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A comparison of the pharmacodynamic effects of intravenous ketamine and xylazine to alfaxalone in Mute swans (*Cygnus olor*) presenting to a wildlife veterinary hospital

1 Abstract

2 **Objective** To compare the effects of intravenous (IV) alfaxalone, or ketamine-xylazine, on
3 anaesthetic induction, recovery and cardiopulmonary variables in mute swans.

4 **Study design** A randomised, controlled, clinical study.

5 **Animals** A group of 58 mute swans.

6 **Methods** Swans were given either alfaxalone (10 mg kg⁻¹) (Group A) or a combination of
7 ketamine (12.5 mg kg⁻¹) and xylazine (0.28 mg kg⁻¹) IV (Group KX). Heart and respiratory-
8 rate, end-tidal carbon dioxide and peripheral oxygen saturation were recorded at 5-minute
9 intervals during anaesthesia. Time from anaesthetic induction to intubation, from cessation of
10 isoflurane to extubation, to lifting head, sternal recumbency and absence of head/neck ataxia
11 were recorded. Anaesthetic and recovery quality were scored from 1 very poor to 5 excellent.
12 Data are presented as median (interquartile range). Significance was set at $p < 0.05$.

13 **Results** In group A: 44% (12/27) of swans required mechanical ventilation for 2-14 minutes,
14 compared to 3.2% (1/31) of swans in Group KX ($p = 0.0002$). Heart rate was higher in Group
15 A compared to Group KX 146 (127-168) *versus* 65.5 (56-78) beats minute⁻¹ respectively ($p <$
16 0.0001). The isoflurane concentration required to maintain anaesthesia was higher in Group A
17 [2.5% (2.0-3.0%)] than Group KX [1.5% (1.0-2.0%)] ($p = 0.0001$). Time from cessation of
18 isoflurane administration to lifting head was significantly longer in Group A compared to
19 Group KX 12 (9-17) *versus* 6 (4-7.75) minutes respectively, $p < 0.0001$. Anaesthesia quality
20 scores were significantly better in Group KX 4 (4-5), compared to Group A 4 (3-4), $p = 0.0011$,
21 as were recovery scores Group KX 4 [3-5], Group A 2 [2-3], $p = 0.0005$.

22 **Conclusions and clinical relevance** Alfaxalone is a suitable anaesthetic induction agent for
23 use in mute swans. In comparison to ketamine/xylazine there is a greater incidence of
24 postinduction apnoea and a higher incidence of agitation on recovery.

25

26 **Keywords** alfaxalone, anaesthesia, ketamine, quality, swan, xylazine

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30 **Introduction (Word count 3933)**

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32 Mute swans (*Cygnus olor*) are regularly presented for veterinary treatment at private practices
33 and wildlife hospitals in the UK (Routh 2000). Anaesthesia is often required to facilitate
34 diagnostic procedures, gizzard flushing of ingested lead, surgical removal of fishing litter, and
35 treatment of wounds (Routh 2000).

36 Mask induction with a volatile agent is stressful, with an increased risk of injury to both
37 the bird and staff and a danger of introducing unacceptably high levels of a volatile agent into
38 the working environment (Routh 2000). Use of a tight-fitting face mask to reduce anaesthetic
39 gas pollution may trigger a stress response in waterfowl, possibly due to stimulation of
40 trigeminal nerve receptors in the beak and nares. This may lead to periods of apnoea and
41 bradycardia which will slow or prevent anaesthetic induction (Edling 2006; Mulcahy 2007).
42 For these reasons, injectable drugs are preferred for anaesthetic induction.

43 The combination of ketamine and xylazine is widely used to produce short acting
44 surgical anaesthesia in domestic and wild animals, including avian species (Ajadi et al. 2009;
45 Al-Sobayil et al. 2009;) although hypoxaemia, hypoventilation and hypercapnia may occur
46 (Paul-Murphy & Fialkowski 2001). Ketamine and xylazine may be used in combination and
47 administered intravenously (IV) to produce 'balanced anaesthesia' in waterfowl (Taylor 1987).
48 This combination provides more rapid induction and smoother recovery than that observed
49 when ketamine is used alone (Sinn 1999) and the sedative and analgesic effects of xylazine are
50 enhanced (Edling 2006). Routh suggests an anaesthetic protocol for mute swans consisting of
51 ketamine (12.5 mg kg^{-1}) combined with xylazine (0.28 mg kg^{-1}) and administered IV via the
52 medial tarsal vein for induction. Endotracheal intubation then allows maintenance of
53 anaesthesia with isoflurane in oxygen (Routh 2000).

54 The progesterone derivative alfaxalone is a neuroactive steroid anaesthetic. When given
55 at an appropriate dose, administered over 60 seconds, it produces little cardiovascular or
56 ventilatory depression and minimal excitement during induction and recovery in dogs and cats
57 (Muir et al. 2008; Muir et al. 2009). In the UK, veterinarians may use a drug not authorised for
58 use in a particular species, if its use accords with prescribing cascade guidelines (The cascade:
59 prescribing unauthorised medicines, [https://www.gov.uk/guidance/the-cascade-prescribing-](https://www.gov.uk/guidance/the-cascade-prescribing-unauthorised-medicines)
60 [unauthorised-medicines](https://www.gov.uk/guidance/the-cascade-prescribing-unauthorised-medicines)). The use of alfaxalone is reported in a variety of species across many
61 taxonomic groups including avian species (Smith & Rodriguez-Barbon 2008; Villaverde-
62 Morcillo et al. 2014; Balko et al. 2017; White & Martinez-Taboada 2019). To date, alfaxalone
63 administered IV for the induction of anaesthesia has not been assessed in mute swans.

64 The purpose of this study was to compare the effects of alfaxalone to a combination of
65 ketamine and xylazine administered IV on the quality of anaesthetic induction, maintenance,
66 and recovery of anaesthesia in mute swans. Anaesthesia was maintained with isoflurane in
67 oxygen. We hypothesized that alfaxalone would be an effective anaesthetic induction agent in
68 mute swans and that physiological variables measured during anaesthesia would be comparable
69 to those measured following induction with ketamine and xylazine.

70

71

72 **Methods**

73 A convenience sample of 58 mute swans presented to a UK wildlife hospital over a 15-month
74 period, requiring general anaesthesia for diagnostic tests or surgical treatment, was used for the
75 study. Inclusion and exclusion criteria were set to reflect the clinical caseload, therefore all
76 swans requiring anaesthesia for a clinical procedure were included and no swan requiring
77 anaesthesia for a clinical procedure was excluded. Sample size (minimum 25 swans per group)
78 was calculated based on resting mean heart rates (HR) \pm standard deviation (SD) of swans

79 presenting for clinical examination during this period
80 (<https://clincalc.com/stats/samplesize.aspx>, $\alpha = 0.05$, power = 80%). Each animal was then
81 randomly allocated to one of two groups for routine anaesthesia for clinical procedures by
82 flipping a coin: Group KX - ketamine/xylazine ($n = 31$) or Group A - alfaxalone ($n = 27$). The
83 coin toss was performed by a colleague who was not involved in data collection.

84 Swans underwent clinical examination on admission to the hospital and blood samples
85 were submitted to an external laboratory for measurement of lead concentration, as per hospital
86 protocol. The remaining blood sample from each swan was submitted to an external laboratory
87 for manual packed cell volume (PCV) and white blood cell count estimation.

88 All swans were acclimatised to the hospital environment for at least 24 hours prior to
89 anaesthesia. Immediately prior to anaesthesia each bird was weighed (Professional Large
90 Platform Veterinary Scales, Burtons, UK, calibrated by the manufacturer), and body condition
91 score (BCS) determined by manually palpating the keel (Bird Size-O-Meter, Pet Food
92 Manufacturer's Association, UK). Age (adult or juvenile) was determined by appearance of
93 plumage, with presence of grey feathers (present up to 2 years of age) indicating a juvenile bird
94 and absence of grey feathers indicating an adult (Wildlife Information Network,
95 <http://wildpro.twycrosszoo.org>, UK). Mute swans are sexually monomorphic, so sex was
96 recorded only for birds undergoing endoscopic examination including direct observation of
97 gonads. In the absence of endoscopic confirmation, sex was recorded as unknown. Resting
98 heart rate (HR) and respiratory rate (f_R), determined by thoracic auscultation and observation
99 of chest excursions, were recorded immediately prior to anaesthetic induction.

100 All procedures were performed by the same operator, who was not blinded to the drugs
101 used. Group A swans were given alfaxalone (10 mg kg^{-1} ; Alfaxan, Jurox UK Ltd, UK) injected
102 IV over 60 seconds via the medial tarsal vein, and in Group KX swans anaesthesia was induced

103 with a mixture of ketamine (12.5 mg kg⁻¹; Narketan, Vetoquinol UK Ltd, UK) and xylazine
104 (0.28 mg kg⁻¹; Rompun, Bayer, UK) injected over 10 seconds via the medial tarsal vein.

105 Immediately following administration of drugs, when the swallowing reflex was lost or
106 delayed and jaw tone was sufficiently relaxed to allow full opening of the bill without tension,
107 subjects were intubated with an uncuffed Murphy endotracheal (ET) tube (Rusch Super Safety
108 Clear Cuffed E.T. Tube, Burtons, UK), size 5-6 mm as appropriate. Isoflurane (IsoFlo, Abbot
109 Laboratories Ltd., UK) was administered at a concentration of 0-5%, delivered in oxygen at a
110 flow rate of 200 mL kg⁻¹ minute⁻¹ via an Ayres T-piece (Modified Ayres T Circuit, Burtons,
111 UK) circuit. The fraction of inspired oxygen (FIO₂) was > 95% throughout anaesthesia for all
112 swans. Time from completion of the anaesthetic induction agent(s) injection to successful
113 tracheal intubation was recorded (seconds). Respiratory rate (f_R), end-tidal carbon dioxide
114 (PE'CO₂) and peripheral haemoglobin oxygen saturation (SpO₂) were measured using a
115 multiparameter monitor (Vetronic Vitalstore, Vetronic Services Ltd, UK). The machine used a
116 side-stream capnograph technique (flow rate 50 mL minute⁻¹) with the sampling tube attached
117 at the junction between the endotracheal tube and the anaesthetic circuit. The machine was
118 calibrated by the manufacturer immediately prior to despatch for use in this study using a
119 Precision Gas concentration mixture (REF: 755583-HEL, GE Healthcare LTD, UK). It is self-
120 calibrating at each start up, so no user calibration is required. The SpO₂ sensor probe was
121 attached to the bird's tongue. HR was measured by thoracic auscultation. Physiological
122 variables were recorded immediately after intubation and at 5-minute intervals throughout
123 anaesthesia. Plane of anaesthetic was judged by assessment of palpebral and corneal reflex,
124 muscle relaxation and jaw tone. In the event of apnoea (defined for the purpose of the study as
125 failure of spontaneous ventilation for > 30 seconds) manual ventilation was performed at a rate
126 of 2-4 breaths minute⁻¹ until spontaneous ventilation resumed. Swans were positioned on a
127 thermostatically controlled electric heat pad (Double Faced Vet Heating Mat, Burtons

128 Veterinary, UK) calibrated by the manufacturer, and in right lateral recumbency where possible
129 in order to minimise the compressive effects of viscera on abdominal and caudal thoracic air
130 sacs and thus on ventilation. For each subject the duration of anaesthesia (defined as the time
131 between anaesthetic induction and cessation of isoflurane) and the time from cessation of
132 isoflurane to extubation, lifting head, sternal recumbency and absence of head and neck ataxia
133 were recorded. A score of 1-5 (1 = very poor, 5 = excellent), scoring system devised by the
134 author, was recorded for the quality of anaesthesia and quality of recovery for each procedure
135 (Table 1).

136 Following completion of the diagnostic procedure or treatment, isoflurane
137 administration was discontinued, and oxygen provided until extubation at the point of
138 purposeful head movement. Swans were monitored until they were standing without assistance.

139

140 **Statistical analyses**

141 Statistical analysis was performed using Minitab Statistical Software (Ver. 17; Minitab, Inc.,
142 State College, PA USA; www.minitab.com). Study data were tested for normality (Anderson-
143 darling test). Parametric descriptive statistics were produced for weight data. Other data were
144 non-normally distributed, and the non-parametric Mann-Whitney and Kruskal-Wallis tests
145 were selected for analyses. *p*-value was set at 0.05. Data are displayed as median and
146 interquartile range (IQR).

147 A Kruskal-Wallis stratification matrix ruled out the influence of lead poisoning, pre-
148 operative medications, reason for anaesthesia, haematological status, sex, BCS life stage,
149 euthanasia outcome and resting HR as confounding variables on the anaesthetic variables
150 measured in the two groups.

151

152 **Results**

153 Demographic information for swans in each anaesthetic group is provided in Table 2 and
154 reasons for anaesthesia of individuals in each group are summarised in Table 3. Of the original
155 58 swans, 10 birds in Group A and 7 birds in Group KX were euthanised during anaesthesia as
156 a result of diagnostic findings which would preclude successful rehabilitation and release back
157 into the wild. No additional drugs were administered at induction; however, some swans were
158 currently being treated with meloxicam [0.5-1 mg kg⁻¹, orally (PO), twice daily]; Metacam,
159 Boehringer Ingelheim Ltd, UK) ($n = 10/58$) and/or amoxicillin-clavulanic acid (125 mg kg⁻¹,
160 PO, BID; Synulox, Zoetis UK Ltd, UK) ($n = 7/58$) prior to anaesthesia, to treat musculoskeletal
161 disease or superficial wounds. These animals were allocated randomly between groups and
162 stratification analysis confirmed that concurrent medications did not significantly impact the
163 cardiorespiratory variables measures.

164 Stratification analysis identified just one confounding variable between time to intubate
165 and median f_R . No other variables were identified as confounding. Euthanasia during
166 anaesthesia was excluded as a confounding variable, therefore data from these individuals were
167 retained in the induction and anaesthesia results (Group A - $n = 27/58$, Group KX - $n = 31/58$)
168 but not analysed in the recovery results (Group A - $n = 17/58$, Group KX - $n = 24/58$). After
169 recovery from anaesthesia, and after completion of this study, an additional seven swans in
170 Group A and 11 swans in Group KX were euthanised. No other complications were noted
171 following the study and the remaining swans were rehabilitated and released to the wild.

172 All swans were intubated at the first attempt; however, time to intubation was
173 significantly ($p = 0.0176$) shorter in Group A compared to Group KX. Median f_R was
174 significantly higher in Group A than Group KX at 10 minutes ($p = 0.0049$) and 15 minutes (p
175 $= 0.0010$) post anaesthetic induction (Table 4). HR and f_R were measured at 5-minute intervals

176 throughout anaesthesia; a median value was calculated for each bird and an overall median
177 calculated for each group. The f_R of Group A swans was 13 breaths minute^{-1} , (8-17), which
178 was significantly ($p = 0.0306$) higher than that of Group KX swans at 10 breaths minute^{-1} , (8-
179 12). However, this result was confounded by the 'time to intubation' variable which was
180 significantly ($p = 0.0002$) shorter in Group A than Group KX. Swans in Group A, 44% (12/27)
181 required manual ventilation for between 2-14 minutes, compared to 3.2% of swans (1/31) in
182 Group KX; this single swan required manual ventilation for 4 minutes. There were no
183 significant differences between groups in median $\text{PE}'\text{CO}_2$ or median SpO_2 during anaesthesia.

184 Physiological variables of swans in Group A and Group KX, prior to and during
185 anaesthesia, are shown in Table 4. Median resting HR was significantly higher in Group A at
186 92 beats minute^{-1} (80-102) compared with Group KX 88 beats minute^{-1} (72-96) ($p = 0.03$).
187 There was no significant difference in resting f_R between groups.

188 The median HR (beats minute^{-1}) during anaesthesia was significantly higher in Group
189 A at 146 beats minutes^{-1} (127-168) than Group KX 66 beats minutes^{-1} (56-78) ($p < 0.0001$).
190 The stratifying analysis of initial HR as a potential confounding variable indicated that median
191 HRs throughout anaesthesia remained significantly different between Group A and Group KX
192 regardless of initial HR.

193 The isoflurane concentration (Vol%) required to maintain an appropriate level of
194 anaesthesia was significantly ($p = 0.0001$) higher in Group A 2.5%, (2-3) compared with 1.5%
195 (1.5-2.0) in Group KX. The duration of anaesthesia (minutes) did not differ significantly
196 between groups: Group A was 18 (15-28) and Group KX was 17 (15-27). All swans that
197 recovered from anaesthesia ($n = 41/58$) did so without complication. Time (minutes) to full
198 recovery from anaesthesia (absence of ataxia or uncontrolled head and neck movements) did
199 not differ significantly between the two groups.

200 There was a significant difference between groups in time (minutes) from cessation of
201 isoflurane to lifting head: Group A 12 (9-17), Group KX 6 (4-8), $p \leq 0.0001$ (Table 5). There
202 were no further significant differences in recovery times between groups.

203 Overall, anaesthetic quality score for Group KX was significantly ($p = 0.0011$) higher
204 4 (4-5) compared with Group A 4 (3-4), the difference primarily attributable to adult swans.
205 The anaesthetic quality score for Group KX adults of 4 (4-5); $n = 21$ was significantly ($p =$
206 0.0019) higher than that for Group A adults 3 (3-4); $n = 18$. The anaesthetic quality scores for
207 juvenile swans did not differ significantly between Group KX 5 (4-5); $n = 10$ *versus* Group A
208 4 (3.5-4.5); $n = 9$ $p = 0.0621$. Recovery quality scores differed significantly ($p = 0.0005$)
209 between Group KX [4, (3-5)] and Group A [2, (2-3)] (Table 6).

210

211 **Discussion**

212 In this study the time to intubation was calculated from the time that each swan had been given
213 its full dose of induction agent through to the time of intubation and it was shorter in Group A
214 than Group KX. The different durations of drug injection may have impacted on this variable,
215 as it was longer for Group A (60 seconds) than Group KX (10 seconds). In human studies,
216 speed of injection of anaesthetic induction drugs significantly influences induction time, for
217 example a slower speed of injection of propofol or etomidate resulted in a slower induction
218 time (Rolly et al. 1985; Gillies & Lees 1989). This contrasts the results of this study in which
219 the faster induction time was recorded with the drug given more slowly. Veterinary studies of
220 the effect of induction agent administration speed are limited, but a study in dogs showed rapid
221 IV administration of either propofol or alfaxalone provided suitable conditions for endotracheal
222 intubation, although time to intubation was not stated (Amengual et al. 2013). Regardless of
223 the difference in time to intubation between groups in our study, both protocols can be
224 considered effective for anaesthetic induction prior to intubation in mute swans.

225 The decrease in HR following anaesthetic induction in Group KX is probably
226 attributable to the use of xylazine, as bradycardia is a characteristic response to α_2 adrenoceptor
227 agonist drugs (Clarke, 1969). The decrease is similar to that found in mute swans during
228 anaesthesia with propofol (Muller et al. 2011). Conversely, HR increased in the alfaxalone
229 group following induction and this elevation was maintained for the duration of anaesthesia,
230 although the median value for the whole anaesthesia was within the published reference range
231 in this species (Greenacre et al. 2018). Alfaxalone causes a transient increase in HR in dogs
232 immediately following induction, caused by reduced peripheral resistance due to vasodilation
233 (Muir et al. 2008).

234 Since the ‘time to intubation’ variable confounded the median f_R variable, the difference
235 in f_R between the two groups could not be empirically attributed to the different anaesthetic
236 protocols, rather than a result of the difference in time to intubation. However, the most
237 probable explanation is that both the shorter time to intubation and the higher f_{Rs} observed in
238 Group A compared to Group KX are a result of the induction agent used.

239 In many species the most common adverse effects of alfaxalone following induction of
240 anaesthesia are hypoventilation and apnoea, effects related to the dose (Muir et al. 2008 &
241 2009) and rate of injection (Amengual et al. 2013). This effect was evident in our study where
242 a significantly higher occurrence of postinduction apnoea was observed in Group A (44%)
243 compared to Group KX (3.2%), $p = 0.0002$. Alfaxalone was administered over 60 seconds, as
244 recommended in other species (Grint et al. 2008), therefore speed of injection was not expected
245 to be the cause of the apnoea seen here. During the authorization phase of alfaxalone, clinical
246 trials demonstrated a 44% incidence of postinduction apnoea in dogs and 19% in cats following
247 drug administration (SPC Alfaxan, Jurox UK Ltd, UK). However, the duration of apnoea in
248 the swans of this study was longer than that reported in those dogs and cats. Postinduction
249 apnoea of up to 27 minutes has been reported in rabbits given alfaxalone IV for the induction

250 of anaesthesia (10 mg kg⁻¹) (Navarrete-Calvo et al. 2014). This may have resulted from both
251 the dose and the rapid rate of drug injection, although the rabbits had been premedicated with
252 morphine, which may have contributed to ventilatory depression.

253 The dose of 10 mg kg⁻¹ we used in this study was based on the available literature
254 (Smith & Rodriguez-Barbon 2008) and personal experience. A similar dose of 10-15 mg kg⁻¹
255 IV is reported in domestic chickens for the induction of anaesthesia (White & Martinez-
256 Taboada 2019). However, lower doses are reported in other avian species such as flamingos
257 (Villaverde-Morcillo et al. 2014) so modifications to the dose and speed of administration
258 would be worth exploring further.

259 Overall, subjective scores for quality of anaesthesia were 'good' to 'very good' for all
260 swans in the study, but scores were higher in the KX group. When the data were analysed by
261 life stage, the difference in quality of anaesthesia was significant only between the adults in the
262 two groups. Quality of anaesthesia in juvenile swans did not differ significantly between the
263 two groups and was better than in adults. Multimodal anaesthesia and additional premedication
264 should be considered for adult swans where a more invasive procedure is to be performed and
265 alfaxalone is used for the induction of anaesthesia.

266 Although PE'CO₂ readings did not significantly differ between the two groups, these
267 values were higher than expected. Hypoventilation has been documented in a variety of birds
268 anaesthetized with isoflurane (Ludders et al. 1990; Ludders 2001; Paul-Murphy & Fialkowski
269 2001; Cushing & McClean 2010; Hawkins et al. 2013).

270 The need for higher doses of isoflurane to maintain an adequate plane of anaesthesia in
271 Group A suggests that KX has a greater isoflurane sparing effect than alfaxalone. Recovery
272 times were similar between groups. Subjective scores for quality of recovery were; however,
273 significantly poorer with alfaxalone. Poor recoveries were associated with wing flapping and

274 ataxia, in some cases necessitating manual restraint of individuals to prevent self-trauma. In
275 dogs and cats, agitation and hyperaesthesia are reported during the recovery period following
276 the use of alfaxalone for the induction of anaesthesia (Posner & Burns 2009; Jimenez et al.
277 2012; Mathis et al. 2012;). Muller et al (2011) report excitation in 55% of mute swans on
278 recovery from propofol anaesthesia which ceased within a few minutes. In comparison 47.1%
279 of swans in Group A and 12.5% of swans in Group KX scored a 1 or 2 for recovery quality in
280 our study. Excitation in recovery from alfaxalone anaesthesia is frequently reported in avian
281 species - a study of intramuscular administration of alfaxalone in budgerigars demonstrated
282 excitement on both induction and recovery (Balko et al. 2017). While a study in Quaker parrots
283 also reported excitation on induction, which was attenuated with the addition of midazolam as
284 a premedication (Whitehead et al. 2019). Since excitation in recovery was observed in both
285 groups, we recommend that swans should be observed until fully recovered and gentle manual
286 restraint including wrapping in a towel applied, if excitation occurs.

287 Use of premedication reduces the excitement seen during induction or recovery
288 (Whitehead et al. 2019), and midazolam is the most widely used avian premedicant (Raftery,
289 2013). However, the data sheet for alfaxalone (Summary of Product Characteristics, Alfaxan,
290 Jurox UK Ltd, UK) states that the use of benzodiazepines as sole premedicants in dogs and
291 cats prior to alfaxalone may lead to an increased incidence of psychomotor excitation on
292 recovery. Agitation on recovery was reported with the use of midazolam and
293 alfaxalone/alphadalone in Mallard ducks (Machin & Caulkett 1998). Conversely, studies in
294 Bengalese finches (Perrin et al., 2017), Quaker parrots (Whitehead et al., 2019) and other avian
295 species (Kubiak, 2017) suggest that midazolam may be a useful premedicant to reduce
296 excitation in recovery from alfaxalone anaesthesia. Additionally, other premedicants such as
297 butorphanol may be considered (Kubiak, 2017, Perrin et al., 2017).

298 All swans other than those that were euthanased, recovered from anaesthesia without
299 complication. Several swans were subsequently euthanased on welfare grounds as they were
300 unable to be released, either due to a deterioration in clinical condition or development of
301 complications related to rehabilitation. Stratification analysis showed that a decision to
302 euthanase an individual at any stage of the study and in either treatment group did not have a
303 significant effect on the results, and so euthanasia of these birds was unrelated to the anaesthetic
304 agent used. Prior to anaesthesia, some swans with pre-existing disease were treated with
305 analgesics or antibiotics. Stratification analysis indicated that these drugs did not significantly
306 affect the results. Alternatively, an untreated painful condition and its physiological
307 consequences, could have been confounding variables (Hellyer et al. 2007).

308 Analysis of ‘real-life’ clinical data may reflect clinical caseloads more closely but may
309 also include confounding factors that can influence the variables of interest. Stratification as
310 described by Kahlert (Kahlert et al., 2017) was used in this study to identify any confounding
311 relationships between the anaesthetic and cardiopulmonary variables of interest and incidental
312 demographic or clinical factors. Using this method, the data set is divided into manageable
313 subsets (strata), with each stratum corresponding to the levels of potential confounders e.g.,
314 age group, reason for anaesthesia, euthanasia outcome, haematological status etc. The overall
315 cross-tabulation for the association between an exposure and an outcome (e.g., a 2×2 table for
316 ‘Induction agent group’ and ‘General anaesthesia quality score’) is compared with stratum-
317 specific (e.g., age group) cross-tabulations, and it becomes evident whether one variable
318 confounds another variable. In this study two confounded variables were identified - the ‘time
319 to intubation’ variable confounded the median f_R variable.

320 This study has some limitations: it compared two induction drug protocols in a sample
321 of swans admitted to a wildlife hospital. These results may not be directly applicable to a
322 healthy swan population. However, since most swans undergoing anaesthesia do so as part of

323 a clinical investigation, the results of this study are relevant to the population of swans entering
324 veterinary practice. The study was not a blinded study so operator bias with respect to
325 subjective assessments of anaesthesia cannot be excluded. However, the data we obtained
326 provides useful information about the pharmacodynamic effects of these drugs in the mute
327 swan. Physiological variables were objectively measured using standardised monitoring
328 equipment, minimising operator bias.

329

330 **Conclusion**

331 Alfaxalone at a dose of 10 mg kg⁻¹, administered IV over 60 seconds, produced smooth and
332 rapid induction of anaesthesia in the mute swan, adequate for endotracheal intubation. A period
333 of apnoea was observed following alfaxalone administration so manual ventilation should be
334 provided. Ataxia and agitation may be seen during recovery, necessitating manual restraint in
335 some cases. Adult swans anaesthetized with alfaxalone may benefit from the use of an
336 additional muscle-relaxing pre-medication.

337 Alfaxalone is a suitable anaesthetic induction agent in mute swans. In comparison to
338 KX there is a greater incidence of postinduction apnoea and a greater incidence of agitation on
339 recovery.

340

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