

Central Lancashire Online Knowledge (CLoK)

Title	On-site hygiene and biosecurity assessment: A new tool to assess live bird
	stalls in wet markets
Туре	Article
URL	https://clok.uclan.ac.uk/id/eprint/37312/
DOI	https://doi.org/10.1016/j.foodcont.2021.108108
Date	2021
Citation	Soon, Jan Mei and Wahab, Ikarastika Rahayu Abdul (2021) On-site hygiene
	and biosecurity assessment: A new tool to assess live bird stalls in wet
	markets. Food Control. ISSN 0956-7135
Creators	Soon, Jan Mei and Wahab, Ikarastika Rahayu Abdul

It is advisable to refer to the publisher's version if you intend to cite from the work. https://doi.org/10.1016/j.foodcont.2021.108108

For information about Research at UCLan please go to http://www.uclan.ac.uk/research/

All outputs in CLoK are protected by Intellectual Property Rights law, including Copyright law. Copyright, IPR and Moral Rights for the works on this site are retained by the individual authors and/or other copyright owners. Terms and conditions for use of this material are defined in the <u>http://clok.uclan.ac.uk/policies/</u>

1	On site hygione and hissocurity accomments A new tool to accore live hird stalls in wet
T	On-site hygiene and biosecurity assessment: A new tool to assess live bird stalls in wet
2	markets
3	
4 5	Jan Mei Soon ¹ , Ikarastika Rahayu Abdul Wahab ²
6	¹ Faculty of Health and Wellbeing, University of Central Lancashire, Preston, PR1 2HE UK
7	² Faculty of Agro-Based Industry, Universiti Malaysia Kelantan, 17600 Jeli, Kelantan, Malaysia
8	
9	Abstract
10	Wet markets play an important role in food security and consumers often view the produce as fresher
11	and cheaper. It is highly prevalent in Asia and a source of livelihood for many small and medium
12	businesses. Studies have revealed that highly unsanitary markets, especially those with live bird stalls
13	operating within the wet market could pose a threat to consumer food safety and public health. This
14	study proposed a new, rapid assessment tool for monitoring hygiene and biosecurity measures of live
15	bird stalls. The design of Hygiene and Biosecurity Assessment Tool (HBAT) was supported by the
16	identification of critical hygiene and biosecurity practices based on empirical evidence that suggests
17	such control measures can prevent or reduce the cross contamination or transmission of zoonoses.
18	An observational, cross sectional study of wet markets selling live birds and/or slaughtered birds was
19	conducted to test the tool. Most wet market stalls slaughter and/or sell chicken, followed by quail,
20	duck and amphibians. 50% of the wet market stalls were rated as moderate, 43.2% as poor and
21	require major improvement, 2.3% as good and 4.5% as excellent. Stalls are in general kept in clean
22	condition and no mixing of species or presence of pests or strays were observed. The cleaning and
23	disinfection practices of slaughter area (after each slaughter) and tools require urgent improvement
24	as majority of stalls cleaned the surfaces with water only. Customers have direct access to live bird
25	stalls and should be reminded (with visible signs) to wash their hands before entering other zones.
26	Toilet and handwashing facilities are highly inadequate and improved physical infrastructure and the
27	provision of sufficient hygiene and handwashing facilities are required to facilitate hand hygiene. This

29 role to the local communities. The tool could be used to aid policymakers design evidence-based

study is highly relevant to countries where wet markets and live bird stalls play a crucial food security

¹ Corresponding author: <u>jmsoon@uclan.ac.uk</u>

30	assessments to monitor on-site hygiene and biosecurity measures of live bird / animal stalls in wet		
31	markets. To our knowledge, this is the first empirical study to propose an on-site hygiene and		
32	biosecurity assessment tool to monitor live bird stalls in wet market.		
33			
34	Keywords: biosecurity; cross contamination; handwashing; hygiene; wet markets; zoning		
35			
36	Highlights		
37	• Procedure for assessing on-site hygiene and biosecurity measu	ures of wet market stalls is	
38	proposed;		
39	• Cleaning and disinfection practices of slaughter area and tools	require urgent improvement to	
40	prevent cross contamination;		
41	• Visible notices to prompt workers and public about importance	e of hand hygiene and procedures	
42	for handwashing is required;		
43	Adequate hygiene and handwashing facilities should be provid	ed to workers and consumers.	
44			
45	Introduction		
46	As of 26 December 2020, a total of 78,383,527 confirmed cases of	COVID-19 have been reported	
47	globally including 1,740,390 deaths (WHO, 2020). Although the source of 2019-nCoV is yet to be		
48	confirmed, early findings suggest a high possibility of a bat origin (Lu et al., 2020; Zhou et al., 2020)		

bygione and biococurity measures of live bird / animal stalls in wat

49 and possibly also involving an intermediary animal species (Junejo et al., 2020; Lam et al. 2020).

50 Cohen (2020) and Li et al. (2020) suggested that marketplace played an early role in the spread of

COVID-19. Mizumoto et al. (2020) estimated the reproduction number (R) for market-to-human 51

52 transmission was 0.24 and 2.37 for human-to-human transmission. Moreover, the reporting rate for

53 market-to-human transmission was estimated to be 2 to 34-fold higher than for cases stemming from

54 human-to-human transmission. This strongly suggests that contact history with wet market played a

55 crucial role in identifying COVID-19 cases. Following the spike case of COVID-19 cases, the Huanan

56 Seafood Wholesale Market in Wuhan, China, for example, created a wild animal section where the

animals were slaughtered on-site prior to sale (Maron 2020). 57

59 Wet market is defined as a place that sell fresh produce, including meat, seafood, fruits and 60 vegetables and sometimes live animals that are slaughtered and sold in open-air environments 61 (Nadimpalli & Pickering, 2020). Domestic and wild animal species including poultry, mammalian, 62 reptiles, amphibians and fish are held in cages / tanks, are stressed and located in close proximity to 63 each other, and that makes for ideal conditions for diseases to multiply. Animals are often 64 slaughtered on-site and hung or placed in the open air without ice or refrigeration (Poland, 2020). In 65 Asia, wet markets are prevalent because consumers view the produce from such environments as 66 fresher, cheaper and highly accessible to low-income communities. It is also an important source of 67 livelihood for many small and medium businesses (Petrikova et al., 2020; Zhong et al., 2020).

68

69 Even though viruses causing human foodborne illness cannot grow in foods as reported by Caldwell 70 (2020), various studies have reported high prevalence of foodborne pathogens and viruses found in 71 animal-based and seafood products sold in wet markets. This could be as a result of faecal 72 contamination or handling by infected persons (Seymour & Appleton 2001). Escherichia coli and 73 Salmonella enterica were isolated from wooden cutting boards used to process raw meat in Hong 74 Kong (Sekoai et al., 2020), avian influenza A virus were found in environmental and animal samples 75 from live poultry markets in China (Yuan et al., 2014), multidrug-resistant Salmonella and Listeria 76 monocytogenes were isolated from chicken, pork and shrimp sold in Thailand (Minami et al., 2010), 77 influenza virus (H5N1) were detected in live bird markets and food markets in Thailand (Amonsin et 78 al., 2008) and Indonesia (Henning et al., 2019) and Salmonella were identified in meat from 79 Philippines (Santos et al., 2020). In Malaysia, multidrug-resistant Salmonella were isolated from 80 poultry and processing environments (Chuah et al., 2018; Nidaullah et al., 2017), Listeria monocytogenes were detected in chicken offal sold in wet markets (Kuan et al., 2013) and Vibrio 81 82 parahaemolyticus were found in seafood samples in wet markets (Tan et al., 2020). Abatcha et al. 83 (2018) found up to 48% (n=35) of chicken carcasses and 41% (n=202) of environmental samples 84 from wet markers were positive for Salmonella spp. Salmonella is carried asymptomatically in the 85 gastrointestinal tracts of live birds and contamination can occur whilst slaughtering and handling in 86 the wet markets (Abatcha et al., 2018; Trongjit et al., 2017). A higher prevalence of Salmonella spp., including S. Enteritidis and S. Typhimurium were also reported in wet markets compared to 87

supermarkets (Thung et al., 2016). It is likely that the higher prevalence of foodborne pathogens in wet markets were due to lack of personal hygiene and sanitary conditions in wet markets and high humidity and higher storage temperature in wet markets (Oscar, 2004; Thung et al., 2016). There is an inherent risk of transmitting zoonotic pathogens from live animals to humans and as reported by Poland (2020), it acts as the perfect 'petri dish' environment for a variety of zoonoses to incubate and emerge.

94

95 There was a ban on slaughtering of live birds in wet markets by the National Council of Malaysian 96 government to prevent the spread of infectious diseases (The Star, 2013) but slaughtering of live birds in such markets are still prevalent today (Chuah et al., 2018). However, one of the local 97 98 authorities in Malaysia i.e. the Penang Island City Council had issued a notice to ban the slaughtering 99 and processing of live birds in all stalls (including wet markets) from October 2021 to prevent and 100 control the spread of infectious diseases from slaughtering live birds, prevent cross contamination of 101 water sources as a result of waste from live bird stalls and to control the spread of pests and wastes 102 (Pers. Communication, Penang Island City Council, 2020). A blanket ban on wet markets selling live 103 animals could potentially drive traders to underground markets where monitoring would be impossible 104 (Lynteris & Fearnley, 2020). Instead of banning wet markets, it would be more effective to improve market biosecurity and hygiene of wet markets and use regulated wet markets to enforce the ban of 105 106 sale of illegal wildlife (Aguirre et al., 2020; Daszak et al., 2020; Petrikova et al., 2020). There exists a 107 number of tools to measure biosecurity measures at farm level. For example, Biocheck.UGent 108 (https://biocheck.ugent.be/en) had been developed to measure biosecurity at broiler farms (Gelaude 109 et al., 2014; Van Limbergen et al., 2018) while Lewerin et al. (2015) developed a risk assessment tool 110 to assess biosecurity measures in cattle and pig farms. BioAsseT (Biosecurity Assessment Tool) was used to measure external, internal biosecurity and diagnostic monitoring of pig farms (Sasaki et al., 111 2020). To our knowledge, there is a lack of hygiene and biosecurity assessment of live birds delivered 112 113 post-farm gate including the sale of live birds in wet markets or live poultry markets. This study aims 114 to develop an assessment tool to investigate on-site hygiene and biosecurity measures of live bird 115 stalls in wet markets.

117 Methodology

118 Design principles of Hygiene and Biosecurity Assessment Tool (HBAT)

119 The design of Hygiene and Biosecurity Assessment Tool (HBAT) was guided by previous diagnostic 120 tools utilised in processing environment, slaughterhouses and open-air food markets (Gelaude et al., 121 2020; Ledo et al., 2016). HBAT was developed in English and supported by the identification of 122 critical hygiene and biosecurity practices based on empirical evidence that suggests such control 123 measures can prevent or reduce the cross contamination or transmission of zoonoses i.e. adequate 124 wet market infrastructure (Chowdhury et al., 2020; Nadimpalli & Pickering, 2020), cleaning and 125 disinfection (Chowdhury et al., 2020; Samaan et al., 2011; Webster, 2004; Yuan et al., 2014; Yuan et 126 al., 2015), zoning (Chowdhury et al., 2020; Indriani et al., 2010; Samaan et al., 2011), waste removal 127 (Indriani et al., 2010), availability of toilets and handwashing facilities (Nadimpalli & Pickering, 2020) 128 and personal hygiene (Ledo et al., 2020). Both authors who are experts in food hygiene, safety and food security reviewed the tool to ensure the content measures the on-site hygiene and biosecurity 129 130 parameters. The tool is divided into five main sections: Part I: Premises; Part II: Preparation and 131 slaughter area; Part III: Zoning and cross contamination; Part IV: Cleaning and disinfection; Part V: 132 Personal hygiene (Table 1). On-site hygiene and biosecurity scores were quantified by converting the 133 answers in Table 1 into scores where correct application of certain measures = 1 point or 2 points or zero for no application. Food hygiene and biosecurity items that were deemed more likely to result in 134 135 cross contamination of food and increased public health risks were awarded 2 points. The maximum 136 score of "75" equals full application of hygiene and biosecurity measures on site while "0" represents 137 total absence of any hygienic or biosecurity measures in the live bird / slaughtered bird stall.

138

139 Insert Table 1

140

A score of 2, 1 or 0.5 was applied in certain measures where multiple possible scenarios exist. The points were 'graded' to distinguish different level of good hygiene and biosecurity practices. For example, under Handwashing facilities (in Premises Section of Table 1), if handwashing facilities such as handwashing basin with soap and running water was observed, the stall was awarded 2 points. If only a handwashing basin with running water (but no soap or handwashing liquid) was provided, the

stall was awarded 1 point. If only buckets of stagnant water were available, the stall was awarded 0.5 point and if no handwashing facilities were available at all – no marks were awarded. Table 1 also shows that certain criteria were awarded 2 points in Yes, No or Not Applicable sections. A score of 2 is given when the stall demonstrated examples of good hygiene and biosecurity measures. This may include practices where stalls should not be placing animals in overcrowded cages and not slaughtering animals directly near to other live animals.

152

153 **Design of pilot study**

154 Items in HBAT (Table 1) were adapted into an electronic checklist tool using Online Survey so 155 observation could be carried out using a smartphone (Figure 1). HBAT was pilot tested at two wet 156 markets selling live poultry. Three graduate research assistants well versed in participatory and non-157 participatory observation skills, food safety and hygiene control measures were trained virtually to use 158 HBAT. Before conducting the actual assessment, all users participated in the observation of wet 159 market stalls selling live or slaughtered animals in the pilot study. Results were reviewed and 160 discordant notifications were discussed.

161 Insert Figure 1 here

162 **On-site observation**

An observational, cross sectional study of hygienic and biosecurity operations at wet markets selling 163 164 live birds and/or slaughtered birds was conducted. Wet markets were selected using a convenience 165 sampling approach in cities and sub-urban areas of both East and West Coast of Peninsular Malaysia 166 including one town in East Coast of Malaysia. The selection of wet markets was limited by voluntary 167 participation from the stall owners, markets that remained open for business and national restrictions on inter-state travel during the pandemic. The wet markets in the following states were observed: 168 169 Selangor (n=10), Perak (n=18), Sarawak (n=2) and Kelantan (n=14). Prior to on-site observation, 170 verbal consents were sought from the owners or sellers at the live birds or slaughtered-birds' stalls 171 for the observers to conduct non-participant observation. The study received institutional ethical approval and abide by the Global Code of Conduct for research in low resource settings requirements 172 173 (TRUST, 2018). The on-site observation was conducted during the period of June – November 2020. This coincides with the Recovery Movement Control Order (RMCO) period where inter-states travel 174

175 was allowed. The type of market, animals sold, and number of employees per stall were also

176 observed. A total of 2,822 minutes of observations were carried out at 44 live birds and/or

177 slaughtered birds stalls, with each stall averaging 64 minutes of surveillance.

178

179 Compliance with Hygiene and Biosecurity Requirements

Hygiene and biosecurity compliance among wet market stall owners selling live and/or slaughtered
animals were calculated using the modified formula (Santana et al., 2009; Soon, 2019) below.

183
$$P = \left(\frac{TS}{\sum 1 - \sum 2}\right) \times K$$

184

P = Part I to Part V (Part I: Premise; Part II: Preparation and slaughter area; Part III: Zoning and
 cross contamination; Part IV: Cleaning and disinfection; Part V: Personal hygiene);

187 TS = Total score;

188 $\Sigma 1 =$ Total possible points;

189 $\sum 2 =$ Total non-applicable points;

190 *K* = constant value (K values for Part I=16; Part II=24; Part III=14.66; Part IV=16; Part V=29.33)

191

192 The total score represents the points achieved by a specific section e.g. Premises. $\sum 1$ represents the 193 total possible points that could be achieved. In this case, the total possible points for Premises = 12; 194 Preparation and slaughter area = 18; Zoning and cross contamination = 11; Cleaning and disinfection 195 = 12; and Personal hygiene = 22. Total possible points for all parts = 75. The non-applicable points 196 $(\Sigma 2)$ are points that should be deducted from the equation if the requirement is irrelevant to the wet 197 market stall. This is to avoid potentially distorting the final hygiene and biosecurity score. For 198 instance, if animals are not slaughtered at the stall, then the Preparation and Slaughter section will be 199 noted as non-applicable. The average score for all sections (Part I - V) was calculated as: 200

$$201 \qquad \left(\frac{\mathrm{PI} + \mathrm{PII} + \mathrm{PIII} + \mathrm{PIV} + \mathrm{PV}}{10}\right)$$

- 203 The hygiene and biosecurity scores are classified according to the following scale:
- 204
- $205 \quad 0 1.9 =$ very poor and urgent improvement necessary
- $206 \quad 2.0 4.9 = \text{poor and major improvement necessary}$
- 5.0 6.9 = moderate and some improvement required
- $208 \quad 7.0 9.0 = \text{Good}$
- 209 9.0 10.0 = Excellent
- 210

211 Statistical analysis

Intraclass coefficient correlation (ICC) was calculated to determine inter-rater reliability using SPSS
version 27.0. Values scoring < 0.5 = poor reliability, 0.5-0.75 = moderate reliability, 0.75 - 0.90 =
good reliability and > 0.90 = excellent reliability (Koo & Li, 2016). Exploratory factor analysis was
conducted to determine construct validity. Principal component analysis (PCA) was performed using
varimax rotation.

217

218 **Results and Discussion**

219 More than half of the wet market stalls were situated indoors, and up to 13.6% located partially indoor. However, only 18.2% of the available ventilations systems were working. A number of the 220 221 wet market stalls were located indoors or partially indoors with limited working ventilation systems in 222 place. All the wet markets were not housed in air-conditioned buildings unlike supermarkets. As the 223 name 'wet market' suggests, floors are continually washed down, certain fresh produce are kept 224 moist to ensure freshness and to keep animals alive, hence wet markets posed an extremely humid environment (Ho, 2014). Wet market stalls located indoors require high ventilation rate to remove 225 226 moisture, heat and contaminant (Lee & Lee, 2013). Failure to ventilate the damp and warm 227 environment pose a risk for foodborne pathogens and zoonoses to emerge and thrive (Chuah et al., 228 2018; Rahman et al., 2018). Moreover, high ambient temperatures could lead to heat stress among 229 broiler chickens. Heat stress were found to affect chicken immune functions, increasing the risk of infectious disease outbreaks (Hirakawa et al., 2020). Stalls were mostly operated by one or two staff 230

- 231 (including the owner). Majority of the wet market stalls slaughter and/or sell chicken (88.6%)
- followed by quail, duck and other category (i.e. frogs and toads) (Table 2).

233

234 Insert Table 2

235

236 On-site Hygiene and Biosecurity Assessment Scores

237 The Intra-Class Correlation Coefficient (ICC) between the users in the pilot tests measured 0.84, F (1, 238 3) = 110.08, p < 0.05) indicating high inter-rater reliability. The Kaiser-Meyer-Olkin (KMO) measures 239 of sampling value was 0.75. According to Hair, Black, Babin, Anderson and Tatham (2010), the 240 criterion of validity should be > 0.60 and the KMO fulfils the requirement. All factor loadings were >241 0.40 and explained 79.22% of the variance. Based on the observation, market stalls selling live birds and or slaughtered birds were scored using Table 1 and Formulas 1 and 2. 50% of the wet market 242 stalls were rated as moderate, 43.2% as poor and require major improvement, 2.3% as good and 243 244 4.5% as excellent (Figure 2).

245

246 Insert Figure 2

247

Twenty-one stalls were found to place live birds in overcrowded cages although none of the birds 248 249 were mixed with other poultry. Of these 21 stalls with overcrowded cages, 8 stalls were not 250 maintained in a sanitary condition. Overcrowding of animals lead to highly stressed animals and 251 coupled with highly unsanitary conditions, this would serve as breeding grounds for zoonoses 252 (Wiebers & Feigin, 2020). Most stalls were maintained in clean condition (70.5%), cages were kept clean (43.2%) and the stall area free from pests or strays (e.g. rodents, stray cats or dogs and wild 253 254 birds) (59.1%). One good practice observed amongst all stalls was the absence of mixing different 255 bird species in the same cage. As reported by Chan et al., (2013) other bird species e.g. ducks, geese 256 and quails were segregated from chickens to prevent the spread of avian influenza viruses from 257 asymptomatic birds to chickens. Majority of stalls had some form of handwashing facilities available. 258 However, there is limited number of public handwashing facilities for customers, especially when 259 crossing into zones selling ready-to-eat food. Although 84.1% of the wet market stalls were in a

260 different zone, up to 30% of the live bird stalls were situated less than 3m away from other food 261 stalls (Table 3). In most wet markets, customers were observed to have direct access to the live bird 262 stalls (90.9%). This is a common practice as customers prefer to select their own bird of choice, other 263 than want to see themselves on how the farms/markets handle the birds. However, this increases the 264 opportunity for human transfer of pathogens and zoonoses (Cui et al., 2019) as evidenced by the 265 spill-over of avian influenza virus from infected poultry to humans (Wang et al., 2017; Wang et al., 266 2020). As the case of avian influenza H5N1 outbreak occurring in 2003 to 2006, it has been reported 267 that most patients who have been infected had recent direct contacts with poultry (Woo et al., 2006). 268 Although customers could access the handwashing facilities at 43.2% of the stalls, this study did not 269 carry out any observation of the customers (i.e. whether they washed their hands after selecting the 270 birds / touching the surfaces at the live bird stall).

271

272 Insert Table 3

273

274 There is also a lack of public handwashing facilities for customers when entering different zones (e.g. 275 ready-to-eat food stalls). Only nine wet markets provided public handwashing facilities for consumers 276 and staff before entering zones selling ready-to-eat foods. Fourteen wet markets placed visible signs 277 and notices to remind customers and staff to wash their hands. This is concerning given that the 278 observations were carried out during the COVID-19 pandemic. Notices to remind or prompt workers 279 and public about importance of hand hygiene and procedures for handwashing is one of the key 280 strategies proposed by the WHO multimodal hand hygiene improvement strategy (WHO, 2009). The live bird stalls were located in a live animal zone and although most were segregated, up to 30% 281 282 were within 3 meters of other food stalls. Previous studies have shown that aerosol transmission is an 283 important mode of viral transmission in wet market environment (Wei et al., 2018). In closed 284 environments with minimal ventilation, virus in aerosols may persist in air for longer and at higher 285 concentrations, thus increasing rate of transmission (Wu et al., 2020). Formation of aerosols are further aided with the use of hosepipes (81.8%) and brooms (68.2%) for cleaning which is prevalent 286 287 in this study. Furthermore, the washing down of stalls resulted in waste run-offs contaminating other 288 non-live bird areas and food stalls.

289

290 Table 4 shows the observation of hygiene practices of wet market stalls selling live birds and/or 291 slaughtered birds. Most stalls that sell live birds also slaughtered the birds on-site. Six stalls were 292 observed to slaughter birds on the ground although most staff carried out the process on bench tops 293 that are easy to clean and smooth (e.g. stainless steel, ceramic stone, worktable with aluminium top). 294 Slaughtered birds were often cleaned to remove visible dirt, soil and blood stains before selling them 295 to customers. Only 38.6% of the stalls cleaned the work surface after each slaughter and most stalls 296 only used water to clean the surface area. Similarly, knives and tools were mostly washed with water 297 only. Wastes were collected into dedicated bins with lids (18.2%), without lids (36.4%) but some 298 wastes were also washed into nearby drains (29.5%). Although most stalls used easy-to-clean and 299 smooth surfaces as their work tops (e.g. stainless steel, ceramic stone, worktable with aluminium 300 top). cleaning of work surfaces was not often carried out after each slaughter. Slaughter area and tools were cleaned with water only (> 70%). This posed a risk of transmission of foodborn pathogens 301 302 and zoonoses if surfaces were not cleaned adequately. Escherichia coli and Salmonella (Sekoai et al., 303 2020), Kelbsiella pneumoniae (Lo et al., 2020) and H7N9 virus (Wang et al., 2015) were detected in 304 samples collected from surfaces of chopping boards from wet markets. Traditional cleaning method of 305 wooden cutting boards often used by Asian vendors include scraping the surface of the wooden 306 cutting board with a chopping knife until a white layered film has been removed, followed by rinsing 307 with hot water (Lo et al., 2020). In terms of cleaning practices, most stalls used hosepipes and 308 brooms to clean the stall area. It is concerning to note that liquid wastes such as blood were washed 309 into other nearby areas including food stalls (18.2%).

310

Workers mostly wear apron and boots, but only slightly more than half of the staff wore masks or gloves. In certain wet markets, the use of masks could be due to the enforcement during the Movement Control Order (MCO). A third of the workers were observed to touch their mouth, nose or eyes whilst handling or after handling live animals. A number of staff also tend to use their mobile devices during and after handling live birds (15.9%). Contamination with faecal residues upon handling live birds can occur on such mobile devices. It has been reported in Olsen et al. (2020) that mobile communication devices can serve as possible breeding grounds for microbial organisms.

318 Handwashing with soap were only observed in small number of stalls (20.5%) in this study. More 319 than half of the staff (56.8%) would wash hands with water only after handling live animals. This 320 finding reflects the study by Alam et al. (2019) where market sellers were found to rarely wash their 321 hands with soap but tend to wipe their hands with a cloth. Although majority wore aprons and boots, 322 slightly less than half of the workers wore face masks and most do not use gloves when handling live 323 animals. One of the main reasons documented by Alam et al. (2019) was that the high temperature 324 and humidity in wet markets discourage workers from wearing protective equipment. Toilet facilities 325 were lacking in half of the wet market stalls and the remaining stalls with access to such facilities 326 were found to be inadequate (dirty, no running water, no soap or hand drying facilities). Although 327 half of the wet market stalls have access to toilet facilities, the provision of clean and adequate 328 potable water supply is seriously lacking and is important to facilitate handwashing practices. 329 Insert Table 4 330 331 332 333 334 335 336 337 338 The above findings reflect the hygiene and biosecurity measures rating for most stalls. Majority were rated as moderate with some improvements required or poor with major improvements necessary. 339 This study reiterates the recommendations of Nadimpalli and Pickering (2020) and WHO (2006) that 340 341 called for standardised global monitoring of water, sanitation and hygiene (WASH) and to improve the 342 physical infrastructure of wet markets including the provision of sufficient toilets, handwashing 343 facilities, potable water supply and proper drainage. In April 2020, WHO called for stricter food safety 344 and hygiene standards for wet markets and is developing guidance for the safe operation of wet 345 markets (Briggs, 2020). Our findings can aid the design of evidence-based assessments to monitor on-site safety, hygiene and biosecurity measures of live bird stalls in wet markets. Shi et al. (2020) 346

347 conducted a meta-analysis of 19 studies and found that implementation of interventions in live bird 348 market environment significantly reduce zoonotic infections. This is also the first assessment tool to 349 assess level of on-site hygiene and biosecurity measures of live bird stalls in wet markets, providing a 350 rapid indication of the hygiene and biosecurity scores of live bird stalls.

351

352 Limitations, practical implications and recommendation for future studies

353 There are several limitations associated with the study. First, the study was conducted during the 354 Recovery Movement Control Order and Conditional Movement Control Order (stricter measures with 355 no inter-states travel in November 2020). The data collection was restricted to several states, hence 356 limiting the number of sites. The data collected during the on-site observation only provided a 357 snapshot of current hygiene and biosecurity measures. The presence of observers may have 358 introduced the Hawthorne effect among the participants and potentially increases handwashing behaviours. The researchers did not observe other biosecurity measures such as weekly rest days, 359 360 weekly and monthly disinfection practices, transportation and receipt of live birds at wet markets, 361 whether poultry were kept overnight / days and treatment and transportation of collected wastes. 362 The tool could be easily adapted to suit the local food and requirements of wet markets in different 363 regions. For example, instead of live bird stalls in Malaysia, the tool could potentially be modified and 364 applied to other stalls selling live and/or slaughtered meat and seafood products. If future 365 researchers were to modify the tool, the content and construct validity and inter-reliability must be 366 tested to ensure multiple users could assess the same hygiene and biosecurity measures with no 367 significant differences. One of the key strengths of HBAT is the convenience, ease of use and enables rapid assessments of on-site hygiene and biosecurity measures. Since it could be used in 368 smartphones, it offers covert observation of stalls by veterinary, public health and food safety 369 370 inspectors. It would be highly valuable to conduct a live poultry supply chain study to assess the 371 hygiene and biosecurity measures from farm to market. The on-site Hygiene and Biosecurity 372 Assessment tool could be further adapted and/or modified to suit other countries and local wet market practices selling live birds. 373

374

375 Conclusion

376 Wet markets play a crucial food security role for local communities and is a source of livelihood for many small-scale food businesses as well as provide essential social interaction for elderly residents in 377 378 the area. However, highly unsanitary wet markets with minimal or no biosecurity measures is the 379 perfect 'petri dish' environment for a variety of zoonoses to thrive. Instead of banning or trying to 380 outlaw wet markets with live animals, it would be more effective to ensure that live animal stalls in 381 wet markets are practising good hygiene and biosecurity measures. Physical infrastructures including 382 designated or segregated area for live bird stalls, provision of toilet and adequate handwashing 383 facilities for workers and staff and monitoring of wet markets to ensure hygiene and biosecurity 384 measures are met are crucial interventions needed to ensure the safety and welfare of animals and 385 that public health are not at risk. By practising this, the transmission of the viruses to humans can 386 be controlled and reduced. To our knowledge, this is the first study to propose an on-site hygiene 387 and biosecurity assessment tool to monitor live bird stalls in wet market. The study could be used to 388 aid policymakers in developing guidance and training of staff operating live bird stalls and to design evidence-based assessments to monitor hygiene and biosecurity measures. 389 390

391 Acknowledgement

- 392 The researchers are grateful to all participating wet market stalls and research assistance conducted
- 393 during the COVID-19 pandemic.
- 394

395 References

Abatcha, M. G., Effarizah, M. E., & Rusul, G. (2018). Prevalence, antimicrobial resistance, resistance
 genes and class 1 integrons of Salmonella serovars in leafy vegetables, chicken carcasses and related
 processing environments in Malaysian fresh food markets. Food Control, 91, 170-180.

Aguirre, A. A., Catherina, R., Frye, H., & Shelley, L. (2020). Illicit wildlife trade, wet markets, and
COVID-19: Preventing future pandemics. World Medicine & Health Policy, 12(3), 256-265.

- Alam, M.-U., Rahman, M., Al-Masud, A., Islam, M. A., Asaduzzaman, M., Sarker, S. et al. (2019).
 Human exposure to antimicrobial resistance from poultry production: Assessing hygiene and wastedisposal practices in Bangladesh. International Journal of Hygiene and Environmental Health, 222,
 1068-1076.
- 407

Amonsin, A., Choatrakol, C., Lapkuntod, J., Tantilertcharoen, R., Thanawongnuwech, R., Suradhat, S.
et al. (2008). Influenza virus (H5N1) in live bird markets and food markets, Thailand. Emerging
Infectious Diseases, 14(11), 1739-1742.

412 Briggs, H. (2020). Coronavirus: WHO developing guidance on wet markets. BBC, 21 April. Available 413 at: https://www.bbc.co.uk/news/science-environment-52369878

Caldwell, J. M. (2020). COVID-19, Wet Markets, and Food Safety. Food Technology Magazine, 74(7). 415 416 417 Cohen, J. (2020). Mining coronavirus genomes for clues to the outbreak's origins. Science. Available 418 at: https://www.sciencemag.org/news/2020/01/mining-coronavirus-genomes-clues-outbreak-s-origins 419 Chan, J. F.-W., To, K. K.-W., Tse, H., Yin, D.-Y., & Yuen, K.-Y. (2013). Interspecies transmission and 420 421 emergence of novel viruses: lessons from bats and birds. Trends in Microbiology, 21(10), 544-555. 422 423 Chowdhury, S., Azziz-Baumgartner, E., Kile, J. C., Hogue, M. A., Rahman, R. Z., Hossain, M. E. et al. 424 (2020). Association of biosecurity and hygiene practices with environmental contamination with 425 influenza A viruses in live bird markets, Bangladesh. Emerging Infectious Diseases, 26(9), 2087-2096. 426 427 Chuah, L. O., Syuhada, A.K.S., Suhaimi, I. M., Hanim, T. F., & Rusul, G. (2018). Genetic relatedness, 428 antimicrobial resistance and biofilm formation of Salmonella isolated from naturally contaminated poultry and their processing environment in northern Malaysia. Food Research International, 105, 429 430 743-751. 431 432 Cui, J., Li, F., Shi, Z.-L. (2019). Origin and evolution of pathogenic coronaviruses. Nature Review 433 Microbiology, 17(3), 181-192. 434 Daszak, P., Olival, K. J., & Li, H. (2020). A strategy to prevent future epidemics similar to the 2019-435 436 nCoV outbreak. Biosafety and Health, 2(1), 6-8. 437 438 Gelaude, P., Schlepers, M., Verlinden, M., Laanen, M., Dewulf, J. (2014). Biocheck.UGent: A 439 quantitative tool to measure biosecurity at broiler farms and the relationship with technical performances and antimicrobial use. Poultry Science, 93(11), 2740-2751. 440 441 442 Hair, J. F., Black, B., Babin, B., Anderson, R. E., & Tatham, R. I. (2010). Multivariate analysis: A

- Hair, J. F., Black, B., Babin, B., Anderson, R. E., & Tatham, R. I. (2010). *Multivariate analysis: A global perspective.* New Jersey: Person Education Inc, Upper Saddle River.
- Henning, J., Hesterberg, U. W., Zenal, F., Schoonman, L., Brum, E., & McGrane, J. (2019). Risk
 factors for H5 avian influenza virus prevalence on urban live bird markets in Jakarta, Indonesia –
 Evaluation of long-term environmental surveillance data. PLoS ONE, 14(5), e0216984.
- Hirakawa, R., Nurjanah, S., Furukawa, K., Murai, A., Kikusato, M., Nochi, Y. et al. (2020). Heat stress
 causes immune abnormalities via massive damage to effect proliferation and differentiation in broiler
 chickens. Frontiers in Veterinary Science, 7, 46.
- 452
 453 Ho, H. F. (2014). Meat marketing wet markets. M. Dikeman and C. Devine (Eds.). In, Encyclopaedia
 454 of Meat Sciences. Academic Press, pp. 244-247.
 455
- Indriani, R. Samaan, G., Gultom, A., Loth, L., Indryani, S., Adjid, R. et al. (2010). Environmental
 sampling for avian influenza virus A (H5N1) in live-bird markets, Indonesia. Emerging Infectious
 Diseases, 16(12), 1889-1895.
- Junejo, Y., Ozaslan, M., Safdar, M., Khailany, R. A., Rehman, A., Yousaf, W. et al. (2020). Novel
 SARS-CoV-2/COVID-19: Origin, pathogenesis, genes and genetic variations, immune responses and
 phylogenetic analysis. Gene Reports, 20, 100752.
- Koo, T. K., Li, M. Y. (2016). A guideline of selecting and reporting intraclass correlation coefficients
 for reliability research. Journal of Chiropractic Medicine, 15, 155-163.
- 466 467 Kuan, C. H., Goh, S. G., Loo, Y. Y., Chang, W. S., Lye, Y. L., Puspanadan, S., Tang, J. Y. H.,
- Nakaguchi, Y., Nishibuchi, M., Mahyudin, N. A., & Radu, S. (2013). Prevalence and quantification of
 Listeria monocytogenes in chicken offal at the retail level in Malaysia. Poultry Science, 92(6), 16641669.

471 Lam, T. T.-Y., Jia, N., Zhang, Y.-W., Shum, M. H.-H., Jiang, J.-F., Zhu, H.-C. et al. (2020). Identifying 472 SARS-CoV-2-related coronaviruses in Malayan pangolins. Nature, 583, 282-285. 473 474 475 Ledo, J., Hettinga, K. A., & Luning, P. A. (2020). A customized assessment tool to differentiate safety 476 and hygiene control practices in emerging dairy chains. Food Control, 111,107072. 477 478 Lee, S. H., & Lee, W. L. (2013). Site verification and modelling of desiccant-based system as an 479 alternative to conventional air-conditioning systems for wet markers. Energy, 55, 1076-1083. 480 Lewerin, S. S., Osterberg, J., Alenius, S., Elvander, M., Fellstrom, C., Traven, M., Wallgren, P., Waller, 481 K. P., & Jacobson, M. (2015). Risk assessment as a tool for improving external biosecurity at farm 482 483 level. BMC Veterinary Research, 11, 171. 484 485 Li, Q., Guan, X., Wu, P., Wang, X., Zhou, L., Tong, Y. et al. (2020). Early transmission dynamics in Wuhan, China, of novel coronavirus – infected pneumonia. New England Journal of Medicine, 382, 486 487 1199-1207. 488 489 Lo, M. Y., Ngan, W. Y., Tsun, S. M., Hsing, H.-L., Lau, K. T., Hung, H. P. et al. (2020). A field study into Hong Kong's wet markets: raised questions into the hygienic maintenance of meat contact 490 491 surfaces and the dissemination of microorganisms associated with nosocomial infections. Frontiers in 492 Microbiology, 10, 2618. 493 494 Lu, R., Zhao, X., Li, J., Niu, P., Yang, B., Wu, H. (2020). Genomic characterisation and epidemiology 495 of 2019 novel coronavirus: implications for virus origins and receptor binding. Lancet, 395 (10224), 496 565-574. 497 498 Lynteris, C., & Fearnley, L. (2020). Why shutting down Chinese 'wet markets' could be a terrible 499 mistake. The Conversation. Available at: https://theconversation.com/why-shutting-down-chinesewet-markets-could-be-a-terrible-mistake-130625 500 501 502 Maron, D. F. (2020). Wet Markets' Likely Launched the Coronavirus. Here's What you Need to 503 Know. National Geographic, April 15. 504 https://www.nationalgeographic.com/animals/2020/04/coronavirus-linked-to-chinese-wet-markets/ 505 506 Minami A., Chaicumpa, W., Chongsa-Nguan, M., Samosornsuk, S., Monden, S., Takeshi, K. et al. 507 (2010). Prevalence of foodborne pathogens in open markets and supermarkets in Thailand. Food 508 Control, 21(3), 221-226. 509 510 Mizumoto, K., Kagaya, K., & Chowell, G. (2020). Effect of a wet market on coronavirus disease 511 (COVID-19) transmission dynamics in China, 2019-2020. International Journal of Infectious Diseases, 512 97, 96-101. 513 514 Nadimpalli, M. L., & Pickering, A. J. (2020). A call for global monitoring of WASH in wet markets. The Lancet Planetary Health, 4(10), e439-e440. 515 516 517 Nastasijevic, I., Tomasevic, I., Smigic, N., Milicevic, D., Petrovic, Z. & Djekic, I. (2016). Hygiene 518 assessment of Serbian meat establishments using different scoring systems. Food Control, 62, 193-519 200. 520 521 Nidaullah, H., Abirami, N., Shamila-Syuhada, A. K., Chuan, L.O., Nurul, H., Tan, T. P., Zainal Abidin, 522 F. W., & Rusul, G. (2017). Prevalence of Salmonella in poultry processing environments in wet markets in Penang and Perlis, Malaysia. Veterinary World, 10(3). 286-292. 523 524 Olsen, M., Campos, M., Lohning, A., Jones, P., Legget, J., Bannach-Brown, A., McKirdy, S., Alghafri, 525 526 R., & Tajouri, L. (2020). Mobile phones represent a pathway for microbial transmission: A scoping 527 review. Travel Medicine and Infectious Disease, 35, 101704.

- 528
- Oscar, T. P. (2004). A quantitative risk assessment model for Salmonella and whole chickens.
 International Journal of Food Microbiology, 93(2), 231-247.
- 531
- Petrikova, I., Cole, J., & Farlow, A. (2020). COVID-19, wet markets, and planetary health. The Lancet
 Planetary Health, 4(6), e213-e214.
- Poland, G. A. (2020). Another coronavirus, another epidemic, another warning. Vaccine, 38(10), v-vi.
- Rahman, M. H. A., Mohd Hairon, S., Hamat, R. A., Tengku Jamaluddin, T. Z. M., Shafei, M. N., Idris,
 N. et al. (2018). Seroprevalence and distribution of leptospirosis serovars among wet market workers
 in northeastern Malaysia: a cross sectional study. BMC Infectious Diseases, 18, 569.
- Samaan, G., Gultom, A., Indriani, R., Lokuge, K., & Kelly, P. M. (2011). Critical control points for avian
 influenza A H5N1 in live bird markets in low resource settings. Preventive Veterinary Medicine,
 100(1), 71-78.
- Santos, P. D. M., Widmer, K. W., & Rivera, W. L. (2020). PCR-based detection and serovar
 identification of Salmonella in retail meat collected from wet markets in Metro Manila, Philippines.
 PLoS ONE, 15(9), e-239457.
- Sasaki, Y., Furutani, A., Furuichi, T., Hayakawa, Y., Ishizeki, S., Kano, R. et al. (2020). Development
 of a biosecurity assessment tool and the assessment of biosecurity levels by this tool on Japanese
 commercial swine farms. Preventive Veterinary Medicine, 175, 104848.
- Sekoai, P. T., Feng, S., Zhou, W., Ngan, W. Y., Pu, Y., Pan, J., & Habimana, O. (2020). Insights into
 the microbiological safety of wooden cutting boards used for meat processing in Hong Kong's wet
 markets: A focus on food-contact surfaces, cross contamination and the efficacy of traditional hygiene
 practices. Microorganisms, 8(4), 579.
- Seymour, I. F. and H. Appleton. (2001). A review: foodborne viruses and fresh produce. Journal of
 Applied Microbiology, 91, 759–773.
- 561 Shi, N., Huang, J., Zhang, X., Bao, C., Yue, N., Wang, Q. et al. (2020). Interventions in live poultry 562 markets for the control of avian influenza: A systematic review and meta-analysis. Journal of 563 Infectious Diseases, 221(4), 553-560.
- Soon, J. M. (2019). Rapid Food Hygiene Inspection Tool (RFHiT) to assess hygiene conformance
 index (CI) of street food vendors. LWT, 113, 108304.
- 567
 568 Tan, C. W., Rukayadi, Y., Hasan, H., Thung, T. Y., Lee, E., Rollon, W. D. et al. (2020). Prevalence and
 569 antibiotic resistance patterns of Vibrio parahaemolyticus isolated from different types of seafood in
 570 Selangor, Malaysia. Saudi Journal of Biological Sciences, 27(6), 1602-1608.
- 571
 572 The Star (2013). Poultry slaughtering banned in wet markets to curb river pollution, spread of
 573 infectious diseases. 3 September. Available at:
- 574 https://www.thestar.com.my/news/nation/2013/09/03/poultry-slaughter-ban-markets/
- 575
 576 Thung, T. Y., Mahyudin, N. A., Basri. D. F., Wan Mohamed Radzi, C. W. J., Nakaguchi, Y., Nishibuchi,
 577 M., & Radu, S. (2016). Prevalence and antibiotic resistance of Salmonella Enteritidis and Salmonella
 578 Typhimurium in raw chicken meat at retail markets in Malaysia. Poultry Science, 95(8), 1888-1893.
- 579
 580 Trongjit, S., Angkititrakul, S., Tuttle, R. E., Poungseree, J., Padungtod, P., & Chuanchuen, R. (2017).
 581 Prevalence and antimicrobial resistance in Salmonella enterica isolated from broiler chickens, pigs and
 582 meat products in Thailand-Cambodia border provinces. Microbiology and Immunology, 61(1), 23-33.
- 583

Van Limbergen, T., Dewulf, J., Klinkenberg, M., Ducatelle, R., Gelaude, P., Mendez, J., Heinola, K., 587 Papasolomontos, S., Szeleszczuk, P., & Maes, D. (2018). Scoring biosecurity in European conventional 588 589 broiler production. Poultry Science, 97(1), 74-83. 590 Wang, X., Jiang, H., Wu, P., Uyeki, T. M., Feng, L., Lai, S. et al. (2017). Epidemiology of avian 591 592 influenza A H7N9 virus in human beings across five epidemics in mainland China, 2013-2017: an 593 epidemiological study of laboratory-confirmed case series. Lancet Infectious Disease, 17(8), 822-832. 594 Wang, X., Liu, S., Mao, H., Yu, Z., Chen, E., & Chai, C. (2015). Surveillance of avian H7N9 virus in 595 596 various environments of Zhejiang Province, China before and after live poultry markets were closed in 597 2013-2014. PLoS ONE, 10(8), e0135718. 598 Wang, W., Artois, J., Wang, X., Kucharski, A. J., Pei, Y., Tong, X. et al. (2020). Effectiveness of live 599 600 poultry market interventions on human infection with avian influenza A (H7N9) virus, China. Emerging 601 Infectious Diseases, 26(5), 891-901. 602 603 Webster, R. G. (2004). Wet markets – a continuing source of severe acute respiratory syndrome and 604 influenza? Lancet, 363 (9404), 234-236. 605 606 Wei, J., Zhou, J., Cheng, K., Wu, J., Zhong, Z., Song, Y. et al. (2018). Assessing the risk of downwind 607 spread of avian influenza virus via airborne particles from an urban wholesale poultry market. Building 608 and Environment, 127, 120-126. 609 WHO (2006). A guide to health food markets. Available at: 610 611 https://www.who.int/foodsafety/publications/healthy-food-market/en/ 612 WHO (2009). A guide to the implementation of the WHO multimodal hand hygiene improvement 613 614 strategy. WHO/IER/PSP/2009.02. Available at: 615 https://www.who.int/gpsc/5may/Guide_to_Implementation.pdf?ua=1 616 WHO (2020). WHO Coronavirus disease (COVID-19) dashboard. World Health Organization. Available 617 618 at: https://covid19.who.int/ 619 620 Woo, P. C., Lau, S. K., & Yuen, K-Y. (2006). Infectious diseases emerging from Chinese wet-markets: 621 Zoonotic origins of severe respiratory viral infections. Current Opinion in Infectious Diseases 19(5), 622 401-7 Wu, D., Wu, T., Liu, Q., & Yang, Z. (2020). The SARS-CoV-2 outbreak: What we know. International 623 624 Journal of Infectious Diseases, 94, 44-48. 625 626 Yuan, J., Lau, E. H. Y., Li, K., Leung, Y. H. C., Yang, Z., Xie, C. et al. (2015). Effect of live poultry market closure on avian influenza A (H7N9) virus activity in Guangzhou, China, 2014. Emerging 627 628 Infectious Diseases, 21(10), 1784-1793. 629 630 Yuan, J., Tang, X., Yang, Z., Wang, M., & Zheng, B. (2014). Enhanced disinfection and regular 631 closure of wet markets reduced the risk of avian influenza A virus transmission. Clinical Infectious 632 Diseases, 58(7), 1037-1038. 633 634 Zhong, S., Crang, M., & Zeng, G. (2020). Constructing freshness: the vitality of wet markets in China. Agriculture and Human Values, 37, 175-185. 635 636 Zhou, P., Yang, X.-L., Wang, X.-G., Hu, B., Zhang, L., Zhang, W. et al. (2020). A pneumonia outbreak 637 638 associated with a new coronavirus of probable bat origin. Nature, 579, 270-273. 639 640 18

TRUST (2018). Global Code of Conduct for Research in Resource-Poor Settings. Available at:

584

585 586 https://www.globalcodeofconduct.org/