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Article Fairness in Higher Education Research and Innovation Funding in the UK

Removed for peer-review

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Abstract: This research analysed the distribution of publicly awarded funding, by United Kingdom 5 Research and Innovation (UKRI), to defined University Groups and compared it against the aim 6 and objectives of UKRI's Equality, Diversity, and Inclusivity (EDI) strategy. Previous work has iden-7 tified failures in the allocation of research awards, with some groups being under-represented in 8 successful bids. UKRI have acknowledged the situation needs to improve yet concern exists over 9 whether change has been enacted. Publicly accessible information provided freely by UKRI was 10 used in conducting a comprehensive analysis of the current position. The results showed that the 11 marginalisation of groups applies at a University Group level, with over-representation of the elite 12 universities of the Russell Group in successfully funded project bids. The data shows how, both in 13 total financial expenditure and in the number of projects financed, the awarding of research funding 14 to the universities with the largest popular base, namely the group identified as Post-92 universities, 15 is relatively very small. These universities, as identified by measurements such as social inclusion 16 within university rankings, contribute to greater equality of opportunities for student populations 17 and reduce the impact of economic discrimination. By greatly minoritising the Post-92 universities 18 through funding UKRI is effectively reducing access for their disadvantaged students. 19

Keywords: Equality; Funding; Universities; UKRI; EDI

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INTRODUCTION

The University in which a person studies or bases their research activity is a crucial 23 factor in the development of their professional career. Graduating from a prestigious uni-24 versity has historically led to a greater likelihood of obtaining more desirable jobs, higher 25 earnings, and the potential to occupy key management positions in organizations (the last 26 six UK Prime Ministers, for example, are all alumni of the University of Oxford). For re-27 search focused careers, collaborating with a renowned university represents the best op-28 portunity for academics to secure funding for their work on a larger scale, particularly 29 important as such income generation is now viewed as a fundamental aspect of the role 30 (Boeren, 2023). 31

The aim of the research undertaken for this paper was to analyse the distribution of 32 publicly awarded funding by UK Research and Innovation (UKRI), and to compare it 33 against UKRI's Equality, Diversity, and Inclusivity (EDI) strategy. UKRI is a public body 34 that draws together Research England (an organisation supporting research at higher ed-35 ucation institutions), Innovate UK (an innovation agency) and seven discipline-focused 36 research councils (for example, Economic and Social Research Council). According to 37 UKRI (2023a) their roles are to; provide investment and support for researchers, help re-38 searchers develop new skills, enable collaboration and engagement, and improve the ca-39 pabilities across the research system. Creating, and fulfilling EDI objectives is important 40 in avoiding bias in the decisions made in carrying out these roles, and the significance of 41 UKRI's remit lies in the knowledge that UK universities rely heavily on UK-originating 42 research funding for this aspect of their work (Boeren, 2023). Therefore, it would be ex-43 pected that funding would follow an intent to improve EDI in access to research. Publicly 44 accessible information via Gateway to Research (GtR) provided by UKRI has been used 45 in this research. According to UKRI¹, GtR was developed by the Research Councils to enable users to search and analyse information about publicly funded research. It includes information about projects supported by all seven Research Councils, UKRI, Innovate UK and NC3Rs and can be filtered by key terms, funder, start year etc. 49

To achieve this aim, the paper will, first, identify different categories of institutions 50 in the UK higher education sector and how they have been grouped both through their 51 own collaboration and in common parlance. It will then analyse, in detail, how UKRI 52 funding has been distributed amongst these institutional categories. In addition, this pa-53 per considers decisions between the requested and awarded amounts of funding for pro-54 jects by institutions within these categories for these different institutions. Finally, the re-55 sults will be compared against UKRI's commitment to EDI. Recommendations will finally 56 be provided to maximise UKRI EDI strategy in distribution of funding. 57

UK Higher Education Institutions

The UK higher education institution (HEI) landscape is a product of centuries old 59 founding of institutions, changing economic and social fortunes, and more modern government policies. The University of Oxford records teaching as far ago as 1096, the University of Liverpool was established in 1881, whereas the University of Suffolk was awarded university status in 2016. These developments, and how the institutions, particularly universities (rather than the smaller number of university colleges and other bodies), style themselves, in what is a competitive market for students and research work, has given rise to group identities (Table 1).

GroupNumber of Mem-
bersRussell Group universities24Plate glass universities24Post-92 universities78Other universities (non-member/independent/private)29Other Research/Knowledge Exchange (RKE) Institutions1200 - 2000*

Table 1. Type of Institutions/Groups Receiving UKRI Funding.

*This is an estimated figure as the number of research institutions fluctuates annually.

The 'Russell Group' is a membership body, formed in 1994. It includes some of the 70 oldest and highly prestigious universities in the UK among their 24 members (www.russellgroup.ac.uk), institutions such as the Universities of Oxford, Cambridge, and Edin-72 burgh. Additionally, the Russell Group represents some universities formed in the wake 73 of the industrial revolution, so-called 'red brick' organisations, for example the University 74 of Nottingham and University of Sheffield. 75

The 'Plate Glass' group, indicative of the architectural style of the time, is a term used 76 to represent the universities founded between the 1960s and early 1990s (e.g. Lancaster). 77 A description, rather than an interest-led working group, there are 27 organisations that 78 have been given this moniker, although three 'Plate-Glass' universities are now within the 79 Russell Group representation, the most recent of whom reportedly paid a half a million-80 pound fee for the privilege (Jump, 2013). To avoid duplication of results during this pa-81 per's funding analysis, the three universities (Warwick, York, and Newcastle) are only in-82 cluded in the Russell Group, thus, the 'Plate-Glass' group consist of only 24 members for 83 the purpose of this study. 84

The third group are 'Post-92' universities - a reference to former polytechnics or colleges that were awarded university status in the year 1992. Post-92 is simply a descriptive 86

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¹ This was mentioned to us via email by UKRI, when a freedom of Information Act request was sent to them to access UKRI Grant data.

term rather than a body formed to represent their interests (although within the 'Post-92' 87 universities there are member groups such as Million Plus and the University Alliance). 88 This group consists of 78 members. 89

Despite very different geographical locations, subject specialism, and student popu-90 lation bases, the above three group terms are in widespread use in perceptions of UK uni-91 versities and drive the little disputed notion that the UK has a differentiated university 92 system (Boliver, 2015). There are other Research and Knowledge Exchange (RKE) institu-93 tions that do not easily fit within the above three classifications. For example, the Univer-94 sity of St Andrews (currently the leading institution according to The Times rankings) is 95 not a member of any of the identified groups, and the University of Buckingham is a pri-96 vate venture. It is easy to confirm if an organisation is an officially recognised higher ed-97 ucation awarding body, as their registration is held by government and this can be readily 98 checked on the Office for Students (OfS) online register. However, an organisation's non-99 alignment with, or difficulty in ascribing them to, the three named groups has led to them 100 being placed in the 'Other universities' category for the purposes of this research. Alter-101 native listings of higher education institutes may disagree with some of them being clas-102 sified as 'other', and so a comparable research exercise may differ slightly on the number 103 of members. For example, Boliver (2015) categorises universities/institutions in a different 104 way based on a range of other factors such as teaching and academic selectivity that are 105 not part of the focus of this paper. What can be confirmed is that none of the universities 106 placed in this 'Other universities' group would be considered as members of any of the 107 other named groups. 108

Defining the category of 'Other RKE Institutions' (Table 1) is challenging. These are 109 institutions that undertake Research and Knowledge Exchange (RKE) activities and re-110 ceive UKRI funding but are not necessarily classed as a university. They operate under 111 their own authority, but many of these institutions are linked to universities, particularly, 112 Russell Group Universities. For example, the High Value Manufacturing Catapult 113 (HVMC) is categorised under 'Other RKE institutions'. The HVMC has seven centres in 114 the UK and one of them (WMG) is an academic department at the University of Warwick. 115 Not all these institutions can be identified easily, and some institutions may only exist for 116 a specific RKE project rather than having the wider remit and longevity of universities. 117 For this reason, there is a need to highlight that the number of members in the group 118 'Other RKE Institutions' fluctuates over time. 119

Finally, it is worth noting that identifying the total number of universities in the UK 120 is a similarly difficult task. The Guardian newspaper (2024) lists 122 universities in its 121 league tables; the Times newspaper (2024) lists 131 institutions as universities; and Uni-122 versities UK (2024), described as "the collective voice of universities in England, Scotland, 123 Wales and Northern Ireland", names 142 institutions as universities in the UK. Possible 124 reasons for discrepancy in figures could be due to organisational status and independ-125 ence, for example, the University of London is a federation of 17 higher education organ-126 isations that may or not be counted individually. Notwithstanding the above, the total of 127 155 universities in Table 1 are based on the number of individual entries in the UKRI fund-128 ing data. This includes 140 individual universities, 4 university colleges, and 11 institu-129 tions from the University of London. 130

UK Research and Innovation (UKRI)

In the 'Case for the Creation of UKRI', the Department for Business Innovation and Skills (2016, p3) argued that "multi or inter-disciplinary approaches and increased collaboration across traditional boundaries and organisations" is required (namely the UKRI). Thus, UKRI was founded on 1 April 2018 by the Higher Education and Research Act (2017) to unify nine different previous research bodies under one lead organisation (Table 2). Those research bodies continue to exist and distribute funding, but now do so within the UKRI's overall strategy. 138

Table 2. Funding Bodies Overseen by UKRI.

Acronym	Funders
AHRC	Arts and Humanities Research Council
BBSRC	Biotechnology and Biological Sciences Research Council
EPSRC	Engineering and Physical Sciences Research Council
ESRC	Economic and Social Research Council
Innovate UK	Innovate UK - national innovation agency
MRC	Medical Research Council
NC2D _a	National Centre for the Replacement, Refinement & Reduction of Animals in
INCOKS	Research
NERC	Natural Environment Research Council
STFC	Science and Technology Facilities Council
UKRI	UK Research and Innovation

The UKRI allocates funding for collective programmes and to each of the different councils, which act as separate funders. UKRI finances researchers, businesses, universi-142 ties, NHS bodies, charities, non-governmental organisations (NGOs) and other RKE insti-143 tutions. A dual support model is used to allocate funds: grants for individual research 144 projects across the UK (through the research councils) and block grants for research insti-145 tutions in England (through Research England). UKRI restates the commitment to the 146 Haldane Principle (Haldane Report, 1918) of researchers, through peer review, being the 147 arbiters of allocation of research funding rather than government having this power. 148 However, the subsequent creation of this overarching organisation and its appointment 149 of Chair and Chief Executive with oversight of almost all publicly funded research, and 150 the power to set priorities for such funded research, has been heavily criticised as leading 151 to the abandonment of that Principle (Holligan and Shah, 2017). 152

The funding process operates through initial peer review of applications across pub-153 lished criteria. Some of the reviewers can be nominated by the applicants. Bids then pro-154 ceed to an independent panel where they are in competition with all the other applications 155 for funding from that revenue stream. UKRI say their assessment is "designed to be sen-156 sitive to different needs and cultures in the academic community. It reflects the need to 157 support different types of research" (UKRI, 2023b). In support of this research culture, 158 UKRI has published an EDI (equality, diversity and inclusivity) strategy. UKRI uses 159 'equality' within the term EDI rather than 'equity'. This is an important point not just 160 semantics, as 'equity' recognises that individuals and groups are different and need to 161 receive the level of resources that will help them achieve the same outcome. The strategy 162 recognises "untapped talent and potential across the UK" (UKRI, 2023c) and the need to 163 include a broader range of people in funded research. The aim is to "foster a research and 164 innovation system 'by everyone, for everyone". The objectives to achieve this aim do not 165 identify specifically improving the situation for any currently marginalised groups, just 166 that EDI in general need to improve (UKRI, 2023c). 167

UKRI publishes funding data based on diversity of funding applicants and awardees 168 (ethnicity/gender/age/disability), which is a good effort towards EDI strategy. However, 169 there is an acceptance by UKRI that these data show more work is needed to address 170 underrepresentation of certain groups in awards. According to UKRI (2023d), they "are 171 using these data, together with other evidence and engagement with the research and 172 innovation community to help us identify and deliver actions to create a more equitable 173 system", noting "the system needs fixing". UKRI also publishes data that identify geo-174 graphical distribution of funding. In 2020-21, more than half of all UKRI funding (54%) 175 was allocated to the Greater South-East region (UKRI, 2023e) compared to other parts of 176 the UK. This concentration of funding raises concerns about regional inequalities and the 177 potential underfunding of research institutions in less affluent or rural areas (e.g. North-178 west of England), which could impact their ability to contribute to the overall national 179 research and innovation agenda. 180

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Research Funding Distribution

Although UKRI's efforts towards EDI across all its funding streams are evident to some extent, and decisions over how to distribute finite levels of funding are always challenging, some critics argue that, despite policies in place, the funding allocations do not always reflect the intended goals. There are concerns about systemic barriers that hinder underrepresented groups' access to resources and opportunities, leading to disparities in funding distribution.

A study by Fransman et al. (2018, p7), relating to UK research funding policy and 188 collaboration with the Global South, noted "approaches, systems and structures that un-189 dermine fair and equitable partnership". Within this study, evidence of hierarchies, and 190 who determines the value of potential research, were highlighted as areas in need of re-191 form. Some five years later, Gladstone et al. (2023 p3), in their analysis of UK funding, 192 identified schemes that "marginalised groups face systemic barriers to securing research 193 funding, that are created and controlled by funders and universities". These barriers spe-194 cifically included "vulnerability to bias of both schemes and decision-making" and "failure 195 to account for structural inequality in decision-making" (Gladstone et al., 2023 p3). Glad-196 stone et al.'s (2023) criticisms of the current funding system are many and highlight the 197 need to minimise ambiguity in scoring bids, to rebalance the assessment of bids on past 198 achievement in favour of potential to deliver outcomes, and the need for those in a deci-199 sion-making capacity to recognise their own bias. 200

In highlighting inequality in the funding system, Gladstone et al. (2023) refer partic-201 ularly to researchers who are women, racially minoritised, LGBTQIA+, and disabled. 202 Sarju (2021) expands on one of these characteristics, noting the under-representation of 203 scientists with a declared disability within UKRI applications. The UKRI's own work is 204 cited in identifying only 1% of applicants disclose a disability, perhaps wisely when there 205 are lower award rates for principal investigators with such a disclosure. Similarly, Jebsen 206 et al. (2020) spotlight the gender imbalance in both the number of funding awards the 207 UKRI gives to teams led by women, and crucially that the UKRI's data releases mask dis-208 crepancies in the sums and relative prestige of those awards. Certainly, the research for 209 this paper found challenges relating to the format of the publicly available data, and some 210 absences in the records of awards. Importantly, Jebsen et al. (2020) draw attention to in-211 tersectionality, a consideration that Lia et al. (2020) also highlight, in so far as compart-212 mentalisation of the data across singular identities by UKRI ignores intersectional experi-213 ences. Lia et al. (2020) additionally add socio-economic class to the factors by which some-214 one may be marginalised in UK research funding. It is worth noting that, in the recent 215 Times rankings of UK universities (The Times, 2024) 16 of the bottom 20 places assessed 216 according to social inclusion are occupied by Russell Group universities. By this measure-217 ment, they are, by some considerable margin, the group least likely to offer opportunities 218 to disadvantaged learners. 219

Other research suggests the status of individuals and institutions, whether the appli-220 cant comes from the reviewer's community, and the applicant's previous success are suf-221 ficiently relevant to outcomes that suggest favouritism (Lawson and Salter, 2023). Lawson 222 and Salter (2023) use this knowledge to examine the likelihood of additional funding ap-223 plications from an institution being awarded a grant by UKRI, if there is an overlapping 224 award for the same institution in the same round of funding. They concluded that there is 225 a 22.5% lower chance of receiving funding in such cases if the institution has already been 226 awarded greater than 10% of the overall level of funding, and that panels may consider 227 the diversity of successful institutions when making awards. However, they also find that 228 peer review college membership, affiliation to one of the leading universities, and other 229 personal characteristics such as having a British-sounding name do increase the chances 230 of receiving funding, and that high status institutions may receive a greater degree of le-231 niency (Lawson and Salter, 2023). Moreover, the findings suggest if there is a degree of 232 institutional diversity within the existing funding awards already, the panels judging ap-233 plications are less concerned with allocating the remaining funding to a widened range of 234

applicants (Lawson and Salter, 2023). As useful as this analysis is, what it does not identify
is, if the diversity of successful applications is coming from a wide range of universities.
Instead, it implies that a high-status university is less likely to receive further funding if it
has already secured over 10% of the total funding (within the same round of funding). In
such cases, another high-status university may be the next recipient. This pattern appears
to be particularly true for the "most prestigious funding," which tends to "flow" predominantly to Russell Group universities (Boeren, 2023, p. 20).

Considering all current research relating to UKRI funding and the importance of EDI, 242 a gap exists in identifying disparities (if any) of funding allocations to UK universities/in-243 stitutional categories (as identified in Table 1); and the impact this has on UKRI EDI strat-244 egy. Although some universities are popularly ranked higher than others, do more re-245 search than others, and receive more funding than others; what is less clear is the extent 246 to which that situation is being perpetuated by public-funding. Moreover, if that public-247 funding commits to improving the number of awards going to currently marginalised 248 groups, it is important to recognise how such groups may be impacted through the rejec-249 tion of bids from institutions that have more diverse academic populations. An EDI strat-250 egy, one where the aim is to foster a system 'by everyone, for everyone', would be able to 251 utilise analysis of the public-funding and the continued marginalisation of groups to aid 252 the process of fixing what is perceived to be broken. This paper aims to fulfil that purpose. 253

MATERIALS and METHODS

The UKRI maintains records of all research projects that have been funded by differ-255 ent funding agencies both prior to 2018 and after the creation of UKRI. These data are 256 publicly accessible via their website and the previously mentioned Gateway to Research 257 (GtR). For this research, funding data from 2005 to 2023 were analysed. For the years 2005 258 and 2023, full year data was not available. The reason for partial data for 2023 is because 259 the research for this analysis started mid-year; and it is unclear why 2005 does not have 260 full year data. The funding data provide information on the research project, name of fun-261 der, project code, lead institution (university or any other type of institution), department 262 to which the funding is attached to, project category, the main researcher/s, funds 263 awarded (< £100K, £100K- £1M, £1M-£10M, above £10M), and project status (ac-264 tive/closed). The funding data for the period of 2005-2023 were downloaded in CSV for-265 mat. The downloaded files were then converted to suitable formats for processing. This 266 was quite a lengthy exercise as the data was not on a continuous dataset/datasheet. It took 267 considerable efforts to compile an accurate list of funding awards in a format that was 268 deemed satisfactory for analysis. This discourages scrutiny of funding awards. 269

In the second phase of the work, the compiled list of funding awards was refined. 270 The UKRI data produced 134,955 records when downloading the complete database in 271 one process. However, examination of the data showed 3,627 records (2.69%) contained 272 errors that could not be resolved. These were a result of data not being correctly assigned 273 to the appropriate field in the UKRI source. Therefore, these data were removed and a 274 total 131,328 records (97.31% of complete database) were taken for the final analysis. 275

In the third phase of analysis, the data were clustered according to previously iden-276 tified institutional groups (Table 1). Data accessible from UKRI do not show this in their 277 raw format, instead, each individual funding award must be manually linked to its recip-278 ient institution, and the institutions and award data must be grouped (as per Table 1) and 279 collated accordingly. Herein, the existence of consortia in awarded projects should be 280 noted. The data provided by UKRI identifies the lead participant in a project, but also lists 281 the other participants and the funding they received for the same project. For example, in 282 2014/15 a project called "Tier2Tier" was led by the company "Viewpoint Construction", 283 with the University of Northumbria a partner in the project. Further examples were 284 checked to confirm that sums were not counted twice or allocated to the lead partner dur-285 ing the analysis, which could skew the findings and might lead to invalid conclusions. 286

Therefore, careful consideration was given when analysing the data to reduce duplica-
tions, especially when the project was awarded to a consortium.287288288

During the fourth phase, data from UKRI were analysed to identify the funding re-289 quested and awards in terms of the number and value of projects. As earlier analysis iden-290 tifies no major annual differences, one year has been analysed in detail to represent data 291 behaviour for the whole period. The analysis undertaken in this work is descriptive. This 292 is deliberate as this information is simply not presented in UKRI, academic, or media dis-293 cussions. Others have done more specific analysis on selected groups, but no current work 294 exists showing the scale and challenges inherent in UKRI funding awards. There is a need 295 to present the headline results for the whole UK higher education sector and how they 296 relate to the stated intentions in being more equitable, diverse, and inclusive of their main 297 public funding body. 298

To strengthen the analysis, a null hypothesis test was also undertaken. The null hypothesis is that 'there is no significant correlation/bias between UKRI funding allocations299pothesis is that 'there is no significant correlation/bias between UKRI funding allocations300and type of University'. Testing this hypothesis by examining how funding is distributed301helps determine whether the large share of funding going to Russell Group universities is302because of their high performance, or if it is due to unfair advantages built into the system.303To further evaluate the above, a comparative analysis using institutional rankings was304also carried out against:305

- The Guardian University Rankings: This ranks UK universities based on a variety of measures, including; Student satisfaction (data from the National Student Survey -NSS on student satisfaction rates), Teaching (what students say about their teaching and feedback in the NSS), University entry standards, Value addition to students, Career prospects, and Expenditure per student (The Guardian, 2024).
- The Research Excellence Framework (REF): This framework evaluates research impact, quality of outputs and research environment across UK institutions and is the main system for assessing research excellence in UK universities (REF 2029, 2024).
- Times Higher Education (THE) Rankings: This is a global ranking system that evalu-314 ates universities across; Teaching (learning environment), Research environment (vol-315 ume, income, and reputation), Research quality (citation impact, research strength, 316 research excellence, and research influence), International outlook (Staff, students, 317 and research), and Industry (Income and patents). The rankings are based on 18 per-318 formance indicators and are updated annually. They are considered the gold standard 319 for global higher education rankings and are trusted by students, parents, and aca-320 demics (THE, 2024). 321

The results comprise the following sections: Overall analysis of UKRI funding; UKRI funding allocation per university group; Funding allocation by each UKRI funder; Funding Success Rate; Null Hypothesis and Comparative Testing.

RESULTS

Overall Analysis of UKRI Funding

The data from UKRI showed that total funding allocated to research and knowledge 327 exchange projects in the period 2005 - 2023 (Figure 1 - noting 2005 and 2023 as incomplete 328 years and thus excluding them from this representation) was £55,202 million; and the total 329 number of projects awarded during the period was 131,331. This gives an annual average 330 of £2,905 million in funding awarded for an average number of 6,912 funded projects. The 331 year of 2018 had the highest amount of annual funding at £5,221 million. The official 332 launch of UKRI in April 2018 may be related to the surge in funding this year. The highest 333 number of projects (13,439) were awarded in the year 2020. This rise in the number of 334 projects could be due to the Covid-19 pandemic, which prompted governments world-335 wide to support many projects on different areas of research relating to the crisis. An av-336 erage of £420,330 has been awarded per project, but this is across a very broad range of 337

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funding awards, with the highest single application receiving £652.1 million (High-Value 338 Manufacturing Catapult project) and 435 other projects receiving £10 million or more. 339

Figure 1. UKRI Total Funding and Number of Projects Funded (2006-2022).

UKRI Funding Allocation per University Group

As was expected from the findings of the literature review, the university group re-343 ceiving the largest sum of funds from UKRI is the Russell Group. What was not as antici-344 pated was the extent of the gap between those 24 universities and the other higher educa-345 tion institutions. The Russell Group received a total of £29,026 million (53% of total fund-346 ing) across 71,892 projects (55% of total projects) between 2005-2023 (Figures 2 and 3). The 347 second largest group that has received UKRI funding is the 'Other RKE Institutions' 348 group. They have been awarded a total of £18,654 million (33% of total funding) for 30,940 349 projects (24% of total projects). The Plate Glass group of universities have received £4,439 350 million (8% of total funding) across 14,720 projects (11% of total projects). In fourth place 351 is the Other universities group that has received $\pounds 2,213$ million (4% of total funding) for 352 7,794 projects (6% of total projects). The Post-92 group, despite being the group with the 353 largest number of universities (n=78), representing 50.3% of all funded universities in the 354 UK, received the lowest amount of funding over the period analysed, with £969 million 355 (2% of total funding) for 5,982 projects (4% of total projects). These figures are striking, 356 especially considering that 16 out of the 20 least socially inclusive universities belong to 357 the Russell Group (The Times, 2024), with around 67% of its members accounting for 80% 358 <mark>of the positions that highlight shortcomings in their EDI strategies.</mark> Due to the ambiguity 359 surrounding the membership and fluctuating numbers of the 'other RKE institutions,' 360 they were excluded from further analysis (in some instances). When focusing only on uni-361 versity groups (Figures 4 and 5), the disparity of funding allocation is even more apparent. 362 UKRI has allocated a funding amount of £36,548 million to a total number of 100,338 pro-363 jects to Universities in the UK from 2005-2023. Of that, Russell Group universities receive 364 79% of total funding compared to 12% funding allocated to Plate Glass universities, 6% to 365 Other universities, and only 3% to the Post-92 universities. In terms of number of projects, 366 the analysis highlights a similar dominance by Russell group universities; they have been 367 awarded 71% of the funded projects over the period considered, whilst Plate Glass group 368 have been awarded 15% of projects, Other universities 8% of projects, and just 6% of total 369 funded projects going to Post-92 Universities. These percentages suggest that Post-92 uni-370 versities may be receiving numerous small grant projects but may be failing in obtaining 371 large grants. 372

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Figure 2. UKRI Funding Allocation (%) per University Group (2005-2023).





Figure 3. UKRI Number of Projects Funded (%) per University Group (2005-2023).

Institutions'.

Figure 4. UKRI Funding Allocation (%) per University Group (2005-2023) Excluding 'Other RKE



Figure 5. UKRI Number of Projects Funded (%) per University Group (2005-2023) Excluding 'Other RKE Institutions'.

Funding Allocation by Each UKRI Funder

UKRI oversee nine funding bodies; and their data can be analysed separately to ex-384 amine how funding is distributed by them among different university groups (Table 3). In 385 addition to the nine funders, there is a UKRI funder category that have awarded fellow-386 ship grants and joint research grants. These are identified under the category of 'UKRI 387 other funds' within the analysis. Between 2005-2023 the largest funders within UKRI are 388 EPSRC (£15,652 million representing 28% of the total UKRI funding) and Innovate UK 389 (£12,235 million at 22%), while AHRC and NC3Rs' spend less than 5% of the total UKRI 390 funding. The differences between funding amounts given to funding bodies by UKRI may 391 be due to UK Government's view of certain areas as strategically important for the coun-392 try's long-term development. Funders that align with these strategic priorities may receive 393 increased funding (Department for Science, Innovation and Technology, 2023). Given that 394 EPSRC's focus is on advances in Engineering and physical sciences, they support research 395 that leads for example, to the development of new technologies, innovations, and engi-396 neering solutions. Conducting this type of research can be costly compared to other types 397 of research. Similarly, Innovate UK's emphasis on commercialisation of projects and in-398 dustry collaboration may also need increased funding to drive innovation and support 399 businesses (especially SMEs – Small and Medium Enterprises) in the UK. Perhaps due to 400this reason, Innovate UK has allocated more funding to 'Other RKE institutions' (22% of 401 overall funding). According to 'Innovate UK: Impact Report' (Gov Grant 2022), Rolls-402 Royce PLC claims 7% of all Innovate UK funding; and four of the five top entities they 403 fund are research and technology organisations (RTOs) and Catapults (innovation cen-404 tres). This further emphasises the importance of excluding 'Other RKE institutions' from 405 some of the further analysis, as including entities like Rolls Royce is not appropriate when 406 examining fairness in higher education funding. 407

Table 3. Funding Allocation by Each UKRI funder (2005 - 2023).

	Russell Group		Plate Glass		Post-92		Other Univer- sities		Other RKE Institutions		Total of UKRI Fund- ing/Projects
Funder	Fund- ing £m & %	Pro- jects No. & %	Fund- ing £m & %	Pro- jects No. & %	Fund- ing £m & %	Pro- jects No. & %	Fund- ing £m & %	Pro- jects No. & %	Fund- ing £m & %	Pro- jects No. & %	%
EPSRC	11692 (21.2)	25300 (19.3)	2159 (3.9)	5888 (4.5)	281 (0.5)	1049 (0.8)	601 (1.1)	1757 (1.3)	920 (1.7)	583 (0.4)	28.4/26.3

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Inno- vate UK	603 (1.1)	1238 (0.9)	132 (0.2)	780 (0.6)	138 (0.2)	1198 (0.9)	73 (0.1)	368 (0.3)	11290 (20.5)	22063 (16.8)	22.2/19.5
MRC	5838 (10.6)	8759 (6.7)	314 (0.6)	578 (0.4)	34 (0.1)	75 (0.1)	247 (0.4)	475 (0.4)	2657 (4.8)	1570 (1.2)	16.5/8.7
BBSR C	3503 (6.3)	11346 (8.6)	380 (0.7)	1734 (1.3)	66 (0.1)	343 (0.3)	384 (0.7)	1390 (1.1)	1462 (2.6)	3216 (2.4)	10.5/13.7
NERC	1757 (3.2)	6236 (4.7)	300 (0.5)	1345 (1.0)	68 (0.1)	445 (0.3)	324 (0.6)	1350 (1.0)	1745 (3.2)	1857 (1.4)	7.6/8.6
ESRC	2354 (4.3)	7618 (5.8)	695 (1.3)	1986 (1.5)	115 (0.2)	823 (0.6)	164 (0.3)	806 (0.6)	301 (0.5)	670 (0.5)	6.6/9.1
STFC	1896 (3.4)	5004 (3.8)	209 (0.4)	934 (0.7)	89 (0.2)	438 (0.3)	157 (0.3)	506 (0.4)	74 (0.1)	316 (0.2)	4.4/5.5
AHRC	713 (1.3)	5360 (4.1)	170 (0.3)	1342 (1.0)	155 (0.3)	1559 (1.2)	126 (0.2)	1066 (0.8)	114 (0.2)	539 (0.4)	2.3/7.5
UKRI Other	606 (1.1)	670 (0.5)	71 (0.1)	83 (0.1)	21 (0)	33 (0)	32 (0.1)	45 (0)	85 (0.2)	94 (0.1)	1.5/0.7
NC3Rs	65 (0.1)	361 (0.3)	8 (0)	50 (0)	3 (0)	19 (0)	4 (0)	31 (0)	7 (0)	32 (0)	0.2/0.4
Total per Funder	29027 (52.6)	71892 (54.7)	4438 (8.0)	14720 (11.2)	970 (1.8)	5982 (4.6)	2112 (3.8)	7794 (5.9)	18655 (33.8)	30940 (23.6)	100/100

While Table 3 presents values of funding given by each funding body to each university group, along with the total percentage of UKRI funding allocated to each group, it is also important to understand how each funding body distributes its allocations. This in-412 formation would help funding applicants understand the past success rates of bids from 413 the university group their institution belongs to. Figure 6 shows percentage of each fund-414 ing body's awards distributed by monetary value, while Figure 7 shows the distribution 415 based on the number of funded projects. Figures 8 and 9 present similar calculations but 416 exclude the 'Other RKE institutions' group. 417



Figure 6. Funding Allocation (%) by UKRI Funding Body per University Group.

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Figure 7. Number of Projects Funded (%) by UKRI Funding Body per University Group.



Figure 8. Funding Allocation (%) by UKRI Funding Body per University Group Excluding Other425RKE Institutions.426



Figure 9. Number of Projects Funded (%) by UKRI Funding Body per University Group Excluding428Other RKE Institutions.429

The data in Table 3 and Figures 6 to 9 identify that the Russell Group universities 430 dominate awards from all funders except Innovate UK. Taking the largest UKRI funder 431 by awards, ESPRC, they have granted a total of £15,652 million for 34,577 projects. Russell 432 group universities have received £11,692 million (75% of ESPRC's funding) of this, for 433

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25,300 projects (73% of all ESPRC's funded projects). Post-92 Universities have received 434 just £115 million (1.8%) for 823 projects (3%). For the MRC's funding awards of £9,090 435 million to a total of 11,457 projects, the Russell group has received £5,838 million (64%) for 436 8,759 projects (77%). Post-92 universities have been funded £34 million and have only been 437 awarded 75 projects across a period of 18 years (2005 – 2023). This pattern is replicated 438 across all nine funders, and it is not restricted to just the Russell Group achieving the 439 highest sums and Post-92 group receiving the least. Despite having the same number of 440 members as the Russell Group (24), the Plate Glass group universities also receive com-441 paratively lower proportions of funding; £300 million (7%) for 1,345 projects (8%) by the 442 NERC, and £380 million or 6.5% of the BBSRC's awards. This suggests that Russell Group 443 universities are disproportionately favoured for funding across all funding bodies. This is 444 a trend that appears to stem from a previously identified flawed process, and this is de-445 spite there only being 24 universities in that group compared to 131 non-Russell Group 446 universities, and many hundreds more 'other RKE institutions'. 447

Funding Success Rate

To provide context to the decision-making, it is important to consider success rates 450 of UKRI research project applications. One might assume that the Russell Group univer-451 sities receive more funding because they are more research-intensive and submit a higher 452 volume of applications compared to other groups, leading to larger absolute values in the 453 analysis. This results in generating higher absolute values within the analysis. Therefore, 454 a further analysis was carried out for the period 2015 to 2023 to identify number and value 455 of projects applied for and success ratios (Table 4). This data is obtained from different 456 UKRI databases, and the data is available only from 2015, not 2005. While annual figures 457 varied slightly, the differences were not significant. Therefore, the 2020-21 funding year, 458 which is representative of average funding levels during the period, was selected for 459 closer examination. As Table 4 shows, in 2020-21, for example, 21% of Research and Inno-460 vation Grant projects that were applied for were successful. These 21% of the total number 461 of projects proposed represented 28% of the 'value of total funding requested'. This com-462 pares with a 26/30% average for 2015-23. There were many more applications for Research 463 and Innovation grants than Fellowship awards, so they have been categorized separately, 464 although success rates do not differ greatly. 465

 Table 4. Award rates (number and value %) of UKRI funded research by category (2015-2023).

	2015-20	023 (%)	2020-21 (%)		
		Value of total		Value of total	
	Successful pro-	<mark>funding re-</mark>	Successful project	funding re-	
	ject bids	<mark>quested that was</mark>	bids	quested that was	
		<mark>awarded</mark>		awarded	
Research & Inno-	26	30	21	28	
vation Grant	20	30	21	20	
Fellowship	23	19	22	21	
Total	25	28	21	27	

The individual funders were also analysed for the period of 2020-21 (Table 5).

Table 5. Award rates (number and value) of UKRI funded research by funder (2020-2021).

	2020-21 (%)					
Funder	Successful project hide	Value of total <mark>funding requested</mark>				
	Successful project blus	<mark>that was awarded</mark>				
EPSRC	36	37				
Innovate UK	14	25				

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MRC	17	20
BBSRC	31	30
NERC	21	19
ESRC	19	21
STFC	72	54
AHRC	26	26
UKRI Other	32	23
NC3Rs*	n/a	n/a

*NC3Rs value of awards only 0.2% of all UKRI funding

Further analysis was carried out to correlate application success rates against their relevant University group (Table 6).

Table 6. Research and Innovation Grant Success by Group (2020-21).

	2020-21 (%)					
Group	Successful project hide	Value of total funding requested				
	Successiui project bius	that was awarded				
Russell Group	31	31				
Plate Glass	28	27				
Post-92	17	15				
Other Universities	26	34				
Other Institutions	26	27				

As Table 6 shows, Russell group achieve more than double the success rate for the 475 value of their funding applications (31%) compared to Post-92 universities (15%). The suc-476 cess rates for other groups are also consistently lower than those of the Russell Group, 477 except the "Other universities" category. However, as highlighted in Table 3, "Other Uni-478 versities" account for only 3.8% of UKRI funding, a stark contrast to the 52.6% received by 479 the Russell Group. While the Russell Group might be more research-intensive, submit 480more applications, have more (economic and human) resources to support bids (especially 481 larger bids), they receive more positive outcomes for their bids from UKRI. 482

The results highlight a clear dominance of Russell Group universities in securing re-483 search funding. All top 20 institutions receiving funding for the highest number of projects 484 are members of the Russell Group. Furthermore, when considering the total value of fund-485 ing awarded, only one institution outside the Russell Group appears in the top 20. This indicates the group's strong position in both the volume and value of funded projects.

Funding Relative to EDI

There are means by which UKRI can measure EDI within their funding that is ap-490 proached in a calculated and systematic way. Work has been published (for example, 491 Pinkett, 2023) that includes tools and metrics to measure the content of an EDI strategy. 492 Regarding equality, Hao and Naiman (2010), propose measurement via Probability Den-493 sity Functions (PDF), Cumulative Distribution Function (CDF), quartile function, and Lo-494 renz Curves. Percentile shares have become a popular approach for analysing distribu-495 tional inequalities. For examples, Piketty (2014) and Jann (2016) have developed the anal-496 ysis of percentile shares using STADA software. Arcia et al. (2011) have developed ADePT 497 software that can be applied to education EDI indicators such as education expenditures 498 or school progression that can be adapted for UKRI funding. Other work by Broer et al. 499 (2019) examine changes in inequality of education outcomes over a 20-year period, ana-500 lysing trends in different socioeconomic groups. Several authors have used Lorenz curves 501 and Gini Index to measure inequalities in education systems as well. Thomas (1999), in 502

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policy research for the World Bank, has proposed two methods (direct and indirect) for 503 calculating an education Gini index that is currently applied to 85 countries. Digdowiseiso 504 (2010) used the direct method focused on estimating the Gini coefficients and the indirect 505 method applied to formulating Lorenz curves; whilst Wörner (2018) measures perfor-506 mance within Chile's unbalanced university system, providing insights into systemic dis-507 parities. More importantly, it is observed in its own report (UKRI 2021) that ESRC (effec-508 tively UKRI themselves) use Gini coefficients to internally analyse "key information on 509 the distributions of research applications and funding among Research Organisations 510 (ROs)" during the period 2011-17. 511

The use of the Lorenz curve and the Gini Index is widely used to measure wealth 512 inequalities in countries or regions, but its application can also be used to specific groups 513 such as companies, organisations, and institutions. In such cases, the economic unit is 514usually the worker, and the defining variable is the salary measured in monetary units. 515 This approach can be extended to other entities, such as individual universities or groups 516 of universities that exist in a country, using their budgets as the defining variable. In the 517 context of this paper, these units represent the groups of universities, with defining vari-518 able being the research funding received by each university. By applying this approach, it 519 becomes possible to analyse funding inequalities both within and across university 520 groups, offering a broader perspective on the disparities exist in allocation of UKRI re-521 search funding. 522

For considerations of diversity, Budescu and Budescu (2012) review three popular 523 approaches; one, based on a simplistic majority-minority; two, using multiple categories 524 variants; and three, the generalised variance and an entropy statistic approach. Other proposals of measuring diversity are found in a Handbook of workplace diversity by Harrison and Sin (2006) and a conceptual guide published by Roswell et al (2021). This work uses Gini coefficients to also analyse diversity. 528

The analysis of inclusion is more difficult as it relies on information that may not be readily available or easy to obtain. To measure inclusion, there are indicators focused on social dimensions. As proposed by Atkinson et al. (2002), inclusion can be measured in terms of progress over time. More recently, Jaegler (2022) has proposed a new tool, which generates a rating that measures the level of inclusion of all stakeholders in higher education.

After carefully considering different measures and reasons mentioned above, this pa-535 per has adapted the Gini index for equality and diversity. To measure levels of 'inclusiv-536 ity', the authors have calculated the number of institutions that have been funded (i.e. 537 considered/included for funding). According to Hasell (2023), Gini Index (GI) measures 538 inequality as a percentage from 0 to 100%. A value of 0 indicates perfect equality – where 539 everyone has the same income. A value of 100 indicates perfect inequality - where one 540 person receives all the income, and everyone else receives nothing. The results of this anal-541 ysis are presented in Table 7, with the results sorted in order of the funding body that has 542 met EDI most effectively using these metrics. So, for example, AHRC has a GI of 29.52 543 which is the closest score (out of all) to perfect equality rating of 0, and MRC has a GI 544 rating of 52.91 for diversity which is closest to the most unequal outcome of 100. 545

Table 7. Measurement of EDI for Funding Bodies Based on Award of Funding for Projects (2005-5462023).547

Equality: By everyone, for everyone	Diversity: Through funding	Inclusion: Valued participa- tion, and contribution	
Gini Index (GI)	Gini Index (GI)	No. of Institutions Funded	
30.72	2 07	1224	
30.72	2.97	1224	
29.52	25.04	118	
41.2	37.05	121	
	Equality: By everyone, for everyone Gini Index (GI) £ 30.72 29.52 41.2	Equality: By everyone, for everyoneDiversity: Through fundingGini Index (GI) £Gini Index (GI) (No. of Projects)30.722.9729.5225.0441.237.05	

ESRC	43.58	38.4	88
STFC	46.54	41.05	64
NC3Rs	47.24	45.34	28
BBSRC	47.57	45.03	79
EPSRC	48.58	45.23	88
UKRI Other	49.1	46.91	73
MRC	54.34	52.91	74

The results show that Innovate UK is the funding body which better performs in terms of Diversity and Inclusion. In terms of the latter, they show wider distribution of funding across institutions, but this may possibly be because this fund focusses more on companies. Due to this reason, if Innovate UK is excluded, the findings show that AHRC performs slightly better in terms of all components of EDI principles, due to their lower equality and diversity scores and higher inclusivity score. MRC scores the lowest in both equality and diversity. Thus, they have more to do in terms of achieving UKRI's EDI strat-egy. Having said that, all the others show only marginally better equality and diversity scores, indicating that significant improvement is needed across all funding bodies (and overall UKRI). Furthermore, given that there are 155 universities (Table 1), and the inclu-sion figures in Table 7 additionally consider many hundreds of 'Other RKE institutions', all funders have a substantial challenge to enhance level of inclusivity within their funding decisions.

Null Hypothesis and Comparative Testing

The UKRI data analysis from 2005 to 2023 shows very clearly that Russell Group universities received a disproportionate amount of research funding: 53% of the total funding and 55% of the awarded projects (Table 3). In contrast, Post-92 universities, despite making up over half of all universities in the dataset, have received only 2% of the total funding and 4% of the projects (Table 3). This immediately suggests that funding does not appear evenly distributed across all groups. Thus, a null hypothesis testing was carried out to check whether there is 'no significant correlation/bias between UKRI funding allocations and type of University'. A t-test paired comparison was performed on the datasets given in Table 3.

Table 8. Null Hypothesis testing

N	Variable 1:	T-test pa	ired Comparison (<i>p-value</i>)
F t	Funding/projects_allocated o Type of University	<mark>Variable 2:</mark> Overall <mark>Funding</mark>	Variable 2: Overall Projects
	Russell Group	0.03554	0.03363
	Plate Glass	0.17917	0.1684
	Post-92	0.10616	0.088

For the Russell Group, because the p-values are less than the standard significance level of 0.05, the null hypothesis is rejected. This means that there is a significant correlation/bias between UKRI funding allocation and Russell Group universities. On the other hand, the null hypothesis is accepted for Post-92 and Plate Glass universities, indicating that there is no significant correlation/bias between UKRI funding allocation to these universities.

During the comparative analysis, when aligning funding allocation patterns with other performance indicators, it is evident that Russell Group's funding success through its research intensity and historical reputation justifies them standing at the top of national and global University rankings (Table 9).

 Table 9. Type of Universities in the Top positions in The Guardian, REF and THE

 Rankings

Malus and the Terra	Guar	dian	R	EF	THE	
University Type	No.	%	No.	%	No.	%
Top 20:						
Russell Group	13	65%	16	80%	19	95%
Plate Glass	5	25%	1	5%	1	5%
Post-92	1	5%	0	0%	0	0
Other	1	5%	3	15%	0	0
Тор 10:						
Russell Group	7	70%	9	90%	10	100%
Plate Glass	2	20%	0	0%	0	0%
Post-92	0	0%	0	0%	0	0
Other	1	10%	1	10%	0	0

Research funding significantly influences these rankings as highlighted below:

- Expenditure per student (Guardian rankings) is directly impacted by availability of funds. Institutions with higher research income can provide better facilities, technologies and learning resources. This, in turn, increases spending, whilst increasing student satisfaction.
- Career prospects and value addition (Guardian rankings), indirectly link to institution's prestige and opportunities that a well-funded institution provides. Graduates from elite/prestigious universities from the Russell Group inherit strong networks, receive better career advice and wider connections with employers.
- For REF rankings, research income has a direct correlation to conduct high-quality research, improve research infrastructure, and create better research environments that produce world-leading, high impact outputs.
- For THE Rankings, research volume and funding correlate with research strength and influence. The higher funding allocations for Russell Group universities attract top academic talents, and allow them to invest in better research infrastructure, and improve citation impacts.

These show that research funding heavily influences various aspects of a university's 613 performance, rankings and reputation. The above comparative analysis and Russell 614 Group's significantly higher funding success rate (i.e. approximately double that of Post-615 92 universities) suggests that Russell Group institutions are far more likely to receive fi-616 nancial support from UKRI. Since these universities already have significant resources, 617 this pattern of funding allocation continues to benefit them disproportionately, giving 618 them more advantages. Our analysis resonates with evidence from others. Jerrim and de 619 Vries (2023) have identified bias in the peer-review system, particularly through the abil-620 ity for bidders to nominate reviewers, in their examination of ESRC funding proposals. 621 There is inconsistency between these nominated reviews (59% give the highest score avail-622 able) and independent reviews (17% give the highest score available). These reviewer 623 scores impact likelihood of funding. Jerrim and de Vries (2023) use publicly available 624

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ESRC data to show that for the Russell Group universities – the probability of funding 625 based on the score awarded by the nominated reviewer is higher (0.93) than for any other 626 university group. For what they call the 'new universities' (Jerrim and de Vries, 2023), the 627 score is 0.34. There is additional evidence to suggest a 'halo effect' in Russell Group re-628 search impact submissions (Pinar and Unlu, 2020), impact being a key consideration in 629 funding bid assessment.

It is a situation that supports the belief that the Matthew Effect (Merton 1968) is operating, where those already advantaged accrue more advantage, the perpetuation of the same winners and losers in the current UKRI funding system. Those who are not already successful appear to have a limited chance for future success. As Boeren (2023 p20) identifies, in a study of research income and research excellence measured for the UK Research 635 Excellence Framework (REF) 2021, there are "notions of vicious circles that are difficult to break".

All the above thus suggest that there is some bias in favor of Russell Group universities in UKRI funding distribution.

DISCUSSION

UKRI Funding Allocations: Redressing the EDI Gaps

The analysis shows, in multiple ways, that a bigger proportion of the funding goes 643 to Russell Group Universities. The data consistently highlights that there is lack of EDI 644 both in terms of funding allocation and number of projects awarded across university 645 groups, and especially in the case of Post-92 Universities. These universities, as identified 646 by measurements such as social inclusion within university rankings (The Times, 2024), 647 contribute to greater equality of opportunities for student populations and reduce the im-648 pact of economic discrimination. Reduced UKRI funding can create a challenging situa-649 tion for Post-92 universities to secure external funding from other sources, as potential 650 collaborators and funders consider a University's track record in attracting grants as evi-651 dence of experience and suitability, creating a vicious catch-22 situation of limited funding 652 opportunities. Further, if post-92 universities keep on struggling to secure funding, they 653 may fail to attract and retain research-focused academics, which will make it difficult to 654 build and sustain a research profile. 655

Notwithstanding the above, UKRI has created a working group to implement a plan 656 to align the institutions with their EDI strategy. The 'UKRI Workforce Equality, Diversity and Inclusion Plan 2022 to 2026' (UKRI, 2023f) identifies "how we will build a more inclu-658 sive culture at UKRI, to offer opportunity for all, and to develop the diversity of people 659 and thought we need to be a world-class organisation". This is a positive development, 660 and they note how their plan meets and exceeds the legislative requirement of their Public 661 Sector Equality Duty. Despite this, when analysing the priorities of the UKRI working 662 group (UKRI, 2023f), there is still a lack of planning to address the under-representation 663 of some groups within their funding allocation. 664

On another note, the UKRI fund Strategies Priorities Fund (UKRI, 2024) aims to: in-665 crease high-quality multidisciplinary and interdisciplinary research and innovation; and 666 ensure UKRI investment links up effectively with government research and innovation 667 priorities. It is evident that there is a mismatch between current UKRI funding allocation 668 under these aims and their EDI strategy of 'by everyone, for everyone'. There are no spe-669 cific priorities and measures aimed at redressing the restriction placed on research, 670 through a lack of funding, for the research communities within Post-92 universities. 671

CONCLUSIONS AND RECOMMENDATIONS

The analysis carried out in this paper shows a clear disparity in UKRI funding allo-673 cations. Since the funding analysis was carried out for the period of 2005-2023 (18 years), 674 it is also evident that the differences in funding allocation have not significantly changed 675

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over the last decades. If this trend continues, the poorer resource settings (i.e. Post-92 uni-
versities) will always remain poor. Lower research income will have a cascading effect on
various aspects of university operations, potentially impacting on the Research Excellence677
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There are a number of other challenges in not attracting sufficient research funding: 684 in engaging in or conducting impactful research (one of the criteria for REF assessment); 685 in producing high quality outputs that have a significant knowledge contribution (another 686 criteria for REF assessment); in recruiting and retaining high-calibre academics/research-687 ers, which will impact on research environment (another criteria for REF assessment). Less 688 funding leads to issues for teaching quality, resources, and overall student experience (cri-689 teria in Guardian rankings). In underfunded institutions there is a diminishing visibility 690 of academics and consequently, the overall reputation of the university falls. This will also 691 lead to reduced interdisciplinary collaborations, international partnerships and as a result, 692 reducing the university's ability to engage in research at a global scale (which impacts on 693 QS or THE rankings). There will be a lack of investment in research infrastructure and 694 facilities, which can hinder the ability to perform cutting-edge research. Similarly, a lack 695 of funding will hinder a university's ability to engage in knowledge transfer activities and 696 contribute to innovation. 697

Although UKRI have a robust EDI strategy, the analysis of this paper showed that all the funding bodies that come under UKRI have considerable opportunity for improvement in achieving equality, diversity, and inclusivity within their funding programmes. As of now, the majority of the funding goes to Russell group universities (with the exception of 'Innovate UK'). This is not remarkable given their long-standing status in research. They attract high-quality staff/researchers; they have a good reputation, which attracts more collaborations; they have better laboratories and facilities; they have more resources 704

to support research bid writing, thus, it is not surprising that their track record of winning research grants is high compared to groups such as the Post-92 universities. Boliver (2015) notes that many of the older, and Russell Group universities, position themselves as 'research-intensive', whereas Post-92 institutions have used terms such as 'teaching-led' when describing their activities. Thus, UKRI could, and should, be doing more to address this situation, and give more opportunities to a more diverse group of organisations and the people within them. 711

As Degl'Innocenti et al. (2019) highlight, universities are heterogeneous bodies, with 712 differing strengths, assets, and institutional compositions. Yet the results of this research 713 show a clear pattern in the resources/funding allocations to one relatively small group of 714 elite institutions. Overall, if UK universities and their related teaching and research activ-715 ities are to be sustained and to withstand and respond to global challenges, the other 716 groups of Universities need to exist and evolve (as research-intensive). Since UKRI is a 717 public entity that coordinates research and innovation activities across various sectors, 718including higher education in the UK, they have a key role in achieving the above. UKRI 719 was heavily criticised by Woolston (2022) in the wake of Brexit amidst changes to estab-720 lished funding programmes that suggested the "UKRI funding scheme is being made up 721 as we go along". Thus, it is high time that UK government and UKRI had a look at their 722 funding strategies to make it more equal to all, and to stand by UKRI's broader EDI aim 723 (UKRI, 2023c), to "foster a research and innovation system by everyone, for everyone". 724

Based on the evidence collected and the knowledge that measures to potentially re-725dress the inequalities of funding are currently limited in use or not employed at all by726UKRI, this paper puts forward the following recommendations to address the key chal-727lenges and gaps existing in the current funding environment:728

1. Changing UKRI **Equality**, Diversity and Inclusion strategy to **Equity**, Diversity and Inclusion strategy, according to University College London's 'Our understanding of EDI' (2024);

Equality and Equity are both concepts that relate to fairness, but they are different. Equality assumes the objective is to treat everyone the same regardless of their starting point or their needs. A key shortcoming of this approach is that it can be blind to the historical and structural disadvantages of different members in our communities and in doing so can perpetuate disparities. Equity on the other hand gives strong consideration to the different starting points for different individuals and therefore aims to achieve fairness by providing resources according to need. Equity acknowledges the historical, systemic and structural disadvantages that different cultural and social groups may have been subjected to and strives to reduce barriers.

Equity is, therefore, what UKRI should include if they are to give strong consideration to different standards of proposals, by considering different starting points from different universities/groups. 743

2. Development of a fairer scoring criteria that is transparent and reflects on the aforementioned equity principles. Transparency will help Universities in understanding what is expected in different funding calls and how decisions are made when allocating funding (especially large grants). 747

3. Development of targeted support funding programmes for less-resource intensive 748 Universities, e.g. specific grants aimed for these universities. 749

4. Diverse representation in decision-making (when allocating funding) within UKRI 750 to ensure variety of perspectives and experiences. 751

5. Development of different funding models to accommodate diverse needs and 752 strengths of less-resource intensive Universities, e.g. flexible funding structures adopted 753 by EU funding bodies; allowing mandatory collaborations between Russell group and 754 less-resource intensive Universities. About the former, there's an emphasis on inclusivity 755 across various EU funding programs, aiming to support researchers from diverse 756

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backgrounds and regions. Efforts are made to ensure a fair distribution of funding across 757 member states and to support research excellence irrespective of geographic location. 758

6. Ensure sustained, long-term commitment to promote equity in funding, which will result in lasting change and systemic inequalities, in the long run.

7. Establish mechanisms; to understand less-resource intensive university challenges; and to provide in-depth feedback when they fail in funding.

8. Consider an element of randomisation funding. Not only would this remove potential unconscious bias in funding decisions and increase diversity among winning bids, it would reduce the time and cost of assessing funding applications, and encourage greater innovation over more conservative, previously successful strategies (NESTA, 2024).

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