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Individual patient data meta-analysis of the effects of fluoxetine on functional outcomes after acute stroke

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on behalf of the AFFINITY, EFFECTS and FOCUS trialists collaborations

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Figure 1. Combined individual participants' mRS scores at 6 months in AFFINITY, FOCUS and EFFECTS

Figure 2. Forest plot showing AFFINITY, FOCUS and EFFECTS participants' probability of being alive and independent (mRS score 0-2) in pre-specified subgroups

Table 1. Baseline data comparing the characteristics of AFFINITY, FOCUS and EFFECTS trial participants by randomised group

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Abstract

Background

We collaboratively designed three large trials of fluoxetine for stroke recovery to facilitate an individual patient data meta-analysis (IPDM).

Methods

We performed fixed effects meta-analyses on the combined data set, for the primary outcome (modified Rankin scale (mRS) at 6 months) and secondary outcomes common to the individual trials. As a sensitivity analysis, summary statistics from each trial were created and combined. Findings

We recruited 5907 people (mean age 69·5 years (SD 12·3), 2256 (38%) females, 2-15 days poststroke) from Australia, New Zealand, UK, Sweden and Vietnam; and randomized them to fluoxetine 20mg daily or matching placebo for 6 months. 5833 (98·75%) were available at 6 months. The adjusted ordinal comparison of mRS was similar in the two groups (common OR 0·96, 95% CI 0·87 to 1·05, p=0·37). There were no statistically significant interactions between the minimization variables (baseline probability of being alive and independent at 6 months, time to treatment, motor deficit or aphasia) and pre-specified subgroups (including age, pathological type, inability to assess mood, proxy or patient consent, baseline depression, country). Fluoxetine increased seizure risk (2·64% vs 1·8%, p=0·03), falls with injury (6·26% vs 4·51%, p=0·03), fractures (3·15% vs 1·39%, p<0·0001) and hyponatraemia (1·22% vs 0·61%, p=0·01) but reduced new depression (10·05% vs 13·42%, p<0·0001). At 12 months, there was no difference in adjusted mRS (n=5760; COR 0·98, 95% CI 0·89 to 1·07). Sensitivity analyses gave the same results.

Interpretation

Fluoxetine 20mg daily for six months did not improve functional recovery. It increased seizures, falls with injury, bone fractures but reduced depression frequency at 6 months.

Trial Funding

Stroke Association, National Institute of Health Research, Australian Government National Health and Medical Research Council, Swedish Research Council, Swedish Heart-Lung Foundation, Swedish Brain Foundation, Swedish Society of Medicine, King Gustav V and Queen Victoria's Foundation of Freemasons and STROKE-Riksförbundet

Background

Stroke is a leading cause of adult disability globally; and new treatments are needed to reduce stroke related disability [1,2]. Following the encouraging results of the FLAME trial published in 2011 [3], we collaboratively designed three large trials to test the hypothesis that fluoxetine 20mg given daily after stroke for 6 months would reduce dependency at 6 months [4]. Each trial was neutral with respect to the primary outcome [5-7].

When the collaboration was initiated, we agreed to perform an individual patient data meta-analysis (IPDM) after all three trials had reported their primary results, in order to confirm or refute a smaller benefit of fluoxetine on our primary outcome than the individual trials had been powered to do, either overall or in particular subgroups, and to provide more precise estimates of any harms [8,9]. The primary objective of the IPDM was to determine whether patients with a clinical stroke diagnosis (2 to 15 days after onset) who are prescribed a 6-month course of fluoxetine 20 mg daily have improved functional outcome, as defined by the modified Rankin Scale (mRS) score at 6 months, compared with placebo [8,9].

Pre-specified secondary objectives for our IPDM were:

- a) Does fluoxetine influence the secondary outcomes at 6 months and 12 months?
- b) If fluoxetine improves mRS score at 6 months, does any improvement persist after treatment stops?
- c) Does fluoxetine increase the risk of serious adverse events?
- d) Is fluoxetine associated with longer-term survival?
- e) Is the effect of fluoxetine vs placebo on the primary outcome modified by minimization variables and pre-specified subgroups?
- f) In patients with motor deficits at randomisation, does fluoxetine improve motor function?
- g) In patients with aphasia at randomisation, does fluoxetine improve communication?
- h) Is there a relationship between functional status at 6 months and mood, and is this relationship affected by fluoxetine?
- i) How does non-adherence to the study protocol influence outcome?
- j) Does the effect of fluoxetine vs placebo vary by country of randomization?
- k) Does the effect of fluoxetine vary by ethnicity?
- I) Does the effect of fluoxetine vary by trial? [9]

In this paper we present results for all of these aims, except for (h), which will be reported separately as it requires separate statistical analyses.

Methods

We transferred data securely from the AFFINITY and EFFECTS teams to the FOCUS statistician, who combined the data sets.

Where data items were not identical, the chief investigators of each trial decided if/how to combine data. The combined data set included all variables, even if only collected in one or two of the trials. SAS v9.4 (SAS Institute Inc., Cary, NC, USA) was used for the statistical analysis. We performed descriptive exploratory analyses to display differences in baseline characteristics of patients in the three trials (supplementary table 1 and supplementary table 2).

We reproduced the tables from the published papers [5-7]. We re-analysed each trial's data to ensure that the main results could be reproduced [8-9]. We had intended to describe the duration and type of hospital stays between randomisation and discharge home, discharge to a residential or nursing home or death, but the three trials reported these data were reported in different ways, so this was not possible.

We performed a one stage IPDM by combining all data into one model to produce estimates of treatment effect [8-9]. The minimization algorithms of the three trials had already ensured that patients were allocated to fluoxetine or control in a way which minimized the treatment imbalance with a predefined probability, to ensure allocation was random. We used ordinal logistic regression adjusted for minimization factors but also reported in an unadjusted manner. We conducted ordinal analysis of mRS by treatment allocation (fluoxetine vs placebo), under the assumption of proportional odds in the model, and tested this assumption using the score test for proportional odds assumption. Then, as a sensitivity analysis, we performed a two stage IPDM, which involved the creation of summary statistics from each trial then combining the summary statistics using fixed effects meta-analysis and Forest plots. Had the one stage and two stage IPDD had produced different answers, we would have explored why.

For binary outcomes, we compared treatment groups using a binary logistic regression and adjusted for factors used in the minimisation algorithm. For continuous outcomes we present descriptive statistics categorised by allocated treatment. We used a simple unadjusted analysis comparing the two treatment groups using a Mann–Whitney U-test (i.e. not adjusted for variables in the minimisation algorithm) due to the distribution of the outcomes.

Missing data in IPDD

The mRS, our primary outcome, includes death; therefore, the number of patients with missing mRS at follow-up was small. Those with a missing mRS were not included in any analysis requiring mRS (complete-case analysis). For secondary outcomes for which missing data were expected because

data were not available for patients who did not survive, we presented results for those who were alive at follow-up; and any discrepancies in death rates between groups were taken into account in the interpretation. Missing data for single questions within scores were handled as detailed by each scoring method. Where responses to all questions within a scale or subscale were missing, that patient was not included in that particular analysis.

For secondary outcomes and subgroup analyses we used binomial test for the comparison of proportions, Wilcoxon or Cox proportional-hazard model as appropriate and compared treatment arms.

Subgroup analysis

We performed subgroup analyses by observing the change in log-likelihood when the interaction between the treatment and the subgroup was added into a logistic regression model.

Sensitivity analysis

We sequentially excluded patients from the trials to explore the influence of non-eligible patients being recruited, and compliance with the trial medication as already described in detail in the statistical analysis plan for the individual trials.

Risk of bias across studies

We rated the certainty of the evidence of the IPDM using Cochrane Grades of Recommendation, Assessment, development, and Evaluation (GRADE), for risk of bias, inconsistency, indirectness, imprecision and publication bias, using the criteria high, moderate, low and very low; for our primary outcome.

We did not need to produce a PRISMA IPDM diagram as described in our protocol because data from all three trials were available and analysed [5-7].

Results

Primary results: we randomized 5907 patients (mean age 69.6, SD 12.3, 2256 women, 38.19%) (table 1). Other baseline data are shown in the supplementary table 1; there were expected differences in baseline data for age, NIHSS, predicted outcome and time to randomization between trials; these differences were due to the differences in inclusion criteria (supplementary table 2). There were no differences in the proportion of men and women between trials.

Primary outcome data (mRS at 6 months) were available for 5833 (98·75%) of the 5907 patients randomised. An ordinal comparison of individual mRS categories at 6 months, adjusted for variables in the minimisation algorithm was similar in the two groups (common OR (COR) 0·96, 95% CI 0·87 to 1·05, p=0·37) where a common OR in favour of placebo is <1·0 (figure 1), and also similar according to prespecified subgroups. Our two stage meta-analysis gave the same result (COR 0·96, 95% CI 0·87, 1·05, I² 0%, p=0·98) (figure 2).

Secondary results There was no difference in the secondary outcomes at 6 and 12 months, except for better SIS emotion score in all trials (table 2), a reduced risk of new depression (10.05% vs 13.42%, p<0.0001) and fewer new antidepressants (11.71% versus 15.28%, p<0.001) at 6 months (table 3). At 6 months, fluoxetine was associated with an increased the risk of seizures (2.64% vs 1.8%, p=0.03), falls with injury (6.26% vs 4.51%, p=0.03), fractures (3.15% vs 1.39%, p<0.0001) and hyponatraemia (1.22% vs 0.61%, p=0.01) (table 3).

At 12 months, there was no difference between the fluoxetine and placebo groups for mRS (n=5760; COR 0.98, 95% CI 0.89, 1.07) adjusted for minimization variables, or survival (hazard ratio 0.929, 95% CI 0.756, 1.141, p=0.48) or our secondary outcomes. Twelve month adverse events are reported in the appendix; the trial drug was stopped at 6 months and the trials collected adverse event data slightly differently so we cannot attribute any 12 month differences to treatment allocation.

The effect of fluoxetine on the primary outcome was not modified by baseline probability of being alive and independent at 6 months, time to treatment, motor deficit or aphasia or by pre-specified subgroups (including age, pathological type, inability to assess mood, proxy or patient consent, baseline depression and country) (figure 2). The two stage IPD for subgroups also produced the same results for subgroups.

In patients with motor deficits at randomisation, fluoxetine did not improve motor function (Median (IQR): fluoxetine 64.81 (34.03, 86.16) n=2199 and placebo 64.40 (34.95, 85.30) n=2212; p=0.76). In patients with aphasia at randomization (assessed by the NIHSS aphasia item), fluoxetine did not reduce communication problems (SIS domain) at 6 months (Median (IQR): fluoxetine 75.00 (42.86, 92.86) n=637; placebo78.57 (50.00, 92.86) n=613 p = 0.39). Non-adherence to the study protocol did not influence outcome at 6 months. The effect of fluoxetine vs placebo did not vary by country of

randomization (UK, Australia, Vietnam, New Zealand, Sweden) or ethnicity; or by trial (Figure 2). GRADE quality was high for our primary outcome (table 4).

Discussion:

This IPDM in almost 6000 stroke patients demonstrated that fluoxetine 20mg daily for 6 months did not improve functional outcome, reduced the risk of depression and new antidepressant prescriptions, and improved the 6 month emotion score in the stroke impact scale. However fluoxetine increased the risk of seizures, falls with injury, bone fractures and hyponatraemia at 6 months -though the absolute risks of these events were very low. The evidence is of high certainty for our primary outcome [10]. We had previously calculated that with 6,000 patients in an IPDM there would be 90% power to detect a very small effect size equivalent to a COR of 1·16 [11]. Thus, even if this IPDM has missed a beneficial effect, the effect size would have been extremely small and unlikely to be clinically relevant. Furthermore, the direction of benefit in this IPDM was in favour of placebo. We demonstrated no benefits in our pre-specified subgroups and our minimization variables. The external validity of this IPDM is high, with patients recruited from five countries. Like many stroke trials, more men than women were recruited; but there is no evidence of any difference in treatment effect (fluoxetine versus placebo) in men and women.

The strengths of this IPDM is that we published a protocol in advance and we included three large high quality trials which had been designed to facilitate an IPDM. We were able explore intervention by covariate interactions [12].

This IPDM adds to our Cochrane review by identifying a statistically significant effect on seizures and falls with injuries, and by demonstrating no differences in treatment effects by subgroups, countries, ethnicity, or adherence to study medication. Also, we found no increased risk of bleeding, which had been a theoretical concern based on the pharmacology of fluoxetine. Access to the individual participant data enabled analyses of adjusted treatment effects overall and in pre-specified subgroups, which could not be done with tabulated data in the Cochrane review. The Cochrane review reports the relative and absolute risk of recovery as a group average for the overall trial population, whereas the IPDM used multivariate Cox proportional hazard regression to estimate the baseline risk of recovery from all measured, independent, significant participant prognostic factors and the relative and absolute risk change with fluoxetine vs placebo within each strata of baseline risk to identify which (if any) patient populations derived the greatest benefit/risk of adding fluoxetine to standard care.

A 2023 systematic review and meta-analysis fluoxetine for stroke recovery, published after our Cochrane review, concluded that fluoxetine improved the Fugl Myer Motor score (a score of motor recovery), but this particular analysis was based on only four small trials recruiting 287 patients, some of which were at high risk of bias. Our IPDM clearly shows that in patients with motor deficits, fluoxetine did not improve patient-reported motor recovery. There are some weaknesses. Although our three trials were collaboratively designed, substantial work was needed to harmonise the datasets because of the slight differences in trial methodology to suit local contexts. Thus it took longer than we had hoped to complete this IPDM. The GRADE assessments were performed by authors of the three trials, and so may have overestimated the certainty of evidence. However, the GRADE assessment performed by the lead author of our Cochrane review of SSRIs for stroke recovery, who had not been involved in the three trials, was similar.

Based on our data, there is no justification for further research exploring the impact of fluoxetine (and by extrapolation-probably other SSRIs too) on functional recovery after stroke. This applies to all stroke types, all severity, and irrespective of baseline deficits. There are, however, unanswered questions about the utility of fluoxetine and other SSRIs for purposes other than functional recovery in patients with stroke e.g. what is their role in *treating* mood disorders, particularly in people with aphasia who are generally underrepresented in trials.

Although there was a very small reduction in the risk of depression at 6 months, the potential benefits of using fluoxetine prophylactically to reduce depression should be outweighed by the risks. An absolute reduction of 3.37% in a diagnosis of depression at 6 months was associated with an absolute increase in new fractures of 1.76%.

In summary fluoxetine should not be given routinely to improve stroke functional recovery or prevent depression, unless the risk of developing depression is considered high and the patient is willing to accept a higher risk of adverse events.

Research in context

Evidence before this study

The three individual trials of fluoxetine showed that fluoxetine did not improve recovery at 6 months when given 2-15 days after stroke but did reduce depression. The 2021 Cochrane review of SSRIs for stroke recovery confirmed this and also demonstrated an increased risk of bone fractures with SSRIs. A subsequent 2023 meta-analysis suggested that fluoxetine improved motor recovery, and recommended further large trials. There have been no further large trials of fluoxetine for stroke recovery published since our three trials (PubMed search using the terms fluoxetine AND stroke on 2nd October 2023).

Added value of this study.

Fluoxetine increases seizure risk, risk of falls with injuries, fractures and hyponatraemia when given to people early after stroke, but does not increase bleeding risk despite theoretical reasons why it could do.

There is no impact of fluoxetine on functional recovery in subgroups.

In patients with motor deficits and aphasia, there is no improvement in motor function and communication respectively.

Compliance with treatment did not affect results.

Further large trials of fluoxetine for stroke recovery are not needed.

Roles of authors

Professor Gillian Mead: conceptualisation, investigation, methodology, project administration, supervision, visualisation, writing original draft.

Catriona Graham: data curation, formal analysis, validation, visualisation, editing draft Erik Lundström: conceptualisation, data curation, methodology, reviewing and editing draft Graeme Hankey: conceptualisation, data curation, methodology, reviewing and editing draft Maree L. Hackett: conceptualisation, data curation, methodology, reviewing and editing draft Laurent Billot: conceptualisation, data analysis, data curation, methodology, reviewing and editing draft

Per Näsman: conceptualisation,data analysis, data curation, methodology, reviewing and editing draft

John Forbes: conceptualisation,data analysis, data curation, methodology, reviewing and editing draft

Martin Dennis: conceptualisation, investigation, methodology, supervision, visualisation, reviewing and editing original draft.

Declarations and sources of funding

There are no conflicts of interest. There was no specific funding for this analysis.

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Data sharing statement

The statistical analysis plan for the IPDM and the main findings from the individual trials have already been published in open access journals.

De-identified participant data and a data dictionary defining each field in the set, will be made available to others, after the planned analyses as described in our statistical analysis plan have been published.

Data will shared only after investigator approval. Researchers requesting the data will need to write a protocol which will be approved by the investigators. Then a signed data access agreement will be produced. The mechanism for sharing the data will be determined by the information governance requirements of the relevant institutions and countries. Requests for data sharing should be made to Professor Gillian Mead in the first instance.

| | | Randomised treatment | | | | All | |
|-------------------------|---|----------------------|--------|------|----------|------|--------|
| | | Fluoxetine | | | Placebo | | |
| | | | % | Ν | % | Ν | % |
| Number of patients | 2956 | 100 | 2951 | 100 | 5907 | 100 | |
| Gender | Female | 1107 | 37 | 1149 | 38.94 | 2256 | 38.2 |
| | Male | 1849 | 63 | 1802 | 61.06 | 3651 | 61.8 |
| Age | Mean (sd) | 69.4 | (12.5) | 69.9 | 9 (12.0) | 69.6 | (12.3) |
| | ≤70 years old | 1444 | 49 | 1412 | 47.85 | 2856 | 48.4 |
| | >70 years old | 1512 | 51 | 1539 | 52.15 | 3051 | 51.7 |
| Ethnicity | Asian | 391 | 13 | 412 | 13.96 | 803 | 13.6 |
| | Black | 39 | 1.3 | 30 | 1.02 | 69 | 1.17 |
| | Other | 21 | 0.7 | 23 | 0.78 | 44 | 0.74 |
| | White | 2505 | 85 | 2486 | 84.24 | 4991 | 84.5 |
| Marital status | Divorced | 202 | 6.8 | 204 | 6.91 | 406 | 6.87 |
| | Married | 1736 | 59 | 1672 | 56.66 | 3408 | 57.7 |
| | Other | 35 | 1.2 | 36 | 1.22 | 71 | 1.2 |
| | Partner | 222 | 7.5 | 206 | 6.98 | 428 | 7.25 |
| | Single | 276 | 9.3 | 308 | 10.44 | 584 | 9.89 |
| | Windowed | 485 | 16 | 525 | 17.79 | 1010 | 17.1 |
| Living | Living alone | 829 | 28 | 876 | 29.68 | 1705 | 28.9 |
| arrangements | Institutional living | 10 | 0.3 | 6 | 0.2 | 16 | 0.27 |
| | Other | 12 | 0.4 | 12 | 0.41 | 24 | 0.41 |
| | Living with someone else | 2105 | 71 | 2057 | 69.71 | 4162 | 70.5 |
| Employment | Full time | 651 | 22 | 592 | 20.06 | 1243 | 21 |
| status | Other | 47 | 1.6 | 55 | 1.86 | 102 | 1.73 |
| | Part time | 183 | 6.2 | 176 | 5.96 | 359 | 6.08 |
| | Retired | 1981 | 67 | 2035 | 68.96 | 4016 | 68 |
| | Unemployed or disabled | 82 | 2.8 | 84 | 2.85 | 166 | 2.81 |
| | Voluntary | 12 | 0.4 | 9 | 0.3 | 21 | 0.36 |
| Independent | | 2782 | 94 | 2793 | 94.65 | 5575 | 94.4 |
| before stroke | | | | | | | |
| Past medical history | Coronary heart disease | 462 | 16 | 468 | 15.86 | 930 | 15.7 |
| , | Ischaemic stroke/transient ischaemic attack | 477 | 16 | 509 | 17.25 | 986 | 16.7 |
| | Diabetes | 620 | 21 | 609 | 20.64 | 1229 | 20.8 |
| | Current/past hyponatraemia | 31 | 1.1 | 37 | 1.25 | 68 | 1.15 |
| | Intracranial bleed | 56 | 1.9 | 56 | 1.9 | 112 | 1.9 |

Table 1. Baseline data comparing the characteristics of AFFINITY, FOCUS and EFFECTS trial participants by randomised group

| | Upper gastrointestinal | 59 | 2 | 71 | 2.41 | 130 | 2.2 |
|------------------------------------|------------------------------|------------------|------|---------|------------|---------|---------|
| | bleed Bone fractures | 533 | 18 | 519 | 17.59 | 1052 | 17.8 |
| | Depression | 220 | 7.4 | 193 | 6.54 | 413 | 6.99 |
| Stroke diagnosis | Not-stroke | 7 | 0.2 | 4 | 0.14 | 11 | 0.19 |
| | Yes | 2920 | 99 | 2916 | 98.81 | 5836 | 98.8 |
| | Haemorrhagic | 330 | 11 | 351 | 11.89 | 681 | 11.5 |
| | Ischaemic | 2621 | 89 | 2598 | 88.04 | 5219 | 88.4 |
| OCSP classification | TACS | 544 | 21 | 552 | 21.25 | 1096 | 21 |
| of ischaemic | PACS | 1138 | 43 | 1124 | 43.26 | 2262 | 43.3 |
| stroke (shown for | LACS | 522 | 20 | 491 | 18.9 | 1013 | 19.4 |
| ischaemic stroke | POCS | 371 | 14 | 393 | 15.13 | 764 | 14.6 |
| only) | Uncertain | 46 | 1.8 | 38 | 1.46 | 84 | 1.61 |
| Causes of | Large artery disease | 505 | 19 | 456 | 17.55 | 961 | 18.4 |
| ischaemic stroke | | | | | | | |
| (modified TOAST classification) | Small vessel disease | 728 | 28 | 673 | 25.9 | 1401 | 26.8 |
| (shown for | Embolism from heart | 613 | 23 | 666 | 25.64 | 1279 | 24.5 |
| ischaemic stroke only) | Another cause | 72 | 2.8 | 59 | 2.27 | 131 | 2.51 |
| | Unknown/uncertain | 703 | 27 | 744 | 28.64 | 1447 | 27.7 |
| Predictive | Able to walk | 1109 | 38 | 1087 | 36.83 | 2196 | 37.2 |
| variables | Able to lift both arms | 1964 | 66 | 1943 | 65.84 | 3907 | 66.1 |
| | Can talk | 2357 | 80 | 2381 | 80.68 | 4738 | 80.2 |
| Predicted 6-month | Median (Q1,Q3) | | | | | | .42 |
| outcome based on | | 0.44 (0.12,0.78) | | |).12,0.77) | | 2,0.77) |
| Six simple | 0 to <=0.15 | 841 | 28 | 842 | 28.53 | 1683 | 28.5 |
| variables | >0.15 to 1 | 2115 | 72 | 2109 | 71.47 | 4224 | 71.5 |
| Neurological deficits | NIHSS: median (Q1,Q3) | 5 (3 | 3,9) | 5 (3,9) | | 5 (3,9) | |
| | Motor deficit | 2442 | 83 | 2434 | 82.48 | 4876 | 82.6 |
| | Aphasia | 720 | 24 | 704 | 23.86 | 1424 | 24.1 |
| Depression at baseline | Current depression | 41 | 1.4 | 35 | 1.19 | 76 | 1.29 |
| Current mood | 2 yes responses | 243 | 8.2 | 221 | 7.49 | 464 | 7.86 |
| [PHQ-2] | 1 yes responses | 306 | 10 | 297 | 10.06 | 603 | 10.2 |
| | 0 yes responses | 2379 | 80 | 2402 | 81.4 | 4781 | 80.9 |
| Delay (days) since | mean (sd) | 6.6 (3.6) | | 6.6 | 5 (3.6) | 6.6 | (3.6) |
| stroke onset at | 2-8 days | 2122 | 72 | 2121 | 71.87 | 4243 | 71.8 |
| randomisation | 9-15 days | 834 | 28 | 830 | 28.13 | 1664 | 28.2 |
| Details of | Enrolled as an | 2921 | 99 | 2905 | 98.44 | 5826 | 98.6 |
| enrolment | inpatient Proxy consented | 716 | 24 | 741 | 25.11 | 1457 | 24.7 |
| | Patient consented | 2230 | 75 | 2196 | 74.42 | 4426 | 74.9 |

Abbreviations: Q1=value below which 25% of the distribution lies, Q3=value below which 75% of the distribution lies, sd=standard deviation

NIHSS National Institute of Health Stroke Scale OCSP Oxfordshire Community Stroke Project Classification TACS Total Anterior Circulation syndrome PACS Partial Anterior Circulation syndrome LACS Lacunar syndrome POCS Posterior Circulation syndrome TOAST Trial of Org 10172 in acute stroke treatment

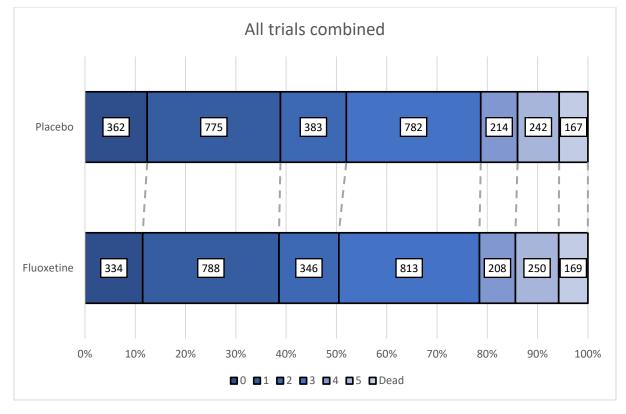


Figure 1 Combined individual participants' mRS scores at 6 months in AFFINITY, FOCUS and EFFECTS

Abbreviations: AFFINITY=assessment of fluoxetine in stroke recovery trial, EFFECTS= efficacy of fluoxetine-a randomised controlled trial in stroke, FOCUS=fluoxetine or control under supervision trial, mRS=modified Rankin scale; 0= no residual symptoms, 1= no significant disability; able to carry out all pre-stroke activities, 2= slight disability; unable to carry out all pre-stroke activities but able to look after self without daily help, 3= moderate disability; requiring some external help but able to walk without the assistance of another individual, 4= moderately severe disability; unable to walk or attend to bodily functions without assistance of another individual, 5= severe disability; bedridden, incontinent, requires continuous care.

Figure 2. Forest plot showing AFFINITY, FOCUS and EFFECTS participants' probability of being alive and independent (mRS score 0-2) in pre-specified subgroups

| Subgroup | Fluoxetine | Placebo | | Common Odds Ratio (95% Cl) | P-Value |
|----------------------------|------------|---------|------------------------------------|----------------------------------|---------|
| Prob of alive independent | 6m | | | | |
| 0 to <=0 15 | 831 | 833 | F | 0.97 (0.81, 1.15) | 0.853 |
| >0 15 | 2077 | 2092 | ⊢ ■-1 | 0.95 (0.86, 1.06) | |
| Delay from onset to randor | mise | | | | |
| Days 2-8 | 2088 | 2103 | ⊢ - ⊢I | 0.95 (0.85, 1.06) | 0.721 |
| Days 9-15 | 820 | 822 | ⊢ | 0.98 (0.83, 1.17) | |
| Motor deficit | | | | | |
| No deficit | 507 | 511 | | 0.91 (0.73, 1.14) | 0.632 |
| Deficit | 2401 | 2414 | ⊢ ∎-1 | 0.97 (0.88, 1.07) | |
| Presence of aphasia | | | | | |
| No aphasia | 2197 | 2229 | ⊢− +1 | 0.93 (0.84, 1.04) | 0.305 |
| Aphasia | 711 | 696 | | 1.04 (0.86, 1.26) | |
| Stroke type | | | | | |
| Haemorrhagic | 324 | 347 | | 0.92 (0.70, 1.21) | 0.764 |
| lschaemic | 2580 | 2576 | -∎-I | 0.97 (0.88, 1.07) | |
| Age grouo | | | | | |
| <=70 years | 1419 | 1399 | ⊢ • | 0.99 (0.87, 1.13) | 0.327 |
| >70 years | 1489 | 1526 | | 0.92 (0.81, 1.04) | |
| Mho gave consent | | | | | |
| Proxy | 708 | 738 | ⊢ | 0.96 (0.79, 1.15) | 0.975 |
| Patient | 2190 | 2174 | ⊢ − −1 | 0.95 (0.86, 1.06) | |
| Inability to assess mood | | | | | |
| No | 2336 | 2365 | ⊢− + | 0.92 (0.84, 1.02) | 0.097 |
| Yes | 572 | 560 | ⊢ + ■ −4 | 1.12 (0.90, 1.38) | |
| Baseline depression | | | | | |
| No depression | 2647 | 2688 | ⊢− -1 | 0.96 (0.87, 1.06) | 0.999 |
| Depression | 261 | 237 | | 0.95 (0.69, 1.30) | |
| Ethnicity | | | | | |
| Asian | 384 | 410 | | 1.03 (0.76, 1.32) | 0.153 |
| Black | 39 | 27 | I | 1.79 (0.68, 4.69) | |
| White | 2465 | 2468 | | 0.95 (0.86, 1.05) | |
| Other | 20 | 20 | | 2.01 (0.54, 7.47) | |
| Country | | | | | |
| Australia | 260 | 254 | | 0.85 (0.61, 1.16) | 0.159 |
| New Zealand | 22 | 20 | I | 2.95 (0.87, 9.99) | |
| Sweden | 733 | 740 | | 0.97 (0.81, 1.17) | |
| United Kingdom | 1553 | 1553 | | 0.95 (0.83, 1.08) | |
| Vietnam | 340 | 358 | | 1.01 (0.77, 1.33) | |
| Overall | 2908 | 2925 | | 0.96 (0.88, 1.05) | 0.373 |
| | | | | - | |
| | | | 1 2 3 4 5 6 7 8 10 | | |
| | | | Favours control Favours fluoxetine | | |

Table 2 Secondary outcomes for participants in AFFINITY, FOCUS and EFFECTS at 6 months

| | Fluoxetine | | | Placebo | | |
|-------------------------|------------|------------------------------|------|-----------------------|----------------|--|
| | N Score | | N | Score | P value for | |
| | | | | | difference | |
| | | | | | between groups | |
| SIS Strength | 2676 | 68.75 (43.75 <i>,</i> 87.50) | 2689 | 68.75 (43.75, 87.50) | 0.6719 | |
| SIS Hand ability | 2671 | 70.00 (18.75,95.00) | 2688 | 75.00 (20.00, 95.00) | 0.9409 | |
| SIS Mobility | 2681 | 77.78 (47.22, 94.44) | 2705 | 80.56 (47.22, 94.44) | 0.9174 | |
| Motor* | 2680 | 71.25 (40.07, 89.81) | 2696 | 71.39 (41.83, 89.58) | 0.9335 | |
| SIS Daily activities | 2680 | 80.00 (47.50, 95.00) | 2698 | 80.00 (47.50, 95.00) | 0.7577 | |
| Physical function** | 2681 | 72.71 (42.12, 90.90) | 2700 | 73.00 (43.70, 90.42) | 0.984 | |
| SIS Memory | 2668 | 85.71 (67.86, 100.00) | 2694 | 89.29 (67.86, 100.00) | 0.1194 | |
| SIS Communication | 2678 | 92.86 (78.57, 100.00) | 2700 | 92.86 (78.57, 100.00) | 0.3438 | |
| SIS Emotion | 2648 | 77.78 (63.89, 88.89) | 2681 | 75.00 (61.11, 89.89) | 0.0002 | |
| SIS Participation | 2673 | 68.75 (43.75, 90.63) | 2681 | 68.75 (43.75, 90.63) | 0.4301 | |
| Recovery (VAS) | 2671 | 70.00 (50.00, 85.00) | 2700 | 70.00 (50.00, 85.00) | 0.7868 | |
| Vitality, 5 item scale: | 2098 | 56.25 (43.75, 75.00) | 2094 | 56.25 (43.75, 75.00) | 0.5879 | |
| (EFFECTS/FOCUS only) | | | | | | |
| Vitality, 6 item scale: | 2668 | 60.00 (43.75, 75.00) | 2686 | 60.00 (43.75, 75.00) | 0.6778 | |
| (AFFINITY only) | | | | | | |
| EQ5D-5L | 2678 | 0.66 (0.37, 0.84) | 2699 | 0.67 (0.35, 0.84) | 0.9174 | |

Abbreviations: AFFINITY=assessment of fluoxetine in stroke recovery trial, EFFECTS= efficacy of fluoxetine-a randomised controlled trial in stroke, EQ5D-5L=Euroquol 5D 5 level, FOCUS=fluoxetine or control under supervision trial, N=number, P=probability, SIS=stroke impact scale, VAS=visual analogue scale

* Mean of the Strength, Hand ability, and Mobility domains

** Mean of the Strength, Hand ability, Mobility, and Daily activities domains

p-values are from Wilcoxon two-sample test

| Table 3 Adverse events for participants in AFFINITY, | FOCUS and EFFECTS at 6 months |
|--|-------------------------------|
|--|-------------------------------|

| | Fluoxetine N | % | Placebo N | % | Difference in % (95%CI) | P value |
|---|-----------------|-------|--------------|-------|-------------------------|---------|
| Further stroke | 110 | 3.72 | 118 | 4 | -0.28 (-1.26, 0.71) | 0.58 |
| Any thrombotic event (acute coronary event, thrombosis, ischaemic stroke) | 119 | 4.03 | 129 | 4.37 | -0.35 (-1.37, 0.68) | 0.51 |
| Ischaemic stroke | 74 | 2.5 | 71 | 2.41 | 0.10 (-0.69, 0.89) | 0.81 |
| Other thrombotic events | 25 | 0.85 | 33 | 1.12 | -0.27 (-0.78, 0.23) | 0.29 |
| Acute coronary events | 22 | 0.74 | 33 | 1.12 | -0.37 (-0.86, 0.12) | 0.13 |
| Any bleeding event | 55 | 1.86 | 48 | 1.63 | 0.29 (-0.43, 0.90) | 0.49 |
| Haemorragic stroke | 12 | 0.41 | 10 | 0.34 | 0.07 (-0.24, 0.38) | 0.67 |
| Upper gastrointestinal bleed | 26 | 0.88 | 21 | 0.71 | 0.17 (-0.29, 0.62) | 0.47 |
| Other major bleed | 20 | 0.68 | 20 | 0.68 | -0.00 (-0.42, 0.42) | 1.00 |
| Epileptic seizure | 78 | 2.64 | 53 | 1.8 | 0.84 (0.09, 1.59) | 0.03 |
| Fall with injury | 185 | 6.26 | 133 | 4.51 | 1.75 (0.60, 2.90) | 0.0029 |
| New fracture | 93 | 3.15 | 41 | 1.39 | 1.76 (0.10, 2.51) | <0.0001 |
| Hyponatraemia | 36 | 1.22 | 18 | 0.61 | 0.61 (0.12, 1.09) | 0.01 |
| Hyperglycaemia | 26 | 0.88 | 17 | 0.58 | 0.30 (-0.13, 0.74) | 0.17 |
| Symptomatic hypoglycaemia | 25 | 0.85 | 13 | 0.44 | 0.41 (-0.00, 0.81) | 0.05 |
| New depression | 297 | 10.05 | 396 | 13.42 | -3.37 (-5.01, -1.73) | <0.0001 |
| New antidepressant | 346 | 11.71 | 451 | 15.28 | -3.58 (-5.32, -1.84) | <0.0001 |
| Attempted or actual suicide | 4 | 0.14 | 5 | 0.17 | -0.03 (-0.23, 0.16) | 0.75 |

Abbreviations: AFFINITY=assessment of fluoxetine in stroke recovery trial, CI=confidence interval, EFFECTS= efficacy of fluoxetine-a randomised controlled trial in stroke, FOCUS=fluoxetine or control under supervision trial, N=number, P=probability

Table 4. Grade assessment for the primary outcome in the combined AFFINITY, FOCUS and EFFECTs trial data set

| Domains for assessing certainty of evidence | Results section | Our assessment |
|--|--|---|
| Risk of bias | Five domains were evaluated (randomisation process, deviations from intended interventions, missing outcome data, measurement of outcome, selection of reported results) | Not downgraded as all three trials were at low risk of bias |
| Inconsistency | Describe the degree of inconsistency by outcome using one or more indicators (e.g. I ² and P value), confidence interval overlap, difference in point estimate, between-study variance. | Not downgraded because the proportion of the variability in effect estimates that is due to true heterogeneity rather than chance is not important ($I^2 = 0\%$). |
| Indirectness | Describe if the majority of studies address the PICO – were they similar to the question posed? | Not downgraded as all three trials addressed the same PICOs |
| Imprecision | Describe the number of events, and width of the confidence intervals. | The confidence intervals for the COR of mRS at 6 months (our primary outcome) was narrow |
| Publication bias | Describe the possible degree of publication bias. | The risk is low as the protocol stated that three trials would be included and they have all been published |
| Large effects (upgrading) | Describe the magnitude of the effect and the widths of the associated confidence intervals. | Confidence intervals for the COR were narrow |
| Dose response (upgrading) | The studies show a clear relation with increases in the outcome of an outcome (e.g. lung cancer) with higher exposure levels. | Not relevant |
| Opposing plausible residual bias and confounding (upgrading)Describe which opposing plausible biases and confounders have not been considered. | | All three trials controlled for all plausible confounders |

Abbreviations: AFFINITY=assessment of fluoxetine in stroke recovery trial, COR=common odds ratio, EFFECTS= efficacy of fluoxetine-a randomised controlled trial in stroke, FOCUS=fluoxetine or control under supervision trial, I²=statistical measure of study heterogeneity, mRS=modified Rankin scale, P= probability, PICO=patient/population, intervention, comparison and outcomes