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1 **Effects of running with minimal and conventional footwear in habitual and non-**
2 **habitual users; a musculoskeletal simulation and statistical parametric mapping based**
3 **approach.**

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9 **Keywords:** Biomechanics; musculoskeletal; footwear; running, minimal footwear.

10
11 **Abstract**

12 The current investigation examined running biomechanics in minimal and conventional
13 footwear in two groups of runners who either ran habitually in minimal footwear (habitual
14 minimal footwear users) or habitually in conventional footwear (non-habitual minimal footwear
15 users). We studied ten male non-habitual minimal footwear users and ten male habitual minimal
16 footwear users, who were required to complete ≥ 35 km per week of training. Lower extremity
17 joint loading was explored using a musculoskeletal simulation approach. Differences between
18 conditions were examined using statistical parametric mapping and 2x2 mixed ANOVA. This
19 study revealed via the strike index that minimal footwear caused a more anterior contact
20 position in both groups (habitual: minimal=61.68% & conventional=46.48% /non-habitual:
21 minimal=33.79% & conventional=22.61%), although non-habitual runners still adopted a
22 rearfoot strike pattern. In addition, in non-habitual users minimal footwear increased tibial
23 accelerations (habitual: minimal=6.35g & conventional=7.06g /non-habitual: minimal=9.54g
24 & conventional=8.16g), loading rates (habitual: minimal=105.44BW/s &

25 conventional=105.97BW/s /non-habitual: minimal=293.00BW/s &
26 conventional=154.36BW/s) and medial tibiofemoral loading rates (habitual:
27 minimal=196.17BW/s & conventional=274.96BW/s /non-habitual: minimal=274.96BW/s &
28 conventional=212.57BW/s). Furthermore, minimal footwear decreased patellofemoral loading
29 in both habitual (minimal=0.28BW·s & conventional=0.31BW·s) and non-habitual
30 (minimal=0.26BW·s & conventional=0.29BW·s) users. Finally, Achilles tendon loading was
31 larger in minimal footwear and in habitual runners (habitual: minimal=0.79BW·s &
32 conventional=0.71BW·s /non-habitual: minimal=0.71BW·s & conventional=0.65BW·s)
33 whereas iliotibial band strain rate was reduced in habitual (minimal=28.32%/s &
34 conventional=30.30%/s) in relation to non-habitual (minimal=42.96%/s &
35 conventional=42.87%/s) users. This study highlights firstly the importance of transitioning to
36 minimal footwear and also indicates that post transition they may be effective in attenuating the
37 biomechanical mechanisms linked to the aetiology of many chronic injuries.

38

39 **Introduction**

40 Recreational distance running is arguably the most popular aerobic exercise modality (Lee et
41 al. 2014). There is a plethora of evidence indicating that running mediates significant
42 physiological and psychological benefits (Lee et al. 2014). However, despite the physical
43 benefits that it manifests, distance running is also associated with a high susceptibility to
44 chronic injuries; as 19.4-79.3 % of runners will experience a pathology each year (Van Gent et
45 al. 2007). Unfortunately, chronic pathologies are a significant barrier to training adherence in
46 runners and lead to a substantial economic burden (Hespanhol et al. 2016). Specifically,
47 patellofemoral pain, iliotibial band syndrome, tibial stress fractures, medial tibial stress
48 syndrome, Achilles tendinopathy and pain secondary to hip and knee osteoarthritis are

49 commonly experienced in sports medicine clinics (Taunton et al. 2002, Van Ginckel et al. 2009;
50 Winkelmann et al. 2016; Snyder et al. 2006).

51

52 The running shoe is the primary interface between the body and surface; as such significant
53 developments in running shoe technology have emerged, in an attempt to mediate the incidence
54 of chronic running pathologies (Sinclair et al. 2013a). However, since the introduction of the
55 conventional running shoe, the rate and location of chronic running injuries has not changed,
56 leading to the notion that technological developments in running footwear have not been
57 successful in influencing running pathologies (Davis, 2014). This has led to the proposal that
58 running in minimal footwear that lacks the cushioning and motion control properties associated
59 with the conventional running shoe, may be associated with a reduced incidence of chronic
60 running injuries (Lieberman et al. 2010; Davis, 2014). Based on this notion, several minimal
61 footwear models are currently available commercially.

62

63 **Several studies have examined differences in running biomechanics between minimal and**
64 **conventional running shoes.** These investigations have shown that minimal footwear alter
65 spatiotemporal running characteristics, causing runners to adopt a more plantarflexed ankle at
66 footstrike (Sinclair et al. 2013ab, Hollander et al. 2015), mid/forefoot strike pattern (Squadrone
67 et al. 2015; Sinclair et al. 2019), increased stride rate (Warne et al. 2014) and reduced stride
68 length (Sinclair et al. 2016; Sinclair et al. 2019) **compared to conventional running shoes.** In
69 addition, previous comparisons of conventional and minimal footwear have also shown that
70 minimal footwear are associated with increased vertical loading rates (Sinclair et al. 2013ab),
71 tibial accelerations (Sinclair et al. 2013a), and effective mass (Sinclair et al. 2018a). Finally,
72 previous work examining the effects of minimal footwear on the loads experienced by the lower
73 extremities have revealed that minimal footwear reduces the loads experienced by the

74 patellofemoral joint (Sinclair, 2014; Bonacci et al. 2014), but increase the forces borne by the
75 Achilles tendon (Sinclair, 2014; Sinclair et al. 2019) and the tibiofemoral joint (Sinclair et al.
76 2018b). However, it is important to recognise that the conclusions drawn from the
77 aforementioned investigations were based upon results obtained from novice users of minimal
78 footwear. Indeed, Tam et al. (2017) proposed that in acute investigations of minimal footwear,
79 runners do not sufficiently alter their running mechanics sufficiently to reduce the vertical
80 loading rate. Therefore, it can be concluded that the overall evidence that minimal footwear is
81 able to attenuate the biomechanical factors linked to the aetiology of chronic pathologies is
82 currently insufficient. As such, with regards to minimal footwear, runners must select footwear
83 based on the findings from acute studies conducted on runners who are unaccustomed to using
84 minimal footwear. Therefore, it can be concluded that further investigation of running
85 biomechanics between minimal and conventional footwear in those who habitually wear
86 minimal and conventional footwear is warranted.

87

88 Furthermore, previous analyses concerning the biomechanical differences between minimal
89 and conventional footwear, have adopted inverse-dynamic driven modelling-based approaches
90 to quantify lower extremity musculoskeletal loading (Sinclair et al., 2019). However, joint
91 torques are representative of global indices of joint loading, and therefore are not representative
92 of localized joint loading (Herzog et al. 2003). Substantial developments in musculoskeletal
93 modelling have been made in recent years, allowing indices of skeletal muscle forces; muscle
94 kinematics and joint reaction forces be obtained through musculoskeletal simulation analyses
95 (Delp et al. 2007). This approach may be more effective than traditional inverse-dynamic based
96 methods and allows a more detailed examination of the specific parameters linked to the
97 aetiology of chronic pathologies to be undertaken. Such approaches have not yet been utilized
98 to explore biomechanical differences between minimal and conventional running shoes in

99 runners who run habitually in minimal footwear (habitual minimal footwear users) or
100 conventional footwear (non-habitual minimal footwear users).

101

102 There has yet to be a published investigation examining differences in running biomechanics
103 between minimal and conventional footwear in those who habitually wear minimal and
104 conventional footwear. Therefore, the aim of the current investigation was to examine running
105 biomechanics in minimal and conventional footwear in those who habitually wear minimal and
106 conventional footwear, with reference to the biomechanical mechanisms linked to the aetiology
107 of chronic pathologies, using a musculoskeletal simulation-based analysis.

108

109 **Methods**

110 *Participants*

111 Ten male conventional footwear users (henceforth termed non-habitual minimal footwear
112 users) (age 27.67 ± 5.57 years, height 1.71 ± 0.03 m and body mass 68.76 ± 4.78 kg) and ten
113 male habitual minimal footwear users (henceforth termed habitual minimal footwear users)
114 (age 33.50 ± 4.58 years, height 1.75 ± 0.04 m and body mass 71.74 ± 7.74 kg) volunteered to
115 take part in this study. Participants were required to complete a minimum of 35 km per week
116 of training. To be considered a habitual minimal footwear user, volunteers were required to
117 have been training exclusively in minimal footwear for a minimum period of 24 months in
118 footwear scoring ≥ 75 on the minimalist index described by Esculier et al. (2015). The
119 procedure utilized for this investigation was approved by a university ethical committee (REF
120 637). All runners were free from musculoskeletal pathology at the time of data collection and
121 provided written informed consent in accordance with the principles outlined in the Declaration
122 of Helsinki.

123

124 *Footwear*

125 The footwear used during this study consisted of New Balance, 1260 v2 (New Balance, Boston,
126 Massachusetts, United States; henceforth termed conventional) and Vibram Five-Fingers, ELX
127 (Vibram, Albizzate, Italy; henceforth termed minimal) (Figure 1). The conventional footwear
128 had an average mass of 0.285 kg, heel thickness of 25 mm and a heel drop of 14 mm and
129 minimal an average mass of 0.167 kg, heel thickness of 7 mm and a heel drop of 0 mm. The
130 footwear were also scored using the minimalist index of Esculier et al. (2015), and the
131 conventional footwear received a score of 20 and minimal a score of 92.

132

133 @@@FIGURE 1 NEAR HERE@@@

134

135 *Procedure*

136 Participants ran at 4.0 m/s ($\pm 5\%$), striking an embedded piezoelectric force platform (Kistler
137 Instruments Ltd., Winterthur, Switzerland) with their right (dominant) foot. Running velocity
138 was monitored using infrared timing gates (Newtest, Oy Koulukatu, Finland). The stance phase
139 was delineated as the duration over which 20 N or greater of vertical ground reaction force
140 (GRF) was applied to the force platform. Runners completed five successful trials in each
141 footwear condition. The order that participants ran in each footwear condition was
142 counterbalanced. Kinematic and GRF data were synchronously collected. Kinematic data were
143 captured at 250 Hz via an eight-camera motion analysis system (Qualisys Medical AB,
144 Goteburg, Sweden). Dynamic calibration of the motion capture system was performed before
145 each data collection session.

146

147 Body segments were modelled in 6 degrees of freedom using the calibrated anatomical systems
148 technique (Cappozzo et al. 1995). To define the anatomical frames of the thorax, pelvis, thighs,
149 shanks and feet retroreflective markers were placed at the C7, T12 and xiphoid process
150 landmarks and also positioned bilaterally onto the acromion process, iliac crest, anterior
151 superior iliac spine (ASIS), posterior superior iliac spine (PSIS), medial and lateral malleoli,
152 medial and lateral femoral epicondyles, greater trochanter, calcaneus, first metatarsal and fifth
153 metatarsal. Carbon-fibre tracking clusters comprising of four non-linear retroreflective markers
154 were positioned onto the thigh and shank segments. In addition to these, the foot segments were
155 tracked via the calcaneus, first metatarsal and fifth metatarsal, the pelvic segment was tracked
156 using the PSIS and ASIS markers and the thorax segment was tracked using the T12, C7 and
157 xiphoid markers. **Static calibration trials were obtained in each footwear allowing for the**
158 **anatomical markers to be referenced in relation to the tracking markers/ clusters.**

159
160 To measure axially directed accelerations at the tibia, an accelerometer (Biometrics ACL 300,
161 Gwent United Kingdom) sampling at 1000Hz was used. The device was mounted onto a piece
162 of lightweight carbon-fibre material using the protocol outlined by Sinclair et al. (2013a). The
163 accelerometer was attached securely to the distal antero-medial aspect of the tibia in alignment
164 with its longitudinal axis, 0.08 m above the medial malleolus. Strong non-stretch adhesive tape
165 was placed over the device and leg to avoid overestimating the acceleration due to tissue
166 artefact (Sinclair et al. 2013a).

167
168 *Processing*

169 Dynamic trials were digitized using Qualisys Track Manager (Qualisys Medical AB, Goteburg,
170 Sweden) in order to identify anatomical and tracking markers then exported as C3D files to
171 Visual 3D (C-Motion, Germantown, MD, USA). All data were linearly normalized to 100 %

172 of the stance phase. GRF data and marker trajectories were smoothed with cut-off frequencies
173 of 50 Hz at 12 Hz respectively, using a low-pass Butterworth 4th order zero lag filter. In
174 addition, the tibial acceleration signal was filtered using a 60 Hz Butterworth zero lag 4th order
175 low pass filter (Sinclair et al. 2013a). Kinematics of the hip, knee and ankle were quantified
176 using an XYZ cardan sequence of rotations (where X is flexion-extension; Y is ab-adduction
177 and is Z is internal-external rotation). In addition, tibial internal rotation kinematics were also
178 calculated in accordance with Eslami et al. (2007). All force parameters throughout were
179 normalized by dividing by bodyweight (BW).

180

181 In accordance with the protocol of Addison & Lieberman, (2015), an impulse-momentum
182 modelling approach was utilized to calculate effective mass (% BW), which was quantified in
183 accordance with the below equation:

184

$$185 \quad \text{Effective mass} = \text{vertical GRF integral} / (\Delta \text{ foot vertical velocity} + \text{gravity} * \Delta \text{ time})$$

186

187 The impact peak was defined firstly in non-habitual runners when wearing conventional
188 footwear, as the first peak in vertical GRF. In habitual runners and non-habitual runners
189 wearing minimal footwear where no impact peak was expected, according to the protocols of
190 Lieberman et al. (2010) and Sinclair et al. (2018a) we defined the position of the impact peak
191 at the same relative position, which was shown to be 11.87 % of the stance phase. The time
192 (ms) to impact peak ($\Delta \text{ time}$) was quantified as the duration from footstrike to impact peak. The
193 vertical GRF integral (BW·ms) during the period of the impact peak was calculated using a
194 trapezoidal function. The change in foot vertical velocity ($\Delta \text{ foot vertical velocity}$) was
195 determined as the instantaneous vertical foot velocity averaged across the 10 frames prior to

196 the impact peak (Sinclair et al. 2018a). The velocity of the foot was quantified using the centre
197 of mass of the foot segment in the vertical direction, within Visual 3D (Sinclair et al. 2018a).

198

199 Loading rate (BW/s) was also extracted by obtaining the peak increase in vertical
200 GRF between adjacent data points using the first derivative function within Visual 3D and the
201 peak tibial acceleration (g) was extracted as the highest positive acceleration peak during the
202 stance phase. The strike index was calculated as the position of the centre of pressure location
203 at footstrike, relative to the total length of the foot (Squadrone et al. 2015). A strike index of
204 0–33% denotes a rearfoot, 34–67% a midfoot and 68–100% a forefoot strike pattern. Finally,
205 limb stiffness during running was quantified using a mathematical spring-mass model
206 (Blickhan, 1989). Limb stiffness (BW/m) was calculated from the ratio of the peak normalized
207 vertical GRF to the maximum vertical compression of the leg spring which was calculated as
208 the change in limb length from footstrike to minimum length during the stance phase (Farley
209 & Morgenroth, 1999). Limb length was quantified as the vertical height of the proximal end of
210 the thigh segment within Visual 3D.

211

212 Following this, data during the stance phase were exported from Visual 3D into OpenSim 3.3
213 software (Simtk.org). Two validated musculoskeletal models were used to process the
214 biomechanical data both of which were scaled to account for the anthropometrics of each
215 runner. The first with 12 segments, 19 degrees of freedom and 92 musculotendon actuators
216 (Lerner et al. 2015) was used initially to estimate lower extremity joint forces. As muscle forces
217 are the main determinant of joint compressive forces (Herzog et al. 2003), muscle kinetics were
218 quantified using static optimization in accordance with Steele et al. (2012). Compressive
219 patellofemoral, medial/ lateral tibiofemoral, ankle and hip joint forces were calculated via the

220 joint reaction analyses function using the muscle forces generated from the static optimization
221 process as inputs. Furthermore, patellofemoral stress (KPa/kg) was quantified by dividing the
222 patellofemoral force by the contact area. Patellofemoral contact areas were obtained by fitting
223 a polynomial curve to the sex specific data of Besier et al. (2005), who estimated patellofemoral
224 contact areas as a function of the knee flexion angle using MRI. Finally, Achilles tendon forces
225 were estimated in accordance with the protocol of Almonroeder et al. (2013), by summing the
226 muscle forces of the medial gastrocnemius, lateral, gastrocnemius, and soleus muscles.

227

228 In addition, patellofemoral, medial/ lateral tibiofemoral, ankle, hip and Achilles tendon
229 instantaneous load rates (BW/s and KPa/BW/s) were also extracted by obtaining the maximum
230 increase in force/ stress between adjacent data points using the first derivative function in Visual
231 3D. Finally, the integral of the hip, tibiofemoral, ankle, patellofemoral and Achilles tendon
232 forces (BW·s) and stresses (KPa/BW·s) during the stance phase were calculated using a
233 trapezoidal function.

234

235 Running in minimal footwear has been shown to alter step length during running, which
236 increases the number of footstrikes necessary to run a set distance. We therefore estimated the
237 total impulse per kilometre (BW·km) by multiplying these parameters by the number of steps
238 required to run a kilometre. The number of steps required to complete one kilometre was
239 quantified using the step length (m), which was determined by taking the difference in the
240 horizontal position of the foot centre of mass between the right and left legs at footstrike.

241

242 The second model also had twelve segments, 23 degrees of freedom and 92 muscle-tendon
243 actuators and was adapted from the generic OpenSim gait2392 model to include the iliotibial
244 band (Foch et al. 2013). The iliotibial band itself was included within the gait2392 model but

245 as a muscle with only a passive contractile component and an optimal muscle fiber length of
246 zero (Foch et al. 2013). Iliotibial band kinematics during the stance phase were calculated via
247 the muscle analyses function within OpenSim and iliotibial band strain (%) was calculated by
248 dividing the change in length of the band during stance and dividing by its resting length at each
249 time frame. In addition, the strain rate (%/s) was calculated as the change in strain between
250 adjacent data points. The resting length of the iliotibial band was determined as its length during
251 the static calibration trial (Hamill et al. 2008). Peak iliotibial band strain and strain rate were
252 measured at **the instance of peak knee flexion** during stance (Hamill et al. 2008).

253

254 *Statistical analyses*

255 **Following data processing**, compressive joint forces (hip, patellofemoral, medial tibiofemoral
256 and lateral tibiofemoral), Achilles tendon loading and three-dimensional kinematics during the
257 entire stance phase were temporally normalized using linear interpolation to 101 data points.
258 Differences across the entire stance phase were examined using 1-dimensional statistical
259 parametric mapping (SPM) with MATLAB 2017a (MATLAB, MathWorks, Natick, USA), in
260 accordance with Pataky et al. (2016), using the source code available at
261 <http://www.spm1d.org/>. **Differences as a function of both FOOTWEAR (FOOTWEAR –**
262 **conventional or minimal) and GROUP (GROUP - habitual or non-habitual) were examined**
263 **using paired and independent t-tests (SPM t).**

264

265 For discrete parameters that could not be examined using SPM (joint integral, joint loading
266 rate, joint integral per **kilometre**, step length, instantaneous load rate, strike index, limb
267 stiffness, tibial accelerations, iliotibial band strain, iliotibial band strain rate and effective
268 mass), means and standard deviations were calculated for each condition. Differences in
269 discrete biomechanical parameters were examined using 2 (FOOTWEAR – conventional of

270 minimal) x 2 (GROUP- habitual or non-habitual) mixed ANOVAs, Effect sizes were calculated
271 using partial eta² (η^2). In the event of a significant interaction, simple main effects tests were
272 adopted. Discrete statistical actions were conducted using SPSS v25.0 (SPSS Inc., Chicago,
273 USA). Statistical significance was accepted at the $P \leq 0.05$ level.

274

275 **Results**

276 @@@TABLE 1 NEAR HERE@@@

277 @@@TABLE 2 NEAR HERE@@@

278

279 *Lower extremity external loading, strike index and step length*

280 For effective mass there was a significant FOOTWEAR*GROUP interaction ($P=0.01$, $\eta^2 =$
281 0.31). Simple main effects tests showed that effective mass was larger in the conventional
282 running shoes compared to minimal in habitual runners ($P=0.01$, $\eta^2 = 0.53$) but there were no
283 significant differences between footwear in non-habitual runners ($P=0.26$, $\eta^2 = 0.11$). In
284 addition, when wearing minimal footwear, effective mass was significantly greater in non-
285 habitual runners compared to habitual ($P<0.001$, $\eta^2 = 0.61$) but there were no differences
286 between habitual and non-habitual runners when running in conventional footwear ($P=0.50$,
287 $\eta^2 = 0.03$) (Table 1).

288

289 For loading rate there was also a significant FOOTWEAR*GROUP interaction ($P=0.002$, η^2
290 $= 0.41$). Simple main effects tests showed that loading rate was significantly larger in the
291 minimal footwear compared to conventional in non-habitual runners ($P=0.004$, $\eta^2 = 0.63$) but
292 there was no significant difference between footwear in habitual runners ($P=0.94$, $\eta^2 < 0.001$).
293 In addition, when wearing minimal footwear, the loading rate was significantly greater in non-

294 habitual runners compared to habitual (P<0.001, $p\eta^2 = 0.52$) but there were no differences when
295 running in conventional footwear (P=0.06, $p\eta^2 = 0.19$) (Table 1).

296

297 For peak tibial accelerations, there was a significant FOOTWEAR*GROUP interaction
298 (P=0.005, $p\eta^2 = 0.36$). Simple main effects tests showed that tibial accelerations were
299 significantly larger in minimal footwear compared to conventional in non-habitual runners
300 (P=0.03, $p\eta^2 = 0.42$) but there was no significant difference between footwear in habitual
301 runners (P=0.09, $p\eta^2 = 0.29$). In addition, when wearing minimal footwear, tibial accelerations
302 were significantly greater in non-habitual compared to habitual runners (P<0.001, $p\eta^2 = 0.57$)
303 but there were no differences between habitual and non-habitual runners in conventional
304 footwear (P=0.20, $p\eta^2 = 0.09$) (Table 1).

305

306 For limb stiffness there was a significant FOOTWEAR*GROUP interaction (P=0.04, $p\eta^2 =$
307 0.21). Simple main effects tests showed that limb stiffness was greater in minimal compared to
308 conventional footwear in non-habitual runners (P<0.001, $p\eta^2 = 0.57$) but there were no
309 differences between footwear when running in conventional footwear (P=0.20, $p\eta^2 = 0.09$)
310 (Table 1).

311

312 For strike index there was a main effect of FOOTWEAR (P=0.002, $p\eta^2 = 0.36$), which showed
313 that the strike position was more anterior in minimal footwear. In addition, there was also a
314 main effect of GROUP (P=0.007, $p\eta^2 = 0.34$), which indicated that the strike was also more
315 anterior in habitual runners (Table 1).

316

317 For step length there was a significant FOOTWEAR*GROUP interaction (P=0.04, $p\eta^2 = 0.20$).
318 Simple main effects tests showed that step length was significantly larger in conventional

319 compared to minimal footwear in habitual runners ($P=0.001$, $\eta^2 = 0.72$) but there was no
320 difference between footwear in non-habitual runners ($P=0.70$, $\eta^2 = 0.02$). In addition, when
321 wearing minimal footwear compared to conventional, step length was significantly greater in
322 non-habitual runners ($P=0.02$, $\eta^2 = 0.28$) but there were no differences between habitual and
323 non-habitual runners when running in conventional footwear ($P=0.11$, $\eta^2 = 0.14$) (Table 1).

324

325 *Joint loading*

326 For medial tibiofemoral loading rate there was a significant FOOTWEAR*GROUP interaction
327 ($P<0.001$, $\eta^2 = 0.76$). Simple main effects tests showed that the loading rate was significantly
328 larger in the conventional compared to minimal footwear in habitual runners ($P=0.001$, $\eta^2 =$
329 0.91) but significantly greater in minimal compared to conventional footwear in non-habitual
330 runners ($P=0.005$, $\eta^2 = 0.61$). In addition, when wearing minimal footwear, medial
331 tibiofemoral loading rate was significantly greater in non-habitual compared to habitual runners
332 ($P=0.02$, $\eta^2 = 0.26$) but in conventional footwear was significantly greater in habitual
333 compared to non-habitual runners ($P=0.04$, $\eta^2 = 0.21$) (Table 1).

334

335 For the integral of patellofemoral joint force, there was a main effect of FOOTWEAR ($P=0.03$,
336 $\eta^2 = 0.25$), which was shown to be larger in conventional footwear (Table 1).

337

338 For the integral of Achilles tendon force, there was a main effect of FOOTWEAR ($P=0.02$, η^2
339 $=0.27$), which was shown to be larger in minimal footwear. In addition, there was a main effect
340 for GROUP ($P=0.002$, $\eta^2 = 0.42$), which indicated that the Achilles tendon integral was greater
341 in habitual runners (Table 1). For the Achilles tendon integral per kilometre, there was a main

342 effect of FOOTWEAR ($P=0.004$, $p\eta^2 = 0.38$), which was shown to be larger in minimal
343 footwear. In addition, there was a main effect for GROUP ($P=0.002$, $p\eta^2 = 0.41$), which
344 indicated that the Achilles tendon integral was greater in habitual runners (Table 2).

345

346 For the ankle integral per kilometre, there was a main effect for GROUP ($P=0.02$, $p\eta^2 = 0.27$),
347 which indicated that the ankle integral was greater in habitual runners (Table 2).

348

349 *Iliotibial band kinematics*

350 For iliotibial band strain rate, there was a main effect for GROUP ($P<0.001$, $p\eta^2 = 0.52$), which
351 indicated that the strain rate was greater in non-habitual runners (Table 1).

352

353 *Statistical parametric mapping - joint loading*

354 Minimal footwear was associated with increased Achilles tendon force compared to
355 conventional running shoes in the first 20% of the stance phase in both habitual and non-
356 habitual runners (Figure 2ab).

357

358 *Statistical parametric mapping - three-dimensional kinematics*

359 Conventional footwear was associated with increased hip flexion compared to minimal from
360 20-40% of the stance phase in habitual runners (Figure 2c). Conventional footwear was also
361 associated with increased knee flexion compared to minimal from 40-60% of the stance phase
362 in both habitual and non-habitual runners (Figure 2de). In additional, minimal footwear

363 compared to conventional was associated with increased tibial and knee internal rotation during
364 from 20-60% of the stance phase in habitual runners (Figure 3ab). Furthermore, it was revealed
365 that the ankle exhibited increased plantarflexion in minimal footwear from 0-5% of the stance
366 phase in both habitual and non-habitual runners (Figure 3cd). Finally, in conventional footwear
367 compared to minimal, habitual runners were similarly associated with increased plantarflexion
368 from 0-5% of the stance phase (Figure 3e).

369

370 @@@FIGURE 2 NEAR HERE@@@

371 @@@FIGURE 3 NEAR HERE@@@

372

373 **Discussion**

374 The aim of the current investigation was to examine differences in running biomechanics
375 between minimal and conventional footwear, in those who habitually wear minimal and
376 conventional footwear. To the authors knowledge, this is the first quantitative comparison of
377 these footwear in habitual and non-habitual minimal footwear users using a musculoskeletal
378 simulation and SPM based approach.

379

380 The kinematic analysis using SPM of the sagittal plane ankle angle aligned with the discrete
381 analysis of the strike index, supports previous investigations in that minimal footwear
382 transferred the footstrike to a more anterior position in both habitual and non-habitual runners
383 (Squadrone et al. 2015; Sinclair et al. 2019). Furthermore, in support of previous analyses the
384 findings from this study also showed that habitual minimal footwear users similarly were
385 associated with a significantly more anterior footstrike position in relation to non-habitual
386 runners (Larson et al. 2014). It is important to contextualize the strike index values observed in
387 both conditions, as regardless of which footwear condition was utilized non-habitual runners

388 maintained a rearfoot strike pattern and habitual runners adopted a midfoot contact position.

389 This supports proposition of Tam et al. (2017) that in acute investigations non-habitual runners

390 do not sufficiently alter their running mechanics and continue to exhibit a rearfoot strike pattern.

391

392 For the indices of external loading, in agreement with previous analyses this investigation

393 showed that tibial accelerations and loading rates were found to be greater in minimal footwear

394 in non-habitual runners (Sinclair et al. 2013ab) and in non-habitual runners when wearing

395 minimal footwear (Lieberman et al. 2010). As non-habitual runners adopted a rearfoot strike

396 pattern when wearing minimal footwear, it was expected that both effective mass and limb

397 stiffness were also increased when non-habitual runners adopted minimal footwear. It is

398 proposed that the increases in external loading indices were mediated by the corresponding

399 changes in effective mass and limb stiffness, which have been shown previously to be positively

400 related to the magnitude of the both tibial accelerations and loading rate (Sinclair et al. 2018a).

401 As tibial accelerations/ loading rates were increased in non-habitual runners using minimal

402 footwear, these observations may be clinically meaningful. Given the proposed association

403 between tibial accelerations/ loading rates and the aetiology of chronic injuries (Davis et al.

404 2004), this study indicates that non-habitual runners wearing minimal footwear are at increased

405 risk from impact related injuries.

406

407 Although no differences were revealed using SPM, the discrete analysis showed that the

408 patellofemoral force integral was significantly larger in conventional footwear in both habitual

409 and non-habitual groups. This finding concurs with those observed previously by Sinclair,

410 (2014), Sinclair et al. (2016) and Bonacci et al. (2014) who showed significant reductions in

411 patellofemoral loading when running in minimal footwear. The discrete and SPM based

412 analyses showed that minimal footwear transferred the footstrike to a more anterior position

413 and also reduced the extent of peak knee flexion in both habitual and non-habitual groups. It
414 is proposed that these observations are responsible for the reductions in patellofemoral loading
415 as previous analyses have shown that the function of the knee joint as an energy absorber is
416 reduced when there is an increased plantarflexion involvement (Sinclair & Selfe, 2015).
417 Importantly, excessive patellofemoral joint loading is considered a key mechanism linked to
418 the aetiology of pain symptoms in active individuals (Ho et al. 2012). Therefore, the findings
419 from the current investigation indicate that in both habitual and non-habitual runners, minimal
420 footwear may be effective in attenuating the biomechanical parameters linked to the aetiology
421 of patellofemoral pain.

422

423 In addition, it was revealed via the discrete analysis, that the loading rate at the medial aspect
424 of the tibiofemoral joint was larger in the conventional footwear in habitual runners and in
425 minimal footwear in non-habitual runners. This supports those of Sinclair et al. (2018b) who
426 showed in non-habitual runners, that minimal footwear increased the loading rate at the medial
427 aspect of the knee joint. This observation indicates that the loading rate at the medial
428 tibiofemoral joint was statistically larger when runners performed in their non-preferred
429 footwear condition. Because the loading rate at the medial knee has been cited as important
430 predictor of radiographic knee osteoarthritis, the findings from this investigation indicate that
431 runners are at increased risk when running in their non-preferred footwear condition without
432 habituation (Morgenroth et al. 2014).

433

434 Furthermore, this investigation showed using both SPM and discrete analyses that Achilles
435 tendon loading indices were significantly larger in minimal footwear and in habitual runners
436 collectively. This observation concurs with previous investigations (Sinclair, 2014, Sinclair et
437 al. 2019) showing that in non-habitual runners' minimal footwear significantly enhanced

438 Achilles tendon loading compared to conventional running shoes, although there is no
439 comparative literature examining the mechanics of the Achilles tendon in habitual minimal
440 footwear users. Importantly, the current study also showed that habitual runners were associated
441 with enhanced Achilles tendon loading compared to non-habitual users. It is proposed that the
442 mechanism responsible for these observations is the more anterior footstrike position in minimal
443 footwear and in habitual users, which served to enhance triceps surae muscle forces during the
444 eccentric aspect of the stance phase (Almonroeder et al. 2013). This observation may be
445 clinically important, as the initiation of Achilles tendinopathy is believed to be mediated
446 through repeated and excessive loads experienced by tendon itself without sufficient rest in
447 between loading exposures (Selvanetti et al. 1997). However, Davis et al. (2017) postulate that
448 greater tendon loading in habituated runners may instigate the stimulus required for tendon
449 hypertrophy and enhanced stiffness within the muscle–tendon unit necessary for the storage
450 and release of elastic energy. Anrsten et al. (2017) support this notion as they showed that
451 habitual minimal footwear users were associated with greater tendon cross sectional area and
452 increased stiffness.

453

454 Finally, the current study also importantly showed that iliotibial band strain rate was greater in
455 non-habitual runners. This finding may be clinically important as modelling investigations
456 suggest that increased strain rate is the biomechanical risk factor linked to the aetiology of
457 iliotibial band syndrome (Hamill et al. 2008). The main mechanical difference (irrespective of
458 footwear) between groups, was the adoption of a midfoot strike pattern in habitual minimal
459 footwear users compared to non-habitual. Therefore, the findings from this study lend support
460 to the proposition of Lalonde (2013) that a rearfoot landing should be avoided for the
461 prevention of iliotibial band syndrome in runners, although further aetiological investigations
462 are required to substantiate this notion. As such, the current investigation indicates that

463 transitioning to minimal footwear may be beneficial for runners in that they are able to attenuate
464 their risk from iliotibial band syndrome.

465

466 A potential limitation to the current study that should be acknowledged is that only male runners
467 were examined. Females have been shown to exhibit distinct external loading kinetics (Ferber
468 et al. 2003), lower extremity kinematics (Sinclair et al. 2012, Ferber et al. 2003), limb stiffness
469 (Sinclair et al. 2016), patellofemoral (Sinclair & Selfe, 2015) and Achilles tendon (Greenhalgh
470 & Sinclair, 2014), parameters compared to male runners. This therefore suggests that further
471 investigation of minimal footwear in habitual users using a female sample is warranted before
472 comprehensive conclusions can be drawn. Furthermore, the efficacy of musculoskeletal
473 simulation analyses depends on the fidelity of the primary neuromusculoskeletal model used to
474 quantify the mechanics of the movement being investigated (Seth et al., 2011). Many
475 assumptions and simplifications are made in the development of musculoskeletal simulation
476 models, which could potentially impact the results from the current investigation (Seth et al.,
477 2011). Therefore, there is considerable scope for future analyses to address and improve upon
478 these limitations, in order to provide more accurate and valid musculoskeletal simulations.

479

480 In conclusion, the biomechanics of minimal and conventional footwear have received
481 widespread research attention. However, there has not been quantitative comparison of these
482 footwear in habitual and non-habitual minimal footwear users using a musculoskeletal
483 simulation and SPM based approach. This study revealed that minimal footwear mediated a
484 more anterior contact position in both groups, although non-habitual runners still adopted a
485 rearfoot strike pattern. In addition, minimal footwear increased tibial accelerations, loading
486 rates and medial tibiofemoral loading rates in non-habitual runners and decreased
487 patellofemoral loading in both habitual and non-habitual groups. Finally, Achilles tendon

488 loading indices were larger in minimal footwear and in habitual runners whereas iliotibial band
489 strain rate was reduced in habitual runners. Therefore, this study highlights firstly the
490 importance of transitioning to minimal footwear and also indicates that post transition they may
491 be effective in attenuating the biomechanical mechanisms linked to the aetiology of many
492 chronic injuries.

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633 Table 1: Discrete biomechanical parameters (mean \pm standard deviations) as a function of FOOTWEAR
 634 and GROUP.

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	Non-habitual				Habitual				
	Conventional		Minimal		Conventional		Minimal		
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	
Effective mass (% BW)	9.59	1.93	11.32	1.81	9.06	1.53	7.83	1.01	B, C
Loading rate (BW/s)	154.36	69.86	293.00	126.14	105.97	27.20	105.44	48.95	A, B, C
Peak tibial acceleration (g)	8.16	2.04	9.54	1.90	7.06	1.60	6.35	0.86	B, C
Limb stiffness (BW/m)	63.41	28.52	65.91	22.69	63.46	33.51	48.12	13.97	C
Iliotibial band strain (%)	2.41	2.09	2.44	1.85	2.09	2.18	2.54	1.27	
Iliotibial band strain rate (%/s)	42.87	14.67	42.96	12.71	30.30	7.37	28.32	8.06	B
Patellofemoral integral (BW·s)	0.29	0.08	0.26	0.08	0.31	0.13	0.28	0.10	A
Patellofemoral loading rate (BW/s)	156.50	55.49	154.50	33.75	179.18	48.37	143.14	22.99	
Patellofemoral stress integral (KPa/BW·s)	0.56	0.14	0.52	0.13	0.59	0.21	0.55	0.18	
Patellofemoral stress loading rate (KPa/BW/s)	323.28	125.29	326.40	81.24	375.92	117.61	302.65	59.30	
Achilles integral (BW·s)	0.65	0.07	0.71	0.05	0.71	0.05	0.79	0.12	A, B
Achilles loading rate (BW/s)	153.96	43.34	179.34	67.96	179.34	67.96	148.14	38.75	
Ankle integral (BW·s)	1.21	0.12	1.30	0.12	1.30	0.12	1.33	0.19	
Ankle loading rate (BW/s)	251.82	41.42	281.18	55.95	281.18	55.95	247.14	40.27	
Hip integral (BW·s)	1.34	0.16	1.31	0.09	1.31	0.09	1.25	0.13	
Hip loading rate (BW/s)	276.22	41.21	291.88	82.86	291.88	82.86	260.00	123.79	
Medial tibiofemoral integral (BW·s)	0.86	0.10	0.83	0.06	0.83	0.06	0.85	0.12	
Medial tibiofemoral loading rate (BW/s)	212.57	51.75	274.96	75.23	274.96	75.23	196.17	64.60	C
Lateral tibiofemoral integral (BW·s)	0.44	0.07	0.44	0.05	0.44	0.05	0.41	0.07	
Lateral tibiofemoral loading rate (BW/s)	157.20	63.56	151.07	38.23	151.07	38.23	130.20	36.26	
Strike index (%)	22.61	17.92	33.79	24.69	46.48	21.44	61.68	19.33	A, B

637 A = main effect of FOOTWEAR
 638 B = main effect of GROUP
 639 C = FOOTWEAR x GROUP interaction
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648 Table 2: Discrete temporal biomechanical parameters (mean \pm standard deviations) as a function of
 649 FOOTWEAR and GROUP.

	Non-habitual				Habitual				
	Conventional		Minimal		Conventional		Minimal		
	Mean	SD	Mean	SD	Mean	SD	Mean	SD	
Step length (m)	1.41	0.14	1.4	0.15	1.29	0.17	1.23	0.15	A, B, C
Patellofemoral integral per kilometre m (BW·km)	543.91	163.20	493.74	160.51	646.21	300.30	612.77	253.39	
Patellofemoral stress integral per kilometre (KPa/BW·km)	1048.04	280.21	970.31	268.78	1203.71	494.77	1188.42	439.10	
Achilles integral per kilometre (BW·km)	1196.94	174.21	1328.26	134.48	1446.36	181.08	1697.98	361.64	A, B
Ankle integral per kilometre (BW·km)	2255.40	334.19	2410.35	249.97	2637.91	428.70	2849.76	582.01	B
Hip integral per kilometre (BW·km)	2507.87	504.79	2465.54	403.70	2672.95	391.66	2676.06	452.34	
Medial tibiofemoral integral per kilometre (BW·km)	1608.00	298.37	1561.71	234.69	1694.68	239.98	1826.23	378.21	
Lateral tibiofemoral integral per kilometre (BW·km)	815.75	191.45	826.17	133.47	902.91	173.35	875.81	201.87	

650 A = main effect of FOOTWEAR
 651 B = main effect of GROUP
 652 C = FOOTWEAR x GROUP interaction

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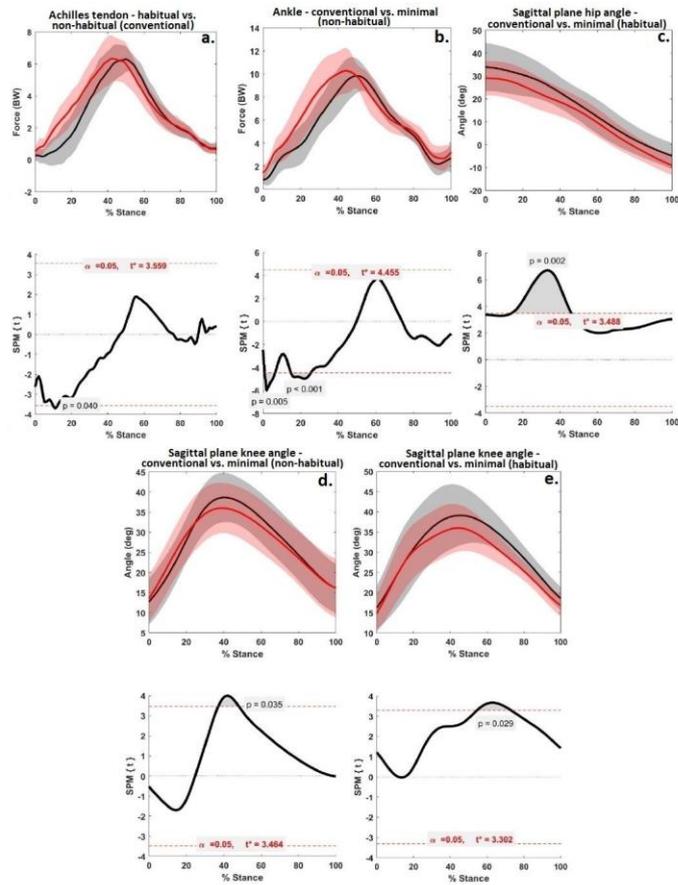
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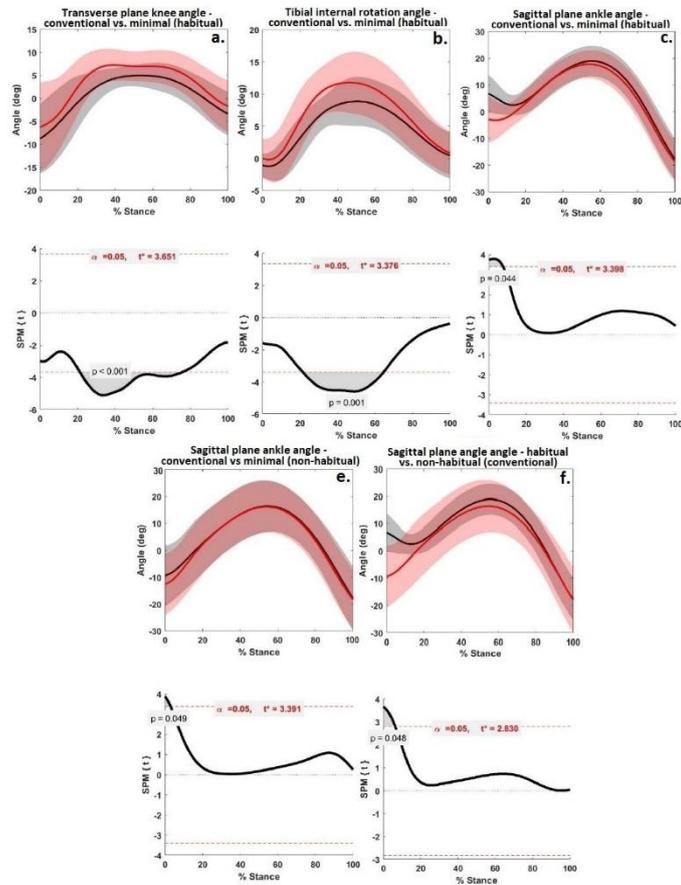
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670 **Figure 1:** Experimental footwear (A = conventional and B = minimal).



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672 Figure 2: Statistical parametric mapping results of Achilles tendon and ankle forces in addition
 673 to hip and knee kinematics (FOOTWEAR: black = conventional/ red = minimal & GROUP:
 674 black = non-habitual/ red = habitual).



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676 Figure 3: Statistical parametric mapping results of tibial internal rotation, knee and ankle
 677 kinematics (FOOTWEAR: black = conventional/ red = minimal & GROUP: black = non-
 678 habitual/ red = habitual).