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1	Effects of a prophylactic knee sleeve on ACL loading during sport specific movements:
2	Implications for injury prevention.
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22 Abstract

The aim of the current investigation was to investigate the effects of a prophylactic knee 23 sleeve on ACL loading parameters linked to the aetiology of injury in recreational athletes. 24 25 Thirteen male recreational athletes performed run, cut and single leg hop movements under two conditions (prophylactic knee sleeve/ no-sleeve). Biomechanical data was captured using 26 an eight-camera 3D motion capture system and a force platform. ACL loading parameters 27 were examined using 2 (sleeve)*3 (movement) repeated measures ANOVA's. The results 28 showed that both average and instantaneous ACL load rates were significantly reduced when 29 wearing the knee sleeve in the hop (sleeve = 612.45/1286.39N/kg/s & no-sleeve = 743.91/30 1471.42 N/kg/s) and cut (sleeve = 222.55/ 1058.02 N/kg/s & no-sleeve = 377.38/ 1183.01 31 N/kg/s) movements. Given the biomechanical association between ACL loading and the 32 aetiology of ACL injuries, it is proposed that athletes may be able to attenuate their risk from 33 34 injury during cut and hop movements through utilization of a prophylactic knee sleeve.

35

36 Introduction

Whilst engaging in physical activity and sport is known to mediate a plethora of physiological benefits (Schnohr et al., 2015), participation in sport is also associated with a high risk from injury (Lauersen et al., 2014). Injuries to the anterior cruciate ligament (ACL) are increasing common in those who engage in recreational/ competitive sports activities (Boden et al., 2009). ACL pathologies are extremely serious leading to a long term cessation from training/ competition (Ardern et al., 2011). Furthermore, ACL injury is typically associated with chronic knee discomfort ultimately leads to forced retirement from competition in many cases (Myklebust & Bahr, 2004). Importantly Roos et al., (1995)
confirmed this assertion in that the findings from their investigation determined that only 30
% of football players remained active 3 years after suffering an ACL injury. In addition, even
following full functional recovery from injury, athletes habitually fail to return to pre-injury
levels of performance and it has been demonstrated that statistically significant decrements in
performance are evident in relation to non-injured control athletes (Carey et al., 2006).

50

In addition to the pain/discomfort associated with knee ligament pathologies, more serious 51 long term clinical repercussions are associated with ACL injuries. Athletes who experience 52 ACL injury are up to 10 times more likely to develop early-onset degenerative knee 53 54 osteoarthritis in comparison to non-injured controls (Øiestad et al., 2009). This ultimately serves to reduce participation in sports activities but also facilitates chronic pain and 55 disability in later life (Ajuied et al., 2014). Clinical studies in the US have shown that over 56 175,000 ACL reconstruction surgeries are conducted every year, with directly associated 57 58 direct costs in excess of over \$2 billion and total allocated costs of \$3.4 billion (Gottlob et al., 1999). 59

60

ACL injuries in athletes are habitually non-contact in nature, in that ligamentous pathology occurs in the absence of any physical interaction between athletes (Boden et al., 2009). Biomechanically, ACL injuries occur when excessive loading is experienced by the ACL itself (Smith et al., 2012). In athletic populations, research has revealed that non-contact ACL injuries predominantly occur in the period immediately preceding foot strike when the knee is in a position close to full extension in sports tasks involving sudden decelerations, landings and pivoting maneuvers (Olsen et al., 2004). It has been demonstrated that most non-contact ACL injuries occur in activities that involve single-limb decelerations/ landings (Boden et al.,2009).

70

Prophylactic knee bracing is extensively utilized in athletic populations in order to reduce the 71 high risk from knee injuries during training/ competition (Sinclair et al., 2017). Prophylactic 72 knee braces are now extremely common and aim to provide protection from injury whilst also 73 being minimally restrictive to the wearer, thus allowing full range of knee motion during their 74 sports specific movements. The majority of research investigating the efficacy of knee 75 bracing in relation to the ACL has examined their effects in those with pre-existing 76 pathologies (either in those with ACL deficiencies or following ACL reconstruction) and 77 there is only limited information concerning their protective effects in healthy athletes. 78 79 Clinical research into the effects of prophylactic knee bracing on ACL injury rates in athletes has shown in two studies that prophylactic knee bracing did not significantly attenuate the 80 81 incidence of ACL injuries in athletic populations (Jackson et al., 1991; Sitler et al., 1990). In 82 addition, aetiological investigations have examined the effects of knee bracing on the causative mechanisms of ACL injuries using cadaver based analyses. Erickson et al., (1993) 83 examined the ability of prophylactic knee braces to reduce or limit medial collateral ligament 84 (MCL) and ACL strain under dynamic loading conditions. Their results showed that the 85 braces did not significantly reduce the strain experienced by either the MCL or the ACL. 86 87 There are currently no biomechanical investigations examining the effects of prophylactic devices on ACL loading magnitudes linked to the aetiology of injury during sport movements 88 89 using human participants. Furthermore, many prophylactic knee braces that have been examined in previous biomechanical literature concerning the knee ligaments have featured 90 medial and lateral vertical hinges, thus questionable as to whether they are truly non-91 92 restrictive during non-linear sports movements (Raja & Dewan, 2011).

Therefore the aim of the current investigation was to investigate the effects of a minimally restrictive prophylactic knee sleeve on ACL loading parameters linked to the aetiology of injury in recreational athletes. Research of this nature may provide important clinical information regarding the potential role of prophylactic knee sleeves for the prevention of ACL injuries in recreational athletes.

99

100 Methods

101 Participants

Thirteen male recreational athletes (age = 23.55 ± 1.77 years, height = 1.79 ± 0.06 m, mass = 71.48 ± 7.56 kg) were recruited to for this study. All participants were free from lower extremity pathology at the time of data collection and had not suffered from a knee injury in the last five years. Written informed consent was provided in accordance with the declaration of Helsinki. The procedure was approved by a university ethics committee (REF 291).

107

108 Procedure

Participants were required to complete five repetitions of three sports specific movements'; jog, cut and single leg hop, with and without presence of a prophylactic knee sleeve (Trizone, DJO USA). To prevent any order effects in the experimental data the manner that participants performed in each movement/ sleeve condition was counterbalanced. Kinematics and ground reaction forces data were synchronously collected using an analogue to digital interface board. Kinematic data was captured at 250 Hz via an eight camera motion analysis system (Qualisys Medical AB, Goteburg, Sweden), and ground reaction forces via an embedded piezoelectric force platform (Kistler, Kistler Instruments Ltd., Alton, Hampshire) which sampled at 1000 Hz. Dynamic calibration of the motion capture system was performed before each data collection session.

119

Lower extremity segments were modelled in 6 degrees of freedom using the calibrated 120 anatomical systems technique (Cappozzo et al., 1995). To define the segment co-ordinate 121 axes of the foot, shank and thigh, retroreflective markers were placed bilaterally onto 1st 122 metatarsal, 5th metatarsal, calcaneus, medial and lateral malleoli, medial and lateral 123 epicondyles of the femur. To define the pelvis segment further markers were posited onto the 124 anterior (ASIS) and posterior (PSIS) superior iliac spines. Carbon fiber tracking clusters were 125 positioned onto the shank and thigh segments. The foot was tracked using the 1st metatarsal, 126 5th metatarsal and calcaneus markers and the pelvis using the ASIS and PSIS markers. The 127 centers of the ankle and knee joints were delineated as the mid-point between the malleoli 128 129 and femoral epicondyle markers, whereas the hip joint centre was obtained using the positions of the ASIS markers. Static calibration trials were obtained allowing for the 130 anatomical markers to be referenced in relation to the tracking markers/ clusters. 131

132

133 Data were collected during run, cut and jump movements according to below:

134

135 *Run*

136Participants ran at 4.0 m/s \pm 5% and struck the force platform with their right (dominant) limb137(Sinclair et al., 2014). Participants commenced their movement a minimum of 20 feet away

from the force platform. The average velocity of running was monitored using infra-red
timing gates (SmartSpeed Ltd UK). The stance phase of running was defined as the duration
over > 20 N of vertical force was applied to the force platform.

141

142 *Cut*

For the cut movement participants used an approach velocity of 4.0 m/s $\pm 5\%$ and struck the 143 force platform with their right (dominant) limb (Sinclair et al., 2015). Participants were 144 required change direction to the opposite side at a 45° angle. As with the run movement 145 participants commenced their movement a minimum of 20 feet away from the force platform. 146 Cut angles were measured from the centre of the force plate and the corresponding line of 147 movement was delineated using masking tape so that it was clearly evident to participants. 148 149 The stance phase of the cut-movement was similarly defined as the duration over > 20 N of vertical force was applied to the force platform. 150

151

152 Jump

Participants completed counter movement vertical jumps in which they were required to use full arm swing and also to commence and land the jump on the force platform. The landing phase of the jump movement was quantified and was considered to have begun when >20 N of vertical force was applied to the force platform and ended at point of maximum knee flexion.

158

159 *Processing*

A musculoskeletal modelling approach was utilized to quantify ACL loading during the lunge movement. To accomplish this we firstly had to quantify the tibia-anterior shear force (TASF), which was undertaken using a modified version of the model described in detail by Devita & Hortobagyi, (2001). Our model differed only in that gender specific estimates of posterior tibial plateau slope (Hohmann et al., 2011), hamstring-tibia shaft angle (Lin et al., 2009) and patellar tendon-tibia shaft angle (Nunley et al., 2003) were utilized.

166

ACL loading was determined as the sum of ACL forces caused by the TASF, transverseplane knee moment, and coronal plane knee moment in accordance with the below equation.

169

170 ACL load =
$$(F100 / 100 * TASF) + (F10TV / 10 * transverse plane knee moment) + (F10CR171 / 10 * coronal plane knee moment)$$

172

The components of the above equation were obtained using the data described by Markolf et al., (1995), who examined ACL forces in vitro when a 100 N TASF (*F100*) was applied to cadaver knees from 0-90° of knee flexion. ACL forces were also measured when additional torques of 10 Nm in the coronal (*F10CR*) and transverse (*F10TV*) planes were combined with the 100 N TASF from 0-90° of knee flexion.

178

179 All force parameters were normalized by dividing the net values by body mass (N/kg). From 180 the musculoskeletal models peak ACL was extracted. In addition ACL average and 181 instantaneous load rates (N/kg/s) were quantified. Average load rate was obtained by dividing

the peak ACL force by the duration over which the force occurred and instantaneous load ratewas quantified as the peak increase in force between adjacent data points.

184

185 *Statistical analyses*

Descriptive statistics of means, standard deviations (SD) and 95% confidence intervals (95% 186 CI) were obtained for each outcome measure. Shapiro-Wilk tests were used to screen the data 187 for normality. Differences in ACL parameters were explored using 2 (Sleeve) x 3 188 (Movement) repeated measures analysis of variance (ANOVA) with statistical significance 189 accepted at the $p \le 0.05$ (Sinclair et al., 2013). Post-hoc analysis on significant main effects 190 were undertaken in the form of pairwise comparisons. Significant interactions were further 191 evaluated by performing simple main effect examinations on each level of the interaction, in 192 193 the event of a significant simple main effect pairwise comparisons were performed. Effect sizes were calculated using partial Eta^2 ($p\eta^2$). All statistical actions were conducted using 194 SPSS v23.0 (SPSS Inc, Chicago, USA). 195

196

197 **Results**

Table 1 displays ACL loading parameters as a function of the knee sleeve and different movements. The findings show that ACL loading was influenced as a function of both the knee sleeve and the different movements.

201

202

@@@ TABLE 1 NEAR HERE @@@

203

For peak ACL force a significant main effect (P<0.05, $p\eta^2 = 0.70$) was observed for 'movement', which showed that peak ACL force was significantly larger in the hop movement in comparison to the run (P=0.00000001) and cut (P=0.0002) conditions and in the cut movement compared to the run (P=0.004).

208

For average load rate a significant main effect (P<0.05, $p\eta^2 = 0.22$) was noted for 'sleeve'. 209 With average load rate being significantly reduced in the sleeve condition. In addition there 210 was also a significant main effect (P<0.05, $p\eta^2 = 0.49$) for 'movement', which showed that 211 average load rate was significantly larger in the hop movement in comparison to the run 212 (P=0.001) and cut (P=0.0003) conditions. Finally, a significant sleeve*movement interaction 213 (P<0.05, $p\eta^2 = 0.19$) was also observed. Further analysis using simple main effects showed in 214 the cut (P=0.004, $pn^2 = 0.40$) and hop (P=0.03, $pn^2 = 0.25$) movements that the average ACL 215 load rate was significantly reduced in the sleeve condition. However, in the run movement 216 (P=0.46, $p\eta^2 = 0.03$) no differences were found between the sleeve and no-sleeve conditions. 217

218

For instantaneous load rate a significant main effect (P<0.05, $p\eta^2 = 0.25$) was noted for 219 'sleeve', load rate being significantly reduced in the sleeve condition. In addition there was 220 also a significant main effect (P<0.05, $p\eta^2 = 0.65$) for 'movement', which showed that 221 instantaneous load rate was significantly larger in the hop movement in relation to the run 222 (P=0.0000007) and cut (P=0.003) conditions and in the cut movement compared to the run 223 (P=0.0001). Finally, a significant sleeve*movement interaction (P<0.05, $p\eta^2 = 0.23$) was also 224 observed. Further analysis using simple main effects showed in the cut (P=0.02, $p\eta^2 = 0.27$) 225 and hop (P=0.03, $p\eta^2 = 0.26$) movements that the instantaneous ACL load rate was 226

significantly reduced in the sleeve condition. However, in the run movement (P=0.56, $p\eta^2 = 0.02$) no differences were found between the sleeve and no-sleeve conditions.

229

230 Discussion

The aim of the current investigation was to examine the effects of a prophylactic knee sleeve on ACL loading parameters linked to the aetiology of injury in recreational athletes. To our knowledge this represents the first investigation to quantitatively analyze the effects of prophylactic knee sleeves on ACL loading during sports specific movements.

235

Importantly the current investigations showed that ACL average and instantaneous load rates 236 237 were significantly reduced during the cut and hop movements when wearing the prophylactic sleeve. This observation is an interesting one in that the prophylactic knee sleeve served to 238 mediate significant reductions in ACL loading parameters in the cut and hop movements, yet 239 in the run condition there were no statistical improvements. As stated previously the 240 mechanical aetiology of ACL injury in athletic populations is caused by excessive loading is 241 of the ACL itself (Smith et al., 2012). Therefore, given the increased rate at which the ACL 242 was loaded in the no-sleeve condition, this observation may be important clinically. It can be 243 conjectured that ACL injury risk during specific athletic movements through may be 244 245 attenuated through utilization of prophylactic knee sleeve.

246

An additional important observation from the current study is that, ACL loading parameters were all significantly greater in the cut and hop movements in comparison to the run condition. This observation agrees with previous conjecture which indicates that ACL injury risk is greatest in movements such as the cut and hop conditions which feature significant decelerations, landings and pivoting motions (Olsen et al., 2004). It is hypothesized that this finding relates to the ballistic nature of cut and leg hop conditions in relation to the running, which increase TASF and thus resistive ligamentous loading (Devita & Hortobagyi, 2001). Because the ACL injuries are linked to excessive loading of the ligament itself (Smith et al., 2012), the current study indicates that athletic disciplines which feature a significant number of cut and hop motions may place athletes at increased risk from ACL injury.

257

In conclusion, although previous investigations have examined the efficacy of prophylactic 258 knee bracing, our current knowledge regarding their effects on the ACL in functional athletic 259 movements is limited. As such the current work addresses this by examining the influence of 260 a prophylactic knee sleeve on ACL loading parameters during run, cut and jump movements. 261 The current study importantly showed that ACL loading parameters were significantly 262 reduced in the hop and cut movements whilst wearing the knee sleeve. In addition it was also 263 264 revealed that the cut and hop movements were associated with significantly greater ACL loading in relation to the run condition. Given the biomechanical association between ACL 265 loading and the aetiology of ACL injuries, it is proposed that athletes may be able to 266 attenuate their risk from injury during cut and hop movements through utilization of a 267 prophylactic knee sleeve. 268

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ſ			R	lun	· · · · · · · ·	T	Cut						Нор					
ſ	No-Sleeve			Sleeve		No-Sleeve		Sleeve			No-Sleeve			Sleeve				
	Mean	SD	95% CI	Mean	SD	95% CI	Mean	SD	95% CI	Mean	SD	95% CI	Mean	SD	95% CI	Mean	SD	95% CI
Peak ACL force (N/kg)	12.57	1.92	10.62- 12.52	12.49	3.37	10.71- 14.06	14.34	2.36	13.17- 15.52	14.20	2.98	12.72- 15.68	18.76	4.43	16.55- 20.96	18.67	2.58	17.39- 19.96
ACL load rate (N/kg/s)	267.76	146.95	164.68- 310.83	263.57	259.76	144.40- 402.75	377.38	222.73	266.62- 488.14	222.55	62.17	191.64- 253.47	743.91	532.24	479.23- 1008.59	612.45	422.87	402.17- 822.74
ACL instantaneous load rate (N/kg/s)	813.00	228.39	699.42- 926.57	810.66	327.87	677.62- 1003.71	1183.01	335.54	1016.15- 1349.96	1058.02	270.70	923.40- 1192.64	1471.42	544.19	1200.79- 1742.04	1286.39	344.11	1115.27- 1457.52

Table 1: ACL loading parameters as a function of the knee sleeve and different movements.