather than the outcome goals.

325 Paradoxically, John revealed that his commitment to the new action was negatively
326 influenced by the fact that he was so focused on the outcome of the action:

327 I wasn’t prepared to make even one forehand error . . . I created that mindset for
328 myself where I wasn’t allowed to make mistakes and to fail with it . . . I created a fear
329 of making mistakes and a fear of losing.

330 Encouraging the players to focus on process rather than outcome goals also seems to
331 have enhanced commitment by helping them to cope with anxiety experienced during this
332 process. Mike noted how his coaches reassured him, “if you miss it’s okay, make sure you
333 are doing the right things” and “I bought into that so then the anxiety was taken away because
334 I felt under no pressure to win or lose the match”. In contrast, John, who struggled to make
335 the change, mentioned that if he had worked closely with a coach (he saw coaches
336 intermittently as part of a performance squad) it might have helped him through the process:

337 It was kind of me by myself so to feel that I’d made that breakthrough was a really
338 nice feeling to then having that blown apart in Day 1 and it was difficult not to have
339 someone reassuringly say ‘okay it’s fine, it’s part of a long-term process’.

340 In this case, a lack of psychological support left John “with less motivation to train over
341 subsequent weeks . . . my motivation to commit to the change was lower”.

342 **Confidence.** Participants’ confidence in the process also had an important bearing on
343 their ability to successfully enact change. Participant confidence was influenced by a number
344 of specific factors, including; the belief they had in their coach’s ability, belief in their own
345 ability, competitive setbacks and positive feedback.

346 The belief they had in their coaches’ ability meant that the majority of the participants
347 were highly confident that the prescribed course of action would help them improve their
348 games. In fact, it would seem that coaches had to do very little to get the players’ buy-in for
349 the refinement. Scott recalled when the idea was introduced to him that he felt:

350 Pretty confident, I was just so happy with my tennis at the time and again because of
351 the two people working with me I was like ‘for sure this is going to work . . . it’s not
352 going to effect me’.

353 Similarly, Mike was hugely confident in the process because of his coach’s previous
354 experience: “at the time he was working with some other good players I felt like he’d gone
355 through the process before – the way he delivered it to me”. However, although all of the
356 participants had a great deal of confidence in their coach, some lacked confidence in their
357 *own ability* to make the change. Paul noted that he:

358 Was going down there [to work with a new coach] to make it better . . . is there a
359 perfect result? Every technique is different but I had the goal that I wanted to be
360 happy with it . . . I wanted to be able to repeat it. Did I want a forehand as good as
361 him [his coach]? Yeah but that wasn’t achievable I don’t think.

362 Interestingly, Paul’s apparent lack of confidence in the process appears to have stemmed
363 from his belief that he was, at 18 years of age, quite old to be making such a significant
364 refinement which, if this genuinely *is* the case, raises the question of whether it is worth

365 attempting to make such a significant a change. John echoed similar sentiments when he
366 revealed that he was only “moderately” confident “if I was to put it on a scale I’d say 60%
367 probably . . . I had quite an awareness even at that stage of the science behind muscle
368 memory and those kind of things . . . I knew these things take a lot of time”.

369 Early *setbacks* in competitive events had a considerable impact on a number of the
370 participants’ confidence in the new technique. For example, John explained that:

371 There had been an overall dent in my morale because of the way the tournament went
372 and looking back that would have resulted in my training attitude being low . . . the
373 morale of the change was dented, I kept going with it but with a different morale and
374 motivation towards it . . . it was quite demoralizing really . . . I was thinking it
375 couldn’t have gone any better in practice the day before the tournament and I still
376 couldn’t do it so my confidence in it and my enjoyment of doing it would have been
377 less in subsequent weeks.

378 Scott’s confidence in the technique was also influenced by his initial experiences of using it
379 in competition:

380 I’d be going into a match when there were so many other things going on, different
381 pressures, someone’s trying to find ways to beat you, to pick holes in your game and
382 it wasn’t ready to stand up to that test at that time which maybe shot my confidence in
383 that a little bit and in myself and in my own tennis.

384 These participants felt that setbacks may have arisen because they had spent an insufficient
385 length of time automatizing their new action in practice before it was exposed to competitive
386 pressure. Paul conceded that maybe things were progressed “a bit too quick so I hadn’t built
387 the foundation – so the hand feed I hadn’t really perfected that and we’re trying to rush it
388 because I was still competing in competitions”. Nevertheless, it is important to note that

389 although a number of participants lost a certain amount of confidence in their own ability to
390 bring about the technical refinement, they retained a great deal of confidence in their
391 coaches' ability throughout the process. That is, none of the players thought that they might
392 need to start working with someone else in order to improve performance, or even abort the
393 change process. In fact, a number of players discussed how coaches used *positive feedback*
394 to restore their confidence after they had experienced initial setbacks in competitions. For
395 example, although Matty discussed how “getting battered dented my confidence”, in the
396 following weeks his coach:

397 Spent a lot more time with me on squads . . . spent more time than he would have
398 previously done . . . I kind of always felt he was watching even if he was at the other
399 end of the centre . . . he'd appear from nowhere and tell me to slow it down a bit,
400 speed it up a bit . . . his feedback gave me confidence that I was making progress.

401 After Michelle's new backhand technique was badly exposed in an important competition,
402 her coach told her “not to beat herself up about it” and that “she was making good progress”.
403 This reassurance increased her confidence that she could successfully refine her technique in
404 the long-term. Although Scott initially struggled with the change, he revealed that his
405 relationship with his coach played an important role in helping him to eventually execute the
406 desired movement: “I still respect him an awful lot, I'd started to improve again, he got me
407 through it, they [both coaches] had been really positive and encouraging”.

408 Participants who retained belief in their ability to refine their technique were working
409 with coaches who used a variety of other strategies to deliver positive feedback and develop
410 their confidence in the new technique. For example, as we noted in the previous section,
411 these coaches encouraged their players to focus on process rather than outcome goals. In
412 doing so, a number of coaches used recorded footage to show their players evidence that they
413 were achieving the desired movement positioning. Andrea felt that seeing this made it “clear

414 in my mind what I was doing and what I was aiming for" and that this enhanced her
415 confidence that her action was improving in the desired direction.

416 **Postchange Evaluation**

417 **Performance proficiency.** Although four participants felt that the process had been
418 unsuccessful, four participants declared it as an unqualified success even though each of them
419 spent time struggling to adopt the new movement pattern. For example, Matty revealed that
420 changing his forehand takeback eventually gave him "counter-punching ability . . . the court
421 just felt bigger . . . as soon as it clicked I could recognize different situations and my feet
422 were moving in the right way". For Mike, the new movement meant that he was:

423 Back so quickly I was able to move the racket back and was therefore able to give
424 myself time to get into position and hit a much cleaner ball. I could wait a split
425 second and hit a top spin or I could just go full out and hit flat so there were two
426 things that automatically were better.

427 In contrast, it was more difficult for the remaining four participants to determine
428 whether the process had been successful. Interestingly, although none felt that their overall
429 performance proficiency had regressed as a result of making the changes, three felt that it had
430 taken too long before their new movement produced noticeably improved results.
431 Unfortunately, these participants had reduced their commitment to competitive tennis, owing
432 in part to the slow nature of their progress, to focus largely on coaching instead, by the time
433 that they eventually became comfortable with the new movement.

434 **Dedicate more time to practicing the new technique.** In general, these participants
435 felt that it had taken them a long time to acquire the desired technique due to an insufficient
436 period of time being spent breaking the movement down and practicing it in a repetitive
437 manner before they needed to use it in competition. However, they acknowledged that this
438 was difficult given their tournament schedule at the time. For example, Paul argued that, "if

439 we'd stripped it back even more we probably would have done better. I think we would have
440 done better if we'd hand fed and repeated that thousands of times, but I was 18 and still
441 playing tournaments". While participants may need to increase the amount of time they
442 dedicate to practicing the new technique, some authors have argued that there should be
443 considerable behavioural correspondence between the practice and performance contexts in
444 which the new technique will be used (e.g., as one of several examples, the practice
445 environment presents the performer with functional or relevant action affordances; see
446 Araújo & Davids, 2016).

447 **Remain patient.** These participants also discussed a number of things that they
448 would do differently if they were to go through this process again. Four players spoke about
449 the need to accept that they were engaged in a challenging process that would require them to
450 remain extremely patient when inevitable setbacks arose. For example, John recommended
451 that there should have been an:

452 Environment where it's okay to lose . . . where I said I can have a free swing this
453 tournament . . . I'm going to accept that I can see that this change is making me better
454 . . . for the sake of 4 months down the line playing great tennis I'm going to be
455 prepared to miss forehands this weekend.

456 **Take a break from competition.** Four participants are now full-time coaches and
457 drew on this experience to consider what they would do differently if they were working with
458 a player who they thought required a significant technical change. These participants noted
459 that they would devote more time to helping the player get comfortable with the new
460 movement before exposing it to the rigours of competition. Scott suggested that he was not
461 sure if he should "have played tournaments so soon after making the change" and that a better
462 approach may have been to "just get comfortable with it first before putting it into a match
463 situation under pressure because it was getting torn apart". John expressed similar sentiments

464 when revealing what he would do if he were to coach a skilled player who was considering
465 making a technical change:

466 I'd have to outline the risks and that we're going to need at least a minimum of a
467 week training block and possibly two further weeks without competition where you'll
468 play practice competition. Within that block you'd move from closed to open practice
469 . . . closed points up until eventually playing full practice sets. Again, there's no
470 pressure hitting it in or out, the only pressure is trying to maintain the technical goal
471 and then maybe progress to a rally and then give them a specific shot to start the point
472 off . . . no pressure at all and eventually moving to pressure and maybe put another
473 player on the other side of the net where it's realistic.

474 Insert Table 4 about here

Discussion

The aim of this exploratory study was to identify the prevalence and influence of psychosocial factors amongst a group of high-skilled athletes who had previously attempted to refine their technique. This is the first study to provide a detailed account of tennis players' experiences during the technical refinement process. The findings showed that establishing and retaining athlete's *commitment* and *confidence* in the refinement, were crucial in this regard, therefore justifying their inclusion within the Five-A Model (Carson & Collins, 2011). Unfortunately, a failure to apply, or systematically cater for, these psychosocial factors appeared to contribute to a number of unsuccessful outcomes too. Similar to other highly-skilled athletes (Carson & Collins, 2016), the impact extended beyond skill development possibilities to players' long-term involvement in competitive tennis. This is one of the first studies to provide empirical support for the proposal that skill refinement represents a significant and career defining transition along the performance pathway (Carson & Collins, 2011; Toner & Moran, 2015). It is encouraging to note, however, that four

489 participants felt that the process had been extremely successful and that it had contributed to
490 the improvement of their game. In the following sections we explain why the presence or
491 absence of certain psychosocial factors may have contributed to successful or unsuccessful
492 cases of technical refinement, and provide practical recommendations relating to *how*
493 coaches, psychologists and athletes may apply these psychosocial behaviors.

494 A number of participants found it difficult to commit to technical refinement and
495 either reverted back to their old technique or started to adopt a compromise technique (i.e.,
496 something “in-between” the old and the new movement) when first attempting the new
497 movement in competition. These findings mirror the recent discovery that coaching
498 interventions designed to refine the technique of European Tour golfers often led to a
499 regression back to the original technique and that this was represented by fluctuations
500 between automated and de-automated states (Carson, Collins, & MacNamara, 2013). Our
501 results revealed that players who failed to commit were less likely to have regulated their
502 expectations about the change and that they became frustrated and impatient when they
503 realized the difficulty of this process. In line with findings from the empirical literature
504 (e.g., MacNamara, Button, & Collins, 2010; Zahariadis, Tsorbatzoudis, & Alexandris, 2006),
505 lower levels of commitment appear to have been accompanied by a reduction in intrinsic
506 motivation and a failure to persevere with the chosen refinement. Coaches and psychologists
507 may need to make athletes aware that initial setbacks, and the feelings of discomfort which
508 characterize these events, are inevitable and that they should not be taken as evidence that
509 change is not working, or that the chosen course of action is likely to hinder athlete
510 development in the long-term. In fact, data from longitudinal studies has revealed that
511 successful refinement can take several months and that further improvement may be evident
512 even after 1 and 2 year follow-up tests (Carson & Collins, 2015; Carson, Collins, & Jones,

513 2014). So, despite John's acknowledgement that he needed more time, one or two weeks is
514 probably an unrealistic estimate based on previous studies.

515 A number of the players revealed that early setbacks dented their *confidence* in their
516 ability to execute the new technique. These players felt that they needed more time to
517 become comfortable with the new movement before they were consistently able to deploy it
518 in competition. It was interesting to note that few of the players' coaches seem to have made
519 an effort to secure the new movement during practice (i.e., pressure-proof it) before it was
520 exposed to the psychological rigors of high-level competition (see Table 3 and Kearney,
521 Carson, & Collins, 2018, for similar accounts from athletics coaches). In contrast to the Five-
522 A model guidelines, it seems that players were introduced to the challenge of competitive
523 pressure, both psychological and physiological, too early before the new skill version had
524 been automatized, pressure-proofed and confidence in the execution regained. Pressure-
525 proofing is an important feature of the Assurance stage since it is designed to enhance an
526 athlete's confidence that the new movement is fully established and that it requires no further
527 modifications. In fact, the participants who successfully refined their technique revealed that
528 their coaches used a variety of strategies (e.g., encouraging a process focus) and certain
529 training drills which enhanced their confidence in the new movement execution.

530 It may be that for some players in the current study the process (not the technical
531 modification) was insufficiently understood by and/or sold to them. It is interesting to note
532 that players only recognized the need for a progressive, or systematic, approach during their
533 post-process review. Even then, there was a distinct lack of appreciation toward the need to
534 proactively pressure-proof the skill, as one of several absent features of the Five-A Model.
535 Equally, however, it is probable (based on evidence of coaching knowledge in other sports;
536 cf. Carson et al. 2013; Kearney et al. 2018) that coaches did not have, or understand, a
537 systematic approach that would enable success. Planning prior to enacting change appears to

538 have been uncomprehensive; for example, few players conducted a detailed analysis with
539 their coach whereby the pros and cons of technical refinement, and other alternatives, were
540 evaluated. Indeed, this process needed to include consideration towards the macro-level
541 timing within a competitive season, but no such planning was reported as taking place.

542 Although the interview process devoted some attention to an exploration of the
543 mechanisms which underpinned coaches' attempts to enact change, this was not its primary
544 focus. Future research could devote more attention to this issue by conducting in-depth
545 explorations of the approaches used by coaches in order to facilitate change (e.g., practice
546 schedules). This enquiry seems particularly relevant given recent findings which suggest that
547 coaches and athletes appear unclear about the most effective way of conducting this process
548 (Carson et al., 2013; Kearney et al., 2018). A systematic approach (e.g., the Five-A model)
549 would seem to be justified at the very least. Future research could interview coaches post-
550 training and include a video debrief to better understand and probe their decision making on-
551 action/in-context as they oversee the technical refinement process. Researchers could also
552 explore whether varying practice conditions influences an athlete's ability to successfully
553 adapt to new task demands (i.e., technical refinement; see Carson, Collins, & Richards, 2016)
554 or conduct a phenomenological investigation of the different trajectories that athletes might
555 take as a result of making refinements/changes (e.g., how setbacks experienced at different
556 stages of the process might influence the athlete's decision to remain committed to the
557 refinement or drop out of the sport).

558 To conclude, our results suggest the need for improved planning in terms of how
559 tennis coaches might operationalize these psychosocial factors in a systematic manner within
560 the training environment for competition. Ultimately, the results should prove helpful to
561 coaches and psychologists who wish to understand some of the physical and/or psychological
562 difficulties that athletes may face during the technical refinement process. We suggest that

- 563 development programmes may need to devote greater consideration towards operationalizing
564 these factors within their specific domain in order to optimize the development and
565 performance of skilled athletes.

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- 716

717 Table 1. *Overview of the Five-A Model Stages, Purposes and Exemplar References to Support each Stage.*

Stage	Purpose	Supporting References
Analysis	<p>Provide an individualised diagnosis and prescription to the problem.</p> <p>Consider the pros vs. cons (e.g., to make the change at all? When? How? Refine or regain?).</p> <p>Address the reason for change, including the specific technical aspect.</p> <p>Gain athlete commitment/buy-in.</p>	Armstrong (2001); Magyar & Duda (2000); Martindale & Collins (2005); Prochaska & DiClemente (1992); Theodorakis (1996); Toner et al. (2012); Weston, Greenlees, & Thelwell (2013).
Awareness	Call into consciousness the current technique vs. the desired new technique.	Bar-Eli (1991); Hanin, Malvela, & Hanina (2004); Kostrubiec et al. (2006); Mercado (2008, 2009)
Adjustment	Modify and correct the flaw in technique.	Carson, Collins & Jones (2014); Collins et al. (1999); Hanin et al. (2004); Lang, Kozak, Miller, Levin, & McLean Jr. (1980)
(Re)Automation	Internalise the change to the extent that it is no longer within conscious awareness.	Bortoli, Bertollo, Hanin, & Robazza (2012); MacPherson, Collins & Morriss (2008); Mullen & Hardy (2010); Toner & Moran (2015).
Assurance	Achieve a state whereby the athlete and coach do not require further need for additional modification.	Beaumont, Maynard, & Butt (2015); Carson et al. (2014); Collins et al. (1999); Hanin et al. (2004); Hays, Thomas, Maynard & Bawden (2009); Ross-Stewart & Short (2009); Vealey (2001).

719 Table 2: *Participants and Technical Refinements*

Name (Pseudonym)	Age and rating when refinement was made	Technical refinement
Scott	16 (3.1)	Shorten forehand backswing
John	17 (2.1)	Adopting ‘eastern’ grip on forehand
Mike	15 (3.1)	Shorten backhand backswing
Matty	18 (3.1)	Shorten forehand backswing
Paul	18 (1.2)	Moving from an extreme ‘western’ grip towards a more ‘continental’ grip on forehand
Luke	28 (1.1)	Increase shoulder and body rotation throughforehand impact
Michelle	21 (2.2)	Shorten backhand backswing
Andrea	20 (3.1)	“Square” (i.e., neither open or closed in relation to the target) racket face on forehand

720 Note: For junior and adult players there are 20 rating bands, starting with 10.2, which is the
 721 lowest, progressing to 10.1, 9.2, 9.1 etc. until you reach 1.1, which is the highest rating.

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733 Table 3. *Summary of the prevalence of perceived antecedents, moderators, and process*

734 *evaluation of technical change*

Lower order themes	Higher order themes	Dimensions
Competitiveness (6) Attenuated movement pattern (8)	Continuous improvement	Prechange
Discomfort during Competition (8) Regulating performance Expectations (6) Process versus outcome goals (5) Competitiveness (4)	Commitment	In-change
Belief in coach's ability (7) Own ability (6) Competitive setbacks (6) Positive feedback (5)	Confidence	
Performance proficiency (8) Dedicate more time to practicing the new technique (6) Remain patient (5) Break from competition (4)		Postchange

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736 Table 4. *A comparison of recommended psychosocial practices by the Five-A Model against those actually reported by participants.*

Psychosocial Factors	Five-A Model Stages				
	Analysis	Awareness	Adjustment	(Re)automation	Assurance
Five-A Model exemplars	Consider the pros vs. cons (e.g., to make the change at all? What? When? How? Why?). Gain buy-in/trust. Establish realistic expectations. Sell the process to important stakeholders.	Continuous personal support via discussion aided by video, goal-setting and monitored through self-reported confidence levels.	Coach and video feedback to enhance confidence, acceptance and commitment. Work on unaffected skills to maintain progress.	Use of imagery scripts and self-set goals to sell progress to the athlete. Practice in context to enhance understanding. Reduced coach involvement to increase athlete independence.	Provide proof that movement is robust in order to maintain and build confidence. Discuss and implement varied game plans in preparation to compete (i.e., tactics/playing style).
Study examples of adherence	Discussing the efficacy of various techniques.	Personal support via coach discussion aided by video.	Use of video to reinforce progression towards the new technique.	Use of practice activities to develop confidence.	No examples evident.
Study examples of inconstancy	Insufficient planning and detailed analysis and athlete input.	Goal-setting against realistic but challenging targets.	Monitoring goals to maintain progress.	Failure to sell progress to the athlete.	No attempt to “pressure-proof” the new movement.

737 Note: Examples listed do not reflect a systematic application by coaches nor do they reflect the practices reported by every participant.