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# Human helicase RECQL4 drives cisplatin resistance in gastric cancer by activating an AKT-YB1-MDR1 signaling pathway

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Disclosure of potential conflicts of interest

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#### Abstract

Elevation of the DNA unwinding helicase RECQL4 which participates in various DNA repair pathways has been suggested to contribute to the pathogenicity of various human cancers, including gastric cancer. In this study, we addressed the prognostic and chemotherapeutic significance of RECQL4 in human gastric cancer (GC) which has yet to be determined. We observed significant increases in RECQL4 mRNA or protein in >70% of three independent sets of human GC specimens examined, relative to normal gastric tissues. Strikingly, high RECQL4 expression in primary tumors correlated well with poor survival and GC lines with high RECQL4 expression displayed increased resistance to cisplatin treatment. Mechanistic investigations revealed a novel role for RECQL4 in transcriptional regulation of the multidrug resistance gene MDR1, through a physical interaction with the transcription factor YB1. Notably, ectopic expression of RECQL4 in cisplatin-sensitive GC cells with low endogenous RECQL4 was sufficient to render them resistant to cisplatin, in a manner associated with YB1 elevation and MDR1 activation. Conversely, RECQL4 silencing in cisplatin-resistant GC cells with high endogenous RECQL4 suppressed YB1 phosphorylation, reduced MDR1 expression and re-sensitizes cells to cisplatin. In establishing RECQL4 as a critical mediator of cisplatin resistance in GC cells, our findings provide a therapeutic rationale to target RECQL4 or the downstream AKT-YB1-MDR1 axis to improve GC treatment.

**Key words**: RECQL4 elevation, YB1, MDR1 activation, cisplatin resistance.

#### Introduction

Systemic chemotherapy is the main treatment option for gastric cancer (GC) patients as GC is often diagnosed at an advanced stage and surgical removal is not feasible. However, prognosis and clinical response are highly variable even for the same grade and stage of the tumors and chemo resistance chiefly contributes to treatment failure and recurrence in GC patients (1). Therefore, understanding the molecular/mechanistic basis for the chemo resistance in GC is essential not only for devising a better treatment modality but also for developing new chemo sensitization strategies to improve GC patient survival in the future.

Cisplatin (cis-diamminedichloroplatinum) is one of the most frequently used chemotherapeutic drugs in clinical GC treatment settings. The most prominent mode of its action is to initiate an intrinsic apoptotic pathway through DNA damage response activation (2). Many GC patients display cisplatin resistance through multiple mechanism(s) including a decreased uptake and/or an increased efflux of cisplatin mediated by specific transporters, such as *MDRs* and *ATP7B* (3-5). Also, constitutive activation of DNA damage response (DDR) pathways owing to elevated expression of DNA repair genes also contributes to chemo resistance by efficient reversal of cisplatin-DNA adducts (6).

*RECQL4*, a member of RECQ helicase family with DNA-unwinding activity (7), plays an important role in maintaining the stability of nuclear (8) and mitochondrial

genomes (9-11). This is well supported by the existence of three human autosomal recessive disorders Rothmund-Thomson syndrome (RTS) (12), RAPADILLINO (13) and Baller-Gerold syndrome (BGS), all of them owing to mutations in RECQL4 (14). Mutational loss of *RECQL4* is associated with increased risk for osteosarcoma development in RTS patients (15). Additionally, primary fibroblasts from RTS patients have an increased sensitivity to genotoxic agents (16). *RECQL4* had recently been found to be significantly associated with clinical outcome and *RECQL4* suppression sensitized the breast cancer cells to DNA damaging agents (17, 18).

Although aberrant expression of *RECQL4* has been reported in sporadic osteoblastoma (19), breast and prostate cancer cells and tissues (20, 21), its prognostic and chemotherapeutic significance in GC are not known. In this study, we demonstrated *RECQL4* expression is aberrantly elevated frequently in both clinical GC samples and tumor cell lines, and a higher *RECQL4* expression renders the GC cells more resistance to cisplatin treatment. We further established a molecular link between *RECQL4* expression and cisplatin resistance, in which RECQL4 potentiates YB1 phosphorylation through enhancing AKT and YB1 interaction, suggesting that *RECQL4* is a critical determinant of cisplatin resistance in GC cells through regulation of AKT-YB1-MDR1 pathway.

#### **Materials and Methods**

#### Cell lines and antibodies

The human embryonic kidney HEK293 cell line was ordered in 2012, gastric

cancer cell lines AGS and NCI-N87 were ordered in 2013, and SNU-1 and SNU-16 were ordered in 2015 from ATCC. MKN45 and HGC-27 were ordered in 2013 from the Japanese Collection of Research Bioresources and the European Collection of Cell Culture, UK, respectively. MGC-803 was ordered in 2013 from Cell Resource Center, Chinese Academy of Medical Sciences, and authenticated using Short Tandem Repeat (STR) profiling analysis by this Center on Dec. 30, 2015. MKN45, SNU-1 and SNU-16 cell lines were STR-authenticated on Nov. 24, 2015 by Cobioer Biosciences Co. LTD, Nanjing, China. AGS, NCI-N87, HGC-27 and HEK293 were STR-authenticated on Dec. 8, 2015 by Shanghai Biowing Applied Biotechnology Co. LTD, Shanghai, China.

Antibodies: RECQL4 from Novus Biologicals (#25470002) and Cell signaling (#2814); AKT (#9272), p-AKT (#9271), YB1 (#4202) and p-YB1 (#2900) from Cell Signaling; MVP (ab175239) and MDR1 (ab129450) from Abcam; GAPDH (MAB374) from Millipore and β-actin (A1978) from Sigma.

#### GC tissue array and clinical samples

TissueScan Human gastroesophogeal cancer tissue qPCR array was ordered from Origene (#HGRT101, Rockville, MD 20850). Eleven pairs of human gastric adenocarcinomas and matched normal gastric specimens were collected from the Department of Medical Oncology, Bethune International Peace Hospital, Shijiazhuang, China. The study on human GC samples has been approved by the Ethics Committee of Beijing Institute of Genomics.

#### Immunohistochemistry (IHC) on GC patient samples

Patient demographics about 142 gastric adenocarcinoma cases treated at Nottingham University Hospitals (NUH) between 2001 and 2006 were summarized in Supplementary Table S1. This cohort, comprised of well-characterized patient samples, was used for a wide range of biomarker studies (22-24). Approval from the Ethics Committee of Nottingham University Hospital, UK was obtained for this study.

RECQL4 expression was carried out on TMA slides with the primary anti-RECQL4 antibody (#25470002, Novus Biologicals) at a dilution of 1:175. Whole field inspection of the core was scored and intensities of nuclear or cytoplasmic staining were grouped as follows: 0 = no staining, 1 = weak staining, 2 = moderate staining, 3 = strong staining. The percentage of each category was estimated (0-100%). H-score (range 0-300) was calculated by multiplying intensity of staining and percentage staining. Low/negative RECQL4 cytoplasmic expression was defined by mean of H-score of <122. Low/negative RECQL4 nuclear expression was defined by mean of H-score of <179.

#### Statistical analysis

Statistical analysis of data was performed using SPSS version 20.0 for Windows (SPSS Inc, Chicago, IL, USA). Survival rates were calculated from the time of diagnosis until the end of the follow up period and Kaplan Meier curves were plotted.

The statistical significance of differences between survival rates was determined using the log-rank test. P values  $\leq 0.05$  was considered as statistically significant.

#### MTT assay and cisplatin treatment

Cell survival was measured by the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay. Cells were seeded at a density of 5,000 cells per well in 96-well plates and incubated at 37°C in humidified 5% CO<sub>2</sub> for 12 h. Cells were then exposed to different concentrations of cisplatin for 72 h, and cellular viability was quantified by the MTT assay following the manufacturer's instructions (Molecular Probes). Absorbance values at 540 nm were read on a Spectra Max 250 spectrophotometer (Molecular Devices). All MTT assays include 10 duplicated wells for each time-point of each cell line. The data represent mean ± SD from three independent experiments.

#### **Dual-Luciferase assay**

A 256 bp length of MDR1 gene promoter region (-188-+68) containing Y-box consensus sequence used for luciferase reporter assay was amplified by PCR using the following primer pair: forward: 5'-ggggtaccGCAACGGAAGCCAGAACATT-3', reverse: 5'-cccaagcttTGGAAAGACCTAAAGGAAACGA-3'. The PCR fragment was then cloned into the KpnI/HindIII sites of pGL3-Basic vector, and verified by sequencing. The pGL3-MDR1pro plasmid was transiently transfected into YB1-silenced MGC803/Tet-on/Flag-RECQL4 cells with YB1 reconstitution or/and

Dox-induced expression of RECQL4. Luciferase activity was measured following the dual-luciferase assay protocol (Promega).

#### Adenovirus vector-mediated knock down of RECQL4 and YB1 expression

To generate *shRECQL4* and *shYB1* Adenovirus constructs, a 21 mer *RECQL4* shRNA (GCTCAAGGCCAATCTGAAAGG, 366–386, Accession No. NM\_004260) and two 21 mer *YB1* shRNAs (GGTCATCGCAACGAAGGTT, 330-348; GGTTCCCACCTTACTACAT, 746-764, Accession No. NM\_004559.3) were cloned into U6 promoter pshuttle vector (Agilent), respectively. The scrambled 21 mer control shRNA sequence is GAAGAGGACACGCCTTAGACT. Adenovirus particles were produced in HEK293 packaging cells, and used for infecting the cells using the AdEasy Adenoviral Vector System following the manufacture's protocol (Cell Biolabs, Inc, USA). Two *YB1* shRNA adenovirus were used concurrently for knocking down *YB1* expression.

#### Results

#### RECQL4 expression is up regulated in human gastric cancer samples

To verify whether or not *RECQL4* expression is aberrantly elevated in human GC cells, *RECQL4* mRNA level was first determined by real-time quantitative PCR using TissueScan Human gastroesophageal cancer tissue qPCR array (Origene). This array contains cDNA samples of 3 normal gastric tissues (\*marked), and 20 GC samples (\*marked) with different pathological grades. Other specimens include 3

normal esophageal and 20 esophageal tumor tissues (unmarked). As shown in Figure 1A, 75% (15/20) of GC tissues displayed a 2.5-fold higher level of *RECQL4* mRNA than normal tissues. RECQL4 protein level was next examined by Western blotting in 11 pairs of human GC samples and matched normal controls. In corroboration with elevated *RECQL4* mRNA expression in the clinical GC samples, RECQL4 protein elevation was also observed in 8/11 GC samples (Fig. 1B).

## High cytoplasmic *RECQL4* expression correlates with poor survival of GC patients

In this study, 92 of 148 tumor cores were found suitable for analysis as some cores within the TMA were missing or lacked tumor and these were eliminated from analysis. Low levels of nuclear and cytoplasmic RECQL4 expression were found in 18 of 92 (19.6%) samples, while 29 of 92 (31.5%) samples showed high nuclear and low cytoplasmic expression. Further, low nuclear/high cytoplasmic RECQL4 expression was observed in 22 of 92 (23.9%) samples and high nuclear/high cytoplasmic RECQL4 was found in 23 of 92 (25%) samples (Figure 1C). As shown in Supplementary Table S2, compared to tumors with low RECQL4 protein expression, RECQL4 high nuclear or high nuclear/cytoplasmic co-expression correlated well with grade III tumors (p=0.048), tumor vascular invasion (p=0.001) and circumferential resection margin (p=0.041), suggesting that RECQL4 high expression has a potential role during GC tumor progression. Interestingly, tumors with high cytoplasmic RECQL4 expression had poor disease specific survival

(p=0.05). While RECQL4 nuclear expression alone did not influence survival (p=0.547), tumors with both high nuclear/cytoplasmic RECQL4 appeared to have poor survival compared to tumors with low nuclear/cytoplasmic RECQL4 expression (p=0.05, Fig.1D). It is to be mentioned that these patients received only surgery but not any adjuvant/neoadjuvant chemotherapy.

# RECQL4 gene is wild type in both GC cells and clinical GC specimens with high RECQL4 expression

To clarify whether or not the oncogenic property is driven by gain of function owing to mutations in *RECQL4*, the status of *RECQL4* gene was analyzed in 7 GC cell lines and 11 human surgical GC specimens by PCR-based single-strand conformation polymorphism (PCR-SSCP) analysis. The primer sets for 21 exons of *RECQL4* coding region and sizes of PCR products were summarized in Supplementary Table S3. As shown in Supplementary Fig. S1-3, abnormal SSCP band migration in comparison with normal control was observed only in exons 2-3 of *RECQL4* gene in AGS and HGC-27 tumor cells. Further sequencing results demonstrated a synonymous GAG to GAA mutation (Glu-Glu at aa 44) in both cell types. In support, genetic polymorphism at this locus has been reported previously by others (12, 25). These results clearly demonstrated that *RECQL4* is wild-type in both GC cells and surgical GC specimens.

Elevated RECQL4 expression renders the GC cells more resistant to cisplatin

#### treatment

We wished to verify whether or not elevated *RECQL4* expression confers cisplatin resistance in GC cells. For this purpose, we first examined the RECQL4 protein level in 7 GC cell lines in relative to normal gastric epithelium. In corroboration with elevated RECOL4 mRNA expression in the clinical GC samples, RECQL4 protein level was also elevated in all the GC cell lines with a much higher level in 4 of the 7 cell lines including AGS, NCI-N87, HGC-27 and SNU-16 when compared to normal control (Fig. 2A). Then the sensitivity to cisplatin treatment was tested by seeding the GC cells at a density of 5,000 cells and treated with graded concentrations of cisplatin (0, 0.5, 1, 1.5, 2, 2.5 µg/ml). Cell viability was quantified by the MTT assay. As shown in Figure 2B, NCI-N87, AGS and SNU-16 cells with high RECQL4 expression displayed a significantly higher resistance to cisplatin treatment. In contrast, a much lower cisplatin resistance was observed in MGC-803 cells with a relatively lower RECOL4 expression. Three cell lines with high (HGC-27) and low (MKN45, SNU-1) *RECQL4* expression showed an intermediate response to cisplatin. Taken together, our results showed a reasonably good correlation between RECQL4 expression and cisplatin resistance for most of the GC cell lines examined.

#### **RECQL4** physically interacts with YB1

Correlation observed between *RECQL4* expression and cisplatin resistance prompted us to examine the downstream signaling pathway(s) that may be regulated by *RECQL4*. Immunoprecipitation coupled with mass spectrometry was performed

using the lysates of *Flag-RECQL4* transfected HEK293 cells. Among the list of candidates identified to interact with RECQL4, YB1 was chosen because YB1 had the highest score in mass spectrometry analysis. Furthermore, YB1 was demonstrated to be not only a transcription factor but also involved in multidrug resistance through regulation of downstream genes such as *MDR1* and major vault protein (*MVP*) *genes* (26, 27). Interaction between RECQL4 and YB1 was then tested in Flag-RECQL4 overexpressed U2OS cells by co-IP assay. Endogenous YB1 was detected in anti-Flag pull-down lysate with anti-YB1 antibody by Western blotting (Fig. 3A). Likewise, endogenous RECQL4 was observed in anti-YB1 pull-down complex (Fig. 3B), illustrating the interaction between RECQL4 and YB1. YB1 has been shown to be downstream substrate for AKT (28). In support, AKT was detected in the immunoprecipitated complex using either anti-Flag to Flag-RECQL4 or anti-YB1 antibodies (Fig. 3A & 3B), suggesting that RECQL4 physically interacts with YB1 and AKT.

To further confirm the nature of interaction, purified GST-AKT, His-YB1 and His-RECQL4-Flag proteins expressed in *E. coli* BL21 and detected by Coomassie brilliant blue staining (Supplementary Fig. S4) were used for the *in vitro* pull-down assays. Purified His-RECQL4-Flag protein was immobilized on Flag beads and then incubated with His-YB1. Purified GST-AKT was immobilized on glutathione Sepharose beads, and incubated with His-YB1 and/or His-RECQL4-Flag protein. Consistent with the IP results, His-YB1 was pulled down with His-RECQL4-Flag (Fig. 3C), and also with GST-AKT not by GST alone (Fig. 3D), suggesting a direct

interaction between RECQL4 and YB1 as well as AKT and YB1. His-RECQL4-Flag can only be pulled down by GST-AKT in the presence of YB1 (Fig. 3D), suggesting an YB1-dependent interaction between RECQL4 and AKT. Through mapping the domain of YB1 protein specifically interacting with RECQL4 using various GFP-YB1 mutants, the fragment 130-205 aa of YB1 was demonstrated to be responsible for the interaction with RECQL4 (Supplementary Fig. S5).

#### AKT and YB1 association was enhanced in the presence of RECQL4

Observation of physical interaction between RECQL4 and YB1 prompted us to examine whether or not RECQL4 promotes the association of YB1 with AKT. *In vitro* pull-down assay (Fig. 3E) revealed that AKT and YB1 interaction was markedly increased by 2.3- to 5.7-fold when RECQL4 protein input was increased from 0 to 0.2 µg (+) and 1 µg (++), respectively. Additionally, YB1 and AKT interaction was detected mainly in the cytoplasmic fraction, and their interaction in the cytoplasmic fraction was substantially enhanced when RECQL4 was induced in MGC-803/Tet-on/Flag-RECQL4 cells (Supplementary Fig. S6).

#### **RECQL4** promotes AKT-dependent YB1 phosphorylation

It has been reported previously that YB1, being one of the substrates for AKT, enters into nuclear compartment and binds to the promoter region of targeted genes when phosphorylated by AKT (29). Our findings of enhanced association of YB1 and AKT by RECQL4 led us to investigate whether or not *RECQL4* regulates the level of

YB1 phosphorylation in GC cells. AGS cells with high RECQL4 expression and RECQL4-inducible MGC-803 cells with low RECQL4 expression were used for evaluating the role of RECQL4 in AKT mediated YB1 phosphorylation. Immunofluorescent staining results showed that p-YB1 in nuclear was substantially enhanced in RECOL4-induced MGC-803/Tet-on cells (Fig. 4A), whereas it was significantly decreased in RECQL4-suppressed AGS cells (Fig. 4B), suggesting that RECQL4 regulates YB1 phosphorylation and its subsequent recruitment into the nuclear compartment. Consistently, Western blotting analysis showed an increased p-YB1 level in RECQL4-induced MGC-803/Tet-on cells (Fig. 4A). Likewise, RECQL4 suppression in AGS cells resulted in a reduction in YB1 phosphorylation (Fig. 4B). However, AKT phosphorylation was unaffected either by RECQL4 forced expression or suppression indicating that RECOL4 performs its functions just downstream of AKT. Inhibition of AKT phosphorylation by treating the above cells with 1 μM of MK-2206 (a p-AKT inhibitor) for 2 h specifically abolished YB1 phosphorylation (Fig. 4A and 4B). This finding together with an elevated YB1-AKT interaction by the increased RECQL4 protein input (Fig. 3E) suggests that RECQL4 promotes AKT-mediated YB1 phosphorylation probably through facilitating the recruitment of YB1 to AKT by some unknown mechanism.

#### **RECOL4** status affects the expression of YB1-targeted genes

We next wished to verify whether or not *RECQL4* affects the expression of YB1-targeted genes such as *MDR1* and *MVP* (26, 30) through modulating p-YB1

level. To this aim, we employed real-time RT-PCR to quantify the mRNA levels of a list of drug-resistance related genes, including MDR1, MRP1-MRP4, BCRP and MVP. The primer sets for a list of drug resistance-related genes was listed in Supplementary Table S4. As shown in Figure 5A, only two of the YB1 targeted genes, MDR1 and MVP, were markedly up-regulated upon RECOL4 induction in MGC-803/Tet-on/Flag-RECQL4 cells. Elevated MDR1 and MVP proteins upon RECQL4 induction was validated by Western blotting (Fig. 5A). We further tested MDR1 and MVP protein levels in GC cell lines with varying expression levels of RECQL4. Consistently, cell lines with high RECQL4 expression (AGS, HGC-27, NCI-N87, SNU-16) had a much higher MDR1 at both mRNA and protein levels relative to cell lines with lower RECQL4 expression (MGC-803, MKN45, and SNU-1, Fig. 5B). Three GC cell lines (AGS, NCI-N87, SNU-16) with high RECQL4 expression also have a higher MVP expression at both mRNA and protein levels. HGC-27 cells were found to be an exception with low MVP expression despite a high endogenous *RECQL4* expression (Fig. 5C). Consistent with the potentiation of YB1 phosphorylation by RECQL4, expression of YB1 target genes was also enhanced as a function of *RECQL4* expression.

#### RECQL4 regulates MDR1 expression in a YB1-dependent manner

First, *MDR1* expression was quantified by real-time RT-PCR in *RECQL4*-suppressed AGS cells with or without *YB1* suppression. As shown in Figure 6A, suppression of either *YB1* or *RECQL4* led to a decreased *MDR1* 

expression, whereas no synergistic effect was observed with simultaneous silencing of both YB1 and RECQL4. Luciferase reporter assay was then employed in a reconstituted system to determine whether or not regulation of MDR1 expression by RECQL4 is dependent on YB1. Native YB1 was first silenced in MGC-803/Tet-on/Flag-RECQL4 cells by adenovirus-mediated YB1 shRNA. MDR1 promoter reporter plasmid was then co-transfected with YB1-expressing plasmid with or without RECQL4 induction. Luciferase activities were measured at 72 h post transfection. As shown in Fig. 6B, MDR1 promoter activity was at a very low level in YB1-silenced cells, however, it was markedly increased by about 5-fold after YB1 reconstitution. Additionally, only RECQL4 induction in YB1-suppressed cells failed to activate the MDR1 promoter. In contrast, reconstitution of both RECQL4 and YB1 significantly increased the MDR1 promoter activity in relative to YB1 reconstitution or RECQL4 induction only (Fig. 6B). p-AKT inhibition by treating the cells with 1 μM of MK-2206 (a p-AKT inhibitor) for 2 h specifically abolished the YB1 phosphorylation and subsequent MDR1 activation even in the presence of RECOL4 induction. These results convincingly demonstrate that MDR1 expression is dependent on RECQL4-mediated transactivation potential of YB1. As with MDR1 promoter, MVP promoter activation was also observed by a luciferase reporter assay upon reconstitution of either YB1 alone or YB1 and RECQL4 in YB1-silenced MGC-803/Tet-on/Flag-RECQL4 cells (Supplementary Fig. S7), suggesting that both of MDR1 and MVP are downstream of RECQL4-YB1 signal pathway.

We further carried out in vitro electrophoretic mobility shift (EMSA) assay and

demonstrated both a direct binding of YB1 protein and a YB1-dependent binding of RECQL4 protein to *MDR1* promoter (Supplementary Fig. S8). To evaluate the binding efficiency of YB1 to *MDR1* promoter, a quantitative PCR-based chromatin immunoprecipitation (ChIP) assay was performed in MGC-803/Tet-on/Flag-RECQL4 cells. As shown in Figure 6C, YB1 binding to *MDR1* promoter was increased by over 7-fold when *RECQL4* was induced, further pointing to the critical role of *RECQL4* in regulating YB1 and MDR1 activation.

#### RECQL4 expression level governs cellular sensitivity to cisplatin

We next determined whether or not cisplatin sensitivity is altered after modulating *RECQL4* expression in GC cells. As shown in Figure 7A, forced *RECQL4* expression in GC cells (MKN45, SNU-1 and MGC-803) led to an increased level of MDR1, MVP and p-YB1, and consequently, a significantly higher cellular viability after cisplatin treatment when compared with their controls. Conversely, both a suppressed level of MDR1, MVP and p-YB1, and a significantly decreased cellular viability were observed after RECQL4 suppression in NCI-N87 and AGS cells when exposed to different concentrations of cisplatin (Fig. 7B). *RECQL4* Suppression in HGC-27 cells led to reduced p-YB1 and MDR1 levels as expected but MVP level was not altered, suggesting that RECQL4-YB1-MDR1 axis is critical for cisplatin sensitivity. The findings also imply that the role of *MVP* in drug sensitivity is not essential yet all the responding cells with exception of HGC-27 show a positive correlation between MVP expression level and drug sensitivity modulated by *RECQL4*.

#### **Discussion**

The most commonly used treatment regimen in gastric cancer is cisplatin-based chemotherapy (28), however, many gastric cancer patients have cancer recurrence after initial therapy and become refractory to chemotherapy following treatment. Thus, acquisition of cisplatin resistance is a major clinical obstacle for successful treatment of gastric cancer. However, the molecular basis for cisplatin resistance in GC is not clearly understood. In this study, we have presented a number of novel features that suggest prognostic and therapeutic significance of *RECQL4* in GC: (I) increased expression in GC cell lines and primary clinical samples; (II) correlation between high cytoplasmic or nuclear/cytoplasmic expression of *RECQL4* with poor survival of GC patients; (III) correlation between high *RECQL4* expression with cisplatin resistance; (IV) identification of physical and functional interaction of RECQL4 with a major transcription factor YB1; (V) promotion of RECQL4 mediated YB1 phosphorylation by AKT and (VI) transcriptional activation of a multidrug resistance gene *MDR1* by YB1 is regulated by *RECQL4* expression.

We have utilized 7 different GC cells lines in this study, whose genetic makeup is expected to be different from one another. Further, mutational spectrum of genes as well as the number of genes mutated may also considerably vary. These intrinsic features may influence their cellular phenotypes *in vitro* especially when these cells are challenged by exogenous DNA damage causing agents like cisplatin. In spite of the intrinsic variations, a reasonably good correlation between *RECOL4* expression

and cisplatin sensitivity was observed in most of the GC cell lines used in this study. Although cisplatin resistance was only marginally increased by forced *RECQL4* expression in some of the GC cell lines with low endogenous *RECQL4* expression, the acquired resistance was found to be statistically significant. It is likely that other unidentified mechanism(s) independent of *RECQL4* may also contribute to chemo resistance phenotype in GC cells.

Demonstration of a physical interaction, for the first time in this study between RECQL4 and YB1 indicates the functional significance of *RECQL4* upregulation in carcinogenic process (31, 32). *YB1* is an oncogenic transcription/translation factor that is overexpressed in a number of cancer types, and is frequently associated with poor outcome and chemotherapy resistance (27, 33). Being a substrate of AKT, YB1 can be phosphorylated at serine 102 by AKT, which is required for YB1 nuclear translocation in cancer cells (28, 34). In support, we found that RECQL4 substantially enhances the interaction between AKT and YB1 resulting in an increased level of p-YB1, illustrating a functional link between *RECQL4* overexpression and YB1 activation. Moreover, our data suggest that *RECQL4* functions just downstream of AKT and modulates AKT-dependent YB1 phosphorylation possibly by forming a functional complex with AKT through YB1.

Overexpression of the *MDR1* gene and corresponding P-glycoprotein (Pgp) efflux pumps is one of the best characterized MDR mechanisms, leading to resistance to a wide variety of anticancer agents in many types of human cancers (35-37). *MDR1* expression was shown to be regulated by transcription factors such as *YB1* (35).

Previous studies have illustrated a plausible association between YB1 and drug resistance in both cultured cancer cells and clinical tumor samples (38, 39). However, there are certain gaps in our understanding with regard to how YB1 becomes activated to induce the expression of MDR1 in cancer cells. Here, we provided evidence that induced expression of RECQL4 in MGC-803/Tet-on/Flag-RECQL4 cells led to a markedly increased level of MDR1 expression. In particular, a fair correlation between RECQL4 status and MDR1 level was observed in GC cell lines tested. Moreover, ectopic expression of RECQL4 in GC cells was observed to enhance the YB1 phosphorylation and further its nuclear translocation, and vice versa. The results of Luciferase reporter and ChIP-qPCR assays showed that RECQL4 has the potential to modulate MDR1 promoter activity by a YB1-dependent manner. This finding attributes an essential role to RECQL4 in regulating the chemo resistance of GC cells through regulation of YB1-AKT-MDR1 downstream pathway.

Based on our findings, we suggest that *RECQL4* is a novel therapeutic target for GC and efficient *RECQL4* mediated targeting of AKT-YB1-MDR1 pathway is an effective treatment strategy for chemo sensitization of GC. Since *RECQL4* has demonstrated roles in DNA replication and repair (40, 41), *RECQL4* targeting may have additional advantages for cancer therapy.

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#### **Figure Legends:**

Figure 1. RECQL4 expression in clinical gastric cancer (GC) specimens. (A) RECQL4 mRNA level determined by quantitative real time PCR in normal gastric tissues and GC specimens with different pathological grades (TissueScan cDNA array, Origene). Normal gastric and cancer specimens were marked with asterisks (\*). Other unmarked samples were esophageal tissue specimens. The values represent mean ± SD from three independent experiments. (B) RECQL4 protein level in 11 pairs of human GC (T) and matched normal gastric controls (N) determined by Western blotting using RECQL4 antibody from Novus Biologicals. (C) Microphotographs of RECQL4 protein expression in gastric adenocarcinomas. RECQL4 antibody from Novus Biologicals was used for IHC staining. (D) Kaplan Meier curves showing disease specific survival based on RECQL4 protein expression in different cellular compartments.

Figure 2. RECQL4 expression level affects sensitivity to cisplatin treatment.

(A)RECQL4 protein level in GC cell lines determined by Western blotting using RECQL4 antibody from Novus Biologicals. (B) Viability of GC cells after treatment with graded concentrations of cisplatin for 72 h was quantified by MTT assay with

10 duplicated wells for each time-point of each cell line. The values represent mean ± SD from three independent experiments.

Figure 3. RECQL4 physically interacts with YB1. (A) Endogenous YB1 and AKT were detected in anti-Flag immunoprecipitates against Flag-RECQL4. Flag-GFP immunoprecipitates were used as negative controls. (B) Endogenous RECQL4 and AKT were detected in anti-YB1 (Cell Signaling) immunoprecipitates determined by Western blotting analysis. (C) Direct interaction between RECQL4 and YB1, and (D) indirect interaction between RECQL4 and AKT were demonstrated by *in vitro* pull-down assay. (E) An enhanced interaction between AKT and YB1 was observed upon an increased input of RECQL4 protein (antibody from Cell signaling). The pull-down YB1 protein was quantified using the Image J software (http://rsbweb.nih.gov/ij/) and normalized to AKT loading control.

Figure 4. RECQL4 potentiates YB1 phosphorylation in an AKT-dependent manner. Level of p-YB1 determined by immunofluorescent staining and Western blotting analysis in: (A) MGC-803/Tet-on/Flag-RECQL4 cells upon RECQL4 induction and (B) AGS cells upon adenovirus-mediated RECQL4 silencing with or without treatment of AKT inhibitor-MK-2206. RECQL4 antibody from Novus Biologicals was used. Images were captured by Leica TCS SP5 Confocal microscope. Fluorescent staining of p-YB1 in nucleus was quantified by Image J software (http://imagej.net/Welcome). At least 200 cells were analyzed for each sample.

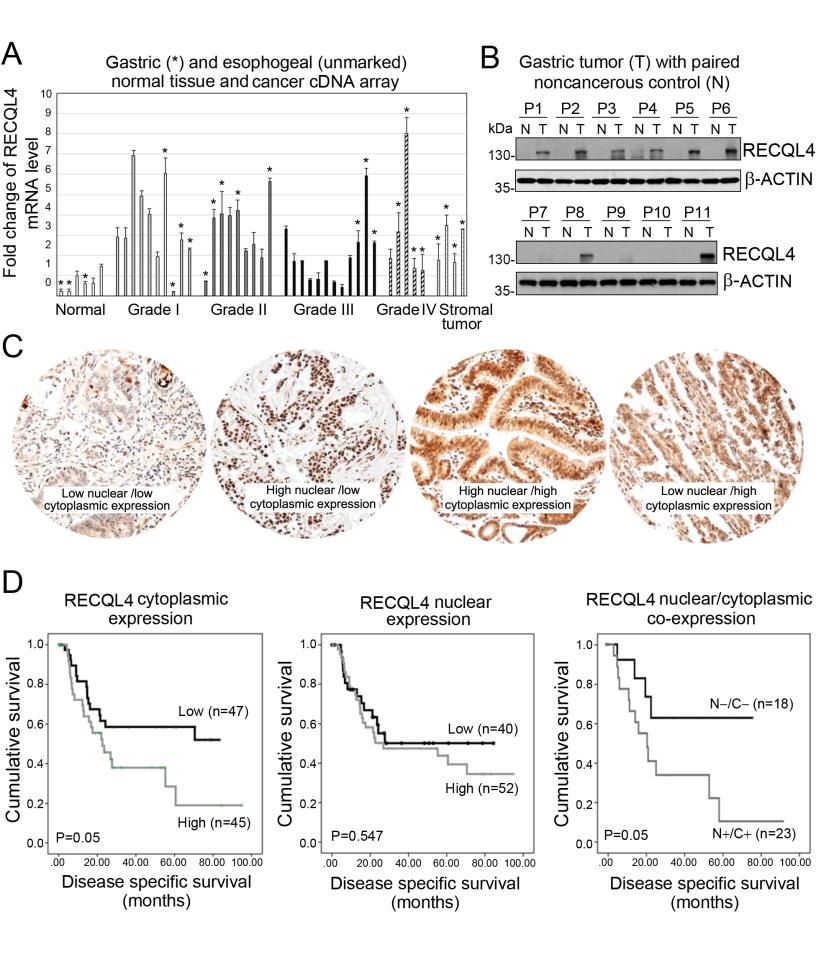
Values represent the mean  $\pm$  SD.

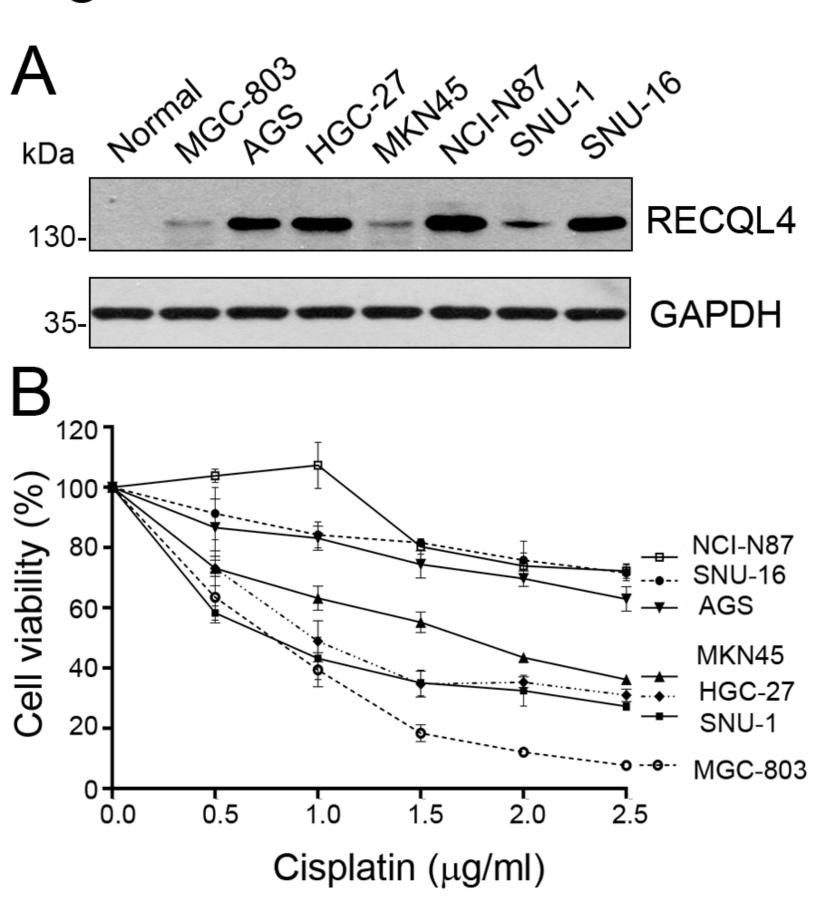
**Figure 5.** RECQL4 modulates MDR1 expression. (A) The relative mRNA levels of a list of drug resistance-related genes were quantified by real time RT-PCR in MGC-803/Tet/on/Flag-RECQL4 cells after RECQL4 induction. Levels of MDR1, MVP, p-YB1 and p-AKT proteins were examined by Western blotting. (B) Expression of MDR1 (B) and MVP (C) at both mRNA and protein levels in GC cell lines determined by quantitative real time RT-PCR and Western blotting analyses. RECQL4 antibody was from Novus Biologicals; MDR1 (Cat. ab129450) and MVP (Cat. ab175239) antibodies were from Abcam.

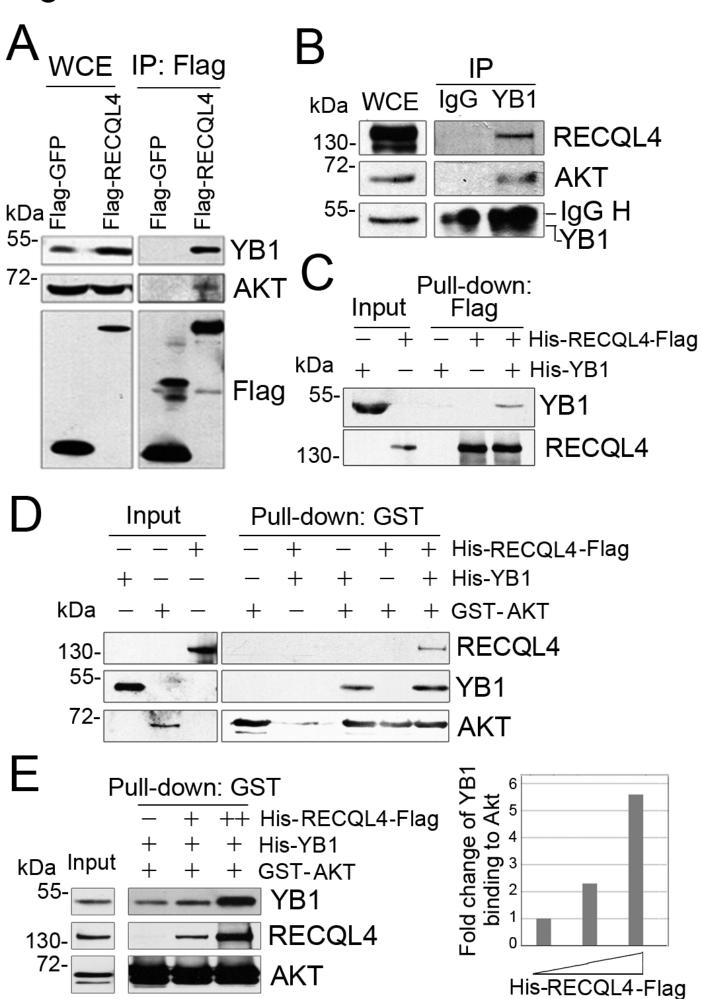
Figure 6. RECQL4 regulates MDR1 in a YB1-dependent manner. (A) MDR1 expression was quantified by real time RT-PCR in AGS cells after YB1 and/RECQL4 suppression. RECQL4 antibody from Cell Signaling was used. (B) Effect of YB1 and RECQL4 on MDR1 promoter activity determined by a reconstitution assay in MGC-803/Tet-on/Flag-RECQL4 cells. Protein levels of RECQL4 (antibody from Novus Biologicals), p-YB1 and p-AKT as well as relative luciferase activity were then determined. (C) YB1 binding ability to MDR1 promoter region was quantified by a PCR-based ChIP assay. Values represent the mean ± SD from three independent experiments.

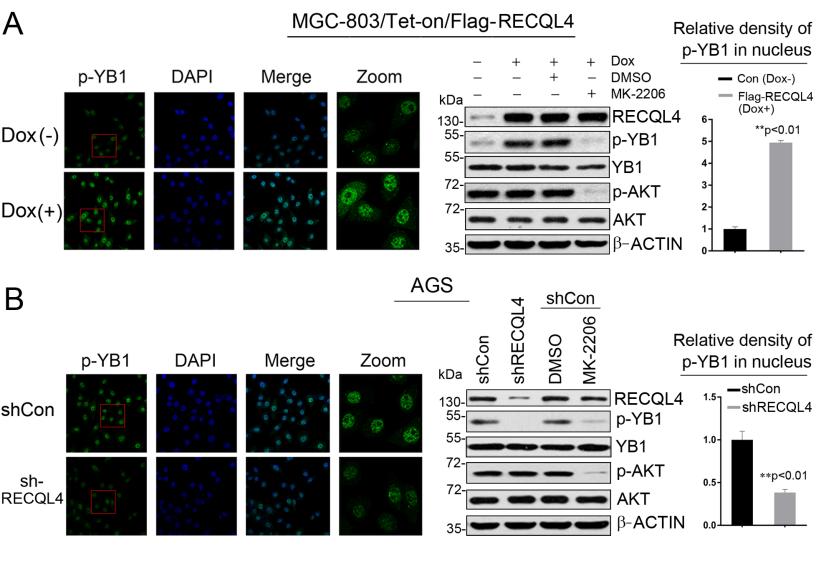
Figure 7. Altered sensitivity to cisplatin by modulating RECQL4 expression.

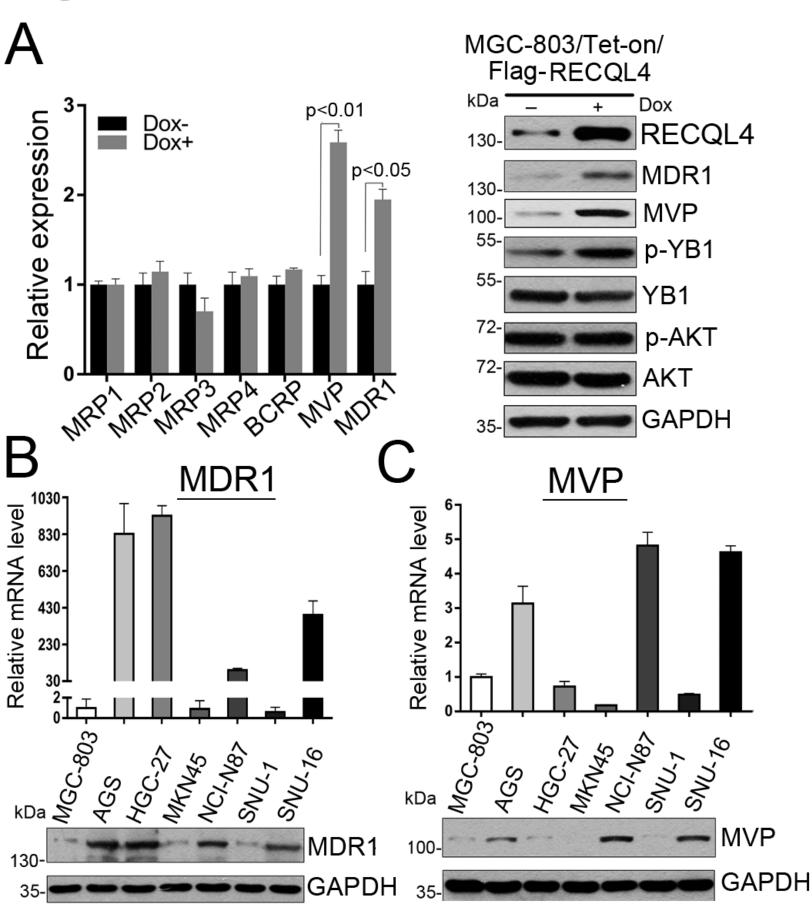
RECQL4 expression was reconstituted in MKN45, SNU-1, MGC-803 cells (A) or suppressed in NCI-N87, AGS, HGC-27 cells (B). Levels of RECQL4, MDR1, MVP and p-AKT proteins were determined by Western blotting. RECQL4 antibody from Novus Biologicals was used. Cellular viability after treatment with graded concentrations of cisplatin was quantified by MTT assay. The data in the curves represent mean ± SD from three independent experiments. Student' t-test was used for statistical analysis.











# Figure 6

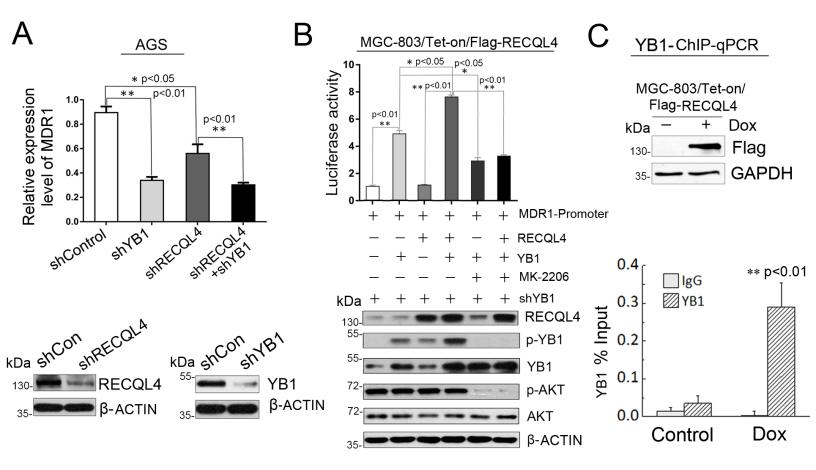
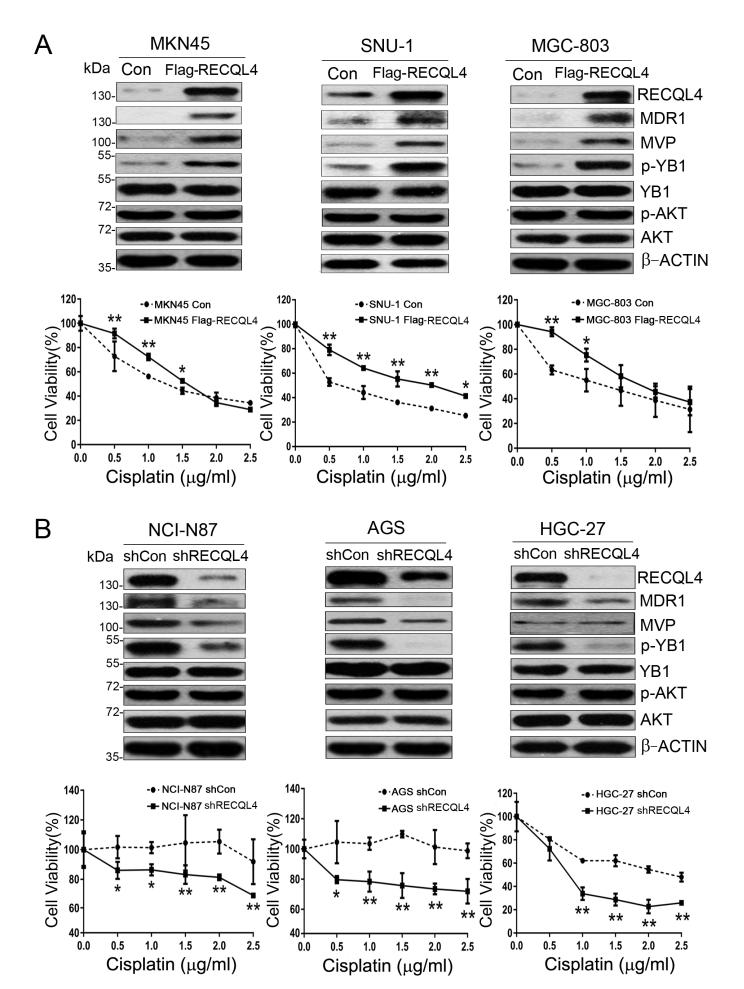
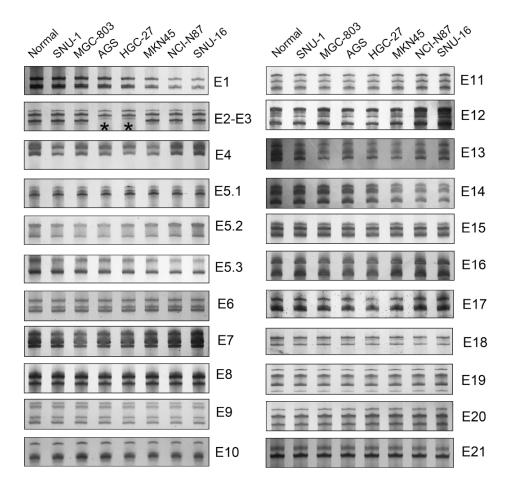


Figure 7

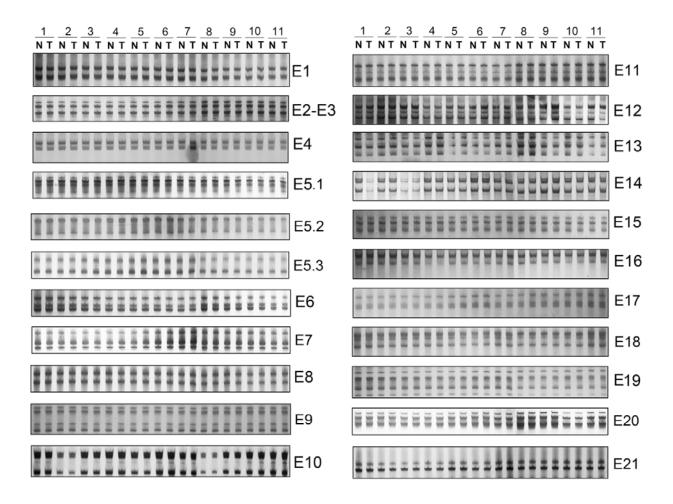


### Contents of Supplementary Figures and Tables

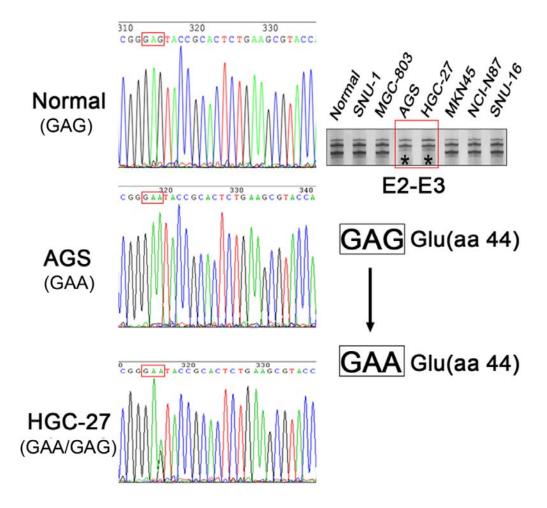
- 1. **Supplementary Figure S1.** PCR-SSCP analysis of 21 exons of human RecQL4 gene in human gastric cancer cell lines.
- 2. **Supplementary Figure S2**. PCR-SSCP analysis of 21 exons of human RecQL4 gene in human primary gastric tumors and matched gastric tissue controls
- 3. **Supplementary Figure S3**. DNA sequencing result of exons 2-3 of human RecQL4 gene in AGS and HGC-27 cells.
- 4. **Supplementary Figure S4.** Coomassie brilliant blue staining images of purified tagged-proteins expressed in *E. Coli*.
- 5. **Supplementary Figure S5.** YB1 domains specifically interacting with RecQL4.
- 6. **Supplementary Figure S6**. Level of YB1 and Akt interaction in cytoplasmic(C) and nuclear (N) fractions from MGC-803/Tet-on/Flag-RecQL4 cells with or without RecQL4 induction.
- 7. **Supplementary Figure S7**. Effect of YB1 and RecQL4 on MVP promoter activity.
- 8. **Supplementary Figure S8**. *In vitro* direct binding of YB1 and/ RecQL4 proteins to MDR1 promoter region detected by EMSA analysis.
- 9. **Supplementary Table S1**. Clinicopathological characteristics of patients with early gastric adenocarcinomas
- 10. **Supplementary Table S2**. Correlation of RecQL4 nuclear and cytoplasmic expression with clinical parameters of gastric cancer patients
- 11. **Supplementary Table S3**. Primer sets of 21 exons of human RecQL4 gene used for PCR-SSCP analysis.
- 12. **Supplementary Table S4**. PCR primer sets of drug resistance related genes used for real time RT-PCR analysis



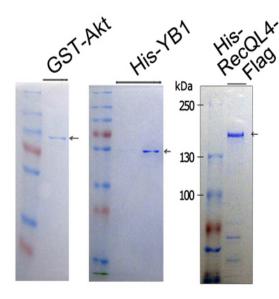
Supplementary Figure S1. PCR-SSCP analysis of 21 exons of human RecQL4 gene in huamn gastric tumor cell lines. "\*" marked: abnormal bands revealed by SSCP.



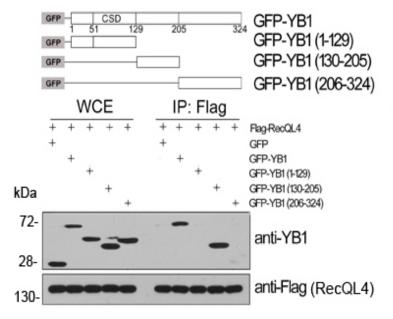
Supplementary Figure S2. PCR-SSCP analysis of 21 exons (E) of human RecQL4 gene in human primary gastric tumors (T) and matched normal gastric tissue controls (N). Exon 5 was divided into three fragments: E5.1, E5.2 and E5.3.



Supplementary Figure S3. DNA sequencing results of exons 2-3 of RecQL4 gene from AGS and HGC-27 cells. A synonymous mutation was demonstrated in AGS and HGC-27 cell lines. A double-peak in sequencing data of HGC-27 cells suggests that the mutation is heterogeneous.

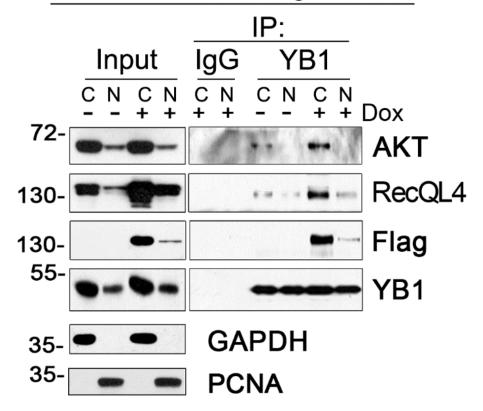


Supplementary Figure S4. Coomassie brilliant blue staining images of purified tagged-proteins expressed in *E.Coli*.



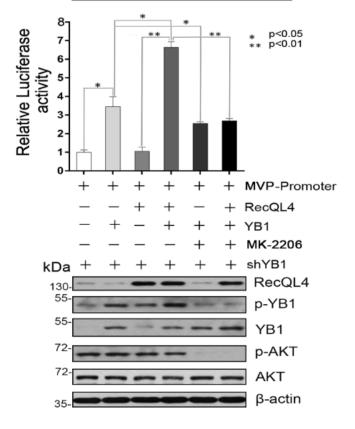
Supplemental Figure S5.YB1 domains specifically interacting with RecQL4, various GFP-YB1 constructs with deletion of different domains were generated. The YB1 fragment 130-205aa is responsible for the interaction with RecQL4

## MGC-803/Tet-on/Flag-RecQL4

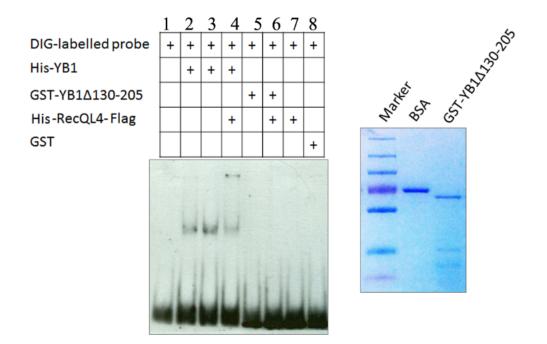


Supplementary Figure S6. Level of YB1 and Akt interaction in cytoplasmic (C) and nuclear (N) fractions from MGC-803/Tet-on/Flag-RecQL4 cells with or without RecQL4 induction examined by IP and Western blotting analyses

### MGC-803/Tet-on/Flag-RecQL4



Supplementary Figure S7.Effect of YB1 and RecQL4 on MVP promoter activity. Native YB1 expression in MGC-803/Tet-on/Flag-RecQL4 was first silenced, and then a reconstitution assay was performed on YB1-silenced cells by transfecting YB1 plasmid concurrently with or without RecQL4 induction and addition of Akt inhibitor MK-2206. MVP gene promoter region (from-679 to +23) containing Y-box consensus sequence used for luciferase reporter assay was amplified by PCR. Primer pair: forward: 5'-ggggtaccCGTACCTGCT GGAGCCTGG-3', reverse: 5'-cccaagcttCCAGGCT CCAGCAGGTACG-3'. The PCR fragment were then cloned into the Kpnl/HindIII sites of pGL3-Basic vector, and verified by sequencing.



Supplementary Figure S8. In vitro direct binding of YB1 and/ RecQL4 protein to MDR1 promoter region detected by electrophoretic mobility shift assay (EMSA). YB1 protein can bind to the Digoxin (DIG)-labelled Y-box DNA probe of MDR1 promoter, which results in a shift band (lanes 2 & 3).

Another supershift band was also observed when adding recombinant His-RecQL4-Flag protein in the reaction system (lane 4). YB1 mutant with deletion of the interaction domain with RecQL4 also losses the MDR1 promoter binding activity.

# **Supplementary Table S1:** Clinicopathological characteristics of patients with early gastric adenocarcinomas (n=148)

Age (Median years)	74 (41-90)
Gender (M/F%)	74 / 26 %
	Gastric – 87%
Tumour site	GOJ – 12%
	Oesophageal – 1%
Grade (1-3)	1 – 9%
Grade (1-3)	2 - 35%
	3 – 56%
	T1 – 10%
Tumour depth (T0-4)	T2 – 33%
Tumour depuir (10-4)	T3 – 54%
	T4 – 3%
	N0 - 22%
Nodal stage	N1 – 52%
Nodal stage	N2 - 18%
	N3 – 8%
Vascular invasion (Y)	67%
Perineural invasion (Y)	45%
Status	Alive – 37%
Status	Dead – 63%

**Supplementary Table S2:** Correlation of RecQL4 Nuclear & Cytoplasmic expression with clinical parameters of gastric cancer patients

Rn-/Rc-	VARIABLE	RECQL4 Nuclear (Rn) & RECQL4 Cytoplasmic (Rc) Protein Co-expression				P-value
T1         3 (16.7)         1 (3.5)         3 (13.6)         1 (4.3)         0.485           T2         6 (33.3)         9 (31.0)         6 (27.3)         6 (26.1)         0.485           T3         8 (44.4)         19 (65.5)         13 (59.1)         14 (60.9)         14 (60.9)           T4         1 (5.6)         0 (0.0)         0 (0.0)         2 (8.7)         0.332           Overall Stage           Stage 1         2 (11.1)         1 (3.4)         3 (13.6)         1 (4.3)         0.332           Stage 2         7 (38.9)         11 (37.9)         6 (27.3)         6 (21.7)         5 (62.7)         15 (65.3)         0.00         0.332           Stage 3         9 (50.0)         17 (58.7)         13 (59.1)         15 (65.3)         0.247           LN status         Negative         6 (33.3)         6 (20.7)         6 (27.3)         2 (8.7)         0.247           Positive         12 (66.7)         23 (79.3)         16 (72.7)         21 (91.3)         0.247           Tumour Grade G1/G2         10 (55.6)         6 (20.7)         8 (36.4)         12 (52.2)         0.048           G3         8 (44.4)         23 (79.3)         14 (63.6)         11 (47.8)         0.041	VIIIIII					
T1         3 (16.7)         1 (3.5)         3 (13.6)         1 (4.3)         0.485           T2         6 (33.3)         9 (31.0)         6 (27.3)         6 (26.1)         0.485           T3         8 (44.4)         19 (65.5)         13 (59.1)         14 (60.9)         14 (60.9)           T4         1 (5.6)         0 (0.0)         0 (0.0)         2 (8.7)         0.332           Overall Stage           Stage 1         2 (11.1)         1 (3.4)         3 (13.6)         1 (4.3)         0.332           Stage 2         7 (38.9)         11 (37.9)         6 (27.3)         6 (21.7)         5 (62.7)         15 (65.3)         0.00         0.332           Stage 3         9 (50.0)         17 (58.7)         13 (59.1)         15 (65.3)         0.247           LN status         Negative         6 (33.3)         6 (20.7)         6 (27.3)         2 (8.7)         0.247           Positive         12 (66.7)         23 (79.3)         16 (72.7)         21 (91.3)         0.247           Tumour Grade G1/G2         10 (55.6)         6 (20.7)         8 (36.4)         12 (52.2)         0.048           G3         8 (44.4)         23 (79.3)         14 (63.6)         11 (47.8)         0.041	T Stage					
T2         6 (33.3)         9 (31.0)         6 (27.3)         6 (26.1)           T3         8 (44.4)         19 (65.5)         13 (59.1)         14 (60.9)           T4         1 (5.6)         0 (0.0)         0 (0.0)         2 (8.7)           Overall Stage           Stage 1         2 (11.1)         1 (3.4)         3 (13.6)         1 (4.3)         0.332           Stage 2         7 (38.9)         11 (37.9)         6 (27.3)         6 (21.7)         5 (65.3)         0 (0.0)         0 (0.0)         0 (0.0)         2 (8.7)         0.332           Stage 3         9 (50.0)         17 (58.7)         13 (59.1)         15 (65.3)         0 (0.0)         0 (0.0)         2 (8.7)         0.048           Stage 4         0 (0.0)         0 (0.0)         0 (0.0)         2 (8.7)         0.247           LN status         Negative         6 (33.3)         6 (20.7)         6 (27.3)         2 (8.7)         0.247           Tumour Grade         G1/G2         10 (55.6)         6 (20.7)         8 (36.4)         12 (52.2)         0.048           G3         8 (44.4)         23 (79.3)         14 (63.6)         11 (47.8)         0.041           Vascular Invasion           No		3 (16.7)	1 (3.5)	3 (13.6)	1 (4.3)	0.485
T4         1 (5.6)         0 (0.0)         0 (0.0)         2 (8.7)           Overall Stage         Stage 1         2 (11.1)         1 (3.4)         3 (13.6)         1 (4.3)         0.332           Stage 2         7 (38.9)         11 (37.9)         6 (27.3)         6 (21.7)         15 (65.3)         0.332           Stage 3         9 (50.0)         17 (58.7)         13 (59.1)         15 (65.3)         15 (65.3)         0 (0.0)         2 (8.7)           LN status         Negative         6 (33.3)         6 (20.7)         6 (27.3)         2 (8.7)         0.247           Positive         12 (66.7)         23 (79.3)         16 (72.7)         21 (91.3)         0.247           Tumour Grade         6 (20.7)         8 (36.4)         12 (52.2)         0.048           G3         8 (44.4)         23 (79.3)         14 (63.6)         11 (47.8)         0.041           CRM Involvement         No         3 (75.0)         0 (0.0)         0 (0.0)         2 (33.3)         0.041           Vascular Invasion         7 (38.9)         6 (20.7)         12 (54.5)         1 (4.3)         0.001           Perineural Invasion         11 (61.1)         23 (79.3)         10 (45.5)         22 (95.7)	T2	, ,	` ′	` ′	6 (26.1)	
Overall Stage         Stage 1         2 (11.1)         1 (3.4)         3 (13.6)         1 (4.3)         0.332           Stage 2         7 (38.9)         11 (37.9)         6 (27.3)         6 (21.7)         0.332           Stage 3         9 (50.0)         17 (58.7)         13 (59.1)         15 (65.3)         0 (0.0)           Stage 4         0 (0.0)         0 (0.0)         0 (0.0)         2 (8.7)         0.247           LN status         Negative         6 (33.3)         6 (20.7)         6 (27.3)         2 (8.7)         0.247           Positive         12 (66.7)         23 (79.3)         16 (72.7)         21 (91.3)         0.247           Tumour Grade           G1/G2         10 (55.6)         6 (20.7)         8 (36.4)         12 (52.2)         0.048           CRM Involvement           No         3 (75.0)         0 (0.0)         0 (0.0)         2 (33.3)         0.041           Yes         1 (25.0)         4 (100.0)         5 (100.0)         4 (66.7)         0.001           Vascular Invasion           No         7 (38.9)         6 (20.7)         12 (54.5)         1 (4.3)         0.001           Perineural Invasion	T3	8 (44.4)	19 (65.5)	13 (59.1)	14 (60.9)	
Stage 1       2 (11.1)       1 (3.4)       3 (13.6)       1 (4.3)       0.332         Stage 2       7 (38.9)       11 (37.9)       6 (27.3)       6 (21.7)         Stage 3       9 (50.0)       17 (58.7)       13 (59.1)       15 (65.3)         Stage 4       0 (0.0)       0 (0.0)       0 (0.0)       2 (8.7)         LN status       6 (33.3)       6 (20.7)       6 (27.3)       2 (8.7)       0.247         Positive       12 (66.7)       23 (79.3)       16 (72.7)       21 (91.3)       0.247         Tumour Grade         G1/G2       10 (55.6)       6 (20.7)       8 (36.4)       12 (52.2)       0.048         G3       8 (44.4)       23 (79.3)       14 (63.6)       11 (47.8)       0.048         CRM Involvement         No       3 (75.0)       0 (0.0)       0 (0.0)       2 (33.3)       0.041         Yes       1 (25.0)       4 (100.0)       5 (100.0)       4 (66.7)       0.001         Vascular Invasion         No       7 (38.9)       6 (20.7)       12 (54.5)       1 (4.3)       0.001         Perineural Invasion	T4	1 (5.6)	0 (0.0)	0 (0.0)	2 (8.7)	
Stage 2       7 (38.9)       11 (37.9)       6 (27.3)       6 (21.7)         Stage 3       9 (50.0)       17 (58.7)       13 (59.1)       15 (65.3)         Stage 4       0 (0.0)       0 (0.0)       0 (0.0)       2 (8.7)         LN status       6 (33.3)       6 (20.7)       6 (27.3)       2 (8.7)       0.247         Positive       12 (66.7)       23 (79.3)       16 (72.7)       21 (91.3)       0.247         Tumour Grade         G1/G2       10 (55.6)       6 (20.7)       8 (36.4)       12 (52.2)       0.048         G3       8 (44.4)       23 (79.3)       14 (63.6)       11 (47.8)       0.048         CRM Involvement         No       3 (75.0)       0 (0.0)       0 (0.0)       2 (33.3)       0.041         Yes       1 (25.0)       4 (100.0)       5 (100.0)       4 (66.7)       0.001         Vascular Invasion         No       7 (38.9)       6 (20.7)       12 (54.5)       1 (4.3)       0.001         Perineural Invasion	Overall Stage					
Stage 3       9 (50.0)       17 (58.7)       13 (59.1)       15 (65.3)         Stage 4       0 (0.0)       0 (0.0)       2 (8.7)         LN status       6 (33.3)       6 (20.7)       6 (27.3)       2 (8.7)       0.247         Positive       12 (66.7)       23 (79.3)       16 (72.7)       21 (91.3)       0.247         Tumour Grade       10 (55.6)       6 (20.7)       8 (36.4)       12 (52.2)       0.048         G3       8 (44.4)       23 (79.3)       14 (63.6)       11 (47.8)       0.041         CRM Involvement       No       3 (75.0)       0 (0.0)       0 (0.0)       2 (33.3)       0.041         Yes       1 (25.0)       4 (100.0)       5 (100.0)       4 (66.7)       0.001         Vascular Invasion       7 (38.9)       6 (20.7)       12 (54.5)       1 (4.3)       0.001         Perineural Invasion       11 (61.1)       23 (79.3)       10 (45.5)       22 (95.7)       0.001	Stage 1	2 (11.1)	1 (3.4)	3 (13.6)	1 (4.3)	0.332
Stage 4         0 (0.0)         0 (0.0)         0 (0.0)         2 (8.7)           LN status         Negative         6 (33.3)         6 (20.7)         6 (27.3)         2 (8.7)         0.247           Positive         12 (66.7)         23 (79.3)         16 (72.7)         21 (91.3)         0.247           Tumour Grade         G1/G2         10 (55.6)         6 (20.7)         8 (36.4)         12 (52.2)         0.048           G3         8 (44.4)         23 (79.3)         14 (63.6)         11 (47.8)         0.048           CRM Involvement         No         3 (75.0)         0 (0.0)         0 (0.0)         2 (33.3)         0.041           Yes         1 (25.0)         4 (100.0)         5 (100.0)         4 (66.7)         0.001           Vascular Invasion         7 (38.9)         6 (20.7)         12 (54.5)         1 (4.3)         0.001           Perineural Invasion         11 (61.1)         23 (79.3)         10 (45.5)         22 (95.7)	Stage 2	7 (38.9)	11 (37.9)	6 (27.3)	6 (21.7)	
LN status         6 (33.3)         6 (20.7)         6 (27.3)         2 (8.7)         0.247           Positive         12 (66.7)         23 (79.3)         16 (72.7)         21 (91.3)         0.247           Tumour Grade G1/G2 G3         10 (55.6)         6 (20.7)         8 (36.4)         12 (52.2)         0.048           CRM Involvement No Yes         3 (75.0)         0 (0.0)         0 (0.0)         2 (33.3)         0.041           Vascular Invasion Yes         7 (38.9)         6 (20.7)         12 (54.5)         1 (4.3)         0.001           Perineural Invasion         11 (61.1)         23 (79.3)         10 (45.5)         22 (95.7)	•	` ′	` ′	` /	15 (65.3)	
Negative Positive         6 (33.3) 12 (66.7)         6 (20.7) 23 (79.3)         6 (27.3) 16 (72.7)         2 (8.7) 21 (91.3)         0.247           Tumour Grade G1/G2 G3         10 (55.6) 8 (44.4)         6 (20.7) 23 (79.3)         8 (36.4) 12 (52.2) 14 (63.6)         0.048           CRM Involvement No Yes         3 (75.0) 1 (25.0)         0 (0.0) 4 (100.0)         0 (0.0) 5 (100.0)         2 (33.3) 4 (66.7)           Vascular Invasion No Yes         7 (38.9) 11 (61.1)         6 (20.7) 23 (79.3)         12 (54.5) 1 (4.3) 10 (45.5)         0.001           Perineural Invasion         7 (38.9) 23 (79.3)         10 (45.5) 22 (95.7)         0.001	Stage 4	0 (0.0)	0 (0.0)	0 (0.0)	2 (8.7)	
Positive         12 (66.7)         23 (79.3)         16 (72.7)         21 (91.3)           Tumour Grade G1/G2 G3         10 (55.6) 8 (44.4)         6 (20.7) 23 (79.3)         8 (36.4) 12 (52.2) 11 (47.8)         0.048           CRM Involvement No Yes         3 (75.0) 1 (25.0)         0 (0.0) 0 (0.0) 2 (33.3) 5 (100.0)         0.041           Vascular Invasion No Yes         7 (38.9) 11 (61.1)         6 (20.7) 23 (79.3)         12 (54.5) 1 (4.3) 22 (95.7)         0.001           Perineural Invasion         Perineural Invasion         10 (45.5) 22 (95.7)						
Tumour Grade         10 (55.6)         6 (20.7)         8 (36.4)         12 (52.2)         0.048           G3         8 (44.4)         23 (79.3)         14 (63.6)         11 (47.8)         0.048           CRM Involvement         3 (75.0)         0 (0.0)         0 (0.0)         2 (33.3)         0.041           Yes         1 (25.0)         4 (100.0)         5 (100.0)         4 (66.7)           Vascular Invasion         7 (38.9)         6 (20.7)         12 (54.5)         1 (4.3)         0.001           Yes         11 (61.1)         23 (79.3)         10 (45.5)         22 (95.7)         0.001	_	, ,			` ′	0.247
G1/G2 G3  10 (55.6) 6 (20.7) 8 (36.4) 12 (52.2) 0.048  CRM Involvement No Yes  1 (25.0) 0 (0.0) 0 (0.0) 2 (33.3) 0.041  Vascular Invasion No Yes  1 (38.9) 6 (20.7) 12 (54.5) 1 (4.3) 0.001  Perineural Invasion  Perineural Invasion	Positive	12 (66.7)	23 (79.3)	16 (72.7)	21 (91.3)	
G3						
CRM Involvement         3 (75.0)         0 (0.0)         0 (0.0)         2 (33.3)         0.041           Yes         1 (25.0)         4 (100.0)         5 (100.0)         4 (66.7)           Vascular Invasion         7 (38.9)         6 (20.7)         12 (54.5)         1 (4.3)         0.001           Yes         11 (61.1)         23 (79.3)         10 (45.5)         22 (95.7)		` ′	` ′	` ′	` ′	0.048
No       3 (75.0)       0 (0.0)       0 (0.0)       2 (33.3)       0.041         Yes       1 (25.0)       4 (100.0)       5 (100.0)       4 (66.7)         Vascular Invasion       7 (38.9)       6 (20.7)       12 (54.5)       1 (4.3)       0.001         Yes       11 (61.1)       23 (79.3)       10 (45.5)       22 (95.7)	G3	8 (44.4)	23 (79.3)	14 (63.6)	11 (47.8)	
Yes       1 (25.0)       4 (100.0)       5 (100.0)       4 (66.7)         Vascular Invasion       7 (38.9)       6 (20.7)       12 (54.5)       1 (4.3)       0.001         Yes       11 (61.1)       23 (79.3)       10 (45.5)       22 (95.7)         Perineural Invasion       10 (45.5)       10 (45.5)       10 (45.5)						
Vascular Invasion         7 (38.9)         6 (20.7)         12 (54.5)         1 (4.3)         0.001           Yes         11 (61.1)         23 (79.3)         10 (45.5)         22 (95.7)		` ′	` ′	` ′	` /	0.041
No       7 (38.9)       6 (20.7)       12 (54.5)       1 (4.3)       0.001         Yes       11 (61.1)       23 (79.3)       10 (45.5)       22 (95.7)         Perineural Invasion	Yes	1 (25.0)	4 (100.0)	5 (100.0)	4 (66.7)	
Yes         11 (61.1)         23 (79.3)         10 (45.5)         22 (95.7)           Perineural Invasion	Vascular Invasion					
Perineural Invasion		` ′	` ′	` ′	` /	0.001
	Yes	11 (61.1)	23 (79.3)	10 (45.5)	22 (95.7)	
No						
		` ′	` /	` /	` ′	0.376
Yes 7 (38.9) 16 (55.2) 7 (31.8) 11 (47.8)	Yes	7 (38.9)	16 (55.2)	7 (31.8)	11 (47.8)	

CRM: Circumferential resection margin

**Supplementary Table S3.** Primer sets of 21 exons of human RecQL4 gene for PCR-SSCP analysis (Exon 5 was divided into three fragments: E5.1-E5.3)

SSCP Primers Design						
primer name	primer sequence(F)	primer sequence(R)	Product			
			size(bp)			
E1	GGAGATTCGCTGGACGAT	CTGTAAAGGGAACGCGTCAG	200			
E2-E3	CTGACGCGTTCCCTTTACA	AAACAGGGAAGTGGGAGGAG	286			
E4	ACAGCCTTTTCTGGCCTGT	GAAGCAGCTGTGGACCTAGC	228			
E5.1	CCTGAGTTCCTCCTGCTGTT	CGACTCACCAGGGATCAGAA	359			
E5.2	GCCTGATCTAGGCTCAGAGG	TGGAGGGTCTTCCTCAACTG	271			
E5.3	CAGTTGAGGAAGACCCTCCA	AAAGGGAATGCCTGTCCTG	290			
E6	CCCAGTGACCCTCCTATGTG	CTCCAGGGCAGATGTCTCAC	179			
E7	GCTCCCATTCTACCCTCTCC	TGACTTGAGTCACCCCAACC	222			
E8	GGGTGACTCAAGTCATGGTGA	GTGGAGATGCCTGGATGG	220			
E9	CAGAACCCTGCTGCTGACTC	AAGTGCTGGTTCTTGGCTGT	220			
E10	GCTGTCGCTCCTGCATTT	CTGCCTCCCTCACCCCTA	142			
E11	CCTCTGATCTTGCTGCCTTC	CCCAGTTCACATATGGCTCA	217			
E12	GCAAGGTGAGCCATATGTGA	GGTCCCCAGAGCACACAC	290			
E13	GCCAACCGCTCCTCATC	ACACCAGCTCTGTCCATGC	185			
E14	CACTGACCATCTGCCTGTCT	GGTGGGGTGGACCACTG	350			
E15	CACCCCACCCTCATGAAA	GCTTACCCCAGGTTCCTCAC	342			
E16	GGGTAAGCCACAGGGGTGT	CTCCAACCTCGTCTCCAACT	230			
E17	GGCCACATGTCCCTTTTTC	GGAAAGCATGTCAGATGCAG	262			
E18	CTGCATCTGACATGCTTTCC	CTACGCTGTGGGGAGGAG	287			
E19	ACAGGCTCCTCCCCACAG	CCTGGCCTTACTGCACTCAC	195			
E20	GAAGCCTGATGTGCCTGTC	CAAGACACAGCCGTGAGC	248			
E21	GTGTCTTGGCTCCACCGTAG	CTGCCCTAGCCTCTGACAAC	200			

# **Supplementary Table S4.** PCR primer sets of drug-resistance related genes used for real-time RT-PCR analysis

Gene name		Sequence	Length (bp)	
MRP1	F	AAGGGATCGCCGTGTTTGG	429	
	R	CGAAGGCTCGAATGACGCTGAC	428	
MRP2	F	CCTGGTTCCTGTCCCTATTCT	472	
	R	GGCATCTTGGCTTTGACTCTG	472	
MRP3	F	CGCCACAGTCCTTCTTTGACACCAC	443	
	R	CCACGAACTCCACTCCGATGCTC	443	
MRP4	F	TTGGGATAAGGCATCAGAGACC	463	
	R	AGTGTCTGCTAACTTCCGCATCTAC	403	
BCRP	F	GGCCTATAATAACCCTGCAGACTTC	391	
	R	GCCCAAAGTAAATGGCACCT	391	
MVP	F	TTTGATGTCACAGGGCAAGTTCGGC	425	
	R	CACCAAATCCAGAACCTCCTCAAAC	423	
MDR1	F	GAGCCCATCCTGTTTGACTGC	411	
	R	TGCCATGCTCCTTGACTCTGC	411	
β-actin	F	AGCGAGCATCCCCCAAAGTT	284	
	R	GGGCACGAAGGCTCATCATT	204	

### **Contents of Supplementary Materials and methods**

- 1. Construction of Tissue Microarray (TMA)
- 2. Chemicals
- 3. Real-time PCR
- 4. Dual-Luciferase assay for MVP
- 5. *In vitro* pull-down assay
- 6. Co-immunoprecipitation
- 7. Protein expression and purification
- 8. Chromatin immunoprecipitation analysis
- 9. Electrophoretic mobility shift assays (EMSAs)
- 10. PCR-SSCP protocol

Construction of Tissue Microarray (TMA): TMAs were constructed. In short, area-specialized histopathologists identified and marked formalin-fixed paraffin-embedded tissue blocks containing tumor tissue on haematoxylin and eosin stained slides. The marked areas in these donor paraffin blocks were used to construct the tissue microarray. Triplicate tissue cores with a diameter of 0.6 mm were taken from the marked areas and arrayed into a recipient paraffin block using a tissue puncher/arrayer (Beecher Instruments, Silver Spring, MD, USA). Five micron sections of the tissue array block were cut and placed on microscope slides (Fisher scientific, Pittsburgh, PA) for immunohistochemical staining.

#### Chemicals

Cisplatin and p-Akt inhibitor MK-2206 were purchased from Sigma (St. Louis, MO, USA) and Selleck (#cat s1078), respectively, and dissolved in DMSO and stored at -20°C. Lipofectamine and Trizol reagent were from Invitrogen. Wizard Genomic DNA Purification Kit and moloney murine leukemia virus (MMLV) reverse transcriptase were from Promega (USA).

#### Real-time RT-PCR

The mRNA level for RecQL4 and a list of drug resistance-related genes was quantified by Real-time RT-PCR. The primer sets for drug resistance-related genes were listed in Supplementary Table S4. All reactions were done in triplicate and three independent experiments were performed. RT-PCR amplification results were calculated by CFX Manager. The real time PCR primers for *RecQL4* are: P1: 5'-TCACAGTGAGGTCCCAGATT-3' and P2: 5'-CTGACTTCTTGGAAGGCTG A-3'. β-actin was used as the internal control for normalization of RecQL4 mRNA.

#### **Dual-Luciferase assay for MVP**

MVP gene promoter region (from-679 to +23) containing Y-box consensus sequence used for luciferase reporter assay was amplified by PCR using the following primer pair: forward: 5'-ggggtaccCGTACCTGCTGGAGCCTGG-3', reverse: 5'-cccaagcttCCAGGCTCCAGCAGGTACG-3'. The PCR fragment was then cloned into the KpnI/HindIII sites of pGL3-Basic vector, and verified by sequencing. The pGL3-MDR1pro plasmid was transiently transfected into YB1-silenced MGC803/Tet-on/Flag-RecQL4 cells with YB1 reconstitution or/and Dox-induced expression of RecQL4. Luciferase activity was measured following the dual-luciferase assay protocol (Promega).

#### In vitro pull-down assay

For RecQL4 pull-down YB1 assay, purified His-RecQL4-Flag fusion protein were bound to Flag M2 beads in buffer A (50 mM Na<sub>2</sub>HPO<sub>4</sub>, 150 mM NaCl, 10 mM EDTA, 0.2-0.5% NP-40, 2 mM DTT, pH7.5) and then incubated with His-YB1 fusion protein at 4°C for 2 h. The resins were washed, and the bound protein was detected by Western blotting.

Interactions among RecQL4, YB1 and Akt were then tested by pull-down assay. In brief, the bait protein was expressed as a (GST-Akt) fusion protein in *E. coli*, purified and immobilized on Glutathione resin. Purified His-RecQL4-Flag or/and His-YB1 was added to the immobilized GST-Akt in buffer A. After gentle rotation at 4°C for 2 h, the bound proteins were examined by Western blotting.

To test whether or not RecQL4 promotes the association between Akt and YB1, a pull-down assay was further performed by immobilizing 3 µg of GST-Akt on Glutathione resin, followed by addition of 1 µg of His-YB1 combined with different amounts of His-RecQL4-Flag protein including 0, 0.2 µg (+) and 1 µg (++),

respectively. Level of YB1 in the bound protein was then quantified by the band intensity detected by Western blotting.

#### Co-immunoprecipitation

293T cells transfected with Flag-RecQL4 or Flag-GFP were collected in lysis buffer (50 mM Tris-HCl pH 7.5, 150 mM NaCl, 1% NP-40, 5 mM EDTA, 5 mM EGTA, 20 mM NaF, 0.1 mM PMSF, 0.5 mM benzamidine, 1 mg/ml leupeptin, 1 mg/ml aprotinin, 2 mM microcystin and 0.1 mM NaVO<sub>3</sub>), and then incubated with anti-Flag-M2 beads (Sigma) at 4°C for 2 h. U2OS cells were harvested in lysis buffer and then incubated with Dynabeads Protein A that are coated with IgG or anti-YB1 antibody. After washing with lysis buffer, the binding complex was heated at 80°C for 10 min in 1×Laemmli buffer. The RecQL4 complex was visualized by Western Blotting.

#### Protein expression and purification

His-YB1 fusion proteins were constructed by cloning full-length human YB-1 into a pET-28a vector (Novagen). GST-Akt fusion proteins were constructed by cloning full-length human Akt into a pGEX-6p-1 vector (GE Healthcare). The plasmids were expressed in BL21 Escherichia coli and induced to express the fusion proteins with 0.5 mM isopropyl-b-D-thiogalactoside for 3 h at 37°C. The fusion proteins were purified by following His or GST tag purification method. Flag-RecQL4 fusion protein was constructed by cloning full-length human RecQL4 into pET-16b vector (Novagen). Fusion proteins were expressed in Rosetta (DE3) pLysS cells. Cells were grown at 37°C to a cell density OD600 0.4~0.5, followed by overnight induction at 16°C with 0.1 mM isopropyl-β-d-thio-galactoside. Cells were harvested and lysed in lysis buffer, followed by sonication. The supernatant was applied to Ni-NTA column, washed and eluted. The eluate was dialyzed and incubated with anti-FLAG M2 beads (Sigma). The M2-bound proteins were washed, eluted and dialyzed.

#### Chromatin immunoprecipitation analysis

Chromatin immunoprecipitation (ChIP) assays were carried out as previously

described (Zhang Y., et al, Cancer Res., 2009). 1×107 MGC-803 cells were fixed with 1% molecular grade formaldehyde (Sigma) and sonicated with Bioruptor® Plus device. YB1 antibody was used for immunoprecipitation and detected with quantitative PCR (BioRad CFX-96 Real-Time PCR System). Data were analyzed by delta Ct method. **Primers** for human MDR1 gene 5were ACCTGTTTCGCAGTTTCTCG -3 (forward) and 5- GAAGAGCCGCTACTCG AATG -3 (reverse). **Primers** used for negative control 5-AGGTGGAGAAACACCACCAC-3 (forward) and 5-CGGTTTGCCCAAG AAAAATA-3 (reverse).

#### Electrophoretic mobility shift assays (EMSAs)

WT The 5° Digoxin-labeled contained the oligonucleotide (5'-GGTGAGGCTGATTGGCTGGGCAGGA-3') mutated Y or box (5'-GGTGAGGCTGATCAACTGGGCAGGA-3') (underlined WT and mutated Y-box sequences were annealed with appropriate complementary stands to generate the duplex oligonucleotides)( Chattopadhyay R., Mol. Cell Biol., 2008). Each oligonucleotide (20 ng) was then incubated with 100 ng of YB-1 or YB-1 smaller segment (130 to 205 aa) and RecQl4 (100 ng) for 20 min at 25°C in a buffer containing 40 mM HEPES-KOH (pH 7.5), 50 mM KCl, 1 mM MgCl2, 0.5 mM EDTA, 0.5 mM dithiothreitol, 10% glycerol, and 1 µg of poly(dI-dC) (Sigma). After electrophoresis in nondenaturing 6% polyacrylamide gels in Tris-borate buffer at 4°C, the gels were transferred and crosslinked for 120 mJ/cm2 by Bio-Link Crosslinker BLX-E254. Binding reactions were performed using a LightShift Chemoluminescent EMSA Kit according to the manufacturer's instructions (Thermofisher).

#### **PCR-SSCP** protocol

2 μl PCR product and 10 μl loading buffer (10% sucrose with a little bromophenol blue) is denatured at 95°C for 10 minutes and immediately kept on ice for 5 minutes, then the samples are loaded on a nondenaturing 8% acrylamide: bis-acrylamide (49:1) gel and immediately electrophoresis is performed in 1 X TBE buffer at 200 V for 1hr

at  $4^{\circ}$ C. After the electrophoresis, the gel is fixed in 10% methanol and 0.5% acetic acid for 10min on a shaker, then put the gel into 0.1% silver nitrate solution staining for 10min in dark on a shaker. The gel is rinsed briefly for 10 seconds in distilled water and the freshly prepared and chilled 1.5% sodium hydroxide solution (1.5% NaoH + 0.15% formaldehyde) is transferred to the tray. The gel is kept immersed until bands get developed sharply. Then gel is labeled and scanned for computer image analysis and documentation.