



## Article

# Does the Addition of Non-Approved Inclusion and Exclusion Criteria for rtPA Impact Treatment Rates? Findings in Australia, the UK, and the USA

Watkins, Caroline Leigh, Lightbody, Catherine Elizabeth, Craig, Louise, Middleton, Sandy, Hamilton, Helen, Cudlip, Fern, Swatzell, Victoria, Alexandrov, Andrei, Philip, Sheeba, Cadilhac, Dominique, McInnes, Elizabeth, Dale, Simeon and Alexandrov, Anne

Available at <http://clock.uclan.ac.uk/24195/>

*Watkins, Caroline Leigh ORCID: 0000-0002-9403-3772, Lightbody, Catherine Elizabeth ORCID: 0000-0001-5016-3471, Craig, Louise, Middleton, Sandy, Hamilton, Helen, Cudlip, Fern, Swatzell, Victoria, Alexandrov, Andrei, Philip, Sheeba et al (2019) Does the Addition of Non-Approved Inclusion and Exclusion Criteria for rtPA Impact Treatment Rates? Findings in Australia, the UK, and the USA. Interventional Neurology, 8 (1). ISSN 1664-9737*

It is advisable to refer to the publisher's version if you intend to cite from the work.

For more information about UCLan's research in this area go to <http://www.uclan.ac.uk/researchgroups/> and search for <name of research Group>.

For information about Research generally at UCLan please go to <http://www.uclan.ac.uk/research/>

All outputs in CLoK are protected by Intellectual Property Rights law, including Copyright law. Copyright, IPR and Moral Rights for the works on this site are retained by the individual authors and/or other copyright owners. Terms and conditions for use of this material are defined in the [policies](#) page.

1 **Do the Addition of Non-~~Licence~~Approved Inclusion and Exclusion Criteria for**  
2 **rtPA Impact Treatment Rates? Findings in Australia, the United Kingdom and**  
3 **the United States of America**

4  
5 **Dr Louise E. Craig, PhD**

6 Senior Research Fellow, Nursing Research Institute, St Vincent's Health AUS (Sydney) and Australian  
7 Catholic University, NSW, Australia.

8 [Louise.Craig@acu.edu.au](mailto:Louise.Craig@acu.edu.au)  
9

10  
11 **Professor Sandy Middleton, PhD**

12 Director, Nursing Research Institute, St Vincent's Health AUS (Sydney) and Australian Catholic  
13 University, NSW, Australia.

14 ORCID ID: 0000-0002-7201-4394

15 [Sandy.Middleton@acu.edu.au](mailto:Sandy.Middleton@acu.edu.au)  
16  
17

18 **Helen Hamilton, BSc (Hons)**

19 Research Assistant, Nursing Research Institute, St Vincent's Health AUS (Sydney) and Australian  
20 Catholic University, NSW, Australia.

21 ORCID ID: 0000-0002-4090-0949

22 [Helen.Hamilton@acu.edu.au](mailto:Helen.Hamilton@acu.edu.au)  
23  
24

25 **Fern Cudlip, MSN**

26 Stroke Coordinator & Nurse Practitioner, Stroke Team, Good Samaritan Comprehensive Stroke  
27 Center, San Jose, California USA

28 [fcudlipfnp@hotmail.com](mailto:fcudlipfnp@hotmail.com)  
29  
30

31 **Dr Victoria Swatzell, DNP**

32 Nurse Practitioner, Mobile Stroke Unit, University of Tennessee Health Science Center at Memphis,  
33 USA.

34 [vswatzell@yahoo.com](mailto:vswatzell@yahoo.com)  
35  
36

37 **Professor Andrei V. Alexandrov, MD**

38 Professor & Chairman, Department of Neurology, University of Tennessee Health Science Center at  
39 Memphis, USA.

40 [aalexa30@uthsc.edu](mailto:aalexa30@uthsc.edu)  
41  
42

43 **Dr Elizabeth Lightbody, PhD**

44 Reader, College of Health and Wellbeing, Brook Building, BB419, University of Central Lancashire  
45 Preston PR1 2HE, UK.

46 [CELightbody@uclan.ac.uk](mailto:CELightbody@uclan.ac.uk)  
47  
48

49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65  
66  
67  
68  
69  
70  
71  
72  
73  
74  
75  
76  
77  
78  
79  
80  
81  
82  
83  
84  
85  
86  
87  
88  
89  
90  
91  
92  
93  
94  
95  
96  
97  
98

**Professor Dame Caroline Watkins, PhD**

Professor of stroke and older people's care, Faculty of Health and Wellbeing, Brook Building, BB419, University of Central Lancashire, Preston PR1 2HE, UK.

[CLWatkins@uclan.ac.uk](mailto:CLWatkins@uclan.ac.uk)

**Sheeba Philip, MSN**

Stroke Nurse Consultant, East Lancashire Hospitals NHS Trust, Blackburn, UK

[sheeba.philip@elht.nhs.uk](mailto:sheeba.philip@elht.nhs.uk)

**Associate Professor Dominique A. Cadilhac, PhD**

Stroke and Ageing Research, School of Clinical Sciences at Monash Health, Monash University, Clayton, VIC, Australia and the Florey Institute of Neuroscience and Mental Health, University of Melbourne, Parkville, VIC, Australia.

ORCID ID 0000-0001-8162-682X

[dominique.cadilhac@monash.edu.au](mailto:dominique.cadilhac@monash.edu.au)

**Associate Professor Elizabeth McInnes, PhD**

Deputy Director, Nursing Research Institute, St Vincent's Health AUS (Sydney) and Australian Catholic University, NSW, Australia.

ORCID ID: 0000-0002-0567-9679

[Liz.McInnes@acu.edu.au](mailto:Liz.McInnes@acu.edu.au)

**Simeon Dale, BA (Hons)**

Clinical Research Fellow, Nursing Research Institute, St Vincent's Health AUS (Sydney) and Australian Catholic University, NSW, Australia.

ORCHID ID: 0000-0003-3611-8740

[Simeon.Dale@acu.edu.au](mailto:Simeon.Dale@acu.edu.au)

**Professor Anne W. Alexandrov, PhD**

Professor & Chief Mobile Stroke Unit Nurse Practitioner, University of Tennessee Health Science Center at Memphis, College of Medicine, Department of Neurology & College of Nursing, USA.

[anne@outcomesmgmt.org](mailto:anne@outcomesmgmt.org)

**Corresponding Author**

Professor Sandy Middleton, PhD

Director, Nursing Research Institute, St Vincent's Health Australia (Sydney) and Australian Catholic University, NSW, Australia.

[Sandy.Middleton@acu.edu.au](mailto:Sandy.Middleton@acu.edu.au)

99 **Abstract**

100  
101  
102  
103  
104  
105  
106  
107  
108  
109  
110  
111  
112  
113  
114  
115  
116  
117  
118  
119  
120  
121  
122  
123  
124  
125

**Background:** Strict criteria for recombinant tissue plasminogen activator (rtPA) eligibility are stipulated on licences for use in ischaemic stroke, however, practitioners may also add non-standard rtPA criteria. We examined eligibility criteria variation in 3 English-speaking countries including use of non-standard criteria, in relation to rtPA treatment rates.

**Methods:** Surveys were mailed to 566 eligible hospitals in Australia (AUS), United Kingdom (UK) and the United States (USA). Criteria were pre-classified as standard (approved indication and contraindications licence) or non-standard (approved licence warning or researcher 'decoy'). Percentage for criterion selection was calculated/compared; linear regression was used to assess the association between use of non-standard criteria and rtPA treatment rates, and to identify factors associated with addition of non-standard criteria.

**Results:** Response rates were 74% AUS, 65% UK, and 68% USA; mean rtPA treatment rates were 8.7% AUS, 12.7% UK and 8.7% USA. Median percentage of non-standard inclusions was 33% (all 3 countries) and included National Institutes of Health Stroke Scale (NIHSS) scores >4, computed tomography (CT) angiography documented occlusion, and favourable CT perfusion. Median percentage of non-standard exclusions was 25% AUS, 28% UK, and 60% USA, and included depressed consciousness, NIHSS>25, and use of antihypertensive infusions. No AUS or UK sites selected 100% of standard exclusions.

**Conclusions:** Non-standard criteria for rtPA eligibility was evident in all three countries and could, in part, explain comparably low use of rtPA. Differences in the use of standard criteria may signify practitioner intolerance for those derived from original efficacy studies that are no longer relevant.

126 **Introduction**

127 Intravenous thrombolysis with recombinant tissue plasminogen activator (rtPA) has been shown to  
128 be safe and effective, and is one of the few evidence based treatments for acute ischaemic stroke.[1-  
129 5] Currently, the percentage of patients with ischaemic stroke receiving rtPA varies globally, with 7%  
130 to 9% treated in the stroke centre certified United States of America (USA) hospitals,[6] 7% in  
131 Australia (AUS)[7] and 13% treated in some European centres.[8] The narrow time frame for  
132 therapeutic administration, which in the United Kingdom (UK) and AUS is within 4.5 hours of  
133 symptom onset and in the USA is within 3 (~~approved indication licence~~) or 4.5 (guidelines) hours, is  
134 one main factor for low treatment rates. However, improved rtPA treatment rates are possible when  
135 internal hospital organisational factors are addressed,[9-12] and when regional stroke systems are  
136 operationalised to support patients with acute stroke.[13-16]

137

138 Eligibility criteria for rtPA are largely derived from clinical trials with the aim of producing similar  
139 beneficial outcomes in routine practice. However, the addition of local or “site-specific” (non-  
140 standard) eligibility criteria may result in otherwise eligible patients not receiving rtPA. There is a  
141 growing evidence base on the additional reasons for low rtPA treatment rates, including the fit  
142 between eligibility criteria and actual patient selection practices.[17-19] In particular, many of the  
143 criteria used in clinical trials may no longer be relevant given that the drug was first approved over  
144 20 years ago.[20-22] Mounting evidence from pooled analyses, observational studies and clinical  
145 trials, some studying an extended time window of ~~4.56~~ hours and practices less adherent with  
146 standard criteria, suggests that rtPA can be delivered safely to patients previously deemed  
147 ineligible.[22-~~3128~~]

148

149 The eligibility criteria for rtPA administration varies between countries.[~~3229-352~~] The European and  
150 Australian guidelines share many similarities, but these differ substantially from the USA guidelines,  
151 and the USA guidelines vary significantly from the drug’s approved indications and contraindications  
152 ~~licence~~. Varying criteria between national drug regulatory bodies, professional organisations, and  
153 individual hospital protocols challenges international consensus on what constitutes patient  
154 eligibility for treatment. There is an urgent need to understand these issues, including the addition  
155 of non-standard criteria for selecting patients eligible for rtPA treatment. The aims of this study were  
156 to: 1) describe the criteria for patient selection for rtPA treatment by country; 2) to determine the  
157 association between the use of non-standard criteria and rtPA treatment rates in three different  
158 countries; and, 3) to identify the organisational factors associated with the addition of non-standard  
159 criteria.

160

161

## 162 **Methods**

163 Ethics approval was obtained from the following institutions for the conduct of this study: Eden  
164 Hospital, Castro Valley California (USA coordinating centre), the University of Central Lancashire (UK  
165 coordinating centre), and the Australian Catholic University (Australian, and overall international  
166 coordinating centre). We undertook a cross-sectional survey of rtPA eligibility and treatment  
167 practices within hospitals in Australia, the UK and the USA that routinely used rtPA for management  
168 of acute stroke patients. The survey was conducted between 2013-2016 and analysed in  
169 2017.

170

### 171 *Hospital selection*

172 All hospitals in AUS and in the UK known to provide rtPA for acute ischaemic stroke were eligible for  
173 the study and were identified via the Stroke Foundation Organisational Survey<sup>[36]</sup>~~33~~ and The  
174 Sentinel Stroke National Audit Programme (SSNAP), respectively. In the USA, stroke centre hospitals  
175 were included based on the following inclusion criteria: 1) nationally certified by The Joint  
176 Commission for a minimum of 12 months at the time of survey mailing; 2) use of an organised acute  
177 stroke team in the approach to emergency diagnosis and treatment; and, 3) formal identification by  
178 policy and procedure of eligibility criteria for rtPA treatment.

179

### 180 *Survey distribution*

181 Within each hospital, one eligible staff member was identified to complete the survey: the Stroke  
182 Unit Co-ordinator in AUS and the USA and the SSNAP lead contact for the Trust in the UK Identified  
183 staff who were approached by mail (AUS and USA) or email (UK) with a letter inviting them to  
184 participate in the survey along with a copy of the questionnaire. Prior to this invitation, an advanced  
185 letter was sent to notify potential participants of the pending survey as a response aiding  
186 strategy.<sup>[37]</sup>~~34~~ Participation was voluntary and consent was implied by completion and return of  
187 the questionnaire. Completed questionnaires were returned via post, fax or completed and returned  
188 electronically. Non-respondents were followed-up by email or phone at six weeks and eight weeks in  
189 AUS and the UK. In the USA follow-up consisted of a second and third mail out at eight and 16 weeks  
190 from the initial mail out date.

191

### 192 *Survey content and development*

193 The survey was originally designed for study in the USA and included both standard criteria for rtPA  
194 use in stroke patients (criteria stipulated by the USA rtPA [approved indications and contraindications](#)  
195 [licence](#) and/or guidelines) and non-standard criteria (i.e. decoys derived from interviews with both  
196 expert users and community neurologists in the USA). This survey was then tailored for use in AUS  
197 and UK by adding criteria specified by the relevant country: i) manufacturer, ii) drug regulatory body,  
198 and iii) stroke clinical guidelines (referred collectively as 'practice recommendations' hereafter). The  
199 Australian and UK version of the survey was pre-tested with a panel of experts (Neurologists, Stroke  
200 Clinicians and Stroke Nurses) to identify any ambiguous questions and to recommend further decoy  
201 criteria. All three versions of the surveys consisted of two main sections; one section listed all the  
202 inclusion criteria, and one section listed all the exclusion criteria. Participants were instructed to  
203 select all of the criteria that were used at their hospital to assess if patients are eligible for rtPA.  
204 Additional space was provided for participants to write in criteria that were not included on the  
205 questionnaire. Information was also collected on organisational factors which included type of  
206 stroke service (tertiary / non-tertiary referral centre), number of beds, number of ischaemic stroke  
207 admissions in the last 12 months, rtPA treatments in the last 12 months, door-to-needle time and  
208 who was involved in the selection and decision-making process for rtPA.

209

## 210 **Data Analysis**

211 Descriptive analyses were used to summarise the self-reported characteristics of the stroke services  
212 by country. Criteria for patient selection for rtPA were pre-classified as either "standard" (an  
213 inclusion or exclusion specified by country practice recommendations) or "non-standard" (warnings  
214 specified by country practice recommendations or decoy criteria developed by the researchers). To  
215 determine criteria being used, the percentage of respondents that selected each criterion was  
216 calculated. For each hospital, the proportion of standard and nonstandard criteria of the total  
217 criteria was calculated. The proportion calculated for each hospital was summarised for each  
218 country and reported as a median percentage. Criteria added by respondents were independently  
219 reviewed by study investigators (LC, HH, AA), and classified to existing groups if meanings were  
220 similar or classified as non-standard criteria if meanings were unique. Treatment rates were  
221 calculated for each hospital using the number of annual rtPA treatments reported, divided by the  
222 number of annual ischemic stroke admissions, multiplied by 100. Independent Student *t*-tests and  
223 one-way analysis of variance (ANOVA) were undertaken to examine the associations between pre-  
224 specified stroke service variables (hospital setting [tertiary/non-tertiary] and door to needle times)  
225 and rtPA treatment rates in each country. Linear regression analyses were conducted for each of  
226 the countries to assess associations between non-standard criteria and rtPA treatment rates. Linear

227 regression models were developed using preselected variables to identify organisational factors  
228 associated with the addition of non-standard criteria in each country. Analyses were conducted with  
229 Stata version 14.

230

## 231 **Results**

232 The response rates per country were 68% (AUS 74% (63/85), UK 65% (93/144) and USA 68%  
233 (229/337). Tertiary hospital staff made up 39% of respondents overall (AUS 46%; UK 53%; USA 29%),  
234 with 38% of AUS respondents and 69% of USA respondents reporting comprehensive stroke centre  
235 (CSC) capabilities (CSC status was not reported on the UK survey) (Supplement Table A). Decision  
236 makers for treatment with rtPA in AUS and the USA were most commonly neurologists (84% and  
237 87%, respectively), whilst the majority of UK respondents selected stroke (usually geriatrician)  
238 physicians (99%). Interestingly, 31% of USA centres would only accept an rtPA order from a  
239 neurologist. Telemedicine was not used in 68% and 39% of AUS and UK respondents respectively  
240 (not collected on USA survey) (Supplement Table A).

241

### 242 ***Differences in rtPA Treatment Rates***

243 Of responding stroke centres, 60 (95%) AUS, 77 (83%) UK, and 184 (80%) USA centres included both  
244 their annual ischaemic stroke patient volumes and their annual rtPA treatment volumes enabling  
245 calculation of rtPA treatment rates. Mean rtPA treatment rate for Australia, UK and USA were 8.7%  
246 (SD=5.8), 12.7% (SD=4.7) and 8.7% (SD=6.4), respectively. Supplement Table B shows differences in  
247 rtPA treatment rates by tertiary care designation and door-to-needle times. Rates for rtPA  
248 treatments were consistently higher for tertiary than non-tertiary hospitals and increased with  
249 shorter door-to-needle time for all three countries, although differences in mean rates were only  
250 significantly different for USA ( $F$  7.64;  $p < 0.001$ ).

### 251 ***Selection of Inclusion Criteria for rtPA Treatment***

252 The median percentage of standard criteria selected by USA (50%; IQR 25) respondents was less  
253 than that selected by AUS (100%; IQR 33) and UK (100%; IQR 0) respondents. The median  
254 percentage of non-standard criteria selected by respondents from all three countries was 33%.

255

256 Table 1 lists standard and non-standard inclusion and exclusion criteria and their rates of selection  
257 by country. The standard USA ~~approved~~ ~~hence~~ inclusion criterion, 'Ability to start rtPA within 3  
258 hours from symptom onset' was selected by almost a quarter of USA respondents. The non-standard  
259 criterion for limiting inclusion to patients with National Institutes of Health Stroke Scale scores  
260 greater than 4 points was selected by about half of respondents from AUS (49%) and the UK (51%),



261 and 35% of USA respondents. The non-standard criterion for a favourable computed tomographic  
262 (CT) perfusion (CTP) scan in patients inside the window for rtPA treatment was selected by 22% of  
263 AUS and 19% of USA respondents, whereas only 11% of UK respondents selected this criterion.  
264 Additionally, 21% and 26% of AUS and USA respondents respectively required evidence of occlusion  
265 on CT angiography (CTA) as an rtPA non-standard inclusion criterion, compared to 16% of UK  
266 respondents.

267

### 268 ***Selection of Exclusion Criteria for rtPA Treatment***

269 The median percentage of standard exclusion criteria selected by USA (82%; IQR 18) respondents  
270 was higher than that selected by AUS (66%; IQR 24) and UK (64%; IQR 25) respondents. The median  
271 percentage of non-standard exclusions selected by USA respondents (60%; IQR 60) was again higher  
272 than that selected by AUS (25%; IQR 19) and UK (28%; IQR 17) respondents.

273

274 There were no respondents within AUS or the UK that selected all their country's standard exclusion  
275 criteria, and all AUS and UK respondents added non-standard exclusion criteria. Both "*NIHSS > 25*"  
276 and "*altered level of consciousness (obtunded, stuporous, or comatose)*" were selected by 62% and  
277 42% of AUS and UK respondents respectively, whereas 31% of USA respondents reported that their  
278 hospital excluded patients with NIHSS > 25, and 7% of USA respondents' hospitals excluded patients  
279 with altered level of consciousness. Additionally, 29%, 24% and 7% of AUS, UK and USA respondents  
280 indicated that their hospital excludes patients from rtPA treatment if they require a continuous IV  
281 infusion of an antihypertensive agent. Patients with large vessel occlusion (LVO) were considered an  
282 exclusion for rtPA treatment by 14% of USA respondents, in favour of endovascular management,  
283 whereas 1.6% and 8.6% of AUS and UK respondents respectively reported that their hospitals  
284 exclude LVO from rtPA treatment in favour of endovascular treatment. Age greater than 80 years  
285 was listed as an exclusion by 13% and 16% of AUS and USA respondents respectively, compared to  
286 only 3% of UK respondents, regardless of whether treating within the 3 or 4.5-hour treatment  
287 window.

288

### 289 ***Relationship of Non-Standard Criteria to rtPA Treatment***

290 As the number of non-standard inclusions and exclusions increased, rtPA treatment rates slightly  
291 decreased in all three countries. As the number of non-standard criteria increased by one the rtPA  
292 rate decreased by 0.48% ( $p=0.05$ ), 0.31% ( $p=0.07$ ) and 0.16% ( $p=0.13$ ) for AUS, UK and the USA,  
293 respectively.

294

295 **Association Between Factors and the Addition of Non-Standard Criteria**

296 Factors significantly associated with the addition of non-standard criteria in the USA were as follows:  
297 non-tertiary hospital setting (-1.72 [95%CI -3.25, -0.20]); p-value=0.03); average door-to-needle time  
298 greater than 60 minutes (3.57 [95%CI -0.38, 6.75]; p-value=0.023) and adherence to 3-hour  
299 treatment window (-2.44 [95%CI -4.30, -0.60]); p-value=0.01). No factors were significantly  
300 associated with the addition of non-standard criteria in AUS or in the UK (Supplement Table C).

301

302 **Discussion**

303 Our study found that clinicians commonly develop and use non-standard criteria for selection of rtPA  
304 eligible patients. Importantly, both AUS and the UK have greater numbers of standard criteria  
305 compared to the USA, yet participants from these countries use more non-standard criteria than in  
306 the USA. The use of non-standard exclusion criteria has been investigated in other studies, however,  
307 the aims of most of these studies were to identify the impact of non-standard eligibility criteria on  
308 early clinical outcomes such as rates of symptomatic intracerebral haemorrhage (sICH).[20-23,3835]  
309 To the best of our knowledge, our study appears to be the only one examining clinicians' formal  
310 protocol additions of non-standard criteria in relation to rtPA treatment rates.

311

312 There were a number of differences in the criteria between countries relating to the use of both  
313 standard and non-standard exclusion criteria. Differences in use of standard criteria between  
314 countries could signify clinical uncertainty, conflicting research evidence, or perhaps an intolerance  
315 for continued use of criteria that supported efficacy studies of rtPA in acute ischemic stroke but may  
316 not be relevant outside a phase III clinical trial. For example, both severe neurologic disability and  
317 blood glucose limits were considered warnings but not contraindications on the former (prior to  
318 February 2015) [396] USA label for rtPA, whereas the Australian and UK labels continue to stipulate  
319 specific limits from which to exclude rtPA treatment. Interestingly, the February 2015 USA Food and  
320 Drug Administration (FDA) rtPA approved label [396] removed severe neurologic disability as a  
321 precaution, based on findings from the original National Institute of Neurological Disorders and  
322 Stroke rtPA Stroke Study that showed significant improvement in severe disability patients treated  
323 with rtPA compared to placebo.[4037] Similarly, the 2015 USA FDA approved label [396] no longer  
324 cites blood glucose values as warnings, as these are easily monitored and managed in both the pre-  
325 hospital and emergency department settings.

326

327 The use of some standard exclusions was fewer than expected in both AUS and the UK. For example,  
328 less than 25% of participants in these countries selected the standard exclusion, *patients with any*

329 *history of prior stroke and concomitant diabetes.* Although the use of rtPA has not been approved in  
330 Europe for these patients, registry studies have shown that while this criterion may have been  
331 important in the ECASS-3 efficacy study,[2] it may not be relevant to real-world practice and does  
332 not jeopardise the safe treatment of patients with rtPA.[4138-4239] While trial methods do provide  
333 a degree of certainty about what results to expect in a similar population, use of approved therapies  
334 in the real world often calls for less exclusivity.[430]

335  
336 It has been recognised internationally that selection criteria may be too restrictive and some have  
337 expressed concerns that the evidence underpinning the need to include certain criteria is not  
338 robust.[20-28,430-452] The 2015 USA FDA labeling requirements for prescription drugs, commonly  
339 referred to as the 'Physician Labelling Rule' (PLR), state 'No implied claims or suggestions of drug use  
340 may be made if there is inadequate evidence of safety or a lack of substantial evidence of  
341 effectiveness,[463] meaning that unless there is high level evidence to support a safety concern, it  
342 should not be considered a contraindication. The USA FDA's PLR requirements significantly reduced  
343 the number of USA exclusion criteria to seven in 2015, with previous stroke, seizure at onset, and  
344 history of intracranial haemorrhage removed; additionally, blood pressure cut off levels, as well as  
345 lab values for bleeding diathesis were also removed in favour of relying on evidence-based  
346 guidelines to set these values.[396] The 2015 USA FDA label also removed precautions for severe  
347 neurologic deficit, major early infarct signs, minor neurologic deficit, and rapidly improving  
348 symptoms.[396] Interestingly, the majority of the USA criteria that were removed, currently remain  
349 on the European and Australian labels, and we believe that this calls for a more thorough evaluation  
350 of whether these criteria are truly valid perhaps using the processes established by The Re-  
351 examining Acute Eligibility for Thrombolysis (TREAT) Task Force is comprised members of the original  
352 NINDS rtPA Stroke Trial Steering Committee,[474] especially with sICH rates from more recent  
353 studies and registries commonly at less than 3%.[2,485-5249] The investigators of a recent study  
354 which aimed to assess whether adherence to drug labels is associated with efficacious patient  
355 outcomes concluded that product labels need to be revised, finding that adherence with product  
356 labels is highest with less efficacious interventions.[530]

### 358 **Limitations**

359 This study carries the limitations of survey research such as the risk of response and recall bias. First,  
360 we assume that findings submitted are truthful and accurately reflect the practice at the  
361 participating stroke centres, although this may not be the case. We also acknowledge that some  
362 items such as aortic arch dissection were not listed as criteria in the questionnaire for participants to

363 select. Additionally, surveys do not provide the meaning or context behind a response. Therefore,  
364 we are limited in our ability to provide an understanding of why and how clinicians make certain  
365 decisions including their areas of clinical or research uncertainty.[541] Lastly, although this  
366 questionnaire was personally addressed to Stroke Unit Coordinators, a variety of professional groups  
367 responded; while this was anticipated and encouraged by our instructions to '*collaborate with*  
368 *colleagues, who are involved in the decision-making and administration of rtPA for stroke patients,*' it  
369 does potentially introduce a source of differential error and measurement error. Furthermore, this is  
370 a highly dynamic field, with new imaging criteria re-defining reperfusion strategies at different  
371 time points.[552,563] Therefore, it would be worthwhile to repeat this study as the reperfusion  
372 paradigm shifts.

373

### 374 **Strengths**

375 This research provides novel data about rtPA international administration practices and the  
376 differences in the use of selection criteria in three different countries, two with similar healthcare  
377 systems (AUS/UK), and the USA with a largely private health system. The survey had a reasonable  
378 response rate for all three countries which adds external validity to the findings, and our survey tools  
379 were extensively pre-tested with experts contributing face validity to our methods.

380

### 381 **Conclusion**

382 This study provides novel, and somewhat provocative data about the criteria used to select patients  
383 for rtPA across three English-speaking countries, in particular, the relatively common use of non-  
384 standard criteria for rtPA eligibility which may contribute in part, to low rtPA treatment rates.

385

386 **Consent for publication**

387 Not applicable.

388 **Availability of data and material**

389 All data generated or analysed during this study are included in this published article (and its  
390 supplementary information files).

391 **Competing interests**

392 Anne W. Alexandrov and Andrei V. Alexandrov are members of the Genentech Speakers Bureau. All  
393 other authors declare that there are no competing interests.

394 **Funding**

395 This project was supported by an infrastructure grant provided by the Australian Catholic University  
396 to support the International Stroke Research Collaboration.

397 **Author contributions**

398 AWA, FC & VS conceived the study. AWA, AVA, LEC, SM, DC & CW designed the study. LEC, HH and  
399 CEL conducted all analyses. The paper was jointly written and reviewed by all authors.

400 **Acknowledgements**

401 Not applicable.

402 **Authors' Information Section**

403 DC was supported by a fellowship from the National Health and Medical Research Council (NHMRC;  
404 1063761 co-funded by National Heart Foundation)

405

- 407 1. The National Institute of Neurological Disorders and Stroke rtPA Stroke Study Group. Tissue  
408 plasminogen activator for acute ischemic stroke. *New England Journal of Medicine* 1995;  
409 333(24): 1581-1587.
- 410 2. Hacke W, Kaste M, Bluhmki E, et al. ECASS Investigators. Thrombolysis with alteplase 3 to 4.5  
411 hours after acute ischemic stroke. *New England Journal of Medicine* 2008; 359(13): 1317-29.
- 412 3. Emberson J, Lees KR, Lyden P, et al. Stroke Thrombolysis Trialists' Collaborative Group. Effect  
413 of treatment delay, age, and stroke severity on the effects of intravenous thrombolysis with  
414 alteplase for acute ischaemic stroke: a meta-analysis of individual patient data from  
415 randomised trials. *Lancet* 2014; 384: 1929-35.
- 416 4. Wardlaw J, Murray V, Berge E, del Zoppo G. Thrombolysis for acute ischaemic stroke.  
417 *Cochrane Database of Syst. Rev* 2014(7).
- 418 5. Tsvigoulis, G, Katsanos, AH, Mavridis, D, et al. Endovascular thrombectomy with or without  
419 systemic thrombolysis? *Therapeutic Advances in Neurologic Disorders* 2017; 10(3):151-160.
- 420 6. Man S, Cox M, Patel P, et al. Differences in Acute ischemic stroke quality of care and  
421 outcomes by primary stroke center certification organization. *Stroke* 2017; 48(2): 412-419.
- 422 7. National Stroke Foundation National Stroke Audit - Acute Services Report 2015. Melbourne,  
423 Australia: National Stroke Foundation, 2015.
- 424 8. Hubert GJ, Meretoja A, Audebert HF, et al. Stroke thrombolysis in a centralized and a  
425 decentralized system (Helsinki and Telemedical Project for Integrative Stroke Care Network).  
426 *Stroke* 2016; 47(12): 2999-3004.
- 427 9. Meretoja A, Strbian D, Mustanoja S, et al. Reducing in-hospital delay to 20 minutes in stroke  
428 thrombolysis. *Neurology* 2012; 79(4): 306-313.
- 429 10. Meretoja A, Weir L, Ugalde M, et al. Helsinki model cut stroke thrombolysis delays to 25  
430 minutes in Melbourne in only 4 months. *Neurology* 2013; 81(12): 1071-1076.
- 431 11. Paul CL, Ryan A, Rose S, et al. How can we improve stroke thrombolysis rates? A review of  
432 health system factors and approaches associated with thrombolysis administration rates in  
433 acute stroke care. *Implementation Science* 2016; 11: 1-12.
- 434 12. Middleton, S., Grimley, R. & Alexandrov, A.W. Triage, treatment and transfer: Evidence-  
435 based clinical practice recommendations and models of nursing care for the first 72 hours of  
436 admission to hospital for acute stroke. *Stroke* 2015; 46(2): e18-25.
- 437 13. Skolarus LE, Meurer WJ, Shanmugasundaram K, et al. Marked regional variation in acute  
438 stroke treatment among Medicare beneficiaries. *Stroke* 2015; 46(7): 1890-1896.
- 439 14. Eng MS, Patel AV, Libman RB, et al. Improving regional stroke systems of care. *Current*  
440 *Atherosclerosis Reports* 2017; 19(12): 52.
- 441 15. Bagot KL, Cadilhac DA, Hand PJ, et al. Telemedicine expedites access to optimal acute stroke  
442 care. *Lancet* 2016; 388: 757-8.
- 443 16. Rhudy JP Jr, Bakitas MA, Hyrkas K, et al. Effectiveness of regionalized systems of stroke and  
444 myocardial infarction. *Brain and Behaviour* 2015; 5(10): e00398.
- 445 17. Hills NK, Johnston SC. Why are eligible thrombolysis candidates left untreated? *American*  
446 *Journal of Preventative Medicine* 2006; 31 (6 Suppl 2): S210-216.
- 447 18. Keyhani S, Arling G, Williams LS, et al. The use and misuse of thrombolytic therapy within the  
448 Veterans Health Administration. *Medical Care* 2012; 50(1): 66-73.
- 449 19. Messe SR, Khatri P, Reeves MJ, et al. Why are acute ischemic stroke patients not receiving IV  
450 tPA? Results from a national registry. *Neurology* 2016; 87(15): 1565-1574.
- 451 20. Breuer L, Blinzler C, Huttner HB, et al. Off-label thrombolysis for acute ischemic stroke: rate,  
452 clinical outcome and safety are influenced by the definition of 'minor stroke'.  
453 *Cerebrovascular Diseases* 2011; 32: 177-85.
- 454 21. Guillan M, Alonso-Canovas A, Garcia-Caldentey J, et al. Off-label intravenous thrombolysis in  
455 acute stroke. *European Journal Of Neurology* 2012; 19: 390-4.

- 456 22. Frank B, Grotta JC, Alexandrov AV, et al ; VISTA Collaborators. Thrombolysis in stroke despite  
457 contraindications or warnings? *Stroke* 2013; 44: 727-33.
- 458 23. Lyerly MJ, Albright KC, Boehme AK, et al. Safety of protocol violations in acute stroke tPA  
459 administration. *Journal of Stroke and Cerebrovascular Diseases* 2014; 23: 855-60.
- 460 24. Tsivgoulis G, Zand R, Katsanos AH, et al. Safety and outcomes of intravenous thrombolysis in  
461 dissection-related ischemic stroke: an international multicenter study and comprehensive  
462 meta-analysis of reported case series. *Journal of Neurology* 2015; 262: 2135-43.
- 463 25. Goyal N, Tsivgoulis G, Zand R, et al. Systemic thrombolysis in acute ischemic stroke patients  
464 with unruptured intracranial aneurysms. *Neurology* 2015; 85(17): 1452-1458.
- 465 26. Tsivgoulis G, Katsanos AH, Zand R, et al. Antiplatelet pretreatment and outcomes in  
466 intravenous thrombolysis for stroke: A systematic review and meta-analysis. *Journal of*  
467 *Neurology* 2017; 264(6): 1227-1235.
- 468 ~~27. Whiteley WN, Thompson D, Murray G, et al. IST-3 Collaborative Group. Effect of alteplase~~  
469 ~~within 6 hours of acute ischemic stroke on all-cause mortality (third International Stroke~~  
470 ~~Trial). *Stroke* 2014; 45(12): 3612-3617.~~
- 471 27. Hacke W, Kaste M, Bluhmki E, et al. Thrombolysis with alteplase 3 to 4.5 hours after acute  
472 ischemic stroke. *N Engl J Med.* 2008 Sep 25;359(13):1317-29.
- 473 28. Meretoja A, Putaala J, Tatlisumak T, et al. Off-label thrombolysis is not associated with poor  
474 outcome in patients with stroke. *Stroke* 2010; 41: 1450-8.
- 475 29. Zand R, et al. Safety of Intravenous Thrombolysis in Chronic Intracranial Hemorrhage: A Five-  
476 Year Multicenter Study. *J Stroke Cerebrovasc Dis.* 2018 Mar;27(3):620-624.
- 477 30. Tsivgoulis G, et al. Intravenous thrombolysis for patients with in-hospital stroke onset:  
478 propensity-matched analysis from the Safe Implementation of Treatments in Stroke-East  
479 registry. *Eur J Neurol.* 2017 Dec;24(12):1493-1498.
- 480 28-31. Tsivgoulis G, et al. Safety of intravenous thrombolysis for acute ischemic stroke in  
481 specific conditions. *Expert Opin Drug Saf.* 2015 Jun;14(6):845-64.
- 482 29-32. US Food and Drug Administration. Alteplase product approval information—  
483 licensing action 6/18/96. 04/02/2009. Food and Drug Administration, 1996.
- 484 30-33. Medicines and Healthcare Products Regulatory Authority. Summary of Product  
485 Characteristics - Actilyse. London, UK: Medicines and Healthcare products Regulatory  
486 Authority, 2009.
- 487 31-34. Demaerschalk BM, Kleindorfer DO, Adeoye OM, et al. Scientific Rationale for the  
488 Inclusion and Exclusion Criteria for Intravenous Alteplase in Acute Ischemic Stroke: A  
489 Statement for Healthcare Professionals From the American Heart Association/American  
490 Stroke Association. *Stroke* 2016: 581-641.
- 491 32-35. Campbell B, Meretoja A, Donnan G, Davis S. Twenty-Year History of the Evolution of  
492 stroke thrombolysis with intravenous alteplase to reduce long-term disability. *Stroke* 2015;  
493 46:2341-6.
- 494 33-36. National Stroke Foundation. National Stroke Audit Acute Services: Organisational  
495 Survey Report, 2013.
- 496 34-37. Edwards P, Roberts I, Clarke M, et al. Increasing response rates to postal  
497 questionnaires: systematic review. *BMJ.* 2002; 324: 1183.
- 498 35-38. Aleu A, Mellado P, Lichy C, Koehrmann M, Schellinger PD. Hemorrhagic  
499 complications after off-label thrombolysis for ischemic stroke. *Stroke* 2007; 38(2): 417-422.
- 500 36-39. Genetech Inc. ACTIVASE® (alteplase) for acute ischemic stroke indication. Updated  
501 Prescribing Information - summary of changes, 2015.
- 502 37-40. Intracerebral haemorrhage after intravenous t-PA therapy for ischemic stroke. The  
503 NINDS t-PA Stroke Study Group. *Stroke* 1997; 28(11): 2109-2118.
- 504 38-41. Mishra NK, Ahmed N, Davalos A, et al. Thrombolysis outcomes in acute ischemic  
505 stroke patients with prior stroke and diabetes mellitus. *Neurology* 2011; 77: 1866-72.

506 [39-42.](#) Mishra NK, Davis SM, Kaste M, Lees KR; Collaboration V. Comparison of outcomes  
507 following thrombolytic therapy among patients with prior stroke and diabetes in the Virtual  
508 International Stroke Trials Archive (VISTA). *Diabetes Care* 2010; 33: 2531-7.

509 [40-43.](#) De Los Rios F, Kleindorfer DO, Guzik A, et al. SPOTRIAS Investigators. Intravenous  
510 fibrinolysis eligibility: A survey of stroke clinicians' practice patterns and review of the  
511 literature. *Journal of Stroke and Cerebrovascular Disease* 2014; 23(8): 2130-2138.

512 [41-44.](#) Levine SR, Khatri P, Broderick JP, et al. NINDS rtPA Stroke Trial Investigators. Review,  
513 historical context, and clarifications of the NINDS rtPA stroke trials exclusion criteria: Part 1:  
514 Rapidly improving stroke symptoms. *Stroke* 2013; 44(9): 2500-2505.

515 [42-45.](#) Cappellari M, Moretto G, Micheletti N, et al. Off-label thrombolysis versus full  
516 adherence to the current European Alteplase license: impact on early clinical outcomes after  
517 acute ischemic stroke. *Journal of Thrombosis and Thrombolysis* 2014; 37: 549-56.

518 [43-46.](#) Code of Federal Regulations. Requirements on content and format of labelling for  
519 human prescription drug and biological products. S201.56, S201.56. Sect. 21 CFR 201.56  
520 (2016).

521 [44-47.](#) Levine SR, Khatri P, Broderick JP, et al. NINDS rtPA Stroke Trial Investigators. Review,  
522 historical context, and clarifications of the NINDS rtPA stroke trials exclusion criteria: Part 1:  
523 Rapidly improving stroke symptoms. *Stroke* 2013; 44(9): 2500-2505.

524 [45-48.](#) Ahmed N, Lees KR, Ringleb PA, et al. The SITS Investigators. Outcome after stroke  
525 thrombolysis in patients? 80 years treated within 3 hours vs >3-4.5 hours. *Neurology* 2017;  
526 89(15): 1561-1568.

527 [46-49.](#) Ahmed N, Kellert L, Lees KR, et al. SITS Investigators. Results of intravenous  
528 thrombolysis within 4.5 to 6 hours and updated results within 3 to 4.5 hours of onset of  
529 acute ischemic stroke recorded in the Safe Implementation of Treatment in Stroke  
530 International Stroke Thrombolysis Register (SITS-ISTR): An observational study. *JAMA*  
531 *Neurology* 2013; 70(7): 837-844.

532 [47-50.](#) Mazya MV, Lees KR, Collas D, et al. IV thrombolysis in very severe and severe  
533 ischemic stroke: Results from the SITS-ISTR Registry. *Neurology* 2015; 85(24): 2098-2106.

534 [48-51.](#) Lees KR, Ford GA, Muir KW, et al. SITS-UK Group. Thrombolytic therapy for acute  
535 stroke in the United Kingdom: Experience from the safe implementation of thrombolysis in  
536 stroke (SITS) register. *QJM* 2008; 101(11): 863-869.

537 [49-52.](#) Wahlgren N, Ahmed N, Davalos A, et al. SITS Investigators. Thrombolysis with  
538 alteplase 3-4.5 hours after acute ischaemic stroke (SITS-ISTR): An observational study. *Lancet*  
539 2008; 372(9646): 1303-1309.

540 [50-53.](#) Cameron AC, Bogie J, Abdul-Rahim AH, Ahmed N, Mazya M, Mikulik R, et al.  
541 Professional guideline versus product label selection for treatment with IV thrombolysis: An  
542 analysis from SITS registry. *European Stroke Journal* 2017; 0(0): 1-8.

543 [51-54.](#) De Brun A, Flynn D, Tement L, et al. Factors that influence clinicians' decisions to  
544 offer intravenous alteplase in acute ischemic stroke patients with uncertain treatment  
545 indication: Results of a discrete choice experiment. *International Journal of Stroke* 2018;  
546 13(1): 74-82.

547 [52-55.](#) Nogueira RG, Jadhav AP, Haussen DC, Bonafe A, Budzik RF, Bhuva P, et al.  
548 Thrombectomy 6 to 24 Hours after Stroke with a Mismatch between Deficit and Infarct. *New*  
549 *England Journal of Medicine* 2018; 378(1): 11-21

550 [53-56.](#) Albers GW, Marks MP, Kemp S, Christensen S, Tsai JP, Ortega-Gutierrez S, et al.  
551 Thrombectomy for stroke at 6 to 16 hours with selection by perfusion imaging. *New England*  
552 *Journal of Medicine* 2018. DOI: 10.1056/NEJMoa1713973.