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1 **The effects of conventional and oval chainrings on patellofemoral loading during road**  
2 **cycling: an exploration using musculoskeletal simulation.**

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15 **Keywords:** Biomechanics; cycling, chainring, patellofemoral, pathology

16 **Abstract**

17 *PURPOSE:* The aim of the current investigation was to utilize a musculoskeletal simulation  
18 approach to resolve muscle forces during the pedal cycle, in order to specifically examine the  
19 effects of chainring geometry on patellofemoral loading during cycling.

20 *METHODS:* Fifteen healthy male recreational cyclists rode a stationary cycle ergometer at a  
21 fixed cadence of 70 RPM in two chainring conditions (round and oval). Patellofemoral  
22 loading was explored using a musculoskeletal simulation and mathematical modelling  
23 approach. Differences between chainring conditions across the entire pedal cycle were  
24 examined using 1-dimensional statistical parametric mapping and patellofemoral force  
25 experienced per 20 km was explored using a paired samples t-test.

26 *RESULTS*: No significant ( $P>0.05$ ) differences in patellofemoral force or stress were found  
27 throughout the pedal cycle between chainring conditions. It was also shown that no  
28 significant ( $P>0.05$ ) differences in patellofemoral force per 20 km joint were evident (round  
29  $38576.40 \text{ N/kg}\cdot\text{s}$  & oval =  $35637.00 \text{ N/kg}\cdot\text{s}$ ).

30 *CONCLUSIONS*: The current analysis found no effects of chainring geometry, on the forces  
31 experienced by the patellofemoral joint during the pedal cycle.

32

### 33 **Introduction**

34 During linear road cycling using traditional circular chainrings, the application of tangential  
35 force is lowest when the crank is vertically aligned, either at  $0$  or  $180^\circ$  of the pedal cycle,  
36 and maximal when the crank is horizontally aligned (1). The points during the pedal cycle  
37 where tangential force is lowest are typically referred to as upper and lower dead points (2).  
38 In an attempt to improve road cycling performance and maximize the application of effective  
39 force during the pedal cycle, oval chainrings were introduced, whereby the axes of the  
40 chainring are not perpendicular (3). This shape means that the moment arm of the force being  
41 applied to the chain is reduced at the dead points of the pedal cycle but increased when the  
42 crank is horizontally aligned (3). This optimizes the period of the pedal cycle in which  
43 tangential force is produced, and correspondingly reduces the time spent in the upper and  
44 lower dead points (4).

45

46 Quantitative analyses investigating performance parameters with oval chainrings have shown  
47 inconsistent findings. Hintzy et al., (4) showed that peak power output was significantly  
48 higher when using a non-circular chainrings during short duration maximal spring cycling.  
49 Hintzy & Horvais, (5) similarly found that higher maximal aerobic power was attained when  
50 using a non-circular chainring during maximal incremental tests. Horvais et al., (6) examined

51 mechanical and physiological parameters during 8 minute submaximal and 8 s maximal tests.  
52 During the submaximal test the oval chainring produced lower crank torques at 0° and 180°  
53 and greater torques at 90° of the pedal cycle. During the sprint test, the biceps femoris  
54 exhibited a longer burst of activation in the oval chainring condition. Conversely, Cordova et  
55 al., (2) showed that there were no significant differences in physiological responses during an  
56 incremental test until exhaustion. Similarly, Peiffer & Abbiss, (7) found that there were no  
57 differences in physiological and performance parameters between oval and round chainrings  
58 during a 10 km cycling time trial. Finally, Dagnese et al., (8) similarly showed that there were  
59 no significant differences in lower extremity muscle activation magnitude between oval and  
60 round chainrings.

61

62 Further to this, Bisi et al., (9) showed that oval chainrings altered lower extremity joint  
63 kinetics, with reductions of 6% in the knee joint moment, which they identified may have  
64 implications for chronic injury prevention at this joint. Importantly the knee joint is the  
65 musculoskeletal structure most susceptible to chronic pathology in cyclists (10). Specifically,  
66 patellofemoral pain is the most frequently experienced condition, affecting 36% of all regular  
67 cyclists' and accounting for more than 57% of all time-loss injuries (11). Despite the  
68 incidence of patellofemoral pain in cyclists it has received a paucity of attention in scientific  
69 literature in relation to other athletic disciplines. Therefore, further exploration of this  
70 condition is clearly warranted in cycling specific analyses.

71

72 Patellofemoral pain is initiated by activities that place frequent and excessive mechanical  
73 loads at the joint (12, 13). Therefore, quantification of patellofemoral loading is important in  
74 cycling specific activities as we seek to understand more about this condition and the  
75 potential mechanisms that may be important to prevent the high incidence of patellofemoral

76 pain. Although validated mathematical models of the patellofemoral joint are available in  
77 biomechanical literature (14, 15), they typically require inverse joint dynamics to resolve  
78 muscle kinetics as input parameters into the musculoskeletal algorithm. Whilst this is suitable  
79 for movements which involve full foot contact with a force platform, this is not available for  
80 cycling specific analyses, which may help to explain the lack of scientific attention  
81 concerning to patellofemoral pain in road cycling.

82

83 However, advances in musculoskeletal modelling have led to the development of bespoke  
84 software which allows skeletal muscle force distributions to be simulated during movement  
85 using motion capture based data (16). To date, such approaches have not yet been utilized in  
86 cycling specific analyses. The aim of the current investigation was therefore to utilize a  
87 musculoskeletal simulation approach to resolve muscle forces during cycling to examine the  
88 effects of chainring geometry on patellofemoral loading during the pedal cycle. A study of  
89 this nature may provide important clinical information regarding the effects of different  
90 chainring technology on the susceptibility of road cyclists to patellofemoral pain.

91

## 92 **Materials & methods**

### 93 *Participants*

94 Fifteen male recreational cyclists, who habitually utilized round chainrings for their training  
95 volunteered to take part in this study. Cyclists were required to have at least 2 years of road  
96 cycling experience and be free from musculoskeletal pathology at the time of data collection.

97 The mean characteristics of the participants were; age  $28.11 \pm 5.11$  years, height  $1.80 \pm 0.10$   
98 m and body mass  $75.10 \pm 8.22$  kg. The procedure utilized for this investigation was approved  
99 by the University of Central Lancashire, Science, Technology, Engineering and Mathematics,  
100 ethical committee (Ref: 511) and all participants provided written informed consent

101

102 *Procedure*

103 Participants rode a stationary cycle ergometer SRM 'Indoor Trainer' (SRM, Schoberer,  
104 Germany) for 10 minutes at a fixed cadence of 70 RPM using a 52x15 gear ratio. To ensure  
105 that the current investigation examined only the effects of the different chainrings, the set-up  
106 parameters were constructed in accordance with previous recommendations (17), and  
107 standardized between the two conditions. Cycling shoes (Northwave Sonic 2 Plus Road  
108 Shoes, Northwave, Italy), pedals (Look Keo Classic 2, Look, Cedex, France) and cleats  
109 (Look Keo Grip, 4.5° float, Look, Cedex, France) were consistent across all trials, and  
110 adjusted so that the 1st metatarsal head was positioned superior to the pedal spindle (18). The  
111 participants were provided with continuous visual feedback regarding their cadence, which  
112 was visible via the SRM head unit (Powercontrol V, SRM, Schoberer, Germany).

113

114 The participants rode in two conditions one with a traditional round chainring (SRM power,  
115 SRM, Schoberer, Germany) and one using an oval shaped chainring (Osymetric, standard,  
116 USA), with a crank length of 172.5mm. To prevent any order effects in the experimental  
117 data, the order in which participants rode in each chainring condition was counterbalanced  
118 and a standardized rest period of 10 minutes was allowed between trials. The ergometer setup  
119 was organized based on each participant own preference and maintained between the two  
120 chainring conditions.

121

122 Kinematic information from the lower extremity joints was obtained using an eight camera  
123 motion capture system (Qualisys Medical AB, Goteburg, Sweden) using a capture frequency  
124 of 250 Hz. To define the anatomical frames of the thorax, pelvis, thighs, shanks and feet  
125 retroreflective markers were placed at the C7, T12 and xiphoid process landmarks and also

126 positioned bilaterally onto the acromion process, iliac crest, anterior superior iliac spine  
127 (ASIS), posterior superior iliac spine (PSIS), medial and lateral malleoli, medial and lateral  
128 femoral epicondyles, greater trochanter, calcaneus, first metatarsal and fifth metatarsal.  
129 Carbon-fibre tracking clusters comprising of four non-linear retroreflective markers were  
130 positioned onto the thigh and shank segments. In addition to these the foot segments were  
131 tracked via the calcaneus, first metatarsal and fifth metatarsal, the pelvic segment was tracked  
132 using the PSIS and ASIS markers and the thorax segment was tracked using the T12, C7 and  
133 xiphoid markers. Static calibration trials were obtained with the participant in the anatomical  
134 position in order for the positions of the anatomical markers to be referenced in relation to the  
135 tracking clusters/markers. A static trial was conducted with the participant in the anatomical  
136 position in order for the anatomical positions to be referenced in relation to the tracking  
137 markers, following which those not required for dynamic data were removed.

138

### 139 *Processing*

140 Dynamic trials were digitized using Qualisys Track Manager in order to identify anatomical  
141 and tracking markers then exported as C3D files to Visual 3D (C-Motion, Germantown, MD,  
142 USA). Marker data were smoothed using a cut-off frequency 12 Hz using a low-pass  
143 Butterworth 4th order zero-lag filter; this was established using residual analysis similar to  
144 Sinclair et al., (19).

145

146 Data from five pedal cycles in each chainring condition were exported from Visual 3D into  
147 OpenSim 3.3 software (Simtk.org). The five extracted pedal cycles were obtained during  
148 minutes 4-6 of the experimental protocol, and the pedal cycle itself was delineated in  
149 accordance with Sinclair et al., (19). A validated musculoskeletal model (gait2392) with 8  
150 segments, 19 degrees of freedom and 92 musculotendon actuators (Delp et al., 2007) was

151 used to resolve muscle kinetics during the pedal cycle. The model was scaled for each  
152 participant using the anthropometrics and segment inertial properties generated from the  
153 static trial to account for the dimensions of each athlete. We firstly performed a residual  
154 reduction algorithm (RRA) within OpenSim, this utilizes the inverse kinematics that were  
155 exported from Visual 3D. The RRA calculates the joint torques required to re-create the  
156 dynamic motion. The RRA calculations produced root mean squared errors  $<2^\circ$ , which  
157 correspond with the recommendations for good quality data. Following the RRA, the  
158 computed muscle control (CMC) procedure was then employed to estimate a set of muscle  
159 force patterns allowing the model to replicate the required kinematics (20). The CMC  
160 procedure works by estimating the required muscle forces to produce the net joint torques.

161

162 Patellofemoral loading during cycling was quantified using a model adapted from van Eijden  
163 et al., (14) in accordance with the protocol of Willson et al., (21). A key drawback of this  
164 model is that co-contraction of the knee flexor musculature is not accounted for. Taking this  
165 into account, summed hamstring and gastrocnemius forces derived from the CMC procedure  
166 were multiplied by their estimated knee joint muscle moment arms as a function of knee  
167 flexion angle (22) and then added together to determine the knee flexor torque during the  
168 pedal cycle. In addition to this the knee extensor torque was also calculated by dividing the  
169 summed quadriceps forces by this muscle groups' knee joint muscle moment arms as a  
170 function of knee flexion angle (14). The knee flexor and extensor torques were then summed  
171 and subsequently divided by the quadriceps muscle moment arm (14) to obtain quadriceps  
172 force adjusted for co-contraction of the knee flexor muscles (21). Patellofemoral force was  
173 quantified by multiplying the derived quadriceps force by a constant which was obtained by  
174 using the data of Eijden et al., (14). Finally, patellofemoral joint stress was quantified by  
175 dividing the patellofemoral force by the patellofemoral contact area. Patellofemoral contact



176 areas were obtained by fitting a polynomial curve to the sex specific data of Besier et al.,  
177 (12), who estimated patellofemoral contact areas as a function of the knee flexion angle using  
178 MRI.

179

180 Following this the patellofemoral force, muscle force and knee flexion angle data for each  
181 participant during the entire pedal cycle were extracted and time normalized to 101 data  
182 points. All joint and muscle force parameters were subsequently normalized by dividing the  
183 net values by body mass (N/kg). In addition to this, the patellofemoral force integral during  
184 the pedal cycle was obtained using a trapezoidal function. As cycling requires a uniquely  
185 recurrent movement pattern, with a significant number of pedal cycles to complete typical  
186 training/ competitive distances, the total patellofemoral force experienced per 20 km was also  
187 extracted. This was resolved firstly by quantifying the velocity of the bicycle using the gear  
188 ratio, cadence and typical wheel diameter/ tire width. Using this information (neglecting for  
189 air resistance and assuming that the velocity was uniform) the time taken to cycle 20 km  
190 could then be calculated. From this the number of pedal cycles required to complete the  
191 aforementioned distance was calculated. Finally, in accordance with Sinclair et al., (23) the  
192 patellofemoral force integral was multiplied by the number of pedal cycles necessary to cycle  
193 20 km to extract the patellofemoral force experienced during this distance.

194

### 195 *Analyses*

196 Differences in patellofemoral and muscle forces across the entire pedal cycle were examined  
197 using 1-dimensional statistical parametric mapping with MATLAB 2017a (MATLAB,  
198 MathWorks, Natick, USA), in accordance with (24), using the source code available at  
199 <http://www.spm1d.org/>. For patellofemoral force per 20 km, descriptive statistics of means,  
200 standard deviations (SD) and 95 % confidence intervals (95% CI) were calculated for both

201 chainring conditions. Differences in patellofemoral force per 20 km between chainring  
202 conditions were examined using a paired samples t-test. Effect sizes were calculated using  
203 partial eta<sup>2</sup> ( $\eta^2$ ). The alpha ( $\alpha$ ) level for statistical significance was set at the 0.05 level  
204 throughout. Discrete statistical tests were conducted using SPSS v23.0 (SPSS, USA).

205

## 206 **Results**

207 Table 1 and figures 1-6 present differences in muscle kinetics and patellofemoral loading as a  
208 function of the different chainring conditions.

209

### 210 *Patellofemoral loading*

211 No significant differences ( $P>0.05$ ) in patellofemoral loading were evident across the pedal  
212 cycle as a function of the different chainring conditions (Figure 1-2). In addition, no  
213 significant ( $P>0.05$ ) differences in patellofemoral force per 20 km were evident between  
214 chainring conditions (Table 1).

215

216 **@@@ TABLE 1 NEAR HERE @@@**

217 **@@@ FIGURE 1 NEAR HERE @@@**

218 **@@@ FIGURE 2 NEAR HERE @@@**

219

### 220 *Muscle kinetics*

221 No significant differences ( $P>0.05$ ) in muscle kinetics were evident across the pedal cycle as  
222 a function of the different chainring conditions (Figure 3-6).

223

224 **@@@ FIGURE 3 NEAR HERE @@@**

225 **@@@ FIGURE 4 NEAR HERE @@@**

226 @@@ **FIGURE 5 NEAR HERE** @@@

227 @@@ **FIGURE 6 NEAR HERE** @@@

228

229 **Discussion**

230 The aim of the current investigation was to examine the effects of chainring geometry on  
231 patellofemoral loading throughout the pedal cycle using a statistical parametric mapping  
232 approach. To the authors knowledge this represents the first investigation to quantify the  
233 effects of different chainrings on the loads experienced by this joint throughout the pedal  
234 cycle. Given the high incidence of patellofemoral pain in road cyclists this investigation may  
235 provide important information concerning the effects of different bicycle technology  
236 regarding cyclists' susceptibility to chronic pathologies.

237

238 The key observation from the current study is that no significant differences in patellofemoral  
239 loading parameters were observed at any point during the pedal cycle as a function of the  
240 different chainring geometries examined as a part of this investigation. This opposes the  
241 proposition initiated by Bisi et al., (9) which denoted that the reduction in knee joint moment  
242 observed in the oval chainring condition may have implications for chronic injury prevention  
243 at this joint. This disagreement is likely due to the distinction between joint inverse dynamics  
244 and specific indices of joint loading; it has been shown that alterations in joint torque do not  
245 necessarily reflect changes in joint loading (25). Therefore, it can be concluded from this  
246 investigation that chainring geometry does not appear to influence patellofemoral loading  
247 during the pedal cycle.

248

249 It is proposed that this finding relates to the lack of statistical differences in muscle kinetics  
250 between the two conditions. No differences in knee flexor/ extensor muscle kinetics were

251 observed between round and oval chainrings at any point during the pedal cycle. Importantly,  
252 Herzog et al., (26) have shown that muscles are the main determinant of joint forces. In  
253 addition, the current study showed that there were no differences in knee joint kinematics  
254 throughout the pedal cycle, between the two chainring conditions. Taking into account that  
255 patellofemoral contact area (12) and knee flexor/ extensor muscle moment arms (14, 22),  
256 were modelled as a function of the knee joint angle, provides further insight into the absence  
257 of statistical differences in patellofemoral loading between conditions.

258

259 There is a clear link between excessive patellofemoral joint kinetics and the aetiology and  
260 progression of patellofemoral pain (12, 13). The current study represents the first  
261 investigation firstly to explore patellofemoral kinetics during the pedal cycle using a  
262 mathematical model that accounts for co-contraction of the knee flexor musculature but also  
263 to quantify the loads experienced by this joint during a typical cycling training/ competitive  
264 distance. The findings show that cyclists experience considerable patellofemoral loads,  
265 indeed although the peak forces during the pedal cycle (round = 27.86 & oval = 25.92 N/kg)  
266 are lower than those during the stance phase of running which range between; 31.29 - 76.4  
267 N/kg (27-29); the cumulative loads observed during the current study (round = 38576.40  
268 N/kg·s & oval = 35637.00 N/kg·s) over the same linear distance are larger than those  
269 experienced during running which range between; 27774.07 - 30721.33 N/kg·s (23). This is a  
270 thought-provoking statistic which helps to contextualize the high incidence of patellofemoral  
271 pain in cyclists and highlights the lack of scientific research into the patellofemoral joint in  
272 cycling. There is currently a clear requirement for both prophylactic and treatment  
273 intervention studies in cycling which are almost entirely absent in scientific literature. This  
274 will serve to address the underlying epidemiological factors associated with patellofemoral

275 pain in cyclists and most importantly initiate a body of clinical research concerning sustained  
276 conservative treatment modalities.

277

## 278 **Limitations & conclusions**

279 A limitation of the current investigation is that only healthy cyclists were examined. It is  
280 currently unknown whether cyclists with patellofemoral pain differ in their joint loading in  
281 comparison to healthy athletes, but Dieter et al., (10) demonstrated that cyclists with  
282 patellofemoral pain exhibit altered muscle activation patterns compared to healthy controls.  
283 Therefore generalizations of the current observations results to cyclists with existing  
284 patellofemoral symptoms should be made with caution. A second potential drawback is that  
285 patellofemoral loading was extracted using a mathematical modelling approach. Whilst this  
286 procedure was considered an improvement over previous approaches in that co-contraction of  
287 the knee flexor musculature was accounted for; individualized muscle moment arms and  
288 patellofemoral contact areas are still not available within biomechanical literature. Finally,  
289 that the current investigation examined cyclists who do not habitually ride using oval shaped  
290 chainrings, may limit the generalizability of the results, which may have differed had the  
291 riders been more familiar with this chainring condition. Therefore, it is important for the  
292 current investigation to be repeated using cyclists who habitually utilize oval chainrings,  
293 which will allow more definitive conclusions to be drawn.

294

295 In conclusion, although the effects of altering the geometry of the chainring have been  
296 investigated previously, current knowledge regarding the effects of oval chainrings on  
297 patellofemoral loading during cycling is lacking. This study consequently adds to the current  
298 literature base in the field of biomechanics by presenting a comprehensive examination of  
299 patellofemoral loading parameters during linear cycling with both round and oval chainrings.

300 The findings from current work show that the no differences in patellofemoral loading were  
301 evident between the two chainring conditions. This therefore indicates that chainring  
302 geometry does not significantly influence patellofemoral loading linked to the aetiology of  
303 patellofemoral pain during cycling.

304

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307

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309 (<http://www.spm1d.org/>) and for generously providing the source code for this experiment.

310

### 311 **Compliance with ethical standards**

#### 312 *Conflict of interest*

313 We declare that we have no conflict of interest.

#### 314 *Ethical approval*

315 All procedures performed in studies involving human participants were in accordance with  
316 the ethical standards of the institutional and the declaration of Helsinki.

#### 317 *Informed consent*

318 All of the subjects provided written consent.

319

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#### 405 **Figure labels**

406 Figure 1: Patellofemoral force (a.), stress (b.) and (c.) sagittal plane knee angle as a function  
407 of chainring geometry.

408 Figure 2: Comparison of patellofemoral force (a.), stress (b.) and (c.) sagittal plane knee  
409 angle between conditions, positive values indicate that the round chainring values exceed  
410 those in the oval condition (SPM (t) denotes the t value and critical thresholds for statistical  
411 significance are denoted via the horizontal dotted lines).

412 Figure 3: Knee extensor kinetics (a.), rectus femoris (b.), vastus lateralis (c.) and vastus  
413 medialis (d.) vastus intermedius as a function of chainring geometry.

414 Figure 4: Comparison of rectus femoris (a.), vastus lateralis (b.), vastus medialis (c.) and (d.)  
415 vastus intermedius between conditions, positive values indicate that the round chainring

416 values exceed those in the oval condition (SPM (t) denotes the t value and critical thresholds  
417 for statistical significance are denoted via the horizontal dotted lines).

418 Figure 5: Knee flexor kinetics (a.) semimembranosus, (b.) semitendinosus, (c.) biceps femoris  
419 short head, (d.) biceps femoris long head, (e.) lateral gastrocnemius and (f.) medial  
420 gastrocnemius as a function of chainring geometry.

421 Figure 6: Comparison of semimembranosus (a.), semitendinosus (b.), biceps femoris short  
422 head (c.), biceps femoris long head (d.), (e.) lateral gastrocnemius and (f.) medial  
423 gastrocnemius positive values indicate that the round chainring values exceed those in the  
424 oval condition (SPM (t) denotes the t value and critical thresholds for statistical significance  
425 are denoted via the horizontal dotted lines).

426 **Tables**

427 Table 1: Patellofemoral force per 20 km (Mean, SD & 95% CI) as a function of chainring geometry.

	Round			Oval			P-value	$\eta^2$
	Mean	SD	95% CI	Mean	SD	95% CI		
<b>Patellofemoral force per 20 km (N/kg-s)</b>	38576.40	10796.83	31716.42-45436.38	35637.00	8306.64	30359.21-40914.78	0.52	0.04

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