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Title	Why complexity matters in physiotherapy research
Туре	Article
URL	https://clok.uclan.ac.uk/id/eprint/42497/
DOI	https://doi.org/10.1016/j.physio.2022.02.004
Date	2022
Citation	Stockley, Rachel (2022) Why complexity matters in physiotherapy research.
	Physiotherapy, 117. A1-A2. ISSN 0031-9406
Creators	Stockley, Rachel

It is advisable to refer to the publisher's version if you intend to cite from the work. https://doi.org/10.1016/j.physio.2022.02.004

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1	<b>EDITORIAL</b> :	Why	complexity	matters in	physiotherapy	research
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**Word count**: 1054

- **Keywords:** evidence-based practice; physiotherapy; research design; rehabilitation research

## 12 Main Text

13 We talk of complexity throughout physiotherapy and rehabilitation - complex interventions, 14 complex patients and complex conditions are all too familiar phrases in both research and 15 practice [1]. But what does complexity mean? It may be helpful to start with a distinction – a 16 complicated system (such as a space rocket) comprises many intricate, multi-faceted elements 17 which behave linearly and as we would predict. Each of these constituent parts have a clearly 18 defined and constant relationship with each other and the external context and so can be 19 reliably replicated *ad infinitum* [2]. In contrast, the behaviour of a complex system is often 20 unpredictable, non-linear and is difficult to replicate. It has many interacting elements; these 21 elements directly influence and are influenced by each other and the wider context. Complex 22 systems are emergent and adaptive, that is, their overall effect is greater than the sum of their 23 parts and they change over time. This means that in a complex system it is impossible to 24 reliably identify or predict the contribution of a single element on the overall outcome [2]. 25

26 Why does this matter for physiotherapy? In clinical practice, a holistic approach has long 27 recognised complexity -we understand that the context, belief and behaviours of both 28 therapists and patients affect the response to any intervention we provide [3]. Yet many of 29 our research trials do not reflect, measure or acknowledge these factors, despite them having 30 a pivotal influence on both the effectiveness and implementation of interventions in the real-31 world. Research designs that search for a single effective ingredient in a restrictive context 32 and control as many variables as possible may appear attractive [4], but they risk stifling the 33 emergent interactions that influence the effectiveness of a complex intervention [5]. Put 34 simply, constraining complex interventions in research means that beneficial interventions 35 could be incorrectly judged as ineffective and *vice versa*. Findings of this reductive research 36 is also likely to be difficult to implement as the controlled context in which it was conducted

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37 bears little resemblance to realistic practice [6]. Ultimately, attempting to reduce or control 38 the complexity that is inherent to many physiotherapy interventions reduces the power of 39 research to improve practice and results in patients being offered suboptimal care. However, 40 trials with little definition or control of potentially confounding variables will not generate 41 data that can be confidently applied to an individual patient. Clearly, embracing complexity 42 in our research is important but it does not mean that we should abandon controlled designs; 43 randomised controlled trials (RCTs) are our most powerful tool to test effectiveness and it is 44 entirely appropriate to control extraneous variables when assessing efficacy. However, 45 understanding complexity should prompt us to contemplate different trial designs that enable 46 us to recognise rather than constrain complexity in our research [6].

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48 The recent revision of the NIHR/MRC framework for the development and evaluation of 49 complex interventions [6] builds on an established recognition of the importance of 50 complexity in public health, basic science and more latterly, health research [4,7]. It is 51 particularly pertinent for physiotherapy researchers because, as we know, most of our 52 interventions can be defined as complex. The framework explicitly highlights the need to 53 consider the behaviour of complex systems in research, stresses the importance of context 54 and prioritises designs that generate clinically valuable data over those that simply seek to 55 minimise bias [6]. It recommends that researchers and stakeholders work together to identify 56 the most pressing questions that the research should address, rigorously develop the 57 intervention to be tested and articulate a (programme) theory that describes how an intervention will produce an outcome. This should not only detail the effect of the 58 59 intervention on the individual but also consider complexity – that is, the wider dynamic 60 context that will influence, and be influenced by, an intervention. A comprehensive 61 programme theory also supports implementation, economic evaluation and enables changes

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62 to be made to the intervention even during a trial [6] so that recent developments in 63 knowledge, practice or context can be incorporated into the interventions once a trial has 64 begun. This is particularly advantageous in light of how quickly practice can change in 65 response to internal and external influences and how slowly large clinical trials progress. Developing this theory is vital *prior* to considering or conducting an evaluative trial as it 66 67 guides the decision whether to proceed to a trial and ensures that many potential problems 68 that would undermine evaluation are identified and proactively managed. Once it has been 69 decided to move to a trial, process evaluations within a RCT and novel pragmatic and critical 70 realist RCT designs present established methods to determine both the effects of an 71 intervention but also explain how these effects were created [8,9]. Novel efficient RCT 72 designs (e.g. master protocol trials) enable multiple treatments and people with different 73 clinical presentations to be evaluated simultaneously, but are not yet used widely in 74 rehabilitation [10]. These trials enable clinicians to understand what works, for whom and 75 should a trial not show significant benefit, still provides useful knowledge to inform other 76 research studies which reduces research waste.

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78 In conclusion, physiotherapy practice is complex and this complexity should be reflected in 79 how we design and conduct research into our interventions. Now more than ever, 80 physiotherapy researchers have a clear mandate to undertake ambitious studies of complex 81 interventions that go beyond traditional reductionist designs and have the opportunity to 82 become recognised leaders in complexity-informed health research. This approach not only 83 supports high-quality research that addresses many of the key uncertainties in physiotherapy 84 practice but also provides a mechanism for implementation, so that effective interventions 85 bring benefit to patients more quickly. However, the developmental studies which are 86 necessary to prioritise, develop and refine complex interventions can be overlooked in favour

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- 87 of evaluative trials, perhaps because complex designs are more unpredictable and are unlikely
- to be easy or cheap to conduct [7]. Yet if the complex studies that are vital to advance our
- 89 practice are rejected in favour of simplistic, 'neat' research designs that answer easily
- 90 definable yet irrelevant questions [6] our profession and our patients will pay a significant
- 91 price. To make progress in developing effective, implementable physiotherapeutic
- 92 interventions we must conduct research using new tools designed to deal with the
- 93 complexities that are inherent to healthcare. This will enable us to answer clinically important
- 94 questions, advance our evidence-base, benefit our profession and, most importantly,
- 95 transform outcomes for our patients.
- 96
- 97 Funding: RCS is supported by a UKRI Future Leaders Fellowship [Grant number
- 98 MR/T022434/1]
- 99 **Conflict of Interest**: The author has no conflict of interest

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