

# Central Lancashire Online Knowledge (CLoK)

Title	Non-destructive assessment of the oxidative stability of intact macadamia nuts during the drying process by near-infrared spectroscopy
Туре	Article
URL	https://clok.uclan.ac.uk/id/eprint/26397/
DOI	https://doi.org/10.1016/j.lwt.2018.12.056
Date	2019
Citation	Carvalho, Livia C., Leite, Marcondes L., Medeiros-De-morais, Camilo De lelis orcid iconORCID: 0000-0003-2573-787X, Lima, Kassio M.G. and Teixeira, Gustavo H.A. (2019) Non-destructive assessment of the oxidative stability of intact macadamia nuts during the drying process by near-infrared spectroscopy. LWT - Food Science and Technology, 103. pp. 101-107. ISSN 0023-6438
Creators	Carvalho, Livia C., Leite, Marcondes L., Medeiros-De-morais, Camilo De lelis, Lima, Kassio M.G. and Teixeira, Gustavo H.A.

It is advisable to refer to the publisher's version if you intend to cite from the work. https://doi.org/10.1016/j.lwt.2018.12.056

For information about Research at UCLan please go to <a href="http://www.uclan.ac.uk/research/">http://www.uclan.ac.uk/research/</a>

All outputs in CLoK are protected by Intellectual Property Rights law, including Copyright law. Copyright, IPR and Moral Rights for the works on this site are retained by the individual authors and/or other copyright owners. Terms and conditions for use of this material are defined in the <u>http://clok.uclan.ac.uk/policies/</u> Non-destructive assessment of the oxidative stability of intact macadamia nuts during the
 drying process by near-infrared spectroscopy

3

Lívia C. Carvalho<sup>1</sup>, Marcondes L. Leite<sup>2,4</sup>, Camilo L. M. Morais<sup>3</sup>, Kássio M. G. Lima<sup>4</sup>, Gustavo H.
A. Teixeira<sup>5,\*</sup>

6

<sup>1</sup>Universidade Estadual Paulista (UNESP), Faculdade de Ciências Farmacêuticas (FCFAR),
Departamento de Alimentos e Nutrição, Campus de Araraquara, Rodovia Araraquara-Jaú, km 1 – CP
502, CEP: 14.800-903, Araraquara, São Paulo, Brazil.

<sup>2</sup>Instituto Federal de Educação, Ciência e Tecnologia do Maranhão (IFMA), Campus São LuísMaracanã. Avenida dos Curiós, s/n, Vila Esperança, CEP: 65.095-460, São Luís, Maranhão, Brazil.

<sup>3</sup>University of Central Lancashire, School of Pharmacy and Biomedical Sciences, Preston,
Lancashire, PR1 2HE, United Kingdom.

<sup>4</sup>Universidade Federal do Rio Grande do Norte (UFRN), Instituto de Química, Química Biológica e
Quimiometria, Avenida Senador Salgado Filho, n° 3000, Bairro de Lagoa Nova, CEP: 59.078-970,
Natal, Rio Grande do Norte, Brazil.

<sup>5</sup>Universidade Estadual Paulista (UNESP), Faculdade de Ciências Agrárias e Veterinárias (FCAV),

18 Campus de Jaboticabal. Via de Acesso Prof. Paulo Donato Castellane s/n, CEP: 14.884-900,

19 Jaboticabal, São Paulo, Brazil. Telephone: +55 16 3209-7532

20 \*Corresponding author: gustavo@fcav.unesp.br

22

### 23 Abstract

24 We have developed a rapid non-destructive method to assess the oxidative stability of intact macadamia nuts using near-infrared spectroscopy (NIRS). Intact macadamia nuts of the cultivars 25 HAES 344 'Kau', HAES 660 'Keaau', IAC 4-12 B, and IAC Campinas B were harvested and 26 immediately oven-dried for 4 days at 30 °C, 2 days at 40 °C, and 1 day at 60 °C to achieve 1.5% 27 kernel moisture content. At each drying step nuts were withdrawn and their moisture content, 28 peroxide value (PV), and acidity index (AI) determined. The best partial least square model for PV 29 prediction was obtained using the Savitzky-Golay (SG) second derivative resulting in a standard 30 error of prediction (SEP) of 0.55 meq·kg<sup>-1</sup> and a coefficient of determination ( $R^2_C$ ) of 0.57. The best 31 AI prediction-model result was obtained using the SG second derivative (SEP = 0.14%,  $R^2_C = 0.29$ ). 32 Based on the maximum quality limits of 3 meq $\cdot$ kg<sup>-1</sup> for PV and 0.5% for AI, the SEP values 33 represented 18% and 28%, respectively. Therefore, the prediction method can be considered useful 34 since the errors are lower than the quality limits. Thus, NIRS can be used to assess the oxidative 35 36 stability of intact macadamia kernels.

37

38 Keywords: peroxide value, acidity index, *Macadamia integrifolia* Maiden & Betche, principal
39 component analysis.

40

# 41 **1. Introduction**

42

The delicious mild flavor and crispy texture of lightly roasted macadamia kernels have made
them one of the most appreciated nuts in the world (Wall, 2013). High quality kernels contain 72–
78% oil (Cavaletto, 1983), of which more than 77% corresponds to monounsaturated fatty acids,

46 predominantly monounsaturated fatty acids, while only around 16% are saturated fatty acids (Wood 47 and Garg, 2010). This composition plays an important role in their quality, particularly in the 48 organoleptic properties that make macadamia nuts so desirable (Silva, Maximo, Marsaioli Jr. & 49 Silva, 2007).

50 Due to their high oil content, macadamia nuts are very susceptible to the occurrence of 51 rancidification in the kernels, which causes objectionable flavors and odors in food products 52 (Ramalho & Jorge, 2006; Silva et al., 2011). In addition, macadamia nuts contain the low levels of 53 tocopherol antioxidants (~ 0.6–2.8  $\mu$ g·g<sup>-1</sup>), (Wall, 2013).

54 Moisture content strongly influences early rancidity in macadamia nuts, and therefore, to retard rancidification and extend shelf-life, shelled nuts should be protected from moisture and 55 oxygen during storage (Wall, 2013). Since the oxidative stability of the kernels is related to their 56 57 moisture content, this parameter must be monitored to ensure drying to below 1.5% (Cavaletto, 1981; Silva et al., 2005; Borompichaichartkul et al., 2009). At this moisture level the water activity 58 (aw) is equal or less than 0.3, which represents the optimum point for oxidative stability of 59 dehydrated foods (Cavaletto, 1981; Wall, 2013). In addition to this, the maximum stability of 60 macadamia nut corresponds to the minimum integral entropy zone (Dominguez et al., 2007), which 61 corresponds to a range of aw from 0.36 to 0.44 (1.2-1.6% moisture). Therefore, 1.5% moisture 62 content is fundamental to assure the oxidative stability of macadamia nuts. 63

Since freshly harvested nuts have a moisture content higher than 30% (Pankaew et al., 2016),
drying should be started soon after harvesting to reduce the moisture content to prevent hydrolytic
rancidity due to the nuts' high oil content (Mason and Wills, 2000) and/or mold development, such
as *Colletotrichum gloeosporioides* and *Botrytis* sp. (Dierberger and Marino Netto, 1985).

The most useful analytical parameters to determine the degree of oxidation are peroxide value (PV) and acidity index (AI) (Wolff, 1997). PV is related to the formation of peroxides in unsaturated fats, due to the breaking of the double bonds, which generates short-chain volatile products responsible for rancid odors. AI is related to the acidification of fats due to enzymatic reactions, generating free fatty acids that could have unpleasant taste (Wolff, 1997). PV and AI can change at high temperatures and can also depend on the cultivars. For example, PV changes on heating as it decomposes into highly unstable secondary oxidation products while AI is influenced by heating due to the reduced kernel-water content and/or altered enzymatic activity (Bai et al., 2017).

AI and PV must comply with the quality standards established for cold-pressed and nonrefined macadamia oils which require a maximum PV value of  $3.5 \text{ meq} \cdot \text{kg}^{-1}$  and a maximum AI value of 0.5% (SAMAC, 2015). The standard methods for PV and AI analyses are slow and timeconsuming, requiring precise quantification of the sample, dissolution in solvents, and titration with standardized solutions, and are also relatively costly when used for industrial process monitoring (Cozzolino, Murray, Chree & Scaife, 2005).

Near-infrared spectroscopy (NIRS) is widely employed for oxidation and moisture content determination in oilseeds and grains and has the potential to become a powerful tool in lipid oxidation analysis, especially with chemometric statistical evaluations. Non-destructive techniques have great potential for shelled- and unshelled- macadamia nut sorting, and NIRS is such an alternative, due to its long-term application to assess food-product quality parameters (Osborne, Fearn & Hindle, 1993).

Canneddu, Júnior & Teixeira (2016) used FT-NIR spectroscopy without any pre-processing to study the quality of shelled- and unshelled macadamia nuts, obtaining a coefficient of determination ( $R_p^2$ ) of 0.72 for PV prediction and an  $R_p^2$  of 0.80 for AI prediction. Guthrie, Greensill, Bowden & Walsh (2005), evaluated the use of NIRS to assess the quality of macadamia kernels and found an acceptable oil content prediction (root mean square error of cross validation RMSE<sub>CV</sub> = 2.5% and  $R^2 > 0.98$ ), and moisture content prediction (RMSE<sub>CV</sub> < 0.2%, and  $R^2 > 0.97$ ). As NIRS is a fast and non-destructive method used in industrial quality control processes, the objective of this study was to evaluate its feasibility as an analytical method to improve the quality control of macadamia-nuts in post-harvest operations.

97

### 98 **2. Materials and Methods**

### 99 **2.1. Plant Material**

Twelve kilograms (kg) of intact dehusked macadamia (*Macadamia integrifolia* Maiden &
Betche) nuts from each of the following cultivars, HAES 344 'Kau', HAES 660 'Keaau', IAC 4-12
B, and IAC Campinas B, were obtained from a commercial orchard located in Jaboticabal, São
Paulo, Brazil, (21° 10' 14" South, 48° 37' 45" West, 600 m altitude) during the 2017 harvest season
(March, April, and May).

### 105 **2.2. Experimental set-up**

Immediately after the harvests (n=3), 4 kg of intact dehusked nuts were submitted to ovendrying (Innova 4230, Edison, USA) in four steps: *i*. control (without drying), *ii*. 4 days at 30 °C, *iii*. 2 more days at 40 °C, and *iv*. 1 more day at 60 °C, to achieve the kernel moisture content of 1.5% stated by Mason (1995). The drying procedure was similar to what the macadamia industry uses in Brazil.

Initially (day 0) and at each drying step (4, 6, and 7 days), intact nuts (40 g) were withdrawn from the oven and the shell manually removed using a vice (N3, Schulz, Brazil). Unshelled nuts had their moisture content (%) determined following the A.O.A.C. (1997) standard method, Table 2.

Our experiment was set up as a completely randomized block design in a factorial arrangement 3 (harvests: March, April, and May) x 4 (cultivars: HAES 344 'Kau', HAES 660 'Keaau', IAC 4-12 B, and IAC Campinas B)  $\times$  3 (drying steps: 0 – control, 4 days at 30 °C, 2 more days at 40 °C, and 1 more day at 60 °C) with 5 repetitions, totaling 240 unshelled nut samples.

### 118 **2.3. NIR spectra acquisition**

The NIR spectra were taken on day 0, 4, 6, and 7 of the drying steps, on the surface of ~ 40 grams of unshelled macadamia nuts from each cultivar. The nuts were place into a measuring cup and two NIR spectra were obtained on the range 866 – 2,530 nm, at a resolution of 2 nm and 64 scans, after temperature stabilization at ~25 °C, using a Bruker NIR spectrometer (Model Tango, Ettlingen, Germany) in reflectance mode. The NIR spectra were averaged, and the mean spectra were processed, and the calibration models were performed using the MATLAB®, R2012b (MathWorks, USA) software.

### 126 2.4. Reference analyses: PV and AI

After drying, all samples were frozen at −20 °C, stored into plastic bags (~ 40 g of nuts per period and cultivar), to avoid oxidation. The oil present in the nuts were extracted daily to avoid supplementary oxidation, using the procedure reported by Canneddu, Júnior & Teixeira (2016). Briefly, the kernels were wrapped in nylon fabric and put into a stainless-steel vial with a tightly adjusted stainless-steel piston. The piston was pressed until free oil could be seen. The oil was rapidly transferred to a Falcon tube (BD Falcon<sup>TM</sup>, BD Biosciences, Mass., USA) and used for the oxidation analysis.

*PV*: The peroxide value was determined using the official method published by the A.O.A.C. (1997). Briefly, 5 g of freshly pressed macadamia oil was poured into a 125 mL Erlenmeyer. Thirty mL of acetic acid-chloroform solution (3:2 v/v) was added and the flask was agitated until oil dissolution. Then, 0.5 mL of saturate potassium iodide solution was added, mixed, and let to rest for 1 minute. Thirty mL of distilled water was added, and the solution titrated with 0.01 N sodium thiosulfate. PV was expressed as active-oxygen milliequivalents per kilogram (meq·kg<sup>-1</sup>). The descriptive statistics of all samples is shown in Table 1.

AI: The acidity index was also determined using the A.O.A.C. (1997) official method. Five
 mL of freshly pressed macadamia oil was poured into a 125 mL Erlenmeyer and 25 mL of ether-

ethanol (2:1 v/v) solution was added. NaOH 0.01 M was used to neutralize the free fatty acids 143 present in the oil with phenolphthalein (0.1%) as indicator. AI was expressed as the percentage of 144 oleic acid (%). The descriptive statistics of all samples are shown in Table 1. 145

2.5. Data analysis 146

#### 2.5.1. Chemometrics: multivariate analysis 147

All the NIR spectra were handled, and the calibration models developed, using the 148 MATLAB®, R2012b (MathWorks, USA) software with the PLS Toolbox version 7.9.3 (Eigenvector 149 Research, Inc., USA). Prior to the spectral pre-processing, all NIR spectra were analyzed to identify 150 and eliminate outliers based on Hotelling's T<sup>2</sup> and Q-residuals statistics (Bro and Smilde, 2014). 151

NIR datasets are often very large and highly complex and, consequently, need to be pre-152 processed. Therefore, prior to model development, the full spectra were pre-processed using 153 154 multiplicative scatter correction (MSC), standard normal variate (SNV), Savitzky-Golay smoothing (SG), and derivatives. Then, the spectral datasets were correlated with the PV and AI values using 155 Partial Least Squares (PLS) regression and full cross-validation. The NIR spectra were divided into 156 two groups: the calibration set (n=154) and the validation set (n=66), using the classic Kernnard-157 Stone selection algorithm (Kennard and Stone, 1969). In order to evaluate the performance of the 158 calibration models, the root mean square error of cross-validation (RMSE<sub>CV</sub>) and root mean square 159 error of prediction (RMSE<sub>P</sub>) were calculated, according to the following equation: 160

161 RMSEC or RMSEP = 
$$\sqrt{\frac{\sum_{i=1}^{np} (y_i - y_i')^2}{n-K-1}}$$

162

Where: *yi* is the value predicted by the multivariate model, *yi*' the reference value, and *n* the number of samples. 163

164 The performance of the calibration models was also evaluated based on the determination coefficient  $R^2$ , both for the calibration and validation sets (Pasquini, 2003). 165

2.5.2. Univariate analysis 166

167 The data was subjected to analysis of variance (ANOVA) using the Agrostat (Barbosa and 168 Maldonado Júnior, 2015) software and the means were compared using Tukey's test with 5% 169 probability.

170

### 171 **3. Results and Discussion**

### 172 **3.1. Nut quality during drying**

The moisture, dry matter contents (%), PV and AI of the unshelled macadamia nuts of thedifferent cultivars, determined during drying, are shown in Table 2.

No significant moisture content differences were observed between nuts from different 175 cultivars, but during the drying process moisture content became significantly lower (Table 2). The 176 moisture content between cultivars ranged from 5.97% in HAES 344 to 7.24% in IAC Campinas B. 177 During the drying process the highest moisture content was observed on day 0 (13.81%); after 4 days 178 at 30 °C this value significantly (P < 0.05) decreased to 6.09%. The moisture content decreased to 179 4.13% after 2 days at 40 °C, and to 2.62% after 1 day at 60 °C, but without significant differences. 180 The dry matter content showed a similar trend, but in the opposite direction, increasing during drying 181 due to the dehydration process (Table 2). 182

According to Mason (2000) and Cavaletto (1983), macadamia nuts at harvest can have a moisture content as high as 30% and it is essential to reduce it to 1.5% to prevent hydrolytic activity and mold development. O'Hare, Hidden, Burton & Salmon (2004), reported that the nut-in-shell moisture content should be reduced to 8–10% within two weeks of harvest to prevent quality deterioration, mainly to control mold development, rancidity, off-flavors, and reduced shelf-life. In this regard, only the nuts from day 0 presented a moisture content above this range, and after only 4 days at 30 °C the moisture content reached 6.09%, inside the 8–10% range.

The moisture content control during macadamia nut handling is extremely important as
rancidity can occur rapidly (Cavaletto, 1981; Cavaletto, 1986; Wall, 2013). According to Kaijser,

Dutta & Savage (2000), macadamia oil stability ranged from 3.6 to 19.8 h using the Rancimat test. 192 Macadamia nuts are susceptible to rancidification due to their high oil content. Cavaletto (1983) 193 reported an oil content of 72% and Kaijser, Dutta & Savage (2000) observed a range from 69 to 194 78%. In addition, the macadamia oil is highly monounsaturated (Ako, Okoda & Gray, 1995) and 195 contains high levels of oleic (18:1), palmitoleic (16:1), and palmitic (16:0) acids (Kaijser, Dutta & 196 Savage, 2000). Therefore, because drying was conducted immediately after harvest it resulted in a 197 198 rapid moisture content reduction that improved the oxidative stability of the kernel, as evidenced by the low PV and AI values (Table 2). 199

200 No significant PV differences were observed neither from different cultivars nor during the drying process (Table 2). PV ranged from 1.08 meq $\cdot$ kg<sup>-1</sup> in the nuts from the IAC 4-12 cultivar to 201 0.73 meq $\cdot$ kg<sup>-1</sup> in those from HAES 344 (Table 2). During drying the PV ranged from 1.27 in day 0 202 to 0.66 meq·kg<sup>-1</sup> after 4 days at 30 °C, 2 days at 40 °C, and 1 day at 60 °C (Table 2). The observed 203 PVs were very low compared to those of the macadamia quality standards. According to Mason, 204 Nottingham, Reid & Gathambiri (2004) the AMS Guidelines indicate a maximum PV of 5.0 205 meq·kg<sup>-1</sup> for oil from raw and roasted macadamia kernels. According to SAMAC (2015), raw 206 macadamia nuts must have PV less than 3.5 meq $kg^{-1}$ . Macadamia oil is considered moderately to 207 completely rancid when its PV reaches 6.0 meq $\cdot$ kg<sup>-1</sup> (McConachie, 1996). 208

PV can be influenced by the cultivar as each cultivar has a different nut polyunsaturated fatty acid composition (Kaijser, Dutta & Savage, 2000). Processing also influences PV. Canneddu, Júnior & Teixeira (2016), reported lower PVs ( $5.6-5.5 \text{ meq} \cdot \text{kg}^{-1}$ ) in freshly harvested intact and ground macadamia nuts, when compared to half kernels from late harvest ( $10.7-18.8 \text{ meq} \cdot \text{kg}^{-1}$ ). Oxidative rancidity is very important in lipid stability and since macadamia nuts have a low concentration of polyunsaturated fatty acids (3.0-4.7%) peroxides production is generally low in unstored kernels (Kaijser, Dutta & Savage, 2000). However, it can be a quality problem during drying (Borompichaichartkul, Luengsode, Chinprahast & Devahastin, 2009) and long-term storage
(Sinanoglou et al., 2014).

Regarding AI, no significant (P > 0.05) differences between different cultivars were observed 218 and during drying process the AI decreases significantly (Table 2) ranging from 0.75% in nuts from 219 220 the IAC Campinas B cultivar to 0.66% in those from HAES 344. During the drying process the highest AI was observed at day 0 (1.0 %); after 4 days at 30 °C this value significantly (P < 0.05) 221 decreased to 0.63%. AI decreased to 0.61% after 2 days at 40 °C and to 0.56% after 1 day at 60 °C, 222 but without significant differences (Table 2). This reduction of AI during the drying process could be 223 224 related to the inactivation of the enzymes involved in the hydrolytic reactions (Silva et al., 2011), which are directly related to the moisture content present in the kernel (Silva, Maximo, Marsaioli 225 Júnior & Silva, 2007). Although the drying process was conducted immediately after harvesting, AI 226 227 values were above the maximum levels recommended for macadamia oil.

According to SAMAC (2015), the maximum AI should be 0.5%. McConachie (1996) considered that high quality macadamia oil should have AI of only 0.1–0.3%, but Arnett (1995) considered an AI of 0.9% as acceptable. Therefore, hydrolytic rancidity seems to be a more important factor for macadamia nuts quality, as its development of rancid flavors and odors can take place rapidly even during prompt drying.

233

### 234 **3.2. NIR spectra description**

A preliminary analysis of the raw NIR spectra showed very similar trends for the macadamia nuts from all cultivars. Carvalho et al. (2018) also observed similarities of different macadamia nut NIR spectra and the classification of shelled nuts was only possible using sophisticate chemometric techniques. Because the raw NIR spectra presented broad light scattering they were pre-processed with MSC and SNV plus Savitsky-Golay smoothing to allow the identification of four main peaks at 1200, 1500, 1800, and 2000 nm. The absorption bands around 1200 nm arise from the second overtones of CH stretching vibrations, while those at 1700–1800 nm are attributable to the first overtones of CH stretching vibrations of  $-CH_3$ ,  $-CH_2-$  and -HC=CH (Armenta and La Guardia, 2007). The wavelength region situated at 2200–2500 nm is mainly related to the oxidation and hydrolytic degradation of lipids (Cozzolino, Murray, Chree & Scaife, 2005).

During the drying process the NIR spectra changed mainly in the regions where the water bands are present (1400–1440 nm and 1900–1950 nm) (Sundaram, Kandala, Holser, Butts & Windham, 2010), indicating that there was a reduction in moisture content, as can be seen in Table 2.

Principal component analysis (PCA) was used to observe the spectral differences between 248 249 drying processes and macadamia nut cultivars, helping to observe possible groupings between the samples due to their similarities (Cozzolino, Cynkar, Shah & Smith, 2011). The scores of the two 250 main principal components (PCs) obtained with the NIR spectra without preprocessing can be 251 observed in Figure 1. Regarding the drying process, PC2 grouped the macadamia nut NIR spectra 252 from day 0 and 7 (Figure 1A), as there was a significant moisture content difference (P < 0.05) 253 between day 0 and day 7 (Table 2). It was not possible to observe any grouping among macadamia 254 nut cultivars (Figure 1B), with PC1 and PC2 representing 97% of the data explained variance. This 255 result indicates that there was similarity between macadamia nut cultivars, which may be related to 256 climatic factors and/or for sharing the same pollination since they originated from the same orchard 257 (Carvalho et al. 2018). 258

259

### 260 **3.3. Oxidative stability: PV and AI**

The PV of the samples ranged from 0.14 to 5.41 meq·kg<sup>-1</sup> and the AI from 0.18 to 2.26% (Table 1) in the calibration set. As shown in Table 3, the best PLS model for PV (RMSE<sub>C</sub> = 0.56 meq.kg<sup>-1</sup>,  $R_c^2 = 0.57$ , SEP = 0.55 meq.kg<sup>-1</sup>, RMSE<sub>P</sub> =0.60 meq.kg<sup>-1</sup>,  $R^2_P = 0.002$ ) was obtained when the NIR spectra were pre-processed with SG second derivative. The predicted vs. measured PV values can also be seen in Figure 2. Canneddu, Júnior & Teixeira (2016) studying the quality of unshelled macadamia nuts by NIRS found higher SEP values ( $3.45 \text{ meq} \cdot \text{kg}^{-1}$ ), but with a greater variation in the PV values ( $4.6-26.8 \text{ meq} \cdot \text{kg}^{-1}$ ) of the calibration set. Better PV prediction by NIRS was reported by Armenta and La Guardia (2007) in edible oils of different types and origins, with RMSE<sub>p</sub> values of 1.87 meq·kg<sup>-1</sup> and R<sup>2</sup><sub>c</sub> of 0.99. However, intact macadamia nuts are a more heterogeneous matrix as compared to vegetable oils and this could have affected the results, especially the lower determination coefficients (Table 3).

In contrast, considering the maximum PV quality limit of 3.0 meq·kg<sup>-1</sup> (SAMAC, 2015), the SEP value (0.55 meq·kg<sup>-1</sup>) represented 18% of this recommendation. Therefore, the PV prediction method can be considered excellent as its total error was of magnitude 25% or less (McFarren, Lishka & Parker, 1970).

Regarding AI, the best PLS model was obtained when the NIR spectra were pre-processed 276 using SG second derivative (RMSE<sub>c</sub> = 0.25%;  $R_c^2 = 0.56$ ; SEP=0.29%; RMSE<sub>p</sub>= 0.30%;  $R_p^2$ =0.018 277 with 7 latent variables), Table 3 and Figure 3. As indicated in Table 3, the SEP and RMSEP 278 differences were not significant which could be taken as an indication that the prediction precision of 279 the PLS regression was high (Amodio, Ceglia, Chaudhrya, Piazzolla & Colellia, 2017), although R<sup>2</sup> 280 was low. Similar RMSE<sub>P</sub> values (0.35%) were reported by Mba, Adewale, Dumont & Ngadi (2014) 281 in palm and canola oils with NIR spectra pre-processed using SG first derivative, but their R<sup>2</sup> was 282 much greater (0.99). Better results were reported by Armenta and La Guardia (2007) in olive oil 283 (RMSEP = 0.083% and R2 = 0.99); once again, the difference between food matrixes must be taken 284 into consideration. Although the  $R^2$  values were below 0.70, considering the AI level of 0.5% as the 285 maximum quality limit of macadamia nuts (SAMAC, 2015), the SEP value represented 28% of this 286 limit. Consequently, the AI prediction as an analytical method can be considered acceptable as its 287 288 total error was of the magnitude of 50 % or less (McFarren, Lishka & Parker, 1970).

The moisture prediction results (Table 3, Figure 4) indicate that the best calibration models for moisture content of unshelled nuts were obtained using SG + 2nd derivative ( $Rc^2 = 0.66$ ; RMSEc 291 = 2.33%). Better results were reported by Guthrie, Greensill, Bowden & Walsh (2005) who found 292 moisture content prediction models with RMSEcv of 0.11% and Rc<sup>2</sup> of 0.79. Their results were 293 improved by using ground nuts (RMSEcv = 0.06% Rc<sup>2</sup> = 0.95), and these differences could be due to 294 the lack of moisture homogeneity within our intact kernels; grinding should have reduced this 295 variation. Sumdaram, Kandala, Holser, Butts & Windham. (2010) also reported better results for in 296 shell peanuts using NIRS (RMSEp = 1.38% and R<sup>2</sup>c = 0.98), but the moisture content range was 297 much higher (6.18 - 21.69%) and should be taken into consideration.

298

### 299 **4. Conclusion**

300 Cultivars had little effect on the oxidative stability of intact unshelled macadamia nuts based 301 on PV and AI. On the other hand, the drying process affected mostly the AI as the PV did not present 302 any modifications during drying.

The NIR spectra were similar between cultivars, but the absorption bands changed during the drying process and the characteristic oil bands could be seen when the MSC, SNV, and SG smoothing derivatives were applied.

The PLS calibration models for PV and AI were considered useful since the error is lower of the quality limits. Therefore, NIRS can be used to assess the oxidative stability of intact macadamia nuts, but further investigation must be done to address other sources of variability to improve the robustness of the prediction models.

310

## 311 Acknowledgment

This study was financed in part by the Coordenação de Aperfeiçoamento de Pessoal de Nível
Superior – Brazil (CAPES) – Finance Code 001.

- 314
- 315 5. References

- Akinoso, R., Aboaba, S.A., & Olayanju TMA. (2010). Effects of moisture content and heat treatment
  on peroxide value and oxidative stability of un-refined sesame oil. *African Journal of Food*, *Agriculture, Nutrition and Development, 10*, 4268-4285. Doi: 10.4314/ajfand.v10i10.62908
- Ako, H., Okoda, D., & Gray D (1995). Healthful new oil from macadamia nuts. *Nutrition*, *11*, 286288.
- Amodio, M.L., Ceglia, F., Chaudhrya, M.M.A., Piazzolla, F., & Colellia G. (2017). Potential of NIR
  spectroscopy for predicting internal quality and discriminating among strawberry fruits from
  different production systems. *Postharvest Biology and Technology*, *125*, 112–121. Doi:
  10.1016/j.postharvbio.2016.11.013.
- AOAC. (1997). *Official methods of analysis of the association of official analytical chemists*. (16th
  ed.). Arlington: Patricia Cuniff.
- Armenta, S., Garrigues, S., De la Guardia, M. (2007). Determination of edible oil parameters by near
  infrared spectrometry. *Analytica Chimica Acta*, 596, 330-337. Doi: 10.1016/j.aca.2007.06.028
- Arnett G. (1995). Macadamia oil. *Australian Macadamia Society News Bulletin*, 22: 23.
- Bai, S.H., Darby, I., Nevenimo, T., Hannet, G., Hannet, D., Poienou, M., Grant, E., Brooks, P.,
- 332 Walton, D., Bruce, R., & Wallace HM. (2017). Effects of roasting on kernel peroxide value, free
- fatty acid, fatty acid composition and crude protein content. *Plos One*, *12*, 1-14. Doi:
  10.1371/journal.pone.0184279
- 335 Barbosa, J.C., Maldonado Júnior, W. (2015). *Experimentação agronômica & Agroestat Sistema*
- 336 para análises estatísticas de ensaios agronômicos. Jaboticabal: Gráfica Multipress LTDA. 396 p
- Bro, R., & Smilde, A.K. (2014). Principal component analysis. *Analitical Methods*, *6*, 2812-2831. Doi:
  10.1039/C3AY41907J

- Borompichaichartkul, C., Luengsode, K., Chinprahast, N., & Devahastin, S. (2009) Improving
  quality of macadamia nut (*Macadamia integrifolia*) through the use of hybrid drying process. *Journal of Food Engineering*, 93, 348-353. Doi: 10.1016/j.jfoodeng.2009.01.035.
- \*Canneddu, G., Júnior, L.C., & Teixeira, G.H.A. (2016). Quality evaluation of shelled and unshelled
- macadamia nuts by means of near-infrared spectroscopy (NIR). Journal of Food Science, 81, 1613-
- 344 1621. Doi: 10.1111/1750-3841.13343.
- 345 Carvalho, L.C., Morais, C., Lima, K., Leite, G.W.P., Oliveira, G.S., Casagrande, I.P., Santos Neto,
- 346 J.P., & Teixeira, G.H.A. (2018). Using intact nuts and near infrared spectroscopy to classify
- 347 macadamia cultivars. *Food Analytical Methods*, 11, 1857-1866. Doi: 10.1007/s12161-017-1078-9
- Cavaletto CG (1983). Macadamia nuts. In H.T.Jr. Chang (Ed.), *Handbook of tropical foods*, p.361397. New York: Marcel Dekker Inc.
- \*Cavaletto, C.G. (1981). Quality evaluation of macadamia nuts. In G. Charalambous., & G. Inglett
  (Eds.), *Quality of foods and beverages: chemistry and technology*, vol. 2 (pp. 71–82). New York:
  Academic Press.
- Cozzolino, D., Murray, I., Chree, A., & Scaife, J.R. (2005). Multivariate determination of free fatty
  acids and moisture in fish oils by partial least-squares regression and near-infrared spectroscopy. *LWT*, *38*, 821-828. Doi: 10.1016/j.lwt.2004.10.007.
- 356 Cozzolino, D.; Cynkar, W.; Shah, N.; & Smith, P.A. (2011) Can spectroscopy geographically
- classify Sauvignon blanc wines from Australia and New Zealand? *Food Chemistry*, *126*, 673-678.
- \*Guthrie. J., Greensill, C., Bowden, R., & Walsh, K. (2004). Assessement of quality defects in
- 359 macadamia kernels using NIR spectroscopy. Australian Journal of agricultural Research, 55, 471-
- 360 476. Doi: 10.1071/AR03179. Doi: 0.1016/j.foodchem.2010.11.005.
- 361 Domínguez, I., Azuara, E., Vernon-Carter, E.J., Beristain, C.I. (2007). Thermodynamic analysis of
- the effect of water activity on the stability of macadamia nut. Journal of Food Engineering, 81, 566-
- 363 571. Doi:10.1016/j.jfoodeng.2006.12.012

- \*Kaijser, A., Dutta, P., & Savage, G. (2000). Oxidative stability and lipid composition of macadamia
- nuts grown in New Zealand. *Food Chemistry*, 71, 67-70. Doi: 10.1016/S0308-8146(00)00132-1.
- Kennard RW, & Stone LA (1969). Computer aided design of experiments. *Technometrics*, *11*, 137–
  148.
- Mason, R.L. (2000). Macadamia nut quality research: the processing challenge. *Food Australia*, 52,
  416-419.
- Mason, R.L., & Wills, R.B.H. (2000). Macadamia nut quality research: the processing challenge. *Food Australia*, *52*, 416-419.
- Mason, R.L., Nottingham, S.M, Reid, C.E, & Gathambiri, C. (2004). The quality of macadamia
  kernels stored in simulated bulk retail dispensers. *Food Australia*, *56*, 133-139.
- 374 Mba, O., Adewale, P., Dumont, M.J., & Ngadi, M. (2014). Application of near-infrared spectroscopy
- to characterize binaryblends of palm and canola oils. *Industrial Crops and Products*, 61, 472–478.
- 376 Doi: 10.1016/j.indcrop.2014.07.037.
- 377 McConachie, I. (1996) Fats and oils as applied to macadamia nuts: a very basic chemistry lesson.
- 378 Australian Macadamia Society News Bulletin, 23, 34.
- McFarren, E.F., Lishka, R.J., & Parker, J.H. (1970). Criterion for judging acceptability of analytical
  methods. *Analytical Chemistry*, *42*, 358-365. Doi: 10.1021/ac60285a009.
- \*O'Hare P, Hidden DV, Burton D, Salmon T. (2004). *Australian macadamia industry code of sound orchard practices*. Australian Macadamia Society, Lismore.
- Pankaew, P., Janjai, S., Nilnont, W., Phusampao, C., Bala, B.K. (2016). Moisture desorption
  isotherm, diffusivity and finite element simulation of drying of macadamia nut (*Macadamia integrifolia*). *Food and Bioproducts Processing*, *100*, 16–24. doi.org/10.1016/j.fbp.2016.06.007
- Pasquini, C. (2003). Near infrared spectroscopy: fundamentals, practical aspects and analytical
  applications. *Journal of Brazilian Chemistry Society*, *14*, 198-219. Doi: 10.1590/s010350532003000200006.

- Ramalho, V.C., Jorge, N. (2006). Antioxidantes utilizados em óleos, gorduras e alimentos
  gordurosos. *Química Nova*, *29*, 755-760. Doi: 10.1590/S0100-40422006000400023
- 391 SAMAC Southern African Macadamia Growers' Association. (2015). Raw macadamia products
- *quality specifications*. http://www.samac.org.za/index.php/standards [Acessed January 2018].
- Silva, F.A., Marsaioli, A.J., Maximo, G.J., Silva, M.A.A.P., Goncalves, L.A.G. (2005). Microwave
  assisted drying of macadamia nuts. *Journal of Food Engineering*, 77, 550–558.
- Silva, F.A., Maximo, G.J., Marsoioli, Junior, A., & Silva, M.A.A.P. (2007) Impacto da secagem com
  microondas sobre o perfil sensorial de amêndoas de noz macadâmia. *Ciência e Tecnologia de Alimentos*, 27, 553-561.
- 398 Silva, F.A., Gonçalves, L.A.G., Damiani, C., Gonçalves, M.A.B., Soares Júnior, M.S., Marsaioli,
- 399 Júnior. A. (2011). Estabilidade oxidativa de amêndoas de noz macadâmia secas por micro-ondas com
- 400 ar quente. *Pesquisa Agropecuaria Tropical*, *41*, 286-292. Doi: 10.1590/S0101-20612007000300020.
- 401 Sinanoglou, V.J., Kakkotou, K., Fotakis, C., Strati, I., Proestos, C., & Zoumpoulakis, P. (2014)
  402 Monitoring the quality of γ-irradiated macadamia nuts based on lipid profile analysis and
  403 Chemometrics. Traceability models of irradiated sample. *Food Research International*, *60*, 38-47.
  404 Doi: 10.1016/j.foodres.2014.01.015.
- Sundaram, J., Kandala, V.C., Holser, R.A., Butts, C.L., Windham, W.R. (2010). Determination of inshell peanut oil and fatty acid composition using near-infrared Reflectance spectroscopy. *Journal of the American Oil Chemists' Society*, 87, 1103–1114. Doi: 10.1007/s11746-010-1589-7.
- 408 Osborne BG, Fearn T, Hindle PH (1993). *Practical NIR spectroscopy with applications in food and*409 *beverage analysis* (2nd ed.). Essex: Longman Group Burnt Mill, Harlow.
- 410 Wallace, H.M., & Walton, D.A. (2011). Macadamia (Macadamia integrifolia, Macadamia
- 411 tetraphylla and hybrids). In E.M. Yahia (Ed.), Postharvest biology and technology of tropical and
- 412 subtropical fruits, vol. 3 (pp.450-473). Cambridge: Woodhead Publishing Series in Food Sciences,
- 413 Technology and Nutrition: number 208

- Wall, M.M (2013). Improving the quality and safety of macadamia nuts. In Harris, L.J. (Ed.), *Improving the quality and safety of nuts* Cambridge: Woodhead Publishing Series in Food Science
  Technology and Nutrition. 250: 274-296.
- Wolff, J. P. (1997). Analysis and Determination of Lipids, In: *Analysis of Food Constituents* (pp. 175-220). Wiley VCH Inc., Canada.
- Wood, L.G., & Garg, M.L. (2011). Macadamia nuts (*Macadamia integrifolia* and *tetraphylla*) and
  their use in hypercholesterolemic subjects (pp.717-725). In: Preedy, V.R., Watson, R.R., Patel, V.B.
- 421 (ed.). Nuts & seeds in health and disease prevention. Academic Press, London, UK.
- 422

423 \*These references were fundamental for the discussion of the article, since they deal with 424 works similar to the above, using macadamia nuts, near infrared spectroscopy combined with 425 chemometrics and oxidative evaluation of nuts, clearly exposing the quality parameters of 426 macadamia nuts.

# 428 <u>Tables</u>

429

430 Table 1. Descriptive statistics of unshelled macadamia nuts of the calibration and validation sets

431 obtained using the classic Kernnard-Stone selection algorithm.

Total	Mean	Maximum	Minimum	<b>SD</b> <sup>a</sup>
154*				
154	0.90	5.41	0.12	0.82
154	0.68	2.26	0.20	0.35
66*				
66	0.86	2.49	0.14	0.58
66	0.60	1.65	0.18	0.30
	154* 154 154 66* 66	154*         154       0.90         154       0.68         66*       66         66       0.86	154*         154       0.90       5.41         154       0.68       2.26         66*       66*       66         66       0.86       2.49	154*         154       0.90       5.41       0.12         154       0.68       2.26       0.20         66*       66       0.86       2.49       0.14

432 <sup>a</sup>SD = standard deviation. \*from the total of 240 samples, 20 were lost during oil extraction which

433 corresponds a total of 220 samples.

434

Table 2. Moisture, dry matter, peroxide value, and acidity index of unshelled macadamia
(*Macadamia integrifolia* Maiden & Betche) from different cultivars during drying process.

	Moisture	Dry matter	Peroxide value	Acidity index	
	(%)	(%)	(meq.kg <sup>-1</sup> )	(%)	
Harvests (B)					
March	7.2±3.7	92.6±3.6	$1.15 \pm 1.05$	$0.69 \pm 0.40$	
Aphril	3.7±5.3	94.4±3.7	$0.84 \pm 0.54$	0.66±0.28	
May	2.6±1.5	97.4±1.5	0.66±0.35	0.61±0.32	
Cultivars (C)					
IAC 4 12	7.1±7.9	92.8±7.9	$1.08 \pm 0.67$	0.72±0.37	
IAC Campinas B	7.2±4.4	92.5±4.6	$0.96 \pm 0.77$	0.75±0.36	
HAES 660	6.4±5.5	93.9±5.4	0.90±0.54	$0.67 \pm 0.22$	
HAES 344	6.0±5.1	93.5±5.1	0.73±0.37	0.66±0.20	
Drying period (D)					
0	13.8±6.9 <sup>a</sup>	86.2±6.4 <sup>c</sup>	1.27±0.97	$1.01 \pm 0.43^{a}$	
4	6.1±2.7 <sup>b</sup>	93.3±3.1 <sup>b</sup>	0.93±0.61	$0.63 {\pm} 0.27^{b}$	
6	$4.1 \pm 1.5^{b}$	95.9±1.5 <sup>ab</sup>	0.81±0.36	$0.61 \pm 0.12^{b}$	
7	$2.6 \pm 1.2^{b}$	97.4±1.2 <sup>a</sup>	0.66±0.27	$0.56 \pm 0.21^{b}$	
Interaction					
C x D	NS	NS	NS	NS	

Average values with the same letter in the columns are not statistically different by Tukey's test
(p<0,05). Values in the column without letter are not statistically different by Tukey's test (P<0,05).</li>
NS, non-significant interaction.

446 **Table 3.** Statistical parameters for the optimal prediction models for peroxide value, acidity index,

447 and moisture of unshelled macadamia (Macadamia integrifolia Maiden & Betche) from different

448 cultivars during drying process.

Peroxide value (PV)		me	quiv. O2 kg <sup>-1</sup> ur	nits			
Pre- Processing	Calibr		Validation				
	<b>RMSE</b> <sub>c</sub>	R <sub>c</sub> <sup>2</sup>	<b>RMSE</b> <sub>p</sub>	SEP	Bias	RE(%)	
Raw	0.78	0.18	0.47	0.42	0.21	66.57	
SG+SNV	0.76	0.21	0.50	0.48	0.18	72.16	
SG+MSC+baseline	0.78	0.17	0.51	0.45	0.23	72.33	
SG+2 <sup>nd</sup> derivative	0.56	0.57	0.60	0.55	0.26	86.04	
Acidity Index (AI)			% (w/w) units				
Pre- Processing	Calibr		Validation				
	<b>RMSE</b> <sub>c</sub>	R <sub>c</sub> <sup>2</sup>	<b>RMSE</b> <sub>p</sub>	SEP	Bias	RE(%)	
Raw	0.36	0.06	0.22	0.16	0.15	38.89	
SG+SNV	0.36	0.08	0.23	0.16	0.16	40.93	
SG+MSC+baseline	0.35	0.12	0.25	0.19	0.16	44.80	
SG+2 <sup>nd</sup> derivative	0.25	0.56	0.30	0.29	0.10	54.93	
Moisture			% (w/w) units				
Pre- Processing	Calibration			Validation			
	<b>RMSE</b> <sub>c</sub>	R <sub>c</sub> <sup>2</sup>	<b>RMSE</b> <sub>p</sub>	SEP	Bias	RE(%)	
Raw	3.21	0.23	3.01	3.03	0.12	49.65	
SG+SNV	3.22	0.23	3.12	3.15	-0.01	51.51	
SG+MSC+baseline	3.26	0.21	3.20	3.23	-0.15	52.83	
SG+2 <sup>nd</sup> derivative	2.13	0.66	3.23	3.26	-0.07	53.31	
Dry Matter			% (w/w) units				
Pre- Processing	Calibration			Validation			
	<b>RMSE</b> <sub>c</sub>	R <sub>c</sub> <sup>2</sup>	<b>RMSE</b> <sub>p</sub>	SEP	Bias	RE(%)	
Raw	3.30	0.26	3.07	3.09	-0.15	3.24	
SG+SNV	3.30	0.25	3.17	3.20	0.01	3.34	
SG+MSC+baseline	3.34	0.24	3.26	3.28	0.15	3.43	
SG+2 <sup>nd</sup> derivative	2.19	0.67	3.31	3.33	0.01	3.48	
mequiv = milliequivalent;	; SG = Savitzky-	Golay smooth	ing; SNV = star	ndard nor	mal varia	te; MS	

450 multiplicative scatter correction; RMSEC = root mean square error of calibration; RMSEP = root 451 mean square error of prediction;  $R_c^2$  = coefficient of determination for calibration; SEP = standard 452 error for prediction; RE = relative error.

445

453



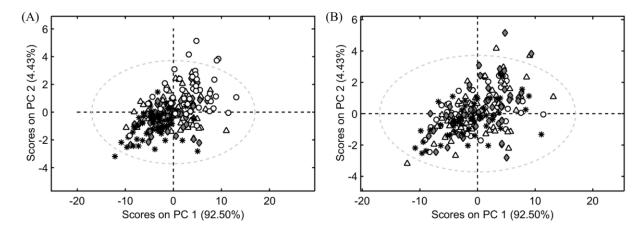


Figure 1. (A) scores of the PCA for the NIR spectra of drying process (Day 0 [○], 4 [▲], 6 [◊], 7
[\*]); (B) scores of the PCA for the NIR spectra of unshelled macadamia (*Macadamia integrifolia*Maiden & Betche) nuts from different cultivars (IAC 4-12 [◊], IAC Campinas B [\*], HAES 660 [▲],
HAES 340 [○]).

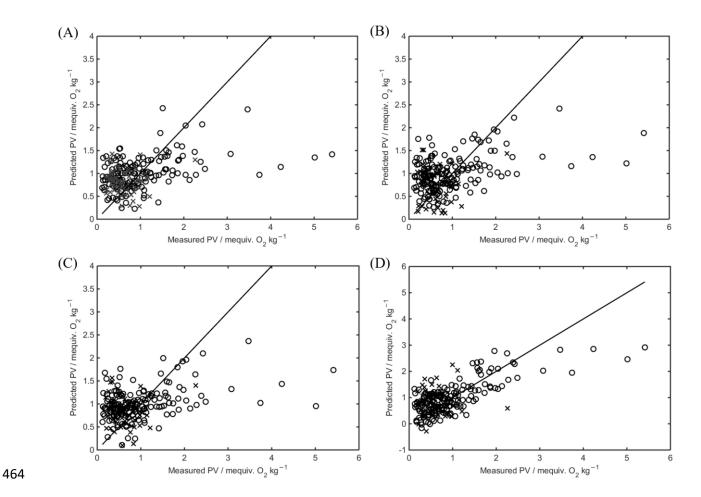


Figure 2. Measured *versus* predicted peroxide value (PV) for the calibration (o) and validation (x)
sets using PLS regression with the following pre-processing: (A) raw spectra; (B) SG+SNV; (C)
SG+MSC+baseline; (D) SG+2<sup>nd</sup> derivative. SG = Savitzky-Golay smoothing; SNV = standard
normal variate; MSC = multiplicative scatter correction.

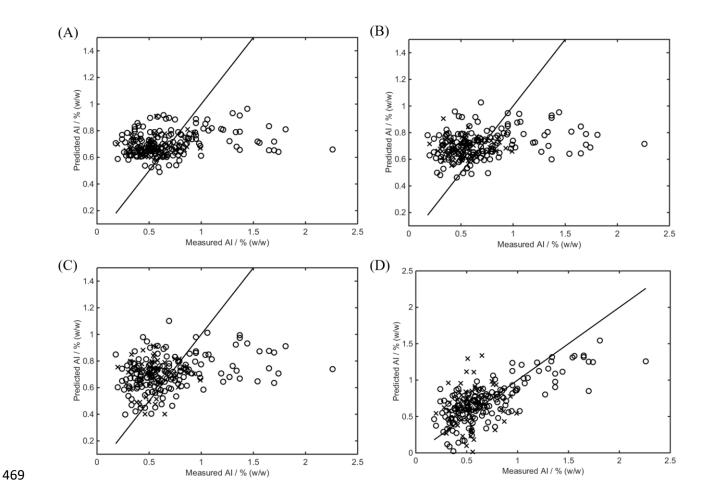


Figure 3. Measured *versus* predicted acidity index (AI) for the calibration (o) and validation (x) sets
using PLS regression with the following pre-processing: (A) raw spectra; (B) SG+SNV; (C)
SG+MSC+baseline; (D) SG+2<sup>nd</sup> derivative. SG = Savitzky-Golay smoothing; SNV = standard
normal variate; MSC = multiplicative scatter correction

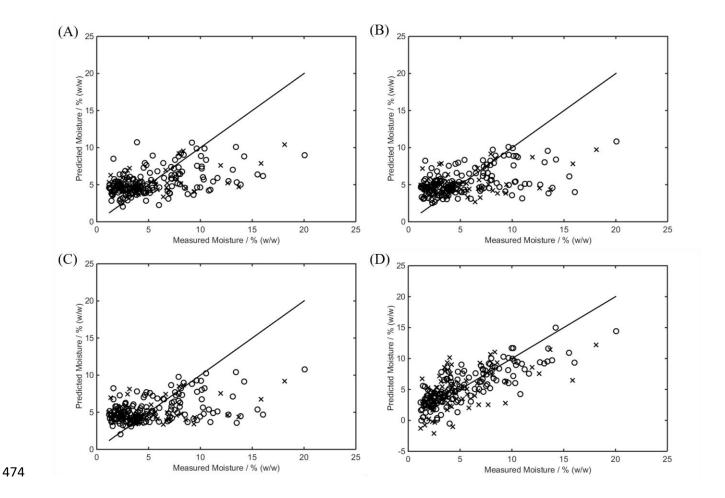


Figure 4. Measured *versus* predicted moisture for the calibration (o) and validation (x) sets using PLS regression with the following pre-processing: (A) raw spectra; (B) SG+SNV; (C) SG+MSC+baseline; (D) SG+ $2^{nd}$  derivative. SG = Savitzky-Golay smoothing; SNV = standard normal variate; MSC = multiplicative scatter correction.