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- 1 Title: Running Head: Mental practice for the upper-limb
- 2 Title: A systematic review and meta-analysis of the effectiveness of mental practice
- 3 for the upper-limb after stroke: Imagined or real benefit?
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A systematic review and meta-analysis of the effectiveness of mental practice for the upper-limb after stroke: Imagined or real benefit?

38

39 Abstract

- Objective: This systematic review sought to determine the effectiveness of mental
 practice (MP) upon the activity limitations of the upper-limb in people after stroke,
 and when, in whom and how it should be delivered.
- 43 **Data sources:** Ten electronic databases were searched from November 2009 to
- 44 May 2020. Search terms included: Arm; Practice; Stroke Rehabilitation; Imagination;
- 45 Paresis; Recovery of Function; Stroke
- 46 Studies from a Cochrane review of MP (up to November 2009) were automatically
- 47 included. The review was registered with Prospero database of systematic reviews
- 48 (Reference number: CRD42019126044).
- 49 Study selection: Randomised controlled trials of adults after stroke using MP for the
- ⁵⁰ upper-limb were included if they compared to usual care, conventional therapy or no
- 51 treatment and reported activity limitations of the upper-limb as outcomes.
- 52 Independent screening was carried out by two reviewers.
- 53 **Data extraction:** One reviewer extracted data using a tool based upon the Template
- 54 for Intervention Description and Replication. Data extraction was independently
- verified by a second reviewer. Quality was assessed using the PEDro tool.
- 56 Data Synthesis: Fifteen studies (n=486) were included and 12 (n=328) underwent
- 57 meta-analysis. MP demonstrated significant benefit upon upper-limb activities
- compared to usual treatment (standardised mean difference, SMD: 0.6, 95%

59 confidence intervals, CI: 0.32 to 0.88). Sub-group analyses demonstrated that MP

- appeared most effective in the first 3 months after stroke (SMD: 1.01, 95% CI: 0.53
- to1.50) and in people with the most severe upper-limb deficits (weighted mean
- 62 difference, WMD: 7.33; 95% CI:0.94 to 13.72).
- 63 **Conclusions:** This review demonstrates that MP appears effective in reducing
- 64 activity limitations of the upper-limb after stroke particularly in people in the first three
- 65 months after stroke and in those with the most severe upper-limb dysfunction. There
- 66 was no clear pattern of the ideal dosage of MP.

67 Word count: Abstract: 286; Manuscript: 4769

- 68 **Keywords**: mental practice; imagery; stroke; systematic review; upper limb
- 69 **List of abbreviations**:
- 70 Confidence interval, CI
- 71 Mental Practice, MP
- 72 Standardised mean difference, SMD
- 73 Weighted mean difference, WMD

A systematic review and meta-analysis of the effectiveness of mental practice for the upper-limb after stroke: Imagined or real benefit?

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Stroke is the single main cause of acquired disability in high income countries.¹
Difficulties in using the arm, wrist and hand (the upper-limb) is the most common
deficit after stroke, being reported by at least 70% of stroke survivors.² This has a
significant impact on daily activities, and has been shown to reduce independence,
the likelihood of returning to employment and hobbies, and poorer mental health and
guality of life.^{3,4}

Mental practice (MP) is one of only a handful of interventions included in evidence 84 based guidelines for the rehabilitation of the upper-limb after stroke. ^{5,6} It comprises 85 the repeated practice of motor (or kinaesthetic) imagery.⁷ During MP participants are 86 typically guided to cognitively rehearse, but not physically perform, movements of the 87 upper-limb often to complete a functional task ^{8,9} or to consider how one might 88 perform a task (e.g. grip a cup).¹⁰ This can be from a first person perspective 89 (egocentric, through one's own eyes) or a third person perspective (as an observer 90 watching from a distance).¹¹ Mental practice was initially developed in sports 91 psychology to improve performance, and has been used in both cognitive and 92 physical therapies.^{12,13} Whilst the precise mechanisms by which MP may work have 93 not been fully elucidated, it is agreed that mental imagery utilises stored multimodal 94 (motor and sensory) representational formats and/or previous experiences of 95 movements.¹⁴ It has been shown that MP activates many of the same areas of the 96 brain that are stimulated when physically executing a movement.^{15,16} These include 97 the premotor cortex, basal ganglia and cerebellum and associative parietal cortex. 98

^{7,15} Consequently MP may provide a 'back door' to the motor cortex as it facilitates
 motor cortex activity and neuroplasticity without physical movement.^{15,17–19}

Studies indicate that the majority of people can undertake MP within the first six 101 weeks after stroke. This does vary depending upon the test used to assess MP 102 ability,^{16,20,21} but the ability to undertake MP after stroke does not appear to be 103 significantly influenced by age.²² It is also one of very few treatments that can be 104 used by those who have no voluntary movement of their upper-limb. This enables 105 participation in an upper-limb rehabilitative intervention for those who could not 106 undertake exercise based interventions for the upper-limb, such as constraint 107 induced movement therapy or repetitive task practice.^{23,24} Conversely, its use in high 108 performance sport indicates that it is suitable to be used by people with good upper-109 limb function to refine high-level skills after stroke.⁷ Crucially, as MP does not require 110 any actual physical movement, it is safe for people after stroke to undertake with 111 112 only minimal or no supervision. This means that if MP can be shown to be effective, it could provide multiple practice opportunities and be a useful method to supplement 113 the amount of therapist-provided rehabilitation for the upper-limb after stroke and 114 improve outcomes. 115

Several trials of mental practice for the upper-limb after stroke have shown it to be as 116 117 effective as some forms of physical practice upon impairments, and it appears particularly efficacious if used alongside physical therapy.^{8,9,25,26} However, others 118 have reported no differences in activity limitations when compared to usual care 119 interventions, ²⁷ suggesting that its effectiveness cannot be assumed. The most 120 recent Cochrane review of MP for the upper-limb after stroke was published in 2020⁸ 121 and found that MP had a significant benefit upon upper-limb motor recovery and 122 activities. Further reviews largely support this finding, ^{28,29} although the magnitude of 123

the effect appears to differ between studies. Even with the increase in available
trials, there is still uncertainty as to whether the changes elicited by MP could
specifically reduce activity limitations of the upper-limb, a recognised and shared
priority for people after stroke and clinicians³⁰. Furthermore, the optimal parameters
of use of MP for the upper-limb remain unclear. Specifically, the time period after
stroke during which MP might be most effective (when) and the effect of dose upon
activity limitations (how much)³¹ have not been identified.

131 Therefore, this review seeks to address this shortcoming by:

- (i) examining the effectiveness of MP upon outcomes that specifically
 measure activities and activity limitations,
- (ii) describing when and in whom after stroke MP might have most benefit to
 upper-limb activity outcomes

136 (iii) investigating if and how the dose affects the effectiveness of MP

137 The lack of clear guidance regarding how and in whom MP should be used

clinically may, in part, explain why despite its inclusion in stroke guidelines, MP is

reported to be rarely used in practice.³² The information generated by this review

140 will provide greater clarity for clinicians regarding how they might choose to use

141 MP in practice and identify clear indications of priorities for future research.

142 Methods

This review follows the Cochrane Reviews of mental practice and utilised the same search criteria.⁸ It was registered with Prospero database of systematic reviews (Prospero reference number: CRD42019126044) and followed published checklist and guidance on systematic reviews (PRISMA and Cochrane).^{33,34}

Electronic searches of the following databases were completed: Cochrane Central
 Register of Controlled Trials (CENTRAL), MEDLINE, EMBASE, CINAHL,

PsycINFO, Scopus, Web of Science, the Physiotherapy Evidence Database (PEDro)
(http://www.pedro.org.au/), the specialist rehabilitation research databases CIRRIE
(http://cirrie.buffalo.edu) and REHABDATA (<u>www.naric.com</u>). The databases were
searched from the point of the last Cochrane review (November 2009) until 4th May
2020.

154 Search terms included: Arm; Practice; Stroke Rehabilitation; Imagination; Paresis;

155 Recovery of Function; Stroke

156 The search strategy is documented in appendix 1.

157 Screening and Selection

Titles and abstracts were independently screened by two reviewers (RS and KJ). 158 Studies were included if: they were a parallel group randomised controlled trial; 159 160 participants were over16 years of age with a confirmed diagnosis of stroke (clinical criteria and/or scanning) and had a sensorimotor upper-limb involvement as a result 161 of their stroke; compared a MP intervention, defined as cognitive rehearsal of a 162 movement or task for the upper-limb,⁹ to conventional therapy, usual care, a defined 163 placebo intervention or no therapy; and the effects of MP could be delineated from 164 other interventions. Only studies whose full text was available in English and that 165 used outcomes that measured upper-limb activities before and after the intervention 166 were included. Upper-limb activities were defined according to the WHO criteria³⁵ 167 and included lifting/carrying and putting down (d4300,4301, 4302, 4305) fine hand 168 use (d440) and hand and arm use (d445). 169

After title and abstract screening, the full text of selected studies were retrieved, independently read and assessed for inclusion. Any papers where suitability was unclear were reviewed by two reviewers (RS and KJ) and a decision made through discussion.

174 Data Extraction

Data was extracted by one reviewer (PB) into a data extraction spreadsheet which was developed based on the Template for intervention description and replication (TIDieR) checklist.³¹ Data extraction was checked by a second reviewer (RS).

The following data were extracted: citation details; aims; total number of participants; 178 number of groups; number in each group; number lost to attrition in each group; 179 randomisation: blinding; time since stroke; selection criteria; measurement schedule; 180 baseline arm function/score; frequency of MP sessions; duration of each MP 181 session; the length of the entire MP intervention; number of completed sessions; 182 total minutes of completed MP; duration and length of control intervention; baseline, 183 post intervention and follow-up (where available) point estimates and measures of 184 variability on outcome tools that measured activities or activity limitations. Where the 185 186 manuscript did not present data, the authors were contacted for this information.

187 Risk of bias (quality) assessment

Two reviewers (RS and KJ) independently assessed the quality of all included
studies using the Physiotherapy Evidence Database (PEDro)³⁶ criteria scores.
Where possible, published assessments on the PEDro website were used to indicate
the quality of included studies. In the absence of published scores, PEDro scores
were independently assigned and then agreed by two reviewers (RS and KJ).

Scores indicated poor (less than 2), moderate (3-5) or high quality (6-10) trials.³⁶ Any
discrepancies were resolved by discussion.

195 Analysis

Studies were synthesised narratively and, where possible, meta-analysis of the 196 different continuous measures of upper-limb activity, presenting results as point 197 estimates and 95% confidence intervals (CI) was also undertaken by one reviewer 198 (AC). Funnel plots (plot of effect estimates from studies against a measure of 199 200 precision) were used to judge risk of publication bias. Weighted mean differences (MD) were calculated where outcomes were measured on the same scale, with 201 standardised weighted mean differences (SMDs) calculated where outcomes were 202 measured on different scales for the same underlying construct.³⁴ Random-effects 203 204 models were estimated where SMDs were used to pool outcomes and fixed-effect models where MD were synthesised. Heterogeneity was assessed through visual 205 inspection of forest plots and the calculation of the χ^2 and I^2 statistics. Sub-group 206 analyses explored the influence of time post stroke onset (using Stroke Recovery 207 and Rehabilitation Roundtable, SRRR classification),³⁷ severity of upper-limb 208 involvement at baseline (i.e. Action Research Arm Test; ARAT 0-20; 21-40; 41-57) 209 and the overall dose of mental practice delivered (minutes per day, calculated by 210 211 dividing the total number of minutes of MP reported to be delivered by the total length of the MP intervention in days). This was categorised into low (below 25th 212 centile), medium (25-75th centile), high doses (above 75th centile). 213

Results were presented according to the Template for Intervention Description and
 Replication (TIDieR),³¹ and comprised consideration of who and when (including
 participant gender, the time since stroke using published criteria,³⁷ the participants'

cognitive function, and arm severity at baseline) and what and how much (the
viewpoint of MP, the simultaneous inclusion and the nature of other rehabilitative
interventions and the overall dose of MP provided).

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221

222 **Results**

Initial searches yielded 1721 articles, which were reduced to 1239 after duplicates 223 were removed (see PRISMA diagram in Figure 1). After title, abstract, and then full 224 text screening, fifteen studies were selected for narrative review and presented in 225 Table 1.^{19,26,27,38–49} Four authors were contacted and asked to provide data which 226 would allow meta-analysis;^{44,46,47,49} one responded with data, another responded but 227 did not provide the data, two did not respond but the data for one of these two was 228 able to be extracted from Barclay-Goddard et al.'s (2011) Cochrane review.⁸ This left 229 12 studies that were suitable for meta-analysis.^{19,26,27,38–46} The characteristics and 230 main findings of included studies are presented in Table 1. 231 Figure 1 here 232 Figure 1 PRISMA diagram to show article flow through the review 233 TABLE 1 here 234 Table 1 Characteristics of included studies 235

236

237 **Quality:**

238 PEDRO scores are displayed in Table 1. Seven studies were either of

moderate $^{19,26,38,39,44-46}$ or high quality $^{27,40-43,48,49}$ whilst one was of poor quality.⁴⁷

240 Outcomes:

Nine^{19,26,27,38–41,45,49} studies utilised the Action Research Arm Test to indicate upper-241 limb activity limitations as a primary or secondary outcome tool. The remaining six 242 studies used either the Wolf Motor Function Test,^{42,48}, Jebsen-Taylor hand test,⁴³ 243 Arm functional test - Functional Arm ability scale⁴⁶ or Motor activity log.^{44,47} As these 244 tools captured data predominantly at the level of activities they were collectively 245 pooled for analysis.^{19,26,27,38-46} Meta-analysis of these 12 studies revealed the 246 standardised weighted mean difference (SMD) for the overall effectiveness of MP 247 248 upon measures of activity limitation (shown in Figure 2) was 0.6 (95% confidence intervals, CI: 0.32 to 0.88; n=328; I²=29%). 249

250

FIGURE 2 HERE

Figure 2 Forest plot to show the overall effectiveness of mental practice upon activity limitations of the upper-limb

254

255 Who and when?

Fourteen of the 15 included studies presented demographic data and reported the
time since stroke (Table 1).^{19,26,27,38–46,48,50} There were more males than females
(males: 282; females: 183) and participants were a mean of 59.2 (SD: 4.9) years old.
Using standard criteria³⁷, eight studies were conducted in the chronic period^{26,38,39,42–45,49}, two in the late sub-acute period^{27,41} with four being undertaken with people

predominantly in the early sub-acute period after stroke.^{19,40,46,48} Meta-analysis of 12 261 studies showed that MP had the largest benefit upon activity limitations in the early 262 subacute period (7 days to 3 months) after stroke (SMD:1.01, 95% CI: 0.53 to 1.5; 3 263 studies, n=76; $I^2=0\%$)^{19,40,46} followed by the chronic period (6 months and later; SMD: 264 0.65, 95% CI: 0.32 to 0.99; 7 studies, n=151 I²=0%)^{13,26,38,39,42-44} (Figure 3). Changes 265 in activity limitation after MP during the late sub-acute period (3 to 6 months) were 266 small and non-significant (SMD:0.09, 95% CI: -0.3 to 0.48, p=0.65; 2 studies, n=111 267 $|^{2}=0\%)$, 27,41 268

FIGURE 3 HERE

Figure 3 Forest plot to show subgroup analysis (fixed effects) of time after stroke and
effectiveness of mental practice upon upper limb activities

272

273 All studies required participants to have no or very mild cognitive deficits in order to take part. Ten from 15 studies screened people for cognitive dysfunction prior to 274 inclusion. ^{26,27,38,39,41–44,46,49} Eight used the mini or full modified mental state 275 examination ^{26,38,39,42–44,46,49} with cut-offs of 24 and 70 respectively. One used the 276 mental status questionnaire,²⁷ whilst another used the Wechsler Memory scale.⁴¹ 277 For those eight studies (n=226) that reported baseline arm function using the 278 ARAT,^{19,26,27,38–41,45} most included participants who had moderate arm limitations 279 (median ARAT score: 25 range: 5-49). Only one study included participants who 280 281 would be classed as having severe arm limitations on the ARAT (mean ARAT score: 5)⁴¹. As presented in Figure 4, meta-analysis showed that MP had the greatest 282 benefit for those with the most severe upper-limb limitations (ARAT scores of 0-20; 283 weighted mean difference, WMD: 7.33, 95% CI: 0.94 to13.72; 3 studies, ³⁹⁻⁴¹ n=82; 284

 $I^2=0\%$) followed by those with moderate limitations (ARAT scores from 21-40; WMD: 5.13, 95% CI: 2.88 to 7.39, 4 studies, ^{19,26,27,38} n=115; I²=0%). However, MP was not effective in improving limitations in those with the most mild upper-limb involvement, although this was only based on one study (ARAT scores from 41-57; WMD: 2.50, 95% CI: -4.38 to 9.38, p=0.48; 1 study,⁴⁵ n=29).

FIGURE 4 HERE

Figure 4 Forest plot to show subgroup analysis (random effects) of the effects of initial arm severity, measured using the ARAT, upon the effectiveness of mental practice

294

295 What and how much?

296 Ten studies did not clearly specify which perspective (first or third person) was used

during MP. Of the five studies that did, four solely utilised a first person

298 perspective^{19,39,41,48} whilst one used both first and third person.⁴³

In ten of the studies, MP was delivered in addition to conventional therapy/other

usual rehabilitation^{13,19,26,38–40,42,43,46,49} however there was little included detail of what
this comprised.

The mean length of the MP intervention was 4.7 weeks (SD: 1.9) with a median of 3

sessions (range 2-15) being provided each week. One study compared three

different durations of MP intervention so was excluded from this analysis.⁵⁰ The

mean duration of a typical MP session in the other 14 studies was 28.4 minutes (SD:

15.1).^{19,26,27,38–48} Dose was calculated to indicate the average amount of MP received

307 per day (total number of minutes of MP divided by the total length of the intervention

in days). The mean average dose was 20.3 minutes/day (SD: 14) from the 14
 studies that used a single MP intervention^{19,26,27,38–48} (Table 1).

For meta-analysis, the data were split into low, medium and high doses using the method of calculation described earlier. Two studies used a low dose of MP (\leq 6.6 minutes/day),^{26,43} five used a medium dose (6.7 to 32 minutes/day)^{13,38,39,42,44} whilst five used a high dose (\geq 32.1 minutes/day).^{19,27,40,41,46} As shown in Figure 5, a lower dose appeared to confer somewhat greater benefit to upper-limb function (SMD: 0.89, 95% CI: 0.04 to1.74; 2 studies,^{26,43} n=25; I²=0%) than a medium (SMD: 0.61, 95%: 0.25 to 0.98; 5 studies,^{13,38,39,42,44} n=126 I²=0%) or high dose (SMD: 0.57, 95%

317 CI: 0.05 to 1.08; 5 studies, ^{19,27,40,41,46} n=177; l²=60%).

318 FIGURE 5 HERE

Figure 5 Forest plot to show subgroup analysis of the effects of dose upon activity limitations

Six of the 15 studies provided control treatments to match the time and attention
given the intervention group. ^{19,27,38,43,44,48} They provided additional conventional
therapy,^{19,44} relaxation recordings,^{38,43} additional treatment based on the
neurodevelopmental technique,⁴⁸ or visual imagery training.²⁷ The remaining nine
studies did not detail the provision of additional control treatment.

326

327

328 Discussion

This systematic review evaluates the effectiveness of MP for the upper-limb after stroke. It aimed to determine in whom and when after stroke it might have benefit

and to identify the dose of MP that might have the greatest effect. The main results
of this review were based on largely moderate to high quality trials and indicate that
MP can confer significant reductions in upper-limb activity limitations. Heterogeneity
in the meta-analyses were low (less than 29%) supporting the validity of these
results.

336 An important finding is that the magnitude of reported benefit of MP on upper-limb activity limitations (SMD: 0.60, 95% confidence intervals, CI: 0.32 to 0.88; 12 studies, 337 n=328) exceeds that reported for other recognised upper-limb treatments in 338 comparable studies of people after stroke. These include repetitive task training 339 (SMD: 0.25, 95% CI: 0.01 to 0.49; 11 studies n=749)²⁴ and constraint induced 340 movement therapy (SMD: 0.24, 95% CI: -0.05 to 0.52; 42 studies, n= 1453)⁵¹. 341 Despite the apparent superiority of MP to other upper-limb interventions, MP is 342 reported to be used much less frequently than either repetitive task training or 343 constraint induced movement therapy in clinical practice.³² This indicates that further 344 work to support the implementation of MP into routine therapy practice is clearly 345 warranted. 346

The results of the current study are similar to that of the most recent Cochrane 347 review of MP for the upper-limb which reported analogous effect sizes of the overall 348 effectiveness of MP from 15 studies (SMD: 0.66, 95%CI: 0.39 to 0.94; n=397).9 349 However, a larger analysis reported a smaller effect (SMD: 0.36, 95% CI: 0.16 to 350 0.55; 18 studies, n=644).²⁸ The disparity between these two reviews may be 351 attributable to differences in the number of studies and participants included and the 352 analytical approach; Guerra et al. (2017)²⁸ pooled data from studies measuring both 353 impairment and activity limitations, whilst Barclay-Goddard et al. (2020) did not.⁹ The 354 findings could indicate a trend for lower effectiveness of MP on impairments in 355

comparison to activity limitations. However, this supposition is not supported in the analysis of impairment outcomes by Barclay-Goddard et al. (2020) (SMD: 0.59, 95%CI: 0.30 - 0.87; 15 studies, n=397)⁹. This warrants further investigation.

359 Who? Patient selection and time since stroke

All trials selected participants that had normal or only mild cognitive dysfunction after 360 stroke. The effect of reduced cognition upon the ability to undertake MP after stroke 361 remains uncertain. Several studies have shown that mental imagery after stroke may 362 take longer to complete when compared to healthy and/or younger controls^{20,21,52,53} 363 but no studies have provided explicit evidence of the minimal cognitive function 364 required to successfully complete MP. Future studies should therefore consider 365 366 broadening inclusion criteria to incorporate sub-groups of those after stroke with moderate cognitive deficits to determine if they may benefit from MP. 367

None of the included trials stratified participants at baseline. As well as potentially attenuating the estimates of effectiveness, the absence of stratification leads to difficulty in knowing the optimal time after stroke and the severity of upper-limb limitations that are likely to benefit most from MP. However, the sub-analyses presented in this review suggest that MP delivered in the early subacute and chronic phases after stroke and to those with the most severe arm deficits (scoring 0-20 on the ARAT) may gain the most from MP.

Whilst others have found small differences between the effectiveness of MP provided in the first six months after stroke or later⁹ (less than 6 months: SMD: 0.48, 95% CI: -0.04 to 0.99; 5 studies, n=188; ≥more than 6 months: SMD: 0.75, 95% CI: 0.44 to 1.06, 8 studies, n=179),⁹ our use of the SRRR criteria allowed more detailed consideration of time periods. This revealed that the early subacute (seven days to

three months after stroke) group had the largest change in activity limitations after 380 using MP. The larger magnitude of changes during this early period is perhaps 381 unsurprising as the most rapid and the majority of endogenous plasticity, and thus 382 recovery of motor control, is typically observed in the first few weeks after stroke.^{37,54} 383 However, in line with the Barclay et al. (2020) review,⁹, a smaller but significant 384 benefit was also seen in people at least 6 months after stroke, suggesting that MP 385 386 may have different mechanisms of effect depending upon when after stroke it is used. Collectively these results suggest that MP can improve upper-limb function at 387 388 multiple time points after stroke, and that work to understand the mechanism, and potential differences in mechanisms, depending upon the time period in which it is 389 applied after stroke is warranted. 390

391 Our finding that those with the most severe deficits exhibited substantial and significant benefit from MP is novel and is particularly noteworthy as this benefit (MD: 392 7.3, 95% CI: 0.94 to 13.7, I²=0%) exceeds the minimal clinically important difference 393 for the ARAT (5.7).⁵⁵ No other reviews of MP for the upper-limb have considered the 394 severity of upper-limb deficits upon the effectiveness of MP.^{9,28,56} Our finding 395 indicates that MP could provide a promising treatment for people with severe upper-396 limb limitations, who typically cannot independently participate in other recognised 397 treatments (such as repetitive task training), as they have little voluntary movement. 398 The strength of this conclusion is limited by the wide confidence intervals, relatively 399 small number of studies in each subgroup, although heterogeneity was low, and 400 because the cut offs used in this analysis were arbitrarily assigned (ARAT scores: 401 severe: 0-20; moderate: 21-40; mild:41-57) to allow comparison. However, we chose 402 not to use more widely recognised ARAT cut off scores (severe: 0-10; moderate: 11-403 56; mild: 57)⁵⁵ as this would mean all but one study⁴¹ would be considered to have 404

moderate limitations and so any subtleties in the response to MP would be missed. 405 Whilst analysing the severity of upper-limb limitations as we did is not standard, it 406 highlights that the effect of MP upon severe activity limitations after stroke is worthy 407 of further study in this group. 408

409

What and How much? Delivery and dose of MP after stroke

In this review there was little indication to determine which perspective (first or third 410 person) used during MP was superior as most studies did not indicate the 411 perspective used. Mental imagery from a first person (egocentric) perspective is 412 generally agreed to be more effective than from a third person perspective and so is 413 more widely used in published research protocols⁷ but there is little empirical 414 evidence to support this.^{11,43} Few studies also indicated if or how MP training was 415 416 supervised. This is important as how MP is provided will have important time and cost implications for therapy services, significantly influencing cost effectiveness. 417 Lack of detail regarding how MP is provided is a common criticism of studies 418 reporting MP interventions.⁵⁷ This could be remedied by adopting recognised 419 frameworks to deliver MP used in sport (e.g. Physical, Environment, Task, Timing, 420 Learning, Emotion and Perspective, PETTLEP)¹¹ and by the assiduous use of 421 intervention reporting guidelines in future studies (e.g. TIDieR).^{31,57} 422

Interestingly, all but one⁴¹ of the 15 included studies in this review delivered MP as a 423 single massed practice session on each day it was delivered. This contrasts with the 424 superiority of distributed over massed practice seen in motor learning⁵⁸ and the 425 findings of a small study in which distributed MP (20 minutes, three times a day, 426 n=13) produced significantly larger gains in upper-limb recovery after stroke when 427 compared to once daily therapy for 60 minutes (n=14).⁵⁹ This suggests that future 428

studies should consider delivering shorter but more frequent MP sessions to elicitgreater gains in function.

The meta-analysis of the dose delivered in this review indicated that a low or 431 medium dose (low: less than 6.6 minutes per day medium: more than >6.7 to less 432 than 32.1 minutes/day) appeared slightly more beneficial than higher doses of MP 433 434 (more than 32.2 minutes). If accurate, this indicates that MP could provide an effective intervention without requiring substantial increases in therapist time and 435 costs. However, this is perhaps unlikely as these findings contradict the accepted 436 linear relationship between upper-limb therapy dose and response.⁶⁰ and instead 437 could be explained by the doses of MP delivered in all included studies being below 438 the amount needed to elicit optimal benefit. Other studies indicate that therapy for 439 the upper-limb must be delivered intensively in order to show an optimal benefit, ^{61,62} 440 which is likely to comprise several hours of intensive daily treatment.^{60,63} In studies 441 442 included in this review, one hour was the maximum daily amount of MP delivered and this was only delivered in two trials.^{41,46} Others have shown no significant 443 differences in outcomes between MP delivered for an hour a day when compared to 444 lower doses 20 and 40 minutes/day.⁴⁹ This suggests that future trials should 445 compare doses of a few minutes of MP to much more intensive practice akin to that 446 in studies of upper limb rehabilitation that have shown significant benefit. It is also 447 important to note that, both in this study and others, judgements of dose and 448 intensity were estimated solely from the duration that MP was provided. Detailing the 449 numbers of repetitions and the joints and movements targeted of mentally practiced 450 movements provided by the MP script would provide a more accurate estimation of 451 the intensity of training and should be reported in future studies, although it is 452

recognised that an individual's adherence to imagining movements cannot bemeasured.

455 *Limitations*

Funnel plots suggest that the findings of this systematic review may be skewed by 456 publication bias, with asymmetrical plots suggesting a lack of small studies showing 457 no benefit from the comparator interventions. Inevitably this can lead to 458 overestimation of the effectiveness of MP. Potential bias in the judgements of which 459 studies were included in the review may also skew results. Whilst data extraction 460 was checked, it was undertaken by one reviewer which may have introduced error. 461 Its wider validity is also restricted by the inclusion of only full-text articles available in 462 463 English and exclusion of articles that did not measure changes in activity limitations of the upper-limb. The exclusion of studies that measured impairment was primarily 464 because a reduction in activity limitations is recognised to be more meaningful to 465 people after stroke than alterations in impairment.⁶⁴ Taken alongside the knowledge 466 that finding ways to effectively rehabilitate the upper-limb after stroke is a recognised 467 priority for both stroke survivors and clinicians³⁰ the focus upon activity limitations in 468 this review increases its clinical validity and ultimately its usefulness to clinicians and 469 people after stroke. 470

Interestingly, the studies included in this review did not always reflect the 'typical'
person who has had a stroke which limits the broader generalisability of the findings.
Included studies had relatively young participants with a mean age of 59 (SD: 5)
years and preferentially recruited males (there were almost 100 more males than
females). In Europe and Australasia, the average age for first stroke is markedly
older (around 70 years), stroke is more common in women than men,⁶⁵ and findings

between sexes are not directly transferable as females tend to have poorer
functional recovery.⁶⁶

A further limitation to the findings of this review is that no studies comprehensively
examined compliance and fidelity to the MP intervention; others have reported low
patient and therapist compliance to MP,⁶⁷ MP interventions are often not clearly
defined⁵⁷ and few therapists report using MP as part of therapy for the upper-limb
after stroke³² suggesting that the training and practical requirements of implementing
MP need to be considered alongside its clinical effectiveness.

485

486 **Conclusions**

487 The results of this systematic review and meta-analysis indicated that MP can significantly improve activity limitations of the upper-limb after stroke and that it 488 489 appears more effective than several other, more frequently used interventions for the upper-limb. This highlights that work is warranted to explore and support the 490 successful implementation of MP into clinical practice so that more people can 491 492 benefit from using it as part of their rehabilitation after stroke. The finding that MP provides significant and substantial benefit that markedly exceeds the minimal 493 clinically important difference for the ARAT in those people after stroke with the most 494 severe limitations of the upper-limb is particularly novel and suggests that MP may 495 constitute a promising therapy for this subgroup. 496

Future trials should seek to stratify people based on the severity of upper-limb function and/or their potential for recovery of the upper-limb to aid understanding of who may benefit the most from MP. Further work is also needed to standardise the delivery of MP; including identifying an optimal dose, standardising exactly how MP

is being used (first or third person viewpoints) and the number of repetitions of the
included movements in the MP intervention. This could be done by use of a detailed
intervention reporting tool³¹ and established MP intervention structure.¹¹

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- 698 Figure 1 NO LEGEND
- 699 Figure 2

- Legend: Data in Nilsen et al (2012) are reversed so that improvement is indicated by a
- 701 higher score.

702

	Ment	al Practio	се	C	Control			Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI
Fang 2018	35	5	10	30	6	10	6.9%	0.87 [-0.06, 1.79]	
letswaart 2011	31.5	20.68	39	30.38	20.53	32	16.3%	0.05 [-0.41, 0.52]	- - -
Liu 2014	30.1	17.43	10	19.3	15.12	10	7.2%	0.63 [-0.27, 1.54]	
Nayeem 2012	3.91	0.39	15	3.5	0.59	15	9.5%	0.80 [0.05, 1.55]	
Nilsen 2012	391.01	396.11	6	166.8	168.3	6	4.7%	0.68 [-0.50, 1.86]	
Page 2001	40.4	13.4	8	25	11.7	5	4.4%	1.12 [-0.11, 2.35]	
Page 2005	43.8	3.09	6	38.7	1.2	5	2.9%	1.91 [0.36, 3.46]	
Page 2007	25.81	11.29	16	17.69	13.75	16	10.1%	0.63 [-0.08, 1.34]	
Park 2015b	51.5	9	14	49	9.9	15	9.8%	0.26 [-0.48, 0.99]	
Riccio 2010	46.8	4.9	18	38.7	6.7	18	9.8%	1.35 [0.62, 2.08]	
Seong-Sik 2015	51	21.56	12	40.17	25.3	12	8.4%	0.44 [-0.37, 1.26]	
Welfringer 2011	9.6	18.5	15	6.5	16.2	15	10.0%	0.17 [-0.54, 0.89]	
Total (95% CI)			169			159	100.0%	0.60 [0.32, 0.88]	•
Heterogeneity: Tau ² =	0.07; Ch	i ² = 15.43	3, df = 1	1 (P = 0	.16); ==	: 29%		-	
Test for overall effect	Z= 4.25	(P < 0.00	01)	•					-4 -2 U 2 4 Favours [control] Favours [mental practice]

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705 Figure 3

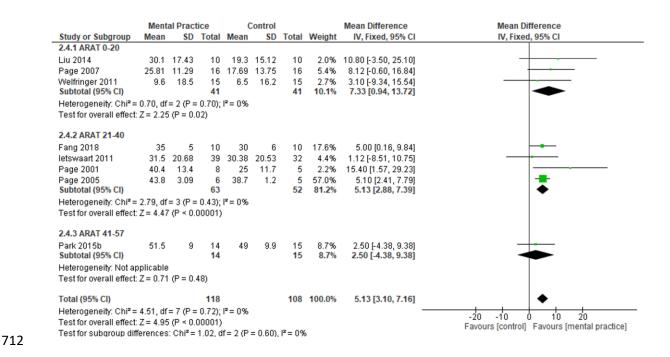
	Ment	al Practi	ce	0	Control		S	td. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% CI
2.2.1 Early Sub-Acute	9								and the second second second second
Fang 2018	35	5	10	30	6	10	5.9%	0.87 [-0.06, 1.79]	
Liu 2014	30.1	17.43	10	19.3	15.12	10	6.2%	0.63 [-0.27, 1.54]	+
Riccio 2010	46.8	4.9	18	38.7	6.7	18	9.5%	1.35 [0.62, 2.08]	
Subtotal (95% CI)			38			38	21.6%	1.01 [0.53, 1.50]	•
Heterogeneity: Chi ² =	1.58, df =	2 (P = 0)	45); 12:	= 0%					
Test for overall effect:	Z= 4.09 ((P < 0.00	01)						
2.2.2 Late Sub-Acute									
etswaart 2011	31.5	20.68	39	30.38	20.53	32	23.2%	0.05 [-0.41, 0.52]	-+-
Welfringer 2011	9.6	18.5	15	6.5	16.2	15	9.9%	0.17 [-0.54, 0.89]	
Subtotal (95% CI)			54			47	33.1%	0.09 [-0.30, 0.48]	◆
Heterogeneity: Chi ² =	0.08, df=	1 (P = 0)	78); l² :	= 0%					
Test for overall effect:	Z=0.45 ((P = 0.65)						
2.2.3 Chronic									
Nayeem 2012	3.91	0.39	15	3.5	0.59	15	9.1%	0.80 (0.05, 1.55)	
Nilsen 2012	391.01	396.11	6	166.8	168.3	6	3.6%	0.68 [-0.50, 1.86]	
Page 2001	40.4	13.4	8	25	11.7	5	3.4%	1.12 [-0.11, 2.35]	
Page 2005	43.8	3.09	6	38.7	1.2	5	2.1%	1.91 [0.36, 3.46]	
Page 2007	25.81	11.29	16	17.69	13.75	16	10.0%	0.63 [-0.08, 1.34]	
Park 2015b	51.5	9	14	49	9.9	15	9.5%	0.26 [-0.48, 0.99]	
Seong-Sik 2015	51	21.56	12	40.17	25.3	12	7.7%	0.44 [-0.37, 1.26]	
Subtotal (95% CI)			77			74	45.3%	0.65 [0.32, 0.99]	•
Heterogeneity: Chi ² =	4.62, df=	6 (P = 0	.59); l² :	= 0%					
Test for overall effect:	Z = 3.83 ((P = 0.00	01)						
Total (95% CI)			169			159	100.0%	0.54 [0.32, 0.77]	•
Heterogeneity: Chi ² =	15.43, df	= 11 (P =	0.16);	I ² = 29%	6				-4 -2 0 2 4
Test for overall effect:	Z= 4.74 (P < 0.00	001)						-4 -2 U 2 4 Favours [control] Favours [mental practic
Test for subaroup diff	erences:	$Chi^2 = 9$	16. df=	2(P = 0)	0.01), P	= 78.29	X6		r avours (control) - Pavours (mental practic

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707

- Legend: Data in Nilsen et al (2012) are reversed so that improvement is indicated by a
- 710 higher score.

711 Figure 4



713 Figure 5

	Menta	al Practio	се	0	ontrol			Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% Cl
2.3.1 Low									
Nilsen 2012	391.01	396.11	6	166.8	168.3	6	4.7%	0.68 [-0.50, 1.86]	
Page 2001	40.4	13.4	8	25	11.7	5	4.4%	1.12 [-0.11, 2.35]	
Subtotal (95% CI)			14			11	9.1%	0.89 [0.04, 1.74]	-
Heterogeneity: Tau²				P = 0.61); I ² = 0'	%			
Test for overall effec	t: Z = 2.05 ((P = 0.04))						
2.3.2 Medium									
Nayeem 2012	3.91	0.39	15	3.5	0.59	15	9.5%	0.80 [0.05, 1.55]	— •—
Page 2005	43.8	3.09	6	38.7	1.2	5	2.9%	1.91 [0.36, 3.46]	
Page 2007	25.81	11.29	16	17.69	13.75	16	10.1%	0.63 [-0.08, 1.34]	
Park 2015b	51.5	9	14	49	9.9	15	9.8%	0.26 [-0.48, 0.99]	_ _
Seong-Sik 2015	51	21.56	12	40.17	25.3	12	8.4%	0.44 [-0.37, 1.26]	
Subtotal (95% CI)			63			63	40.7%	0.61 [0.25, 0.98]	•
Heterogeneity: Tau²				P = 0.40	l); l² = 0'	%			
Test for overall effec	t: Z = 3.29 (P = 0.00	1)						
2.3.3 High									
Fang 2018	35					4.0	0.00/		
		5	10	30	6	10	6.9%	0.87 [-0.06, 1.79]	
-	31.5	5 20.68	10 39	30 30.38		32	0.9% 16.3%	0.87 [-0.06, 1.79] 0.05 [-0.41, 0.52]	
etswaart 2011				30.38					
etswaart 2011 _iu 2014	31.5	20.68	39	30.38	20.53	32	16.3%	0.05 [-0.41, 0.52]	
etswaart 2011 Liu 2014 Riccio 2010 Welfringer 2011	31.5 30.1	20.68 17.43	39 10 18 15	30.38 19.3	20.53 15.12	32 10 18 15	16.3% 7.2% 9.8% 10.0%	0.05 [-0.41, 0.52] 0.63 [-0.27, 1.54] 1.35 [0.62, 2.08] 0.17 [-0.54, 0.89]	
etswaart 2011 Liu 2014 Riccio 2010 Welfringer 2011 Subtotal (95% CI)	31.5 30.1 46.8 9.6	20.68 17.43 4.9 18.5	39 10 18 15 92	30.38 19.3 38.7 6.5	20.53 15.12 6.7 16.2	32 10 18 15 85	16.3% 7.2% 9.8%	0.05 [-0.41, 0.52] 0.63 [-0.27, 1.54] 1.35 [0.62, 2.08]	
etswaart 2011 Liu 2014 Riccio 2010 Welfringer 2011 Subtotal (95% CI) Heterogeneity: Tau ²	31.5 30.1 46.8 9.6 = 0.20; Chi	20.68 17.43 4.9 18.5 i ² = 10.07	39 10 18 15 92 7, df = 4	30.38 19.3 38.7 6.5	20.53 15.12 6.7 16.2	32 10 18 15 85	16.3% 7.2% 9.8% 10.0%	0.05 [-0.41, 0.52] 0.63 [-0.27, 1.54] 1.35 [0.62, 2.08] 0.17 [-0.54, 0.89]	
etswaart 2011 Liu 2014 Riccio 2010 Welfringer 2011 Subtotal (95% CI) Heterogeneity: Tau ²	31.5 30.1 46.8 9.6 = 0.20; Chi	20.68 17.43 4.9 18.5 i ² = 10.07	39 10 18 15 92 7, df = 4	30.38 19.3 38.7 6.5	20.53 15.12 6.7 16.2	32 10 18 15 85	16.3% 7.2% 9.8% 10.0%	0.05 [-0.41, 0.52] 0.63 [-0.27, 1.54] 1.35 [0.62, 2.08] 0.17 [-0.54, 0.89]	
etswaart 2011 Liu 2014 Riccio 2010 Welfringer 2011 Subtotal (95% CI)	31.5 30.1 46.8 9.6 = 0.20; Chi	20.68 17.43 4.9 18.5 i ² = 10.07	39 10 18 15 92 7, df = 4	30.38 19.3 38.7 6.5	20.53 15.12 6.7 16.2	32 10 18 15 85 60%	16.3% 7.2% 9.8% 10.0%	0.05 [-0.41, 0.52] 0.63 [-0.27, 1.54] 1.35 [0.62, 2.08] 0.17 [-0.54, 0.89]	
etswaart 2011 Liu 2014 Riccio 2010 Welfringer 2011 Subtotal (95% CI) Heterogeneity: Tau ² Fest for overall effec Fotal (95% CI)	31.5 30.1 46.8 9.6 = 0.20; Chi t: Z = 2.17 (20.68 17.43 4.9 18.5 i ² = 10.07 (P = 0.03)	39 10 18 15 92 7, df = 4) 169	30.38 19.3 38.7 6.5 (P = 0.0	20.53 15.12 6.7 16.2 (4); I ² = 1	32 10 18 15 85 60% 159	16.3% 7.2% 9.8% 10.0% 50.2%	0.05 [-0.41, 0.52] 0.63 [-0.27, 1.54] 1.35 [0.62, 2.08] 0.17 [-0.54, 0.89] 0.57 [0.05, 1.08]	
etswaart 2011 Liu 2014 Riccio 2010 Welfringer 2011 Subtotal (95% CI) Heterogeneity: Tau ² Fest for overall effec	31.5 30.1 46.8 9.6 = 0.20; Chi t: Z = 2.17 (= 0.07; Chi	20.68 17.43 4.9 18.5 i ² = 10.07 (P = 0.03) i ² = 15.43	39 10 18 15 92 7, df = 4) 169 8, df = 1	30.38 19.3 38.7 6.5 (P = 0.0	20.53 15.12 6.7 16.2 (4); I ² = 1	32 10 18 15 85 60% 159	16.3% 7.2% 9.8% 10.0% 50.2%	0.05 [-0.41, 0.52] 0.63 [-0.27, 1.54] 1.35 [0.62, 2.08] 0.17 [-0.54, 0.89] 0.57 [0.05, 1.08]	-4 -2 0 2 4 Favours (control) Favours (mental practice

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Legend: Data in Nilsen et al (2012) are reversed so that improvement is indicated by a

716 higher score

- 717
- 718