



Article

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Article

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DNA transfer: The role of temperature and drying time

Roland A.H. van Oorschot, Roiseann McArdle, William H. Goodwin, Kaye N. Ballantyne

ABSTRACT

It has previously been shown, and reconfirmed here, that biological material on a substrate will transfer readily upon contact with another substrate when wet but hardly when dry. There is however a paucity of data regarding the speed at which body fluids dry and how this may affect its transfer upon contact. Here we conduct transfer experiments at 4 °C, 22 °C and 40 °C at multiple time points during the drying process. The speed at which blood dries is dependent on the temperature and is generally dry within 30 to 60 min, quicker at higher temperature. The percentage of deposited DNA transferred upon contact follows an exponential pattern of decline from soon after deposition, decreasing until the sample is dry. There are no differences in transfer rates upon contact among the different temperature conditions within the first 5 min or after 60 min since deposit, but varies significantly between these time points. When considering the likelihood of a proposed scenario that incorporates one or more contact situations it is important to consider the timing of the potential transfer event(s) relative to when the biological sample in question was initially deposited. The results of this study will assist the interpretation and evaluation of alternative scenarios involving transfer of biological substances.

Keywords:

DNA transfer; environmental conditions; trace DNA; forensic

1. Introduction

Consideration of probability of human DNA containing material being transferred to other surfaces after initial deposit in different crime scene scenarios has been the subject of many criminal investigations and court proceedings. There have only been a few studies that have focussed on gaining insights into factors affecting such transfer events [1-4]. A recent review of current knowledge of DNA transfer and implications for casework by Meakin and Jamieson [5] clearly illustrates the need for a better understanding of DNA transfer.

Previous studies have demonstrated that transfer of body fluids from one surface to another is dependent on the dryness of the fluid at time of a transfer event [1,4].

Goray *et al.* [1] found that when the body fluid was wet on a hard non-porous substrate (plastic) 44-64% was transferred to another substrate of the same type, and 97-100% to soft porous substrate (cotton) depending on the manner of contact. When initially deposited on cotton far less DNA was transferred to the same substrate (0.1-4.3%) or to plastic (0-3.1%). However, when the body fluid was dried for 24 h prior to transfer events less DNA was transferred. Even though percentages of DNA transfer up to 44% were observed from dried blood between two hard non-porous surfaces when friction was applied, usually well below 1% transfer was observed, irrespective of the type of secondary substrate and manner of contact [1]. There is thus significantly less transfer when the sample is dry compared to when it is wet.

There is however little knowledge on how quickly biological fluids dry and what impact various levels of dryness may have on transfer. In order to assist evaluations of

criminal offence scenarios, increased knowledge and data is necessary. While some will have a notion of the drying time of particular fluids it is useful to have a greater awareness of the approximate drying times of fluids and the impact different levels of dryness has on transfer. This is especially so for those that should consider such aspects during investigations of crime, when interpreting DNA profiles and when expert opinion is requested in court. An increased awareness will allow for more accurate assessment of proposed alternative scenarios that incorporate different sequences and timings of events. Here we report on a study which investigates the time required for blood to dry in different temperatures to the point that transfer is like that previously observed for samples dried for 24 h and how intermediate levels of dryness impact transfer. The impact of samples having dried for a longer period before a transfer event is also considered.

2. Materials and Methods

2.1. Experimental design

Aliquots of 15 or 30 μl freshly acquired blood (collected in a lithium heparin tube), held briefly in a hand to approximate body temperature prior to spreading, were spread over a 1 cm^2 area of plastic primary substrate. The blood was allowed to dry for a precise period of time, before the secondary substrate (cotton) was applied with pressured contact as described in [1,4]. Pressure was used during the contact stage between the primary and secondary substrates, to encourage transfer without variation caused by friction [1]. Blood was used as the biological source as it is one of the most frequently encountered body fluids in criminal offences. As the greatest transfer

percentage of wet fluid observed by Goray et al [1] was when the primary deposit was plastic and the secondary substrate cotton this combination was applied in this investigation. The plastic and cotton are as used and described in previous studies [1,4].

Preliminary investigations indicated that 15 µl of blood spread over an area of approximately 1 x 1 cm appeared to dry within 15 min when on plastic and 25 min when on cotton (data not shown), so the majority (8x) of the 13 time points tested were within the period 0 to 30 min inclusive. A time point of 24 h was included to have a comparison point with Goray et al [1]. Intermediate time points between predicted drying point and 24 h were included to cover potential transitional changes. A time point of 1 wk was also included to assess if longer drying time would impact the dryness of the blood on the substrate and consequently its rate of transfer. The time periods tested were 0, 2.5 min, 5 min, 7.5 min, 10 min, 15 min, 20 min, 30 min, 45 min, 1 h, 4 h, 24 h and 1 wk.

These samples were prepared and the subsequent transfer experiments conducted in three different controlled temperature environments: hot (40 °C, ~40% humidity), room temperature (22 °C, ~60% humidity) and cold (4 °C, ~60% humidity). Tests were performed in all environments using 15 µl. Tests using 30 µl were only performed at room temperature. Four replicates were performed of each sample/time/environment combination tested.

2.2. DNA extraction, quantitation and genotyping

Substrate samples of 1 cm² plus a surrounding 0.3 cm margin were cut into smaller pieces (~0.3 cm²) and extracted using the DNA IQ™ according to the manufacturer's protocol (Promega, USA) system on a Biomek® Nxp liquid handling workstation (Beckman Coulter, USA), with a final elution volume of DNA in the kit's elution buffer of 50 µl. The extracted DNA was quantitated using Quantifiler™ (Life Technologies, USA) and an AB 7500 Real-Time PCR instrument (Life Technologies, USA) according to manufacturer's recommendations.

A selection of samples were amplified with a maximum of 10 µl or 1 ng input DNA using half-volume AmpF/STR® Profiler Plus™ (Life Technologies, USA) on a 3100 Genetic Analyser (Life Technologies, USA) and analysed using GeneMapper® ID v3.2 (Applied Biosystems, USA) according to manufacturer recommendations.

2.3. Quality control

Negative substrate control samples were taken from the primary and secondary substrates per set of repeats for each combination of variables at the time of each transfer test (same size as and just adjacent to test samples). DNA was extracted from all of these and amplified for Profiler Plus typing. No alleles were detected on any of these substrate samples. Furthermore two secondary substrate samples produced after transfer at a stage when the blood on the primary substrate was assumed to have been dry, but from which relatively high amounts of DNA were extracted, were profiled using Profiler Plus to check that the origin of the detected DNA was indeed from the expected source and not from a contamination event. The results indicate that the DNA was indeed only from the expected source.

2.4. Data analyses

No substrate extraction or sample amount correction factor, as had been recommended by Verdon *et al.* [4] was required for the experimental design employed here. Empirical testing of 1,5,15 and 30 μ l dried blood showed no significant differences in DNA retrieval per μ l of blood from primary (plastic) and secondary (cotton with plastic backing) substrates (data not shown). Transfer percentages were calculated as the amount of DNA recovered from the secondary substrate / the total amount of DNA (primary + secondary substrates) recovered [4]. Statistical comparisons were performed with SPSS v17.0 (SPSS Inc.) using linear mixed model analysis, on log transfer rates. Model estimation was performed with the restricted maximum likelihood method with 10,000 iterations, with total DNA yield of each sample included as a random covariate to encompass potential sampling variation or loss. Exponential decay modelling was performed with GraphPad Prism v6.0 (GraphPad Software, USA).

3. Results and Discussion

The rates of transfer for all temperatures and volumes (Supplementary Table 1) followed a classic exponential decay pattern (Figure 1), with fairly constant rates of transfer initially, followed by sharp declines until the rate of transfer approached zero. There were no significant differences in transfer percentages between any conditions in the first 5 min (all p values >0.05) and after 60 min (all p values >0.05) since

deposit. It has previously been observed by others [1,4] that transfer occurs readily when fluids are wet, and to a very limited extent when dry. We can therefore use the point at which transfer rates are close to 0 as the point at which the blood used in the current experiment dried completely. The temperature at which the blood was deposited/dried had a significant effect on transfer over time, and therefore rate of drying ($F_{152}^2 = 4.639$, $p = 0.011$). The blood dried significantly more slowly at cold temperatures compared to room ($p = 0.009$) and hot ($p = 0.029$), while there was no significant difference between room and hot temperatures when the volume of blood deposited remained constant ($p = 0.205$). The mean transfer half-life, $t_{\frac{1}{2}}$, defined as the length of time taken for transfer rates to decrease by 50% from their initial value ($t_{\frac{1}{2}} = \frac{\ln 2}{\lambda}$, where λ is the decay constant, or the rate of decrease in transfer over time) decreases by approximately 50% for every 20 °C increase in temperature – thus transfer, under the experimental conditions described here, will have a half-life of 19.7 min (95% confidence interval 13.5 – 36.1 min) at 4 °C, 8.3 min (6.2-12.7) at 22 °C, and 4.2 min (2.8-8.1) at 40 °C.

As the initial observed levels of transfer from 15 µl deposits were similar for all temperature conditions (averages 56.73% for cold, 55.73% of room temperature, and 61.14% for hot, all pairwise comparisons $p > 0.05$), the difference in half-life ensures that the time at which transfer is <1% is significantly different for each temperature. Transfer will effectively cease through sample drying in cold conditions after 41 min as modelled from the decay curve, although it first decreased below 1% only at 60 min in the experimental data. At room temperature, it will take 22 min (30 min experimentally) and only 13 min at 40 °C (15 min experimentally).

Increasing the volume from 15 μl to 30 μl at room temperature increased the amount of DNA transferred by an average of 3.59% across time points, although not to a significant degree ($F_{153}^1=2.80$, $p=0.097$). As the area in which the blood was deposited remained constant (1 cm^2), it is likely that the increased volume caused a decreased rate of drying, with 30 μl showing a slightly increased half-life compared to 15 μl (8.6 min compared to 8.3 min), and an additional 9 minutes until complete drying (i.e. the time point when the curve becomes horizontal in Figure 1) (95% confidence intervals 54.1 - 73.1 min to complete drying for 15 μl , and 63.1-81.7 min for 30 μl). Further investigations would be needed to determine if other volumes show the same trend. For example a large pool of blood may show a very different decay profile.

Significant interactions were observed between the temperature and time ($F_{152}^{22}=3.950$, $p=2.2\times 10^{-7}$). As the volume was only tested at one temperature, it was not possible to determine if there was an interaction between volume and time, or all three conditions, although it seems likely that this would occur. This introduces additional complications when estimating the possibility of transfer occurring in an unknown stain, as potentially all three variables (time between contact, ambient temperature and volume of blood deposited) must be inferred. Differences in humidity are likely to also influence drying time and should be investigated and potentially considered as an additional variable when estimating transfer.

It should be noted that although transfer rates were relatively static post-drying for all temperatures, flaking of dried blood deposits on the plastic were observed, especially for 30 μl deposits, which may have contributed to some of the variation observed at these time points (see Figure 1). The variation in transfer observed when blood dried

on plastic was transferred to cotton during pressure contact has also been noted by others [1]. Both Goray et al [1] and Verdon et al [4] comment on observing powdering and flaking of dried blood on hard substrates and indicate that this phenomenon could affect transfer and yields.

Whilst the major difference in transfer percentage between fresh and dry deposits concur with the general findings of others [1,4] the overall transfer percentage observed in this study upon contact immediately after deposit (61%) was lower than expected based on observations in a similar situation by others (90%) [1]. The reason for this is unclear but may in part be due to differences in the batches of substrates used and/or differences in DNA extraction methods utilised. The type of substrates involved in a contact event can significantly influence the percentage of biological material transferred [4] and the quantity of DNA retrieved from substrates can be dependent on the DNA extraction methods applied [6].

The current study used plastic as the primary substrate on which blood was deposited. Preliminary investigations indicated that blood appeared to dry faster on this substrate than on cotton, which suggests a further variable, substrate, will need to be investigated. However, our data indicate that the transfer will follow an exponential decay pattern, and differences at any one time point likely to dissipate within 60 min, or as soon as the relevant deposit is dry.

Here we have investigated the drying time of blood that had been collected in a tube with an anticoagulant. The drying time of fresh untreated blood and other body fluids

such as semen and saliva may be different and should be the subject of further investigations.

5. Conclusions

Blood dries within a relatively short period. The speed at which it dries is dependent on temperature and is slower in colder conditions. Transfer of DNA upon contact depends significantly on the dryness of the biological material being transferred.

When considering the likelihood of a proposed scenario that incorporates one or more contact situations it is important to consider the timing of the potential transfer event(s) relative to when the biological sample in question was initially deposited.

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Figure titles:

Fig. 1. Exponential decay profile of percentage DNA transfer of 15 or 30 μ l of blood deposited on plastic at different temperatures and left to dry for different durations before transferring to cotton. (The average percentage transfer of DNA, with standard deviation, at each time point, is available in Supplementary Table 1)

Supplementary Data

Temperature	Volume (µl)	Time (min)	Transfer Percentage	Total DNA Yield (ng)
Cold	15	0	64.05	110.9
			60.15	130.5
			54.79	146.0
			47.91	102.7
Cold	15	2.5	65.79	152.0
			51.80	111.0
			52.25	111.0
			56.01	145.5
Cold	15	5	43.88	216.5
			52.94	153.0
			59.30	142.5
			75.11	82.6
Cold	15	7.5	68.09	258.5
			71.32	197.0
			43.17	104.7
			64.48	167.5
Cold	15	10	72.83	109.9
			48.10	249.5
			29.76	150.2
			15.39	37.4
Cold	15	15	61.54	208.0

			22.94	190.8
			42.76	148.5
			33.13	166.0
Cold	15	20	38.08	239.0
			27.56	190.5
			27.20	198.5
			38.49	245.5
Cold	15	30	13.06	209.4
			23.44	188.1
			27.07	152.2
			13.63	298.2
Cold	15	45	20.41	236.2
			13.26	212.7
			10.99	323.0
			8.35	216.1
Cold	15	60	0.02	289.1
			0.00	212.0
			0.00	234.0
			0.00	456.0
Cold	15	240	0.08	271.2
			16.35	17.1
			0.00	329.5
			0.00	363.0
Cold	15	2400	0.00	231.0

			0.00	400.5
			1.38	227.7
			0.00	273.5
Hot	15	0	67.69	91.6
			69.10	212.0
			78.86	186.4
			28.90	141.4
Hot	15	2.5	55.86	145.0
			46.12	129.0
			52.70	204.0
			58.27	139.0
Hot	15	5	28.10	121.0
			56.17	162.0
			42.53	154.0
			21.10	152.1
Hot	15	7.5	17.16	178.1
			19.45	251.4
			19.28	136.9
			28.06	265.5
Hot	15	10	4.85	287.5
			9.93	244.3
			26.57	157.3
			8.56	280.5
Hot	15	15	0.00	211.5

			0.00	262.5
			0.00	215.5
			0.11	245.3
Hot	15	20	1.23	303.2
			1.36	215.9
			0.00	225.5
			0.00	293.0
Hot	15	30	0.00	227.0
			0.03	258.6
			0.04	128.5
			2.35	225.8
Hot	15	45	0.69	277.9
			1.63	245.5
			0.03	299.1
			0.98	188.8
Hot	15	60	0.12	111.6
			0.00	199.0
			0.03	145.0
			23.35	227.0
Hot	15	240	0.00	187.0
			1.88	281.8
			68.58	67.8
			2.16	240.2
Hot	15	2400	0.03	204.6

			0.00	308.0
			11.44	125.9
			0.05	183.1
Hot	15	10080	5.77	182.0
			0.00	202.0
			1.84	326.5
			0.00	205.0
Room Temp.	15	0	44.49	84.0
			72.59	59.1
			30.95	36.4
			74.90	150.2
Room Temp.	15	2.5	57.93	73.1
			45.14	58.7
			54.23	130.0
			72.43	142.2
Room Temp.	15	5	34.95	62.8
			35.34	101.3
			35.68	98.0
			48.94	117.5
Room Temp.	15	7.5	53.21	70.1
			51.48	118.5
			5.25	25.0
			60.13	150.5
Room	15	10	21.98	87.8

Temp.			40.13	104.4
			36.08	108.0
			0.04	40.1
Room Temp.	15	15	4.26	218.3
			20.65	206.1
			29.16	78.4
			14.47	119.3
Room Temp.	15	20	0.05	186.1
			0.08	120.1
			13.47	151.4
			7.71	131.7
Room Temp.	15	30	1.09	85.9
			0.00	44.1
			0.11	46.3
			0.00	68.5
Room Temp.	15	45	0.87	52.0
			0.91	99.4
			1.06	42.6
			0.22	113.8
Room Temp.	15	60	0.61	19.5
			1.78	30.5
			2.27	19.3
			0.00	4.0
Room	15	240	0.00	5.8

Temp.			0.12	28.2
			0.00	31.1
			0.65	21.7
Room Temp.	15	2400	0.11	63.6
			0.00	87.0
			0.06	82.0
			0.22	56.1
Room Temp.	15	10080	0.00	73.5
			0.78	205.6
			0.00	41.1
			0.00	60.0
Room Temp.	30	0	81.69	116.9
			60.12	124.8
			69.03	149.2
			72.83	144.9
Room Temp.	30	2.5	51.67	134.5
			73.63	146.0
			68.75	134.6
			57.31	126.5
Room Temp.	30	5	70.11	174.0
			16.80	64.9
			59.12	137.0
			68.04	194.0
Room	30	7.5	51.08	67.1

Temp.			48.47	114.5
			41.35	66.8
			55.31	87.2
Room Temp.	30	10	33.33	87.8
			36.82	138.5
			36.50	168.5
			32.47	142.9
Room Temp.	30	15	11.48	97.2
			22.80	126.3
			14.73	66.9
			37.50	168.0
Room Temp.	30	20	12.91	110.8
			0.00	191.5
			15.97	113.7
			32.37	156.0
Room Temp.	30	30	5.30	106.7
			0.04	137.6
			0.20	208.4
			19.35	127.1
Room Temp.	30	45	1.65	68.6
			3.54	98.0
			3.89	58.8
			3.34	65.2
Room	30	60	1.28	35.6

Temp.			0.18	31.8
			1.94	10.4
			0.15	39.9
Room Temp.	30	240	0.00	33.3
			0.00	147.0
			0.00	40.6
			0.13	93.6
Room Temp.	30	2400	0.18	64.6
			50.32	31.0
			0.93	111.0
			5.00	35.3
Room Temp.	30	10080	0.75	93.2
			0.35	223.8
			5.41	47.6
			0.23	217.5