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RESVERATROL PREVENTS AUTISTIC-LIKE SOCIAL DEFICITS IN THE ANIMAL MODEL OF AUTISM INDUCED BY VALPROIC ACID

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Abstract

Autism spectrum disorders (ASD) involve a complex interplay of both genetic and environmental risk factors, such as prenatal exposure to valproic acid (VPA). Considering the neuroprotective, antioxidant and anti-inflammatory effects of resveratrol (RSV), we investigated the influence of prenatal RSV treatment on social behaviors of a rodent model of autism induced by prenatal exposure to VPA. In the three-chambered apparatus test, the VPA group showed a reduced place preference conditioned by conspecific and no preference between exploring a wire-cage or a rat enclosed inside a wire cage, revealing sociability impairments. Prenatal administration of RSV prevented the VPA-induced social impairments evaluated in this study. A bioinformatics analysis was used to discard possible molecular interactions between VPA and RSV during administration. The interaction energy between RSV and VPA is weak and highly unstable, suggesting cellular effects instead of a single chemical process. In summary, the present study highlights a promising experimental strategy to evaluate new molecular targets possibly involved in the etiology of autism and developmental alterations implicated in neural and behavioral impairments in ASD.

Keywords: Autism spectrum disorder, valproate, resveratrol, animal model, behavior.

Abbreviations

ASD – Autism Spectrum Disorders

DFT – Density Functional Theory

KRG – Korean Red Ginseng

RSV – Resveratrol

SI – Sociability Index in the Three-Chamber Test

SNI – Social Novelty Preference Index in the Three-Chamber Test

VPA – Valproic Acid

MD – Molecular Dynamics

1. Introduction

Autism spectrum disorders (ASD) comprise a set of developmental disabilities characterized by social impairments, communication difficulties, and restricted and stereotyped patterns of behavior [19]. This group of disorders is attracting great public attention because of their high prevalence, elevated social cost and large impact on the family. The US Center for Disease Control and Prevention estimate the prevalence of autism in the United States to be 1 in 68 children, with boys4.5 fold more affected than girls [1].

In 1943, a landmark paper describing autism was published by Leo Kanner [11]; however, even after 70 years, the etiology of autism and its molecular basis are not well understood. Genetic studies have revealed a multitude of alterations associated with autism, but the characterized components to date account for only 25% of all cases of autism [17]. On the other hand, environmental factors, like exposure to

xenobiotics (e.g. valproic acid (VPA) and thalidomide) can either trigger or contribute to autism development [8].

Considering the association between VPA exposure and ASD in humans [4], an animal model of prenatal VPA administration in rodents was suggested. In the past years, this model has shown to be a reliable research tool, as it presents many morphological and behavioral alterations related to the autism pathophysiology [3, 5]. Thus, prevention of complex outcomes, such as behavioral impairments, and investigation of the molecular pathways that underlie these changes in the VPA model can shed light in biological process relevant to autism etiology.

Resveratrol (RSV) is a naturally-occurring polyphenolic compound present in grapes, pines, peanuts and red wine [25]. The bulk of an intravenous dose of RSV is mainly converted to sulphate conjugates within approximately 30 minutes in humans and the serum half-life of total RSV metabolites is approximately 9.2 hours [26]. In the last two decades, RSV received special attention from scientific community and has been associated with protective and therapeutic roles in several illnesses [25]Resveratrol is widely recognized as an anti-oxidant and anti-inflammatory compound besides showing neuroprotective effects [7]. All of these biological activities could be of interest in autism therapeutics [21].

In this context, we investigated preventive effects of RSV in the autistic-like social features of an animal model induced by prenatal exposure to VPA. We performed a three-chamber test to measure social memory and preferences. Additionally, bioinformatics studies were used to evaluate the interaction between VPA and RSV, in order to distinguish whether the effect derivate from a direct molecule-molecule contact or from a broad cellular action.

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2. Results

2.1. Behavioral testing

2.1.1. Three-chamber sociability and social novelty test

2.1.1.1. Sociability test:

Animals of all groups stayed in the central chamber (known chamber) for only short periods of time. The Control rats spent significantly more time in the chamber with the conspecific than in the chamber with the object (mean±SEM: 285.14 ± 9.55 and 224.86 ± 12.24, respectively; p<0.001). In contrast, VPA-exposed rats showed no preference between these chambers. Interestingly, RSV treatment was able to prevent the change induced by VPA (RSV+VPA: 289.5 ± 10.56 and 216.3 ± 21.26; p<0.05). Rats from RSV group behaved similarly to the Control animals, but there was no statistical difference between the times spent in the chamber with the rat and the chamber with the object. These data show that the VPA group animals could be avoiding social contact, an atypical behavioral pattern that was prevented by RSV prenatal treatment (Figure 1A).

The analysis of exploration time, defined as the period in which the rat being tested is near the enclosed rat or the object and actively interacting with it (Figure 1B), shows that rodents from both Control and RSV groups preferentially interact with a conspecific than an object (Control: 232.29 ± 10.42 and 117.43 ± 11.67; p<0.001. RSV: 247.43 ± 38.8 and 91.57 ± 19.01; p<0.05. Rat and object, respectively).

The VPA animals showed no difference between the contact times with the novel rat and the object (147.17 ± 21.54 and 189.44 ± 25.11), which clearly shows decreased sociability. The RSV treatment was again able to counteract the VPA effect (214.5 ± 9.02 and 112.4 ± 11.43; p<0.001).

Animals from Control and RSV groups showed no difference in the Sociability Index (SI). However, it is significantly reduced in the VPA animals compared to the Control (-0.083 ± 0.127 and 0.337 ± 0.046, respectively; p<0.05). The VPA+RSV group SI (0.319 ± 0.066) was similar to the Control and showed a trend to be different from the VPA group (Figure 1C).

2.1.1.2. Social novelty test

Animals of all groups spent less time in the central chamber than in other chambers (Figure 2A). The Control animals preferred the chamber with the known rat to the chamber with the novel rat 2 (127.14 ± 32.32 and 429.14 ± 34.35; p<0.001), indicating an interest in social novelty and/or the formation of social memory. The VPA-exposed rats did not show difference in the time spent in each chamber while VPA+RSV group showed a behavioral pattern similar to the Control group, although the result was not statistically significant. The RSV group animals tended to spend more time in the chamber with the novel rat 2.

As observed in Figure 2B, Control (80 ± 19.19 and 213.29 ± 14.73; p<0.001) and RSV (127.14 ± 19.14 and 210.86 ± 28.04; p<0.05) group animals explored the known rat significantly less than the novel rat 2. On the other hand, the VPA-exposed rodents explored both rats to the same extent. RSV once more was able to prevent this alteration (66 ± 18.32 and 174.22 ± 24.52; p<0.01), bringing the behavior back to the Control pattern in VPA+RSV group animals.

The Social Novelty Preference Index (SNI) was at same level in both Control and RSV groups. Nonetheless, there is a reduction in the VPA animals compared to the Control (-0.004 ± 0.073 and 0.485 ± 0.125, respectively; p<0.01). The RSV treatment brought the index back to the same statistical level of the Control in the

VPA+RSV animals (0.436 ± 0.17), and was statistically different from the VPA group (p<0.05) (Figure 2C).

2.1.1.3. Raw data and multiple interactions analysis

The following data are provided as supplementary online material: raw data (Table S1 and Table S2), multiple interactions of all factors for time in chamber and exploration time (Tables S3 and S4, respectively) and descriptive statistics of SI and SNI index (Table S5).

2.2. *In silico* analyses:

2.2.1. Molecular dynamics

Simulations in a cubic box of water through classical molecular dynamics allowed the observation of the VPA and resveratrol interaction during 5 ns. Simulations were performed in duplicate and no significant differences were observed between them. Molecules moved freely through the box during the simulation and neither aggregates nor long term interactions were observed. As seen in Figure 3A, in the first simulation only three molecules of VPA moved closer to resveratrol in distinct times. Nevertheless, these interactions were unstable and molecules quickly moved away from each other, suggesting the inability of complex formation. Figure 3 B, C and D are representative of distinct VPA molecules interaction with resveratrol during the simulation.

It is available as supplementary material: Description of VPA and RSV protonation state, optimized structures in vacuum and electron density analysis (Figure S1); molecular interactions between VPA and RSV molecules in vacuum and in a cubic water box containing Na^+ and Cl^- as counter ions (Figure S2); and a table showing

the calculated interaction energies between distinct VPA molecules and RSV (Table S6).

3. Discussion

One of the most crucial areas of ASD research is the role of environmental factors in the development of autism. The present data clearly shows that the development of autistic-like social behaviors induced by prenatal exposure to VPA was highly counteracted by RSV, indicating a promising subject to investigate etiological clues and molecular alterations.

It was recently reported that the prenatal treatment with Korean Red Ginseng (KRG) extract resulted in a dose-dependent improvement in social behavior and tail malformations in the VPA animal model of autism [14]. However, it is important to take into account that KRG extract is composed of several different molecules, hindering the investigation about the mechanisms whereby KRG extract improves these behavioral impairments. In a different study, VPA animals treated prenatally with bumetanide showed electrophysiological patterns and ultrasonic vocalizations similar to the Control group [23]. Nonetheless, the authors did not evaluate any social parameter in the offspring.

Aiming to verify if residual RSV in the blood stream could interact with VPA, a molecular dynamics study was carried out in a biological fluid. The rate RSV:VPA at the blood stream is supposed to be less than 1:272 (molecule:molecule). During simulations an extreme situation was imposed, using RSV:VPA at a rate of 10:270 (molecule:molecule). As expected, due to the physicochemical features of the molecules, it was observed some degree of interaction between VPA and RSV.

Nevertheless, likely due to the effect of the solvent, interactions in a water box demonstrated to be highly unstable. In this way, we can conclude that RSV is having an indirect effect against prenatal exposure to VPA, probably acting at the cellular level.

There is no clear evidence of the molecular mechanisms by which VPA can trigger autism in humans or autistic-like features in the animal model. Although, its histone deacetylase (HDAC) inhibitory activity seems to be important to the effects [12]. On the other hand, RSV is largely believed to perform at least part of its actions by regulating the level and activation of sirtuins (members of the class-III HDAC) in a substrate-specific manner [15]. Thus, further research may investigate the overall epigenetic alterations triggered by RSV and VPA, searching for opposite effects.

Interestingly, the tail kink characteristically present in the VPA-exposed animals was not prevented by RSV prenatal treatment. This may be initial evidence that the neural-tube defects triggered by VPA are not the main mechanism for the development of autistic-like characteristics by these animals.

Another promising topic to be studied is the well-established immune alterations involved in the pathophysiology of autism [2], also observed in the animal model induced by VPA [22].Since this model demonstrates aberrant inflammatory responses toward a peripheral inflammatory stimulus [16] and resveratrol exerts anti-inflammatory effects, future studies should analyze its developmental role in the immune system.

In order to advance the knowledge about ASD development, several lines of investigation must be pursued, including research that could merge targets of VPA and RSV to clarify molecules and pathways affected by both. In this respect, we

anticipate that further understanding of these molecular targets will be relevant to both therapeutic and etiological aspects of ASD. Similarly, such studies will hopefully help to understand ASD-related epigenetic modulation and developmental alterations implicated in the neural and behavioral impairments.

4. Experimental procedures

4.1. Ethics statement

Experiments were performed according to the *NIH Guide for the Care and Use of Laboratory Animals* and approved by local authorities.

4.2. Animals

Female Wistar rats from the local breeding colony (ICBS-Federal University of Rio Grande do Sul), were kept with a 12:12 light cycle (lights on at 7:00 and lights off at 19:00), controlled temperature (22 ±1°C). Animals were mated overnight and if in the morning spermatozoa were found in vaginal secretion, this day was designated as the first day of pregnancy. Females were kept separated and with free access to their own litters. The offspring was weaned at 21 days old and were housed separately by sex. Rats had free access to food and water. Only male animals were tested. All the experiments were conducted between 14:00 and 18:00. Behavioral tests were performed with 35-50 day old animals. We used at least 3 pregnant female Wistar rats per group, with litter sizes ranging from 3 to 12 animals. The three chambers test was performed for the control group (n=7), RSV group (n=7), VPA group (n=12-19) and VPA+RSV group (n=9-10).

4.3. Treatments

Valproic Acid (Acros Organics, New Jersey, USA) was purchased as the sodium salt and dissolved in 0.9% saline for a concentration of 250 mg/mL. Females received a single intraperitoneal injection of 600 mg/kg VPA or physiological saline on Embryonic day 12.5 (E12.5) [3].

Resveratrol (Fluxome, Stenløse, Denmark) was dissolved in DMSO (Merck, New Jersey, USA) for a concentration of 36 mg/mL. Females received daily subcutaneous injections of 3.6 mg/kg of RSV solution or the correspondent volume of DMSO. This low dose was chosen due to: (1) the growing evidence of harmful effects of higher doses of RSV during gestation to the dams and to the offspring [20]; and (2) even very low doses are able to generate beneficial biological effects [10].On E6.5 the pregnant rats were randomly separated in 4 groups: Control (which received only DMSO injections); RSV (which received only RSV injections); VPA (which received DMSO plus VPA injection); and VPA+RSV (which received RSV plus VPA injection). Every day, in the afternoon, pregnant rats were weighed and the treatment was applied according to the groups. The treatment lasted 13 days (E6.5 until E18.5) for each group.

4.4. Behavioral test: Three-chamber sociability and social novelty: We performed a 5 minutes long habituation in the central chamber in the previous day and immediately before the Sociability Test and conducted the experiments as previously described [3].At the beginning, an object was positioned in one of the lateral chambers, and a set animal+object was placed in the opposite lateral chamber. This animal (called from now on, novel rat 1) was an experimentally naive male Wistar rat with no previous contact with the test animals. The object was an empty cage identical to the one used to enclose the novel rat 1. Time spent

in each chamber, as well as the time spent exploring the novel rat 1 or the novel object, was analyzed by two observers during 10 min. Immediately after, the social novelty test began. In this test, the novel rat 1 remained in its wire cage (now it is called the known rat) and a new unfamiliar rat (novel rat 2) was placed in the wire cage in the opposite side (which was previously empty). Time spent in each chamber and time spent sniffing each wire cage was recorded during 10 min. It is important to note that rats that did not explore all three chambers during the sociability test did not perform the social novelty test. In addition, since the social novelty test aims to evaluate the preference for social novelty and the formation of social memories, rodents that did not interact with both the known rat and the novel rat 2 were excluded from the analysis.

We also evaluated a Sociability Index (SI) (according to Kim *et al.* [13] and modified by us and R. Romcy-Pereira, personal communication), a mathematical transformation designed to allow the direct comparison of social behavior of the groups. It ranges from -1 to 1 and as the score becomes more positive and closer to 1, the more social the animal. The SI was calculated as showed below:

$$SI = \frac{Time\ exploring_{\ novel\ rat\ 1} - Time\ exploring_{\ novel\ object}}{Time\ exploring_{\ novel\ rat\ 1} + Time\ exploring_{\ novel\ object}}$$

In an analogous manner, we can use a Social Novelty Preference Index (SNI). It also ranges from -1 to 1 and a value closer to 1 indicates an animal more interested in social novelty. The SNI is calculated as showed below:

$$SI = \frac{Time\ exploring_{\ novel\ rat\ 1} - Time\ exploring_{\ novel\ object}}{Time\ exploring_{\ novel\ rat\ 1} + Time\ exploring_{\ novel\ object}}$$

4.5. Bioinformatics: Molecular dynamics simulations and analysis

Molecular dynamics (MD) simulations were performed with the package

GROMACS v. 4.5.1 [24], using the SPC water model and the GROMOS 53a6 force

field [18]. A cubic box with edges of 12 nm (box volume ≈ 1728 nm^3) containing

RSV and VPA molecules in a proportion of 1:27 was built, and filled with 57.084

water molecules. An appropriate number of chloride and sodium counter-ions were

added to neutralize the system, observing the final salt concentration of 0.15

mol.liter^{-1}. Two independent 5 ns simulations were performed, differing only by the

initial system coordinates: in the first (MD_1) the RSV molecule was placed in the

center of the simulation box, while in the second (MD_2) it was randomly placed

inside the box with the genbox software. The VMD program [9] was used to

visualize and manipulate the system, and the g _mindist software was applied to

calculate the minimum distance between the molecules during the simulation.

Minimum distance plots were generated with XMGrace software (http://plasma-

gate.weizmann.ac.il/Grace/).

4.6. Statistical analysis

The values measured for the animals were integrated in a multivariate linear model

to predict the impact of the treatment in the behavioral outcome. We used the

Generalized Estimation Equations (GEE) in order to enable the comparison

between multiple interdependent variables and overcome the necessity of normality and homoscedasticity. Bonferroni post-hoc test was used as the final evaluation. Sociability and Social Novelty Preference Indices were compared by Kruskal-Wallis test. Data is reported as mean ± standard error of the mean (SEM). All analyses were performed using the SPSS program, Version 17.0 (SPSS, Chicago, IL).

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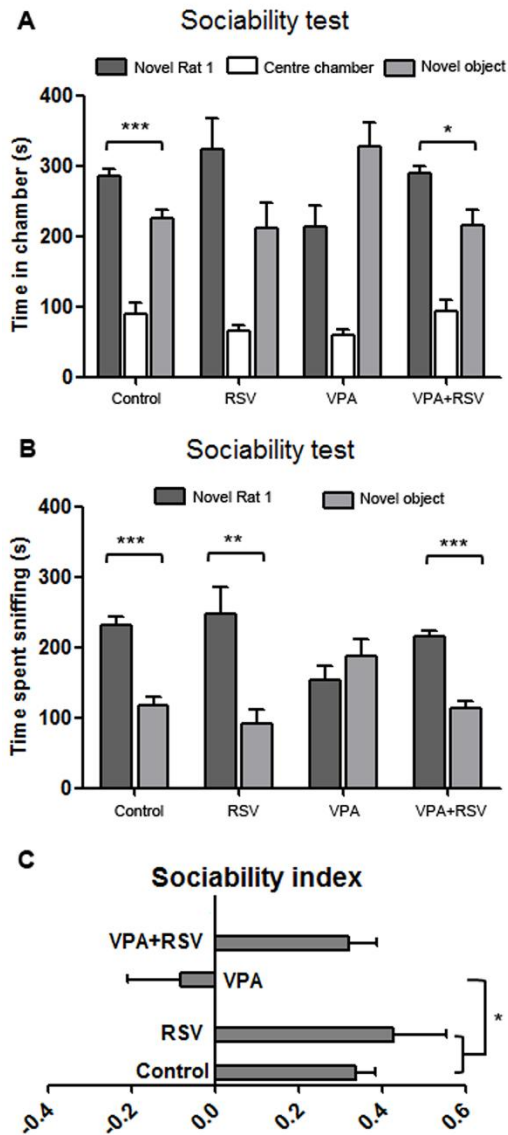
Figures:

Figure 1. RSV administration prevents the sociability deficit present in the VPA model of autism in rats. After 5 minutes of acclimatization, male rats were allowed to explore all chambers for 10 min. **(A)** Time spent in chambers. **(B)** Time spent exploring novel rat 1 or novel object. **(C)** Sociability index.* $p<0.05$. ** $p<0.01$, *** $p<0.001$. $n_{Control}=7$, $n_{RSV}=7$, $n_{VPA}=19$, $n_{VPA+RSV}=10$.
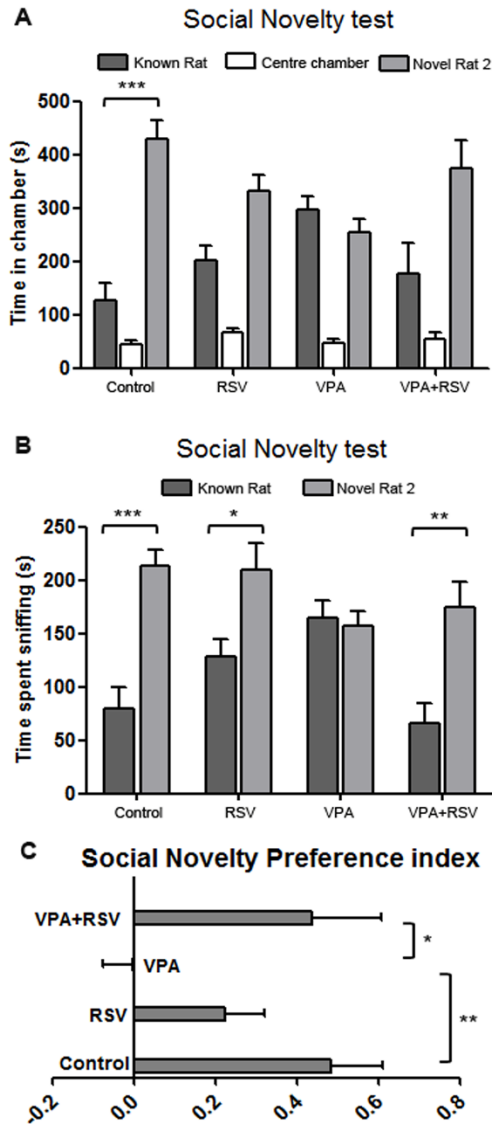
Figure 2. Prenatal RSV averts VPA detrimental effect on social novelty preference. Immediately after the sociability test, a 10-minute test was performed in the three-chambered apparatus. (**A**) Time spent in chambers. (**B**) Time spent exploring the rats. (**C**) Social Novelty Preference index. * $p<0.05$. ** $p<0.01$, *** $p<0.001$. $n_{Control}=7$, $n_{RSV}=7$, $n_{VPA}=12$, $n_{VPA+RSV}=9$
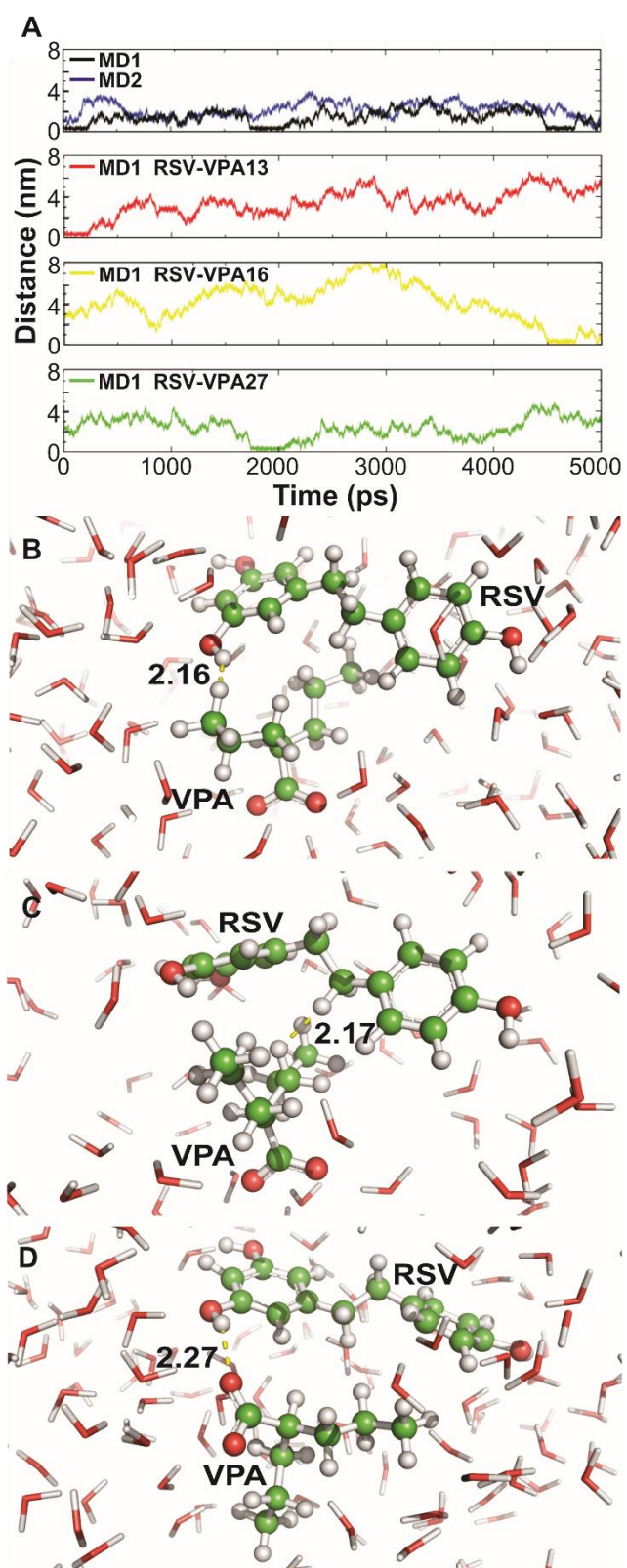
Figure 3. Representative data of interaction distances as result of molecular dynamics simulations. (A) First box represents the minimum distances between RSV and the closest molecule of VPA (any molecule) during two distinct simulation experiments (blue or black line). Graphs representing the distances of three isolated VPA molecules to RSV are shown in the second, third and fourth boxes. **(B)** Spatial orientation of VPA13 and RSV during approximation at 100ps (MD1). **(C)** Spatial orientation of VPA27 and RSV during approximation at 1916 ps of simulation (MD1). **(D)** Spatial orientation of VPA16 and RSV during approximation at 4600 ps of simulation (MD1)