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**Can the use of Proprioceptive Knee Braces have Implications in the Management of Osteoarthritic Knees: An exploratory study?**

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### **Declaration of conflicting interests**

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## **Abstract**

**Background:** Use of proprioceptive knee braces to control symptomology by altering neuromuscular control mechanisms has been shown in patellofemoral pain. Though their potential in patients with knee osteoarthritis (OA) is vast, little research has examined their efficacy. **Methods:** Thirteen healthy participants were asked to perform a 10cm stepdown task with and without a Proprioceptive Brace. Data was collected using a 10-camera Qualisys system. Individuals with OA completed the Knee Osteoarthritis Outcome Score (KOOS) pre- and post 4-week intervention. **Results:** During stepdown reductions in knee maximum internal rotation, transverse range of movement, transverse plane angular velocity and maximum internal rotation angular velocity was seen. Ankle plantar flexion and inversion angular velocity decreased while inversion and maximum supination angular velocity increased. Improvements in KOOS were noted across all parameters with brace use. **Conclusions:** Positive changes in kinematic variables in multiple planes can be achieved with proprioceptive bracing alongside improved patient outcome. These changes occur at the knee but analysis of other weight bearing joints should not be overlooked in future studies. This study supports the concept of neuromuscular reinforcement and re-education through proprioceptive bracing and its application in the management in knee OA. **(191 words)**

**Clinical relevance:** Proprioception can alter symptoms and biomechanics embraced and adjacent lower limb joints. The results of this study highlights the potential uses of non-mechanical bracing in the treatment of OA and other potential to bridge the OA treatment gap. Further large-scale research is needed to match disease subset to brace type. **Word count: 50 words.**

## **Background and Objectives**

Knee Osteoarthritis (OA) is incurable and results in progressive restriction of daily activity [1, 2]. The number of patients who fall within the OA treatment gap, defined as patients who are unsuitable for replacement surgery due to age/quality of life and therefore facing a prolonged period of failing conservative management [3], is projected to rise in line with demographics. The consideration of interventions that may bridge this gap are therefore of significant clinical importance.

Current clinical management of knee OA is aimed at preventing disability and treatment options may be divided into three overlapping phases. Initially, the patient is encouraged to manage through weight loss, exercise and physical/occupational therapy, the latter of which includes orthotics in the form of knee braces and insoles [4-6]. The second phase consists of systemic or intra-articular pharmacological management, including steroids, various analgesics and viscosupplementation [7,8]. The final phase consists of surgery, which may include arthroscopic procedures, arthrodesis, osteotomy and arthroplasty [9-11]. These options are tailored to the individual patient and to the stage of disease at presentation. The effectiveness of the different phases has been subject to significant deliberation within the medical community and many healthcare organisations in various countries have attempted to streamline and simplify the options available for clinical use (Table 1). The concept of proprioceptive bracing does not currently feature in these guidelines, and knee braces typically comprise of a combination of metallic, foam, elastic and non-elastic material. However, such braces are varied in their design, function, intended use and clinical outcomes also vary [12,16].

Knee braces have recently been classified into two broad groups; mechanical and non-mechanical [14,16,17]. Mechanical braces aim to provide support or control in one or more planes of movement, forcibly correcting or supporting altered anatomy through a three point fixation system [18-21]. This has been shown to reduce the knee adduction moment during gait by reducing the moment arm and increasing the compartmental intercondylar distance, and subsequently reducing the force traveling through the medial compartment of the knee joint [16,18,20,21]. However, knee osteoarthritis is panarticular and therefore adversely affects proprioception and neuromuscular control [22-25], which is reinforced by studies which have shown that proprioceptive reinforcement can improve symptomology and abnormalities in knee function [18,22,27-31]. Non-mechanical braces or proprioceptive bracing attempt to reinforce this proprioceptive feedback resulting in better control and improved symptomology.

Factors likely to influence patient behaviour are the wearability of a brace, its efficacy and cost [32]. It is known for example that the mechanical advantage in correct alignment increases with the length of the brace, but at the same time patient adherence generally diminishes. Despite the documented improvements in Patient Reported Outcome Measures (PROMS), there is an unwillingness amongst patients to wear knee braces for prolonged periods [32]. Brouwer et al [33] found that only 58% of patients were wearing a provided brace after 1 year, and of those that stopped using the brace, 64% did so within the first three months. Key reasons for this lack of adherence to intervention were skin irritation, bad fit and lack of improvements in symptoms [32]. The literature predominantly considers at the short term effects of such braces [18,21,34] and although some studies have found longer term improvements in gait and PROMS at 6 months [16], the period of time required for brace acclimatisation is unknown and it is difficult to gauge the optimum period of wear to assess changes in gait and neuromuscular adaptations [16,35]. Factors playing a key role in the wearability of a brace are not fully understood. However, these may include the perceived social stigma of wearing a brace, bulkiness, practicality and or discomfort [13,14,36]. This could be particularly pertinent for mechanical knee braces where employing a three-point fixation system, which invariably leads to increase pressure on the anatomical structures to achieve an offloading effect [37]. Kutzner et al. reported that an eight degree correction may be the upper limit of tolerability for comfort [37], although more research is needed to identify optimum angle to load ratio [20,33,37], which may also be affected by the coronal plane knee stiffness.

A new generation of braces is becoming available in response to some of these issues. One such brace is the OA Reaction (DJO inc.), which includes silicone webbing, fabric and minimal metallic hinge components. Such braces may have the potential to provide proprioceptive feedback to improve knee stability [38] and improve usability, adherence and quality of life and may be better suited to younger, more active patients within the treatment gap.

This study aimed to investigate the efficacy and effectiveness of the OA Reaction proprioceptive knee brace on kinematics during a slow step down in healthy participants and individuals with medial compartment knee OA. The effects of the brace on patient reported outcomes in individuals with OA before and after four weeks of wear were assessed using the Knee injury and Osteoarthritis Outcome Score (KOOS).

## **Methods**

### **Participants**

Thirteen healthy participants (age:  $42 \pm 12$  years; height:  $178 \pm 16.5$  cm; weight:  $81 \pm 17$  kg), were recruited from university staff/student population, with no history of lower limb OA, trauma or surgery. In addition, 3 participants with medial compartment knee OA grade 2-3 on the Kellgren-Lawrence scale, (age:  $48 \pm 9.2$  years), with no history of major trauma or surgery to the lower limbs and were able to walk without the use of an aid were self-volunteered for the study. All data collection conformed to the Declaration of Helsinki and volunteers gave written informed consent prior to participation. The study was approved by the University's Ethics Committee (STEMH 235 & 356).

### **Procedure**

Passive retro-reflective markers were placed on the lower limbs and pelvis using the Calibrated Anatomical System Technique (CAST) to allow for segmental kinematics to be tracked in 6-degrees of freedom (Figure 1). Markers were positioned on the anterior superior iliac spine, posterior superior iliac spine, greater trochanter, medial and lateral femoral epicondyle, medial and lateral malleoli, the head of the 1<sup>st</sup> metatarsal, the head of the 5<sup>th</sup> metatarsal, the dorsum of the foot and the calcaneus or equivalent placement over these landmarks on the shoe. Additionally clusters of four non-collinear markers were attached to the body segments of the shank and thigh. Kinematic data were collected using a ten camera infra-red Oqus motion analysis system (Qualisys medical AB, Gothenberg, SE) at 100 Hz. All participants were asked to perform a step down from a 10cm step with and without the proprioceptive brace (OA Reaction, DJO, USA). Whilst it has been shown that 20cm is considered a standard step height to mimic daily scenarios, it has been shown to create a significant challenge to some individuals with knee pain [38]. Therefore a 10cm height was considered to be a sufficient challenge to individuals with knee OA, without overloading the knee joint [39,40]. Five repetitions for each condition were performed in a randomised order. The brace was applied in accordance with the manufacturer's instructions on the dominant or affected knee (for OA cases). Anatomical frames were defined by landmarks positioned at the medial and lateral borders of the joint, from these right handed segment co-ordinate systems were defined. The kinematics were calculated based on the cardan sequence of XYZ.

In addition to the step down tasks, the individuals with OA were asked to complete the Knee Osteoarthritis Outcome Score (KOOS). This is a patient reported outcome measure, that was developed to assess patient opinion on their associated knee problems relating specifically to symptoms, pain, activities of daily living, sport and recreational activity, and overall quality of

life. For the intervention participants with OA were given the under sleeve and brace to use as per manufacturer guidance and subjective feedback and PROMS were followed up at four weeks.

### **Data Analysis**

Raw kinematic data were exported to Visual3D (C-Motion Inc., USA). Kinematic data were filtered using fourth order Butterworth filters with cut off frequencies of 6 and 25Hz, respectively. Knee, hip and ankle angle data was exported and paired t-tests were performed on maximum, minimum and range values using SPSS v24 (IBM, NY, USA).

The KOOS data from each individual with OA were considered in relation to a 10 point change which has been suggested as showing a clinically important change [41]. In addition, following successful completion of the step down task, the participants with and without OA were asked to give their subjective opinion regarding the wearability of the brace and perceived in comfort and stability.

## **RESULTS**

### **Healthy Participants Kinematics**

In the healthy individuals the maximum knee external rotation was reduced by a mean of 1.7° when wearing the brace ( $p=0.005$ ). Significant changes were also seen in the transverse plane knee range of movement ( $p=0.001$ ), with a reduction from 5.4 to 4.2° when wearing the brace. A significant change in the range of angular velocities at the knee in the transverse plane was seen ( $p=0.001$ ) with a reduction of 6.72°/s when wearing the brace, with corresponding reductions in both maximum internal and external rotation angular velocities 3.6°/s ( $p = 0.037$ ) and 3.3°/s ( $p = 0.006$ ) respectively, table 3. No changes were seen in hip angles in any plane (table 2). However, maximum internal rotation angular velocity at the hip was significantly reduced by 2.65°/s ( $p = 0.025$ ) (table 3). Significant changes were seen in the ankle joint coronal plane, with a decrease in ankle inversion of 1.1° when wearing the brace ( $p = 0.049$ ). Similarly, inversion angular velocity decreased significantly by 2.5 deg/s when wearing the brace ( $p=0.024$ ). Statistically significant changes in the transverse angular velocities were noted with an increase of 6.3 deg/s in maximum supination angular velocity when wearing the brace ( $p=0.010$ ).



## **Subjective Feedback & Patient Reported Outcome Measures**

Most of the healthy participants (11 of the 13 healthy participants), and all three participants with OA gave positive subjective feedback regarding the design and wearability of the brace. Positive comments included “better fitting for clothing”, “better stability for sport and muscle building”, “easier control for step down” and “snug and comfortable”. Negative comments from participants with OA included feedback on sensation of pressure over the lateral aspect of the knee joint applied by the brace.

All participants with OA demonstrated improvements in KOOS post intervention. Improvements in KOOS occurred across all tested parameters with brace use and were on average an improvement of 85.5% in pain, 57.6% in symptomology, 81.2% in activities of daily living, 255.2% for sports and recreation and a 127.7% in Quality of Life (*table 4*). Each individual with OA reported an improvement of at least 17.9 points (25% improvement) in Pain, Symptoms, Activities of Daily Living and Sport/Recreation, well above the 10 point threshold considered as a clinically important change [41]. For Quality of Life one participant of the three with OA saw an improvement below this threshold of 6.2 point in, however the remaining participants saw a 25 and 43.8 point improvement respectively.

## **DISCUSSION**

### **Kinematics**

The use of range of motion in of the knee in the transverse plane [38] and angular velocity [42] have been suggested as a measurement of neuromuscular control. Whereby a reduction in total range of movement when an identical task is performed, may allude to better control. From the results of this study, it is possible to confirm that subtle changes in lower limb biomechanics can occur when using a proprioceptive knee brace. These changes appear to improve the neuromuscular control at the knee, and change control strategies in proximal and distal joints to the brace in healthy individuals.

Whilst gait is often the task of choice for analysis of movement following a knee brace intervention, activities of daily living e.g. stair descent may lead to pain in individuals with conditions such as Knee OA. A better understanding of such activities could help lead to better solutions, affording further adherence to intervention. Changes in stability were seen in the step down results of this study, including reductions in maximum knee internal rotation, transverse range of movement and transverse angular velocity with use of the brace. The results confirm findings of previous research which examined a proprioceptive device and found significant changes in transverse plane kinematics [17,38].

Previous studies have noted significant changes in sagittal plane kinematics at the knee [43] but few changes in other planes were reported during step negotiation with the use of proprioceptive knee bracing. The present study showed no changes in sagittal plane kinematics at the hip, knee or ankle. However, in healthy participants a significant reduction in maximum hip internal rotation angular velocity, maximum ankle inversion angular velocity; with a corresponding decrease in ankle inversion and maximum supination angular velocity.

The results of this study highlight the importance of wearability and effective implementation of a proprioceptive brace. Overall participants with OA had a favourable opinion of the brace design; with the low profile and wearability of the brace being defined as key factors in adherence to the intervention. It was also found that participants who had previously utilised bracing were more likely have a favourable opinion regarding comfort but were more likely to comment on the restriction in movement at the knee joint and the assisted extension produced by the brace. It is known that continued use of knee braces can be low amongst individuals with OA and previous publications have highlighted key reasons for this including skin irritation, bad fit and lack of improvements in symptomology [32]. Other key factors relating to braces include perceived social stigma of the brace, bulkiness, practicality and/or discomfort especially with larger braces [13,14,36]. During the testing phase of the present study there were some negative comments from participants with OA which included sensation of pressure over the lateral aspect of the knee joint applied by the hinge unit. However, this could be related to the intentional abandonment of the under sleeve which may be worn underneath the brace during data collection to allow retroreflective markers to be attached.

### **Subjective Feedback & PROMs**

The issue of aesthetics' to patients is arguably of great significance for bridging the OA treatment gap but that there is little data on the issue. Jones et al postulated that a discrete orthosis is more attractive to patients [36], however the current literature does not investigate this issue in detail. Current data also predominantly looks at the short term effects of bracing [18,21,34] and no studies were found which investigate the period of time required for brace acclimatization, long term use rates, purchase data of over the counter or generic devices and long term self-management with orthoses among OA patients. The KOOS scores of OA participants in the present study showed significant improvements across all tested parameters. These finding agree with the current data which notes significant improvements in PROMS across multiple scoring criteria through brace use [14,16,18,20].

Current literature demonstrates a lack of consensus on the utilisation of externally applied devices for the management of OA. Most studies pertaining to knee bracing involved mechanical bracing but were highly heterogeneous in study design and methodology, making it difficult for the clinician to implement in practice. There is a paucity of studies examining proprioceptive bracing in patients with OA, however several studies have previously investigated non-mechanical bracing in patients with other disease processes. These found significant results that correlated with improvements in symptomology and limb control.

The results this study confirm that PROMs can be significantly improved with the use of proprioceptive bracing and wearability of the brace is an important factor for implementation. The results showed significant positive changes in kinematic variables in healthy individuals particularly in the transverse plane movement of the knee, which had a secondary effect on proximal and distal joints due to altered strategies of step descent. Analysis of these measures should therefore not be overlooked in future studies on patient groups. In addition, this paper further highlights the importance of multi-planar analysis in biomechanical studies, the present study has added to the growing body of evidence, which supports the concept of neuromuscular enforcement and re-education through proprioceptive bracing as an alternative to mechanical correction. Such braces hold potential in offering more patient friendly treatment modalities for the management of the knee OA treatment gap. Further research is needed to substantiate the effects of proprioceptive bracing on various clinical grades of OA in order to establish at what point in the treatment pathway this bracing technique would be most effective.

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Figure 1: Retroreflective marker set with the proprioceptive knee brace (OA Reaction, DJO, USA).



Table 1: Current International Guidelines on Orthotic Management of OA

<b>Guidance</b>	<b>Bracing</b>	<b>Sleeve</b>	<b>Insoles</b>
<b>NICE</b>	Adjunct	NA	Adjunct
<b>AAOS</b>	Undecided	Undecided	Undecided
<b>ACR</b>	Undecided	Undecided	Recommended
<b>EULAR</b>	NA	NA	Not recommended
<b>OARSI</b>	Recommended	Recommended	Recommended
<b>SMOH</b>	Recommended	Recommended	Recommended
<b>RACGP</b>	Not recommended	Not recommended	Not recommended

National Institute for Health and Clinical Excellence (NICE) (NICE, 2014), American Association of Orthopaedic Surgeons (AAOS) (AAOS, 2013), American College of Rheumatology (ACR) (Hochberg et al., 2012), European League Against Rheumatism (Fernandes et al., 2013), The Royal Australian College of General Practitioners (RACGP) (RACGP, 2009), Singapore Ministry of Health (SMOH) (SMOH 2007), OA Research Society International (OARSI) (McAlindon et al., 2014a).

Table 2: Angular Change (Min, Max, ROM) during step down

		Healthy		
		No brace Mean (SD)	Brace Mean (SD)	CIs of the differences
Hip	Sagittal Min	-21.4(12.9)	-20.0(14.1)	-3.5 to 0.8
	Coronal Min	1.6 (2.3)	2.1 (2.9)	-1.0 to 0.2
	Transverse Min	-9.7 (6.7)	-10.6 (6.8)	-0.5 to 2.3
	Sagittal Max	-8.9 (9.7)	-8.4 (11.3)	-2.3 to 1.2
	Coronal Max	7.6 (4.0)	7.2 (4.1)	-0.5 to 1.2
	Transverse Max	-3.3 (5.7)	-4.2 (6.5)	-0.5 to 2.2
	Sagittal ROM	12.5 (4.5)	11.6 (4.4)	2.3 to -0.6
	Coronal ROM	5.9 (2.2)	5.2 (2.1)	1.6 to -0.1
	Transverse ROM	6.4 (1.9)	6.4 (2.2)	0.9 to -0.9
Knee	Sagittal Min	15.2 (6.6)	16.1 (7.2)	-3.0 to 5.3
	Coronal Min	-5.9 (4.5)	-5.7 (3.9)	-1.3 to 1.2
	Transverse Min	3.3 (4.0)	2.8 (4.9)	-0.7 to 1.2
	Sagittal Max	53.5 (9.3)	53.4 (9.2)	-2.0 to 2.2
	Coronal Max	-1.6 (3.2)	-1.9 (2.9)	-0.4 to 1.0
	Transverse Max	<b>8.7 (4.9)*</b>	<b>7.0 (5.6)*</b>	<b>0.6 to 2.7</b>
	Sagittal ROM	38.3 (7.0)	37.3 (6.2)	2.8 to -0.7
	Coronal ROM	4.3 (2.2)	3.8 (2.1)	1.7 to -0.8
	Transverse ROM	<b>5.4 (2.4)*</b>	<b>4.2 (1.9) *</b>	<b>1.8 to 0.6</b>
Ankle	Sagittal Min	-100.4(6.7)	-100.4(7.2)	-0.7 to 0.7
	Coronal Min	<b>-15.5 (7.2)*</b>	<b>-14.4 (7.5)*</b>	<b>-2.1 to 0.0</b>
	Transverse Min	-6.8 (3.7)	-6.5 (3.7)	-0.9 to 0.3
	Sagittal Max	-79.7 (6.2)	-80.3 (5.9)	-0.0 to 1.2
	Coronal Max	-6.6 (6.8)	-6.0 (6.9)	-2.1 to 0.8
	Transverse Max	-3.9 (3.8)	-3.9 (3.4)	-0.4 to 0.5
	Sagittal ROM	20.7 (2.3)	20.1 (2.6)	1.6 to -0.4
	Coronal ROM	8.8 (4.5)	8.4 (4.6)	1.6 to -0.7
	Transverse ROM	2.9 (1.3)	2.5 (1.5)	0.9 to -0.2

\*Significant difference between Brace and No Brace



Table 3: Angular velocity (Min, Max, ROM) during step down

		Healthy		
		No brace Mean (SD)	Brace Mean (SD)	CIs of the differences
Hip	Sagittal Min	-21.9 (6.0)	-20.6 (7.0)	-3.7 to 1.3
	Coronal Min	-11.4 (6.7)	-11.8 (6.5)	-3.0 to 3.9
	Transverse Min	-24.2 (13.4)	-28.0(9.7)	-0.7 to 8.3
	Sagittal Max	4.5 (5.8)	2.8 (3.9)	-0.6 to 3.9
	Coronal Max	18.3 (7.5)	15.5 (5.2)	-0.2 to 5.8
	Transverse Max	<b>32.2 (12.5)*</b>	<b>29.6 (12.8)*</b>	<b>0.4 to 4.9</b>
	Sagittal ROM	26.4 (8.8)	23.5 (6.4)	7.1 to -1.2
	Coronal ROM	29.7 (11.4)	27.3 (9.6)	8.1 to -3.3
	Transverse ROM	56.4 (23.6)	57.5 (19.6)	3.7 to -6.0
Knee	Sagittal Min	1.8 (10.7)	3.7 (10.3)	-5.8 to 1.6
	Coronal Min	-15.6 (8.6)	-15.6 (6.8)	-2.5 to 2.0
	Transverse Min	<b>-24.1 (10.2) *</b>	<b>-20.6 (9.5) *</b>	<b>-6.8 to -0.2</b>
	Sagittal Max	55.6 (18.0)	55.1 (20.1)	-3.9 to 4.7
	Coronal Max	13.7 (9.0)	12.6 (6.5)	-2.6 to 4.8
	Transverse Max	<b>24.8 (10.2) *</b>	<b>21.6 (9.0) *</b>	<b>1.1 to 5.3</b>
	Sagittal ROM	53.8 (16.8)	51.4 (17.1)	8.5 to -3.8
	Coronal ROM	29.3 (17.0)	28.2 (12.9)	6.7 to -4.5
	Transverse ROM	<b>48.8 (18.7)*</b>	<b>42.1 (17.0)*</b>	<b>10.2 to 3.2</b>
Ankle	Sagittal Min	0.1 (6.3)	1.2 (6.5)	-2.7 to 0.4
	Coronal Min	<b>-12.2 (5.5) *</b>	<b>-9.7 (4.1) *</b>	<b>-4.6 to -0.4</b>
	Transverse Min	<b>-32.3 (15.0)*</b>	<b>-26.0 (9.8)*</b>	<b>-10.8 to-1.8</b>
	Sagittal Max	29.7 (11.3)	29.1 (11.2)	-2.2 to 3.4
	Coronal Max	11.2 (5.2)	11.1 (5.6)	-1.9 to 2.2
	Transverse Max	29.5 (16.3)	29.4 (17.6)	-4.8 to 5.0
	Sagittal ROM	29.6 (10.5)	27.9 (9.9)	5.5 to -1.9
	Coronal ROM	23.4 (10.1)	20.8 (8.8)	6.5 to -1.2
	Transverse ROM	61.7 (27.8)	55.4 (23.3)	14.4 to -1.6

\*Significant difference between Brace and No Brace

Table 4: KOOS questionnaire results for participants with OA.

<b>Case</b>		<b>Pain</b>	<b>Symptoms</b>	<b>Activities of Daily Living</b>	<b>Sport/ Recreation</b>	<b>Quality of Life</b>
<i>P1</i>	<i>Pre</i>	55.6	71.4	67.6	35	25
	<i>Post</i>	83.3	89.3	88.2	65	50
	<i>% Change</i>	50	25	30.4	85.7	100
<i>P2</i>	<i>Pre</i>	27.8	32.1	29.4	5	12.5
	<i>Post</i>	50	50	73.5	25	18.7
	<i>% Change</i>	80	55.5	149.9	400	50
	<i>Pre</i>	41.7	46.4	60.3	25	18.7
<i>P3</i>	<i>Post</i>	94.4	89.3	98.5	95	62.5
	<i>% Change</i>	126.6	92.3	63.4	280	233.3
	<i>Average change (%)</i>	85.5%	57.6%	81.2%	255.2%	127.7%