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1 **The effect of caffeine mouth rinse on self paced cycling performance**

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## Abstract

The aim of the study was to determine whether caffeine mouth rinse would improve 30 minutes self-paced cycling trial. Twelve healthy active males (age  $20.5 \pm 0.7$  yrs, mass  $87.4 \pm 18.3$  kg) volunteered for the study. They attended the laboratory on 3 separate occasions performing a 30 minute self-paced cycling trial. On one occasion water was given as a mouth rinse for 5 s (PLA), on another occasion a 6.4% CHO solution was given for 5 s and finally a caffeine solution (containing 32 mg of caffeine dissolved in 125ml water; CAF) was given for 5s. Distance cycled, heart rate, ratings of perceived exertion, cadence, speed and power output were recorded throughout all trials. Distance cycled during the CAF mouth rinse trial ( $16.2 \pm 2.8$  km) was significantly greater compared to PLA trial ( $14.9 \pm 2.6$ km). There was no difference between CHO and CAF trials ( $P=0.89$ ). Cadence, power and velocity were significantly greater during the CAF trial compared to both PLA and CHO ( $P<0.05$ ). There were no differences between trials for HR and RPE ( $P>0.05$ ). Caffeine mouth rinse improves 30 minute cycling performance by allowing the participant to increase cadence, power and velocity without a concurrent increase in perceived exertion and heart rate.

**Key words:** carbohydrate, oral receptors, ergogenic

## Introduction

Caffeine has been unequivocally shown to improve cycling endurance performance either by prolonging time to exhaustion (Graham *et al.*, 1998; Van Soeren & Graham, 1998) or by decreasing time to complete set distances (Bridge & Jones, 2006). In fact, very few research studies have found caffeine to have no effect on aerobic performance (Roelands *et al.*, 2011). Although caffeine has been shown to improve endurance performance, the exact mechanism by which this is achieved remains unknown. Caffeine has been found to counter the effects of adenosine, which is a compound similar to caffeine (Davis & Green, 2009). As such, caffeine is believed to enhance motor unit recruitment, bronchodilation, vasodilation, arousal, neuro-excitability, catecholamine secretion, lypolysis, plus reduce sleep and pain perception (Astorino & Roberson, 2010; Beck *et al.*, 2008; Hendrix *et al.*, 2010; Hudson *et al.*, 2008; Sokmen *et al.*, 2008; Warren *et al.*, 2010; Woolf *et al.*, 2008).

The dampened pain perception causes an ergogenic effect on performance, via greater exercise duration (Beck *et al.*, 2008; Bruce *et al.*, 2000). Davis & Green (2009) propose that performance decrements correlate with increases in muscle pain and a reduction in motor unit recruitment. However, Sokmen *et al.* (2008), Davis & Green, 2009 and Warren *et al.* (2010) advocate that pain perception does not influence muscular performance; rather, improvements in performance are mediated through maintenance of the Na<sup>+</sup>/K<sup>+</sup> gradient and increases in calcium ions allowing more forceful contractions to occur and preventing plasma K<sup>+</sup> to rise. Caffeine also promotes the release of calcium ions from the sarcoplasmic reticulum, which ultimately allows more muscular contractions to take place, increasing strength and muscular endurance (Bellar *et al.*, 2011; Jacobson *et al.*, 1992; Warren *et al.*, 2010). Conversely, Davis & Green (2009) state that the concentrations of caffeine required to elicit this effect on the sarcoplasmic reticulum would be toxic to humans. In a recent review by Meeusen *et al.* (2013) they suggest that the main mechanism of action of caffeine is through antagonism of adenosine receptors, influencing the dopaminergic and other neurotransmitter systems. Adenosine and dopamine act on the brain and can influence factors such as motivation (Meeusen *et al.*, 2013) and therefore this may be a large factor in the improvement of endurance performance with caffeine ingestion.

Previous research has shown that the optimum time for complete caffeine absorption is between 15 and 120 minutes post ingestion (Blanchard & Sawers, 1983; Bonati *et al.*, 1982; Kamimori *et al.*, 1995; Kamimori *et al.*, 2000) therefore researchers have often tested performance 1 hour post ingestion (Ryan *et al.*, 2013). However, research has shown that absorption at the mouth is much more rapid and can produce quicker response to caffeine ingestion than capsule ingestion (Kamimori *et al.*, 2002). This observation led researchers to use caffeine chewing gum to improve cycling performance with positive effects (Ryan *et al.*, 2013; Paton *et al.*, 2010). Caffeine can be absorbed through the buccal mucoa and therefore does not appear to require ingestion in order to produce ergogenic benefits (Nicolazzo *et al.*, 2003; Thakur *et al.*, 2007). Caffeine could then potentially increase performance by decreasing perceived exertion and reducing pain perception as mentioned previously as potential mechanisms for the ergogenic effect. Other mechanisms require a longer period of time for absorption therefore performance improvements are most likely pain perception and perceived exertion.

Carbohydrate mouth rinsing has been shown to improve high intensity cycling performance (Sinclair *et al.*, 2014; Chambers *et al.*, 2009; Pottier *et al.*, 2010; Rollo *et al.*, 2008) and is thought to improve performance through carbohydrate mouth receptors which control central

mechanisms associated with motivation (Chambers *et al.*, 2009). As the presence of caffeine receptors in the oral cavity is now established it could be hypothesised that a caffeine mouth rinse will also improve self paced cycling performance. Recent work by Beaven *et al.* (2013) has shown that a 1.2% caffeine mouth rinse solution improved repeated sprint performance which further supports the notion that caffeine mouth rinsing could improve high intensity cycling performance. However, more recent work of Doering *et al.* (2014) observed no improvements in time trial cycling performance when mouth rinsing 35mg of caffeine for 10s, nor was there an increase in plasma caffeine concentrations. These conflicting results show that further research is needed. Therefore the aim of the current investigation was to determine whether caffeine mouth rinse improves 30 minute cycling time trial performance and whether there is a difference compared to a carbohydrate mouth rinse.

## Materials and Methods

### *Participants*

Twelve male participants (age  $20.5 \pm 0.7$  yrs, height  $170.5 \pm 18.8$  cm, mass  $87.4 \pm 18.3$  kg) were recruited for this investigation. Participants were recreationally trained cyclists and free from musculoskeletal pathology at the time of data collection. All participants also provided written informed consent. The procedure utilised for this investigation was approved by the University of Central Lancashire, School of Sport Tourism and Outdoors, ethical committee.

### *Procedure*

Data collection involved four laboratory sessions. Participants were familiarized with the experimental procedure in session 1, whereas sessions 2-4 were utilized for data collection. Participants completed 30 minute simulated time trials for maximum distance using a cycle ergometer (Monark Ergonomic 874E, Monark Exercise, AB, Varberg, Sweden). For sessions 2-4 in which experimental data was collected participants were administered either 25ml of a tasteless 6.4 % maltodextrin (Maltodextrin, My Protein) solution (CHO), 0.032 % caffeine (My Protein; this was selected as being the concentration of caffeine found typically in commercially available caffeinated drinks) solution (CAF) or a water bolus (PLA) which were rinsed for 5s at each 6 minute interval of the cycling time trial in accordance with the overall time intervals utilised by Sinclair *et al.* (2014). This study utilized a blinded counterbalanced design, and each session was separated by 7 days.

### *Visit 1*

This session represented a familiarization visit during which participants completed a 30 min time-trial in the same manner as the experimental conditions. From this session ergonomic aspects such as seat height and ergometer resistance could be obtained and maintained during data collection. In accordance with Sinclair *et al.* (2014) a resistance of 2.0 kg was selected which was deemed to be adequate and achievable for all participants at a cadence of 60 revs.min<sup>-1</sup>.

### *Visits 2-4*

Participants were examined 4 hours post prandial and had not consumed any alcohol/ caffeine or conducted any vigorous exercise in previous 24 hours prior to the commencement of data

collection. Immediately preceding data collection all participants were fitted with a heart rate monitor (Polar RS100, Polar Electro), and then asked to position themselves in a comfortable position on the cycle ergometer. Prior to the data collection procedure a standardized warm-up was conducted which consisted of 5 min of cycling using a resistance of 50 W in agreement with the warm up protocol utilized by Sinclair *et al.* (2014) for the same protocol. Data collection was conducted at the same time of day to avoid natural fluctuations in physiological parameters due to variations in circadian rhythmicity.

The cycling ergometer was connected to a computer using Monark software (Varberg, Sweden) in which the outcome measures of heart rate (HR), cadence ( $\text{rev}\cdot\text{min}^{-1}$ ), power output (W) and distance covered (km) were obtained at 6 min intervals throughout the trials. In addition, participants were also required to state their perceived exertion (RPE) using the 6 to 20 point Borg scale (Borg, 1982) also at 6 min intervals. No interaction beyond requests for RPE and administration of the appropriate mouth rinse occurred between researchers and participants.

#### *Mouth rinse administration*

Each participant was given a 25 ml bolus of a tasteless CHO, CAF or PLA for every 6 min of the total protocol. Participants rinsed the fluid around their mouths for 5s, and then spat the fluid back into a bowl.

#### *Statistical analyses*

Descriptive statistics of means  $\pm$  standard deviation were obtained for each condition. To compare total distance covered using the three solutions during the 30 min protocol a one-way repeated measures ANOVA was conducted. To examine any effects of mouth rinse on pacing, HR and RPE 5 x 3 (time x trial) repeated measures ANOVA's were also conducted. Statistical significance was accepted at the  $p \leq 0.05$  level. If the sphericity assumption was violated then the degrees of freedom were adjusted using the Greenhouse–Geisser correction. Effect sizes were calculated using and  $\eta^2$  ( $\eta^2$ ). All statistical procedures were conducted using SPSS v20.0 (SPSS Inc., Chicago, IL, USA).

## **Results**

#### *Distance cycled:*

@@@ **FIGURE I NEAR HERE** @@@ Figure I: Mean ( $\pm$ SD) distance completed in 30 minutes during each condition (n=12). \* denotes significant difference from PLA.

There was a main effect for distance ( $P < .01$ ,  $\eta^2 = .51$ ). Distance cycled during the CAF mouth rinse trial ( $16.2 \pm 2.8$  km) was significantly greater compared to the PLA trial ( $14.9 \pm 2.6$  km;  $P < .01$ ) (Figure I). Distance cycled during the CHO trial ( $15.9 \pm 2.9$  km) was also significantly greater than the PLA trial ( $P = .03$ ). There was no significant difference between CAF and CHO ( $P = .90$ ). However, 10 out of 12 participants cycled further during the CAF trial compared to CHO, and 11 cycled further during the CAF trial compared to the PLA.

# *Pacing:*

Table I: Mean ( $\pm$ SD) overall values for HR, RPE, cadence, power and speed for each condition (n=12).

Mean ( $\pm$ SD)	Placebo	CHO	CAF
<b>Cadence (RPM)</b>	72.3 $\pm$ 12.5	77.0 $\pm$ 13.7*	77.6 $\pm$ 13.6*
<b>Speed (km.h<sup>-1</sup>)</b>	30.0 $\pm$ 5.4	32.3 $\pm$ 5.6*	32.3 $\pm$ 5.9*
<b>Power Output (W)</b>	145.3 $\pm$ 23.5	153.3 $\pm$ 29.0	155.2 $\pm$ 27.5*
<b>Heart Rate (beats.min<sup>-1</sup>)</b>	160 $\pm$ 26	162 $\pm$ 24	156 $\pm$ 24
<b>RPE (Borg Scale)</b>	13 $\pm$ 1	13 $\pm$ 2	13 $\pm$ 2

\*denotes significant difference from placebo.

Table I illustrates the mean overall values for each rinse condition. As can be seen in Figure IIa, there was a main effect for time for cadence ( $P<.01$ ,  $\eta^2= .49$ ) with post hoc analysis showing cadence being significantly greater during the last 6 minutes of the trial ( $P=.04$ ). There was a main effect for trial, therefore mouth rinse had an effect on cadence ( $P=.01$ ,  $\eta^2= .34$ ), with CAF (80  $\pm$ 17 rev.min<sup>-1</sup>) producing a significantly greater cadence than PLA (74  $\pm$ 17 rev.min<sup>-1</sup>;  $P=.03$ ) with no difference to CHO (77  $\pm$ 17 rev.min<sup>-1</sup>;  $P=.65$ ). Speed also increased during the last 6 minutes of the trial (main effect for time;  $P<.01$ ,  $\eta^2= .40$ ). There was a main effect for trial ( $P=.02$ ,  $\eta^2= .29$ ) with CAF mouth rinse producing a significantly greater speed (35.1  $\pm$ 8.3 km.hr<sup>-1</sup>) than PLA (31.1  $\pm$ 7.6 km.hr<sup>-1</sup>;  $P<.01$ ; Figure IIb). There was no difference between CAF and CHO ( $P=.57$ ) and between CHO and PLA ( $P=.10$ ). There was a main effect for time ( $P<.01$ ,  $\eta^2= .49$ ) with power being greater during the last 6 minutes of the trial ( $P=.03$ ). There was also an effect of trial ( $P=0.01$ ,  $\eta^2= .34$ ) with CAF producing the greatest power output (161  $\pm$ 34W) compared to PLA (148  $\pm$ 33W;  $P<.01$ ).

@@@ **FIGURE II NEAR HERE**@@@ Figure II: Mean ( $\pm$ SD) cadence (a) and speed (b) during the 30 minute exercise for each condition (n=12).

## *Heart rate and RPE*

HR increased throughout all trials with a main effect for time ( $P=.00$ ,  $\eta^2= .79$ ; Figure III) averaging at 160 $\pm$ 26, 162  $\pm$ 24 and 156  $\pm$ 24 beats.min<sup>-1</sup> for PLA, CHO and CAF respectively (Table I). There were no differences between trials ( $P=0.15$ ,  $\eta^2= .16$ ). RPE increased with exercise duration with a main effect for time ( $P<0.01$ ,  $\eta^2= .93$ ). There was also no difference between trials ( $P=0.65$ ,  $\eta^2= .04$ ; Table I).

@@@ **FIGURE III NEAR HERE**@@@ Figure III: Mean ( $\pm$ SD) heart rate (a) and RPE (b) during 30 minute exercise in each condition (n=12).

### *Blinding efficacy*

For the CAF rinse trial 5 out of 12 participants correctly identified that they were on a performance enhancing solution, for the CHO rinse trial 5 out of 12 identified the performance enhancing solution. Finally 7 out of 12 guessed the placebo solution correctly.

## **Discussion**

The aim of the current study was to determine whether caffeine mouth rinse improved 30 minute cycling time trial performance and whether there was a difference compared to a CHO mouth rinse. This study represents only the second study to examine the ergogenic effect of caffeine mouth rinsing on cycling time trial performance.

The results demonstrated both caffeine and CHO mouth rinse increased distance cycled during 30 minutes of self-selected paced cycling. This supports previous observations in that carbohydrate mouth rinse improved high intensity performance (Sinclair *et al.*, 2014; Chambers *et al.*, 2009; Pottier *et al.*, 2010; Rollo *et al.*, 2008). The results also support those of Beaven *et al.* (2013) who found 1.2% caffeine mouth rinse improved repeated sprint performance. However, the results conflicted with Doering *et al.* (2014) who found no improvement in cycling time trial performance with caffeine mouth rinse. These are the only previous research to have investigated caffeine mouth rinse on exercise performance.

Beaven *et al.* (2013) demonstrated that 1.2% caffeine mouth rinse improved repeated sprint performance. The present study examined a 0.032% caffeine solution as this is the quantity commonly found in commercially available caffeinated drinks. Studies investigating the effect of caffeine chewing gum on exercise performance (Ryan *et al.*, 2013; Paton *et al.*, 2010) used similar quantities (300mg and 240mg respectively) to that of the present study (128mg). Unfortunately, the different mode of exercise and the concentrations of caffeine make cross comparisons between these studies difficult. However, it is recommended that future research could be performed to determine whether there is a dose response to performance. Since caffeine is absorbed through the buccal mucosa (Nicolazzo *et al.*, 2003; Thakur *et al.*, 2007) it could be hypothesized that absorption is positively correlated with the concentration of caffeine that is present in the rinse solution which would produce and enhanced ergogenic effect. However, as previously mentioned Doering *et al.* (2014) observed no increases in plasma caffeine concentrations, so may be mouth rinsing will not produce a dose response due to absorption. The ergogenic effect could be due to receptors detecting caffeine in the mouth, rather than absorption similar to CHO rinsing. Recent research by Sinclair *et al.* (2014) demonstrated that 10 second CHO mouth rinse produced a greater performance enhancement than 5 seconds. This could be similar for caffeine mouth rinse suggesting that more caffeine activates more receptors in the mouth the longer the mouth rinse.



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248 The mechanism of action of caffeine is most likely to be adenosine antagonism (Meeusen *et al.*, 2013). This then influences the dopaminergic and other neurotransmitter systems. In the  
249 present study there was no differences observed in RPE between trials, even though distance  
250 covered was greater during the caffeine trial as was power, speed and cadence. This  
251 suggests that the participants were able to perform at a greater intensity at a similar RPE,  
252 indicating that there was an increase in motivation with caffeine ingestion. The increase in  
253 motivation is thought to be a result of adenosine and dopamine acting on the brain following  
254 antagonism of the adenosine receptors (Meeusen *et al.*, 2013). Improvement in performance  
255 may also be a result of a reduction in pain perception which is also thought to be one of  
256 caffeine's' ergogenic benefits (Davis & Green, 2009). Chambers *et al.* (2009) investigated  
257 functional magnetic resonance imaging (fMRI) during carbohydrate mouth rinsing and  
258 determined that a CHO mouth rinse enhanced motivation and activity of motor control  
259 centres of the brain. It would of interests to both physiological and neurological populations  
260 to repeat this study using a caffeine mouth rinse to determine whether similar areas of the  
261 brain were stimulated.  
262

263

264 The key practical implication of this research is that athletes/active individuals involved in  
265 moderate to high intensity exercise can use CHO and CAF mouths rinses instead of ingesting  
266 these solutions and still achieve meaningful physiological benefits. It appears based on the  
267 current findings that a CAF mouth rinse will mediate greater ergogenic improvements in  
268 comparison to CHO; combining the two may improve performance to a greater extent as  
269 suggested by Beaven *et al.* (2013). Furthermore, the ingestion of both CAF and CHO has  
270 been associated with gastrointestinal distress during high intensity exercise as such the  
271 observations from the current investigation may have implications for the reduction of  
272 discomfort during exercise as rinsing the solution around the mouth does not require  
273 ingestion but still appears to provide ergogenic benefits.

274

275 In conclusion, the current investigation provides an addition to the current knowledge  
276 regarding the influence of both CHO and CAF mouth rinse on exercise performance and  
277 provides evidence to suggest that both CHO and CAF rinse can improve moderate to high  
278 intensity cycling performance. The underlying mechanisms behind these improvements in  
279 performance with the absence of solution ingestion remain undetermined currently and future  
280 work is required to determine the physiological processes that produce these performance  
281 enhancements. Nonetheless, this study shows that athletes performing in short duration  
282 cycling events could improve their overall performance by a CHO of CAF mouth rinse.  
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