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Creators	Carvalho, Livia C., Leite, Marcondes L., Medeiros-De-morais, Camilo De Ielis, Lima, Kassio M.G. and Teixeira, Gustavo H.A.

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1 **Non-destructive assessment of the oxidative stability of intact macadamia nuts during the**
2 **drying process by near-infrared spectroscopy**

3

4 Livia C. Carvalho¹, Marcondes L. Leite^{2,4}, Camilo L. M. Morais³, Kássio M. G. Lima⁴, Gustavo H.
5 A. Teixeira^{5,*}

6

7 ¹Universidade Estadual Paulista (UNESP), Faculdade de Ciências Farmacêuticas (FCFAR),
8 Departamento de Alimentos e Nutrição, Campus de Araraquara, Rodovia Araraquara-Jaú, km 1 – CP
9 502, CEP: 14.800-903, Araraquara, São Paulo, Brazil.

10 ²Instituto Federal de Educação, Ciência e Tecnologia do Maranhão (IFMA), Campus São Luís-
11 Maracanã. Avenida dos Curiós, s/n, Vila Esperança, CEP: 65.095-460, São Luís, Maranhão, Brazil.

12 ³University of Central Lancashire, School of Pharmacy and Biomedical Sciences, Preston,
13 Lancashire, PR1 2HE, United Kingdom.

14 ⁴Universidade Federal do Rio Grande do Norte (UFRN), Instituto de Química, Química Biológica e
15 Quimiometria, Avenida Senador Salgado Filho, n° 3000, Bairro de Lagoa Nova, CEP: 59.078-970,
16 Natal, Rio Grande do Norte, Brazil.

17 ⁵Universidade Estadual Paulista (UNESP), Faculdade de Ciências Agrárias e Veterinárias (FCAV),
18 Campus de Jaboticabal. Via de Acesso Prof. Paulo Donato Castellane s/n, CEP: 14.884-900,
19 Jaboticabal, São Paulo, Brazil. Telephone: +55 16 3209-7532

20 *Corresponding author: gustavo@fcav.unesp.br

21

22

23 **Abstract**

24 We have developed a rapid non-destructive method to assess the oxidative stability of intact
25 macadamia nuts using near-infrared spectroscopy (NIRS). Intact macadamia nuts of the cultivars
26 HAES 344 'Kau', HAES 660 'Keaau', IAC 4-12 B, and IAC Campinas B were harvested and
27 immediately oven-dried for 4 days at 30 °C, 2 days at 40 °C, and 1 day at 60 °C to achieve 1.5%
28 kernel moisture content. At each drying step nuts were withdrawn and their moisture content,
29 peroxide value (PV), and acidity index (AI) determined. The best partial least square model for PV
30 prediction was obtained using the Savitzky-Golay (SG) second derivative resulting in a standard
31 error of prediction (SEP) of 0.55 meq·kg⁻¹ and a coefficient of determination (R²_C) of 0.57. The best
32 AI prediction-model result was obtained using the SG second derivative (SEP = 0.14%, R²_C = 0.29).
33 Based on the maximum quality limits of 3 meq·kg⁻¹ for PV and 0.5% for AI, the SEP values
34 represented 18% and 28%, respectively. Therefore, the prediction method can be considered useful
35 since the errors are lower than the quality limits. Thus, NIRS can be used to assess the oxidative
36 stability of intact macadamia kernels.

37

38 **Keywords:** peroxide value, acidity index, *Macadamia integrifolia* Maiden & Betche, principal
39 component analysis.

40

41 **1. Introduction**

42

43 The delicious mild flavor and crispy texture of lightly roasted macadamia kernels have made
44 them one of the most appreciated nuts in the world (Wall, 2013). High quality kernels contain 72–
45 78% oil (Cavaletto, 1983), of which more than 77% corresponds to monounsaturated fatty acids,

46 predominantly monounsaturated fatty acids, while only around 16% are saturated fatty acids (Wood
47 and Garg, 2010). This composition plays an important role in their quality, particularly in the
48 organoleptic properties that make macadamia nuts so desirable (Silva, Maximo, Marsaioli Jr. &
49 Silva, 2007).

50 Due to their high oil content, macadamia nuts are very susceptible to the occurrence of
51 rancidification in the kernels, which causes objectionable flavors and odors in food products
52 (Ramalho & Jorge, 2006; Silva et al., 2011). In addition, macadamia nuts contain the low levels of
53 tocopherol antioxidants ($\sim 0.6\text{--}2.8 \mu\text{g}\cdot\text{g}^{-1}$), (Wall, 2013).

54 Moisture content strongly influences early rancidity in macadamia nuts, and therefore, to
55 retard rancidification and extend shelf-life, shelled nuts should be protected from moisture and
56 oxygen during storage (Wall, 2013). Since the oxidative stability of the kernels is related to their
57 moisture content, this parameter must be monitored to ensure drying to below 1.5% (Cavaletto,
58 1981; Silva et al., 2005; Borompichaichartkul et al., 2009). At this moisture level the water activity
59 (a_w) is equal or less than 0.3, which represents the optimum point for oxidative stability of
60 dehydrated foods (Cavaletto, 1981; Wall, 2013). In addition to this, the maximum stability of
61 macadamia nut corresponds to the minimum integral entropy zone (Dominguez et al., 2007), which
62 corresponds to a range of a_w from 0.36 to 0.44 (1.2-1.6% moisture). Therefore, 1.5% moisture
63 content is fundamental to assure the oxidative stability of macadamia nuts.

64 Since freshly harvested nuts have a moisture content higher than 30% (Pankaew et al., 2016),
65 drying should be started soon after harvesting to reduce the moisture content to prevent hydrolytic
66 rancidity due to the nuts' high oil content (Mason and Wills, 2000) and/or mold development, such
67 as *Colletotrichum gloeosporioides* and *Botrytis* sp. (Dierberger and Marino Netto, 1985).

68 The most useful analytical parameters to determine the degree of oxidation are peroxide value
69 (PV) and acidity index (AI) (Wolff, 1997). PV is related to the formation of peroxides in unsaturated
70 fats, due to the breaking of the double bonds, which generates short-chain volatile products

71 responsible for rancid odors. AI is related to the acidification of fats due to enzymatic reactions,
72 generating free fatty acids that could have unpleasant taste (Wolff, 1997). PV and AI can change at
73 high temperatures and can also depend on the cultivars. For example, PV changes on heating as it
74 decomposes into highly unstable secondary oxidation products while AI is influenced by heating due
75 to the reduced kernel-water content and/or altered enzymatic activity (Bai et al., 2017).

76 AI and PV must comply with the quality standards established for cold-pressed and non-
77 refined macadamia oils which require a maximum PV value of $3.5 \text{ meq}\cdot\text{kg}^{-1}$ and a maximum AI
78 value of 0.5% (SAMAC, 2015). The standard methods for PV and AI analyses are slow and time-
79 consuming, requiring precise quantification of the sample, dissolution in solvents, and titration with
80 standardized solutions, and are also relatively costly when used for industrial process monitoring
81 (Cozzolino, Murray, Chree & Scaife, 2005).

82 Near-infrared spectroscopy (NIRS) is widely employed for oxidation and moisture content
83 determination in oilseeds and grains and has the potential to become a powerful tool in lipid
84 oxidation analysis, especially with chemometric statistical evaluations. Non-destructive techniques
85 have great potential for shelled- and unshelled- macadamia nut sorting, and NIRS is such an
86 alternative, due to its long-term application to assess food-product quality parameters (Osborne,
87 Fearn & Hindle, 1993).

88 Canneddu, Júnior & Teixeira (2016) used FT-NIR spectroscopy without any pre-processing
89 to study the quality of shelled- and unshelled macadamia nuts, obtaining a coefficient of
90 determination (R^2_p) of 0.72 for PV prediction and an R^2_p of 0.80 for AI prediction. Guthrie, Greensill,
91 Bowden & Walsh (2005), evaluated the use of NIRS to assess the quality of macadamia kernels and
92 found an acceptable oil content prediction (root mean square error of cross validation $\text{RMSE}_{\text{CV}} =$
93 2.5% and $R^2 > 0.98$), and moisture content prediction ($\text{RMSE}_{\text{CV}} < 0.2\%$, and $R^2 > 0.97$).

94 As NIRS is a fast and non-destructive method used in industrial quality control processes, the
95 objective of this study was to evaluate its feasibility as an analytical method to improve the quality
96 control of macadamia-nuts in post-harvest operations.

97

98 **2. Materials and Methods**

99 **2.1. Plant Material**

100 Twelve kilograms (kg) of intact dehusked macadamia (*Macadamia integrifolia* Maiden &
101 Betche) nuts from each of the following cultivars, HAES 344 'Kau', HAES 660 'Keaau', IAC 4-12
102 B, and IAC Campinas B, were obtained from a commercial orchard located in Jaboticabal, São
103 Paulo, Brazil, (21° 10' 14" South, 48° 37' 45" West, 600 m altitude) during the 2017 harvest season
104 (March, April, and May).

105 **2.2. Experimental set-up**

106 Immediately after the harvests (n=3), 4 kg of intact dehusked nuts were submitted to oven-
107 drying (Innova 4230, Edison, USA) in four steps: *i.* control (without drying), *ii.* 4 days at 30 °C, *iii.* 2
108 more days at 40 °C, and *iv.* 1 more day at 60 °C, to achieve the kernel moisture content of 1.5%
109 stated by Mason (1995). The drying procedure was similar to what the macadamia industry uses in
110 Brazil.

111 Initially (day 0) and at each drying step (4, 6, and 7 days), intact nuts (40 g) were withdrawn
112 from the oven and the shell manually removed using a vice (N3, Schulz, Brazil). Unshelled nuts had
113 their moisture content (%) determined following the A.O.A.C. (1997) standard method, Table 2.

114 Our experiment was set up as a completely randomized block design in a factorial
115 arrangement 3 (harvests: March, April, and May) x 4 (cultivars: HAES 344 'Kau', HAES 660
116 'Keaau', IAC 4-12 B, and IAC Campinas B) x 3 (drying steps: 0 – control, 4 days at 30 °C, 2 more
117 days at 40 °C, and 1 more day at 60 °C) with 5 repetitions, totaling 240 unshelled nut samples.

118 **2.3. NIR spectra acquisition**

119 The NIR spectra were taken on day 0, 4, 6, and 7 of the drying steps, on the surface of ~ 40
120 grams of unshelled macadamia nuts from each cultivar. The nuts were placed into a measuring cup
121 and two NIR spectra were obtained on the range 866 – 2,530 nm, at a resolution of 2 nm and 64
122 scans, after temperature stabilization at ~25 °C, using a Bruker NIR spectrometer (Model Tango,
123 Ettlingen, Germany) in reflectance mode. The NIR spectra were averaged, and the mean spectra
124 were processed, and the calibration models were performed using the MATLAB®, R2012b
125 (MathWorks, USA) software.

126 **2.4. Reference analyses: PV and AI**

127 After drying, all samples were frozen at –20 °C, stored into plastic bags (~ 40 g of nuts per
128 period and cultivar), to avoid oxidation. The oil present in the nuts was extracted daily to avoid
129 supplementary oxidation, using the procedure reported by Canneddu, Júnior & Teixeira (2016).
130 Briefly, the kernels were wrapped in nylon fabric and put into a stainless-steel vial with a tightly
131 adjusted stainless-steel piston. The piston was pressed until free oil could be seen. The oil was
132 rapidly transferred to a Falcon tube (BD Falcon™, BD Biosciences, Mass., USA) and used for the
133 oxidation analysis.

134 *PV*: The peroxide value was determined using the official method published by the A.O.A.C.
135 (1997). Briefly, 5 g of freshly pressed macadamia oil was poured into a 125 mL Erlenmeyer. Thirty
136 mL of acetic acid-chloroform solution (3:2 v/v) was added and the flask was agitated until oil
137 dissolution. Then, 0.5 mL of saturated potassium iodide solution was added, mixed, and let to rest for
138 1 minute. Thirty mL of distilled water was added, and the solution titrated with 0.01 N sodium
139 thiosulfate. *PV* was expressed as active-oxygen milliequivalents per kilogram (meq·kg⁻¹). The
140 descriptive statistics of all samples is shown in Table 1.

141 *AI*: The acidity index was also determined using the A.O.A.C. (1997) official method. Five
142 mL of freshly pressed macadamia oil was poured into a 125 mL Erlenmeyer and 25 mL of ether-

143 ethanol (2:1 v/v) solution was added. NaOH 0.01 M was used to neutralize the free fatty acids
144 present in the oil with phenolphthalein (0.1%) as indicator. AI was expressed as the percentage of
145 oleic acid (%). The descriptive statistics of all samples are shown in Table 1.

146 **2.5. Data analysis**

147 *2.5.1. Chemometrics: multivariate analysis*

148 All the NIR spectra were handled, and the calibration models developed, using the
149 MATLAB®, R2012b (MathWorks, USA) software with the PLS Toolbox version 7.9.3 (Eigenvector
150 Research, Inc., USA). Prior to the spectral pre-processing, all NIR spectra were analyzed to identify
151 and eliminate outliers based on Hotelling's T^2 and Q-residuals statistics (Bro and Smilde, 2014).

152 NIR datasets are often very large and highly complex and, consequently, need to be pre-
153 processed. Therefore, prior to model development, the full spectra were pre-processed using
154 multiplicative scatter correction (MSC), standard normal variate (SNV), Savitzky-Golay smoothing
155 (SG), and derivatives. Then, the spectral datasets were correlated with the PV and AI values using
156 Partial Least Squares (PLS) regression and full cross-validation. The NIR spectra were divided into
157 two groups: the calibration set (n=154) and the validation set (n=66), using the classic Kennard-
158 Stone selection algorithm (Kennard and Stone, 1969). In order to evaluate the performance of the
159 calibration models, the root mean square error of cross-validation (RMSE_{CV}) and root mean square
160 error of prediction (RMSE_P) were calculated, according to the following equation:

$$161 \quad \text{RMSEC or RMSEP} = \sqrt{\frac{\sum_{i=1}^{np} (y_i - y_i')^2}{n - K - 1}}$$

162 Where: y_i is the value predicted by the multivariate model, y_i' the reference value, and
163 n the number of samples.

164 The performance of the calibration models was also evaluated based on the
165 determination coefficient R^2 , both for the calibration and validation sets (Pasquini, 2003).

166 *2.5.2. Univariate analysis*

167 The data was subjected to analysis of variance (ANOVA) using the Agrostat (Barbosa and
168 Maldonado Júnior, 2015) software and the means were compared using Tukey's test with 5%
169 probability.

170

171 **3. Results and Discussion**

172 **3.1. Nut quality during drying**

173 The moisture, dry matter contents (%), PV and AI of the unshelled macadamia nuts of the
174 different cultivars, determined during drying, are shown in Table 2.

175 No significant moisture content differences were observed between nuts from different
176 cultivars, but during the drying process moisture content became significantly lower (Table 2). The
177 moisture content between cultivars ranged from 5.97% in HAES 344 to 7.24% in IAC Campinas B.
178 During the drying process the highest moisture content was observed on day 0 (13.81%); after 4 days
179 at 30 °C this value significantly ($P < 0.05$) decreased to 6.09%. The moisture content decreased to
180 4.13% after 2 days at 40 °C, and to 2.62% after 1 day at 60 °C, but without significant differences.
181 The dry matter content showed a similar trend, but in the opposite direction, increasing during drying
182 due to the dehydration process (Table 2).

183 According to Mason (2000) and Cavaletto (1983), macadamia nuts at harvest can have a
184 moisture content as high as 30% and it is essential to reduce it to 1.5% to prevent hydrolytic activity
185 and mold development. O'Hare, Hidden, Burton & Salmon (2004), reported that the nut-in-shell
186 moisture content should be reduced to 8–10% within two weeks of harvest to prevent quality
187 deterioration, mainly to control mold development, rancidity, off-flavors, and reduced shelf-life. In
188 this regard, only the nuts from day 0 presented a moisture content above this range, and after only 4
189 days at 30 °C the moisture content reached 6.09%, inside the 8–10% range.

190 The moisture content control during macadamia nut handling is extremely important as
191 rancidity can occur rapidly (Cavaletto, 1981; Cavaletto, 1986; Wall, 2013). According to Kaijser,

192 Dutta & Savage (2000), macadamia oil stability ranged from 3.6 to 19.8 h using the Rancimat test.
193 Macadamia nuts are susceptible to rancidification due to their high oil content. Cavaletto (1983)
194 reported an oil content of 72% and Kaijser, Dutta & Savage (2000) observed a range from 69 to
195 78%. In addition, the macadamia oil is highly monounsaturated (Ako, Okoda & Gray, 1995) and
196 contains high levels of oleic (18:1), palmitoleic (16:1), and palmitic (16:0) acids (Kaijser, Dutta &
197 Savage, 2000). Therefore, because drying was conducted immediately after harvest it resulted in a
198 rapid moisture content reduction that improved the oxidative stability of the kernel, as evidenced by
199 the low PV and AI values (Table 2).

200 No significant PV differences were observed neither from different cultivars nor during the
201 drying process (Table 2). PV ranged from 1.08 meq·kg⁻¹ in the nuts from the IAC 4-12 cultivar to
202 0.73 meq·kg⁻¹ in those from HAES 344 (Table 2). During drying the PV ranged from 1.27 in day 0
203 to 0.66 meq·kg⁻¹ after 4 days at 30 °C, 2 days at 40 °C, and 1 day at 60 °C (Table 2). The observed
204 PVs were very low compared to those of the macadamia quality standards. According to Mason,
205 Nottingham, Reid & Gathambiri (2004) the AMS Guidelines indicate a maximum PV of 5.0
206 meq·kg⁻¹ for oil from raw and roasted macadamia kernels. According to SAMAC (2015), raw
207 macadamia nuts must have PV less than 3.5 meq·kg⁻¹. Macadamia oil is considered moderately to
208 completely rancid when its PV reaches 6.0 meq·kg⁻¹ (McConachie, 1996).

209 PV can be influenced by the cultivar as each cultivar has a different nut polyunsaturated fatty
210 acid composition (Kaijser, Dutta & Savage, 2000). Processing also influences PV. Canneddu, Júnior
211 & Teixeira (2016), reported lower PVs (5.6–5.5 meq·kg⁻¹) in freshly harvested intact and ground
212 macadamia nuts, when compared to half kernels from late harvest (10.7–18.8 meq·kg⁻¹). Oxidative
213 rancidity is very important in lipid stability and since macadamia nuts have a low concentration of
214 polyunsaturated fatty acids (3.0–4.7%) peroxides production is generally low in unstored kernels
215 (Kaijser, Dutta & Savage, 2000). However, it can be a quality problem during drying

216 (Borompichaichartkul, Luengsode, Chinprahast & Devahastin, 2009) and long-term storage
217 (Sinanoglou et al., 2014).

218 Regarding AI, no significant ($P > 0.05$) differences between different cultivars were observed
219 and during drying process the AI decreases significantly (Table 2) ranging from 0.75% in nuts from
220 the IAC Campinas B cultivar to 0.66% in those from HAES 344. During the drying process the
221 highest AI was observed at day 0 (1.0 %); after 4 days at 30 °C this value significantly ($P < 0.05$)
222 decreased to 0.63%. AI decreased to 0.61% after 2 days at 40 °C and to 0.56% after 1 day at 60 °C,
223 but without significant differences (Table 2). This reduction of AI during the drying process could be
224 related to the inactivation of the enzymes involved in the hydrolytic reactions (Silva et al., 2011),
225 which are directly related to the moisture content present in the kernel (Silva, Maximo, Marsaioli
226 Júnior & Silva, 2007). Although the drying process was conducted immediately after harvesting, AI
227 values were above the maximum levels recommended for macadamia oil.

228 According to SAMAC (2015), the maximum AI should be 0.5%. McConachie (1996)
229 considered that high quality macadamia oil should have AI of only 0.1–0.3%, but Arnett (1995)
230 considered an AI of 0.9% as acceptable. Therefore, hydrolytic rancidity seems to be a more
231 important factor for macadamia nuts quality, as its development of rancid flavors and odors can take
232 place rapidly even during prompt drying.

233

234 **3.2. NIR spectra description**

235 A preliminary analysis of the raw NIR spectra showed very similar trends for the macadamia
236 nuts from all cultivars. Carvalho et al. (2018) also observed similarities of different macadamia nut
237 NIR spectra and the classification of shelled nuts was only possible using sophisticate chemometric
238 techniques. Because the raw NIR spectra presented broad light scattering they were pre-processed
239 with MSC and SNV plus Savitsky-Golay smoothing to allow the identification of four main peaks at
240 1200, 1500, 1800, and 2000 nm. The absorption bands around 1200 nm arise from the second

241 overtones of CH stretching vibrations, while those at 1700–1800 nm are attributable to the first
242 overtones of CH stretching vibrations of $-\text{CH}_3$, $-\text{CH}_2-$ and $-\text{HC}=\text{CH}$ (Armenta and La Guardia,
243 2007). The wavelength region situated at 2200–2500 nm is mainly related to the oxidation and
244 hydrolytic degradation of lipids (Cozzolino, Murray, Chree & Scaife, 2005).

245 During the drying process the NIR spectra changed mainly in the regions where the water
246 bands are present (1400–1440 nm and 1900–1950 nm) (Sundaram, Kandala, Holser, Butts &
247 Windham, 2010), indicating that there was a reduction in moisture content, as can be seen in Table 2.

248 Principal component analysis (PCA) was used to observe the spectral differences between
249 drying processes and macadamia nut cultivars, helping to observe possible groupings between the
250 samples due to their similarities (Cozzolino, Cynkar, Shah & Smith, 2011). The scores of the two
251 main principal components (PCs) obtained with the NIR spectra without preprocessing can be
252 observed in Figure 1. Regarding the drying process, PC2 grouped the macadamia nut NIR spectra
253 from day 0 and 7 (Figure 1A), as there was a significant moisture content difference ($P < 0.05$)
254 between day 0 and day 7 (Table 2). It was not possible to observe any grouping among macadamia
255 nut cultivars (Figure 1B), with PC1 and PC2 representing 97% of the data explained variance. This
256 result indicates that there was similarity between macadamia nut cultivars, which may be related to
257 climatic factors and/or for sharing the same pollination since they originated from the same orchard
258 (Carvalho et al. 2018).

259

260 **3.3. Oxidative stability: PV and AI**

261 The PV of the samples ranged from 0.14 to 5.41 $\text{meq}\cdot\text{kg}^{-1}$ and the AI from 0.18 to 2.26%
262 (Table 1) in the calibration set. As shown in Table 3, the best PLS model for PV ($\text{RMSE}_C = 0.56$
263 $\text{meq}\cdot\text{kg}^{-1}$, $R_c^2 = 0.57$, $\text{SEP} = 0.55 \text{ meq}\cdot\text{kg}^{-1}$, $\text{RMSE}_P = 0.60 \text{ meq}\cdot\text{kg}^{-1}$, $R^2_P = 0.002$) was obtained
264 when the NIR spectra were pre-processed with SG second derivative. The predicted vs. measured PV
265 values can also be seen in Figure 2. Canneddu, Júnior & Teixeira (2016) studying the quality of

266 unshelled macadamia nuts by NIRS found higher SEP values ($3.45 \text{ meq}\cdot\text{kg}^{-1}$), but with a greater
267 variation in the PV values ($4.6\text{--}26.8 \text{ meq}\cdot\text{kg}^{-1}$) of the calibration set. Better PV prediction by NIRS
268 was reported by Armenta and La Guardia (2007) in edible oils of different types and origins, with
269 RMSE_p values of $1.87 \text{ meq}\cdot\text{kg}^{-1}$ and R^2_c of 0.99. However, intact macadamia nuts are a more
270 heterogeneous matrix as compared to vegetable oils and this could have affected the results,
271 especially the lower determination coefficients (Table 3).

272 In contrast, considering the maximum PV quality limit of $3.0 \text{ meq}\cdot\text{kg}^{-1}$ (SAMAC, 2015), the
273 SEP value ($0.55 \text{ meq}\cdot\text{kg}^{-1}$) represented 18% of this recommendation. Therefore, the PV prediction
274 method can be considered excellent as its total error was of magnitude 25% or less (McFarren,
275 Lishka & Parker, 1970).

276 Regarding AI, the best PLS model was obtained when the NIR spectra were pre-processed
277 using SG second derivative ($\text{RMSE}_c = 0.25\%$; $R_c^2 = 0.56$; $\text{SEP} = 0.29\%$; $\text{RMSE}_p = 0.30\%$; $R_p^2 = 0.018$
278 with 7 latent variables), Table 3 and Figure 3. As indicated in Table 3, the SEP and RMSE_p
279 differences were not significant which could be taken as an indication that the prediction precision of
280 the PLS regression was high (Amodio, Ceglia, Chaudhrya, Piazzolla & Colellia, 2017), although R^2
281 was low. Similar RMSE_p values (0.35%) were reported by Mba, Adewale, Dumont & Ngadi (2014)
282 in palm and canola oils with NIR spectra pre-processed using SG first derivative, but their R^2 was
283 much greater (0.99). Better results were reported by Armenta and La Guardia (2007) in olive oil
284 ($\text{RMSEP} = 0.083\%$ and $R^2 = 0.99$); once again, the difference between food matrixes must be taken
285 into consideration. Although the R^2 values were below 0.70, considering the AI level of 0.5% as the
286 maximum quality limit of macadamia nuts (SAMAC, 2015), the SEP value represented 28% of this
287 limit. Consequently, the AI prediction as an analytical method can be considered acceptable as its
288 total error was of the magnitude of 50 % or less (McFarren, Lishka & Parker, 1970).

289 The moisture prediction results (Table 3, Figure 4) indicate that the best calibration models
290 for moisture content of unshelled nuts were obtained using SG + 2nd derivative ($R_c^2 = 0.66$; RMSE_c

291 = 2.33%). Better results were reported by Guthrie, Greensill, Bowden & Walsh (2005) who found
292 moisture content prediction models with RMSE_{cv} of 0.11% and R_c² of 0.79. Their results were
293 improved by using ground nuts (RMSE_{cv} = 0.06% R_c² = 0.95), and these differences could be due to
294 the lack of moisture homogeneity within our intact kernels; grinding should have reduced this
295 variation. Sumdaram, Kandala, Holser, Butts & Windham. (2010) also reported better results for in
296 shell peanuts using NIRS (RMSE_p = 1.38% and R_c² = 0.98), but the moisture content range was
297 much higher (6.18 - 21.69 %) and should be taken into consideration.

298

299 **4. Conclusion**

300 Cultivars had little effect on the oxidative stability of intact unshelled macadamia nuts based
301 on PV and AI. On the other hand, the drying process affected mostly the AI as the PV did not present
302 any modifications during drying.

303 The NIR spectra were similar between cultivars, but the absorption bands changed during the
304 drying process and the characteristic oil bands could be seen when the MSC, SNV, and SG
305 smoothing derivatives were applied.

306 The PLS calibration models for PV and AI were considered useful since the error is lower of
307 the quality limits. Therefore, NIRS can be used to assess the oxidative stability of intact macadamia
308 nuts, but further investigation must be done to address other sources of variability to improve the
309 robustness of the prediction models.

310

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314

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422

423 *These references were fundamental for the discussion of the article, since they deal with
424 works similar to the above, using macadamia nuts, near infrared spectroscopy combined with
425 chemometrics and oxidative evaluation of nuts, clearly exposing the quality parameters of
426 macadamia nuts.

427

428 **Tables**

429

430 **Table 1.** Descriptive statistics of unshelled macadamia nuts of the calibration and validation sets
431 obtained using the classic Kernard-Stone selection algorithm.

Description	Total	Mean	Maximum	Minimum	SD^a
Calibration set	154*				
Peroxide value (meq.kg ⁻¹)	154	0.90	5.41	0.12	0.82
Acidity index (%)	154	0.68	2.26	0.20	0.35
Validation set	66*				
Peroxide value (meq.kg ⁻¹)	66	0.86	2.49	0.14	0.58
Acidity index (%)	66	0.60	1.65	0.18	0.30

432 ^aSD = standard deviation. *from the total of 240 samples, 20 were lost during oil extraction which
433 corresponds a total of 220 samples.

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437

438 **Table 2.** Moisture, dry matter, peroxide value, and acidity index of unshelled macadamia
 439 (*Macadamia integrifolia* Maiden & Betche) from different cultivars during drying process.

	Moisture (%)	Dry matter (%)	Peroxide value (meq.kg ⁻¹)	Acidity index (%)
Harvests (B)				
March	7.2±3.7	92.6±3.6	1.15±1.05	0.69±0.40
Aphril	3.7±5.3	94.4±3.7	0.84±0.54	0.66±0.28
May	2.6±1.5	97.4±1.5	0.66±0.35	0.61±0.32
Cultivars (C)				
IAC 4 12	7.1±7.9	92.8±7.9	1.08±0.67	0.72±0.37
IAC Campinas B	7.2±4.4	92.5±4.6	0.96±0.77	0.75±0.36
HAES 660	6.4±5.5	93.9±5.4	0.90±0.54	0.67±0.22
HAES 344	6.0±5.1	93.5±5.1	0.73±0.37	0.66±0.20
Drying period (D)				
0	13.8±6.9 ^a	86.2±6.4 ^c	1.27±0.97	1.01±0.43 ^a
4	6.1±2.7 ^b	93.3±3.1 ^b	0.93±0.61	0.63±0.27 ^b
6	4.1±1.5 ^b	95.9±1.5 ^{ab}	0.81±0.36	0.61±0.12 ^b
7	2.6±1.2 ^b	97.4±1.2 ^a	0.66±0.27	0.56±0.21 ^b
Interaction				
C x D	NS	NS	NS	NS

440 Average values with the same letter in the columns are not statistically different by Tukey's test

441 (p<0,05). Values in the column without letter are not statistically different by Tukey's test (P<0,05).

442 NS, non-significant interaction.

443

444

445

446 **Table 3.** Statistical parameters for the optimal prediction models for peroxide value, acidity index,
 447 and moisture of unshelled macadamia (*Macadamia integrifolia* Maiden & Betche) from different
 448 cultivars during drying process.

Peroxide value (PV)		mequiv. O₂ kg⁻¹ units				
<i>Pre- Processing</i>	Calibration		Validation			
	RMSE _c	R _c ²	RMSE _p	SEP	Bias	RE(%)
Raw	0.78	0.18	0.47	0.42	0.21	66.57
SG+SNV	0.76	0.21	0.50	0.48	0.18	72.16
SG+MSC+baseline	0.78	0.17	0.51	0.45	0.23	72.33
SG+2 nd derivative	0.56	0.57	0.60	0.55	0.26	86.04
Acidity Index (AI)		% (w/w) units				
<i>Pre- Processing</i>	Calibration		Validation			
	RMSE _c	R _c ²	RMSE _p	SEP	Bias	RE(%)
Raw	0.36	0.06	0.22	0.16	0.15	38.89
SG+SNV	0.36	0.08	0.23	0.16	0.16	40.93
SG+MSC+baseline	0.35	0.12	0.25	0.19	0.16	44.80
SG+2 nd derivative	0.25	0.56	0.30	0.29	0.10	54.93
Moisture		% (w/w) units				
<i>Pre- Processing</i>	Calibration		Validation			
	RMSE _c	R _c ²	RMSE _p	SEP	Bias	RE(%)
Raw	3.21	0.23	3.01	3.03	0.12	49.65
SG+SNV	3.22	0.23	3.12	3.15	-0.01	51.51
SG+MSC+baseline	3.26	0.21	3.20	3.23	-0.15	52.83
SG+2 nd derivative	2.13	0.66	3.23	3.26	-0.07	53.31
Dry Matter		% (w/w) units				
<i>Pre- Processing</i>	Calibration		Validation			
	RMSE _c	R _c ²	RMSE _p	SEP	Bias	RE(%)
Raw	3.30	0.26	3.07	3.09	-0.15	3.24
SG+SNV	3.30	0.25	3.17	3.20	0.01	3.34
SG+MSC+baseline	3.34	0.24	3.26	3.28	0.15	3.43
SG+2 nd derivative	2.19	0.67	3.31	3.33	0.01	3.48

449 mequiv = milliequivalent; SG = Savitzky-Golay smoothing; SNV = standard normal variate; MSC =
 450 multiplicative scatter correction; RMSEC = root mean square error of calibration; RMSEP = root
 451 mean square error of prediction; R_c² = coefficient of determination for calibration; SEP = standard
 452 error for prediction; RE = relative error.

453

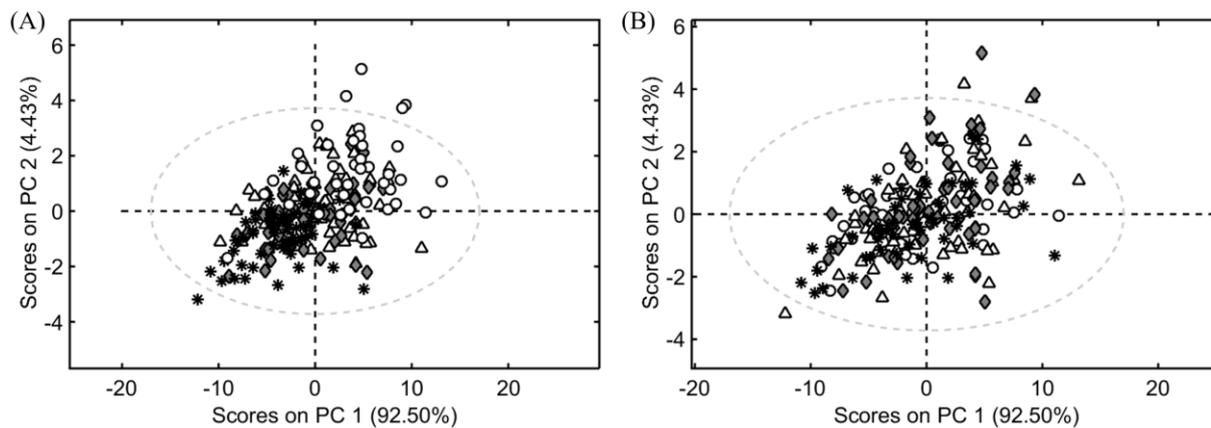
454

455 **Figures**

456

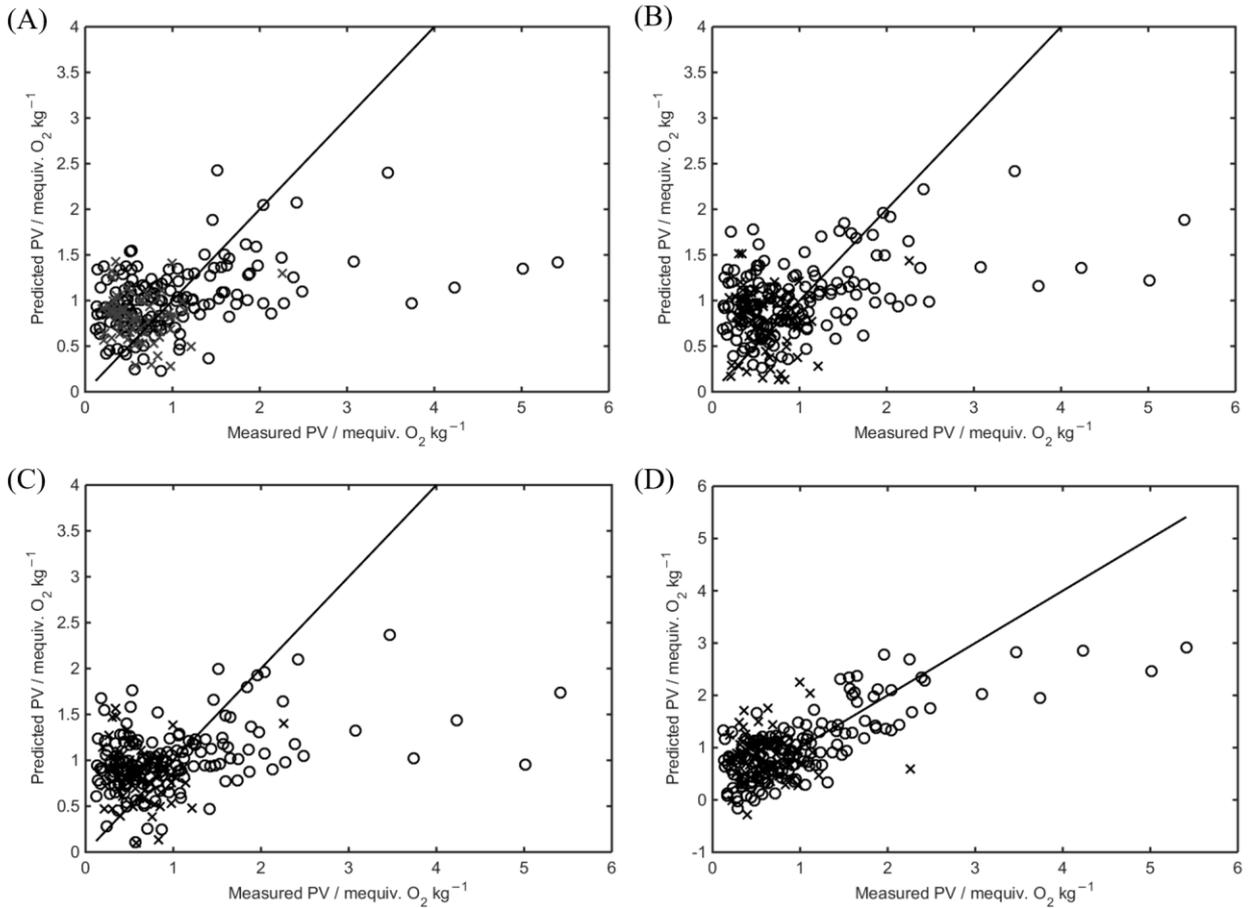
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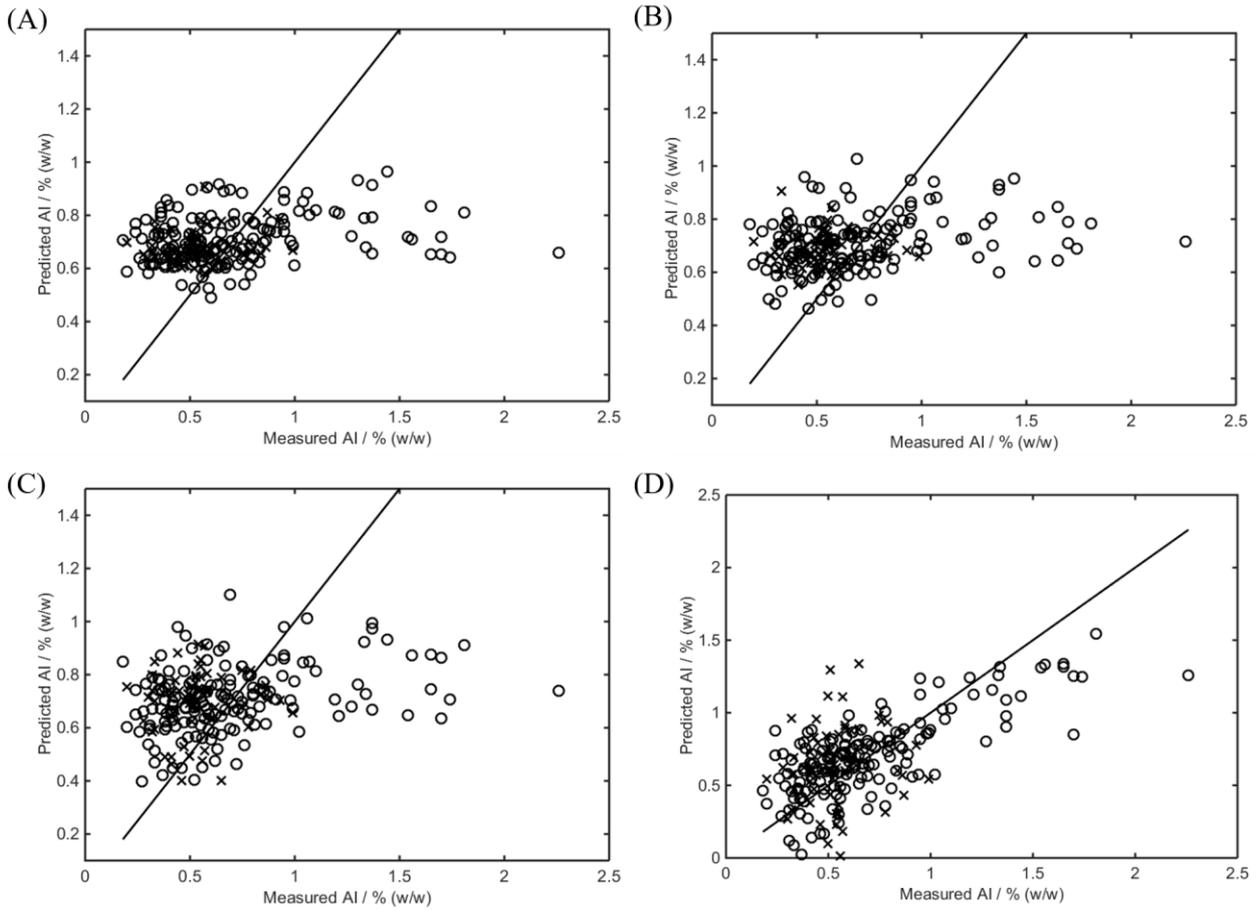
459

460 **Figure 1.** (A) scores of the PCA for the NIR spectra of drying process (Day 0 [○], 4 [▲], 6 [◇], 7
461 [*]); (B) scores of the PCA for the NIR spectra of unshelled macadamia (*Macadamia integrifolia*
462 Maiden & Betche) nuts from different cultivars (IAC 4-12 [◇], IAC Campinas B [*], HAES 660 [▲],
463 HAES 340 [○]).



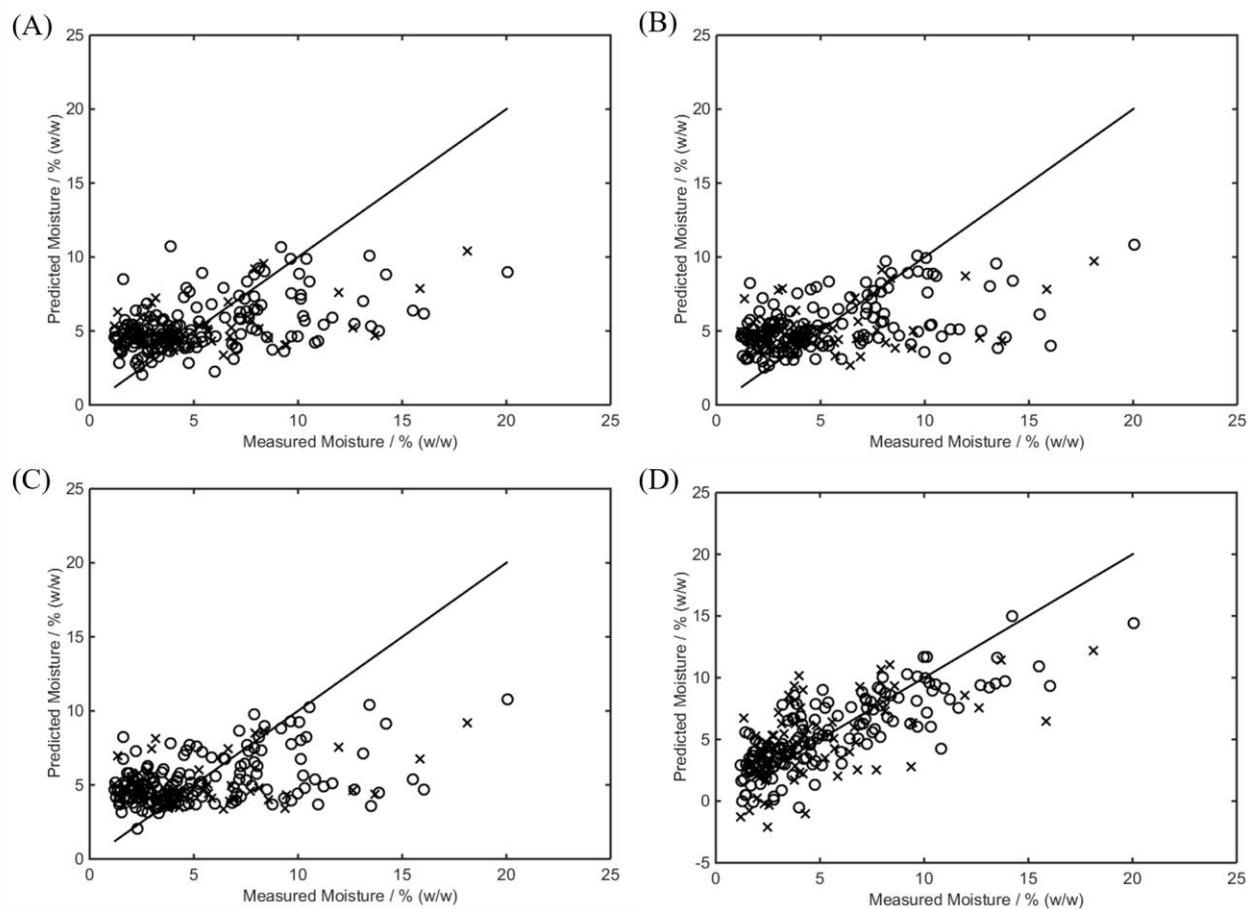
464

465 **Figure 2.** Measured *versus* predicted peroxide value (PV) for the calibration (o) and validation (x)
 466 sets using PLS regression with the following pre-processing: (A) raw spectra; (B) SG+SNV; (C)
 467 SG+MSC+baseline; (D) SG+2nd derivative. SG = Savitzky-Golay smoothing; SNV = standard
 468 normal variate; MSC = multiplicative scatter correction.



469

470 **Figure 3.** Measured *versus* predicted acidity index (AI) for the calibration (o) and validation (x) sets
 471 using PLS regression with the following pre-processing: (A) raw spectra; (B) SG+SNV; (C)
 472 SG+MSC+baseline; (D) SG+2nd derivative. SG = Savitzky-Golay smoothing; SNV = standard
 473 normal variate; MSC = multiplicative scatter correction



474

475 **Figure 4.** Measured *versus* predicted moisture for the calibration (o) and validation (x) sets using
 476 PLS regression with the following pre-processing: (A) raw spectra; (B) SG+SNV; (C)
 477 SG+MSC+baseline; (D) SG+2nd derivative. SG = Savitzky-Golay smoothing; SNV = standard
 478 normal variate; MSC = multiplicative scatter correction.