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Title	Effectiveness of early vocational rehabilitation versus usual care to support RETurn to work After stroKE: a pragmatic, parallel arm multi-centre, randomised-controlled trial
Type	Article
URL	https://clock.uclan.ac.uk/53894/
DOI	https://doi.org/10.1177/17474930241306693
Date	2024
Citation	Radford, Kathryn Alice, Wright-Hughes, Alexandra, Thompson, Ellen, Clarke, David J, Phillips, Julie, Holmes, Jain, Powers, Kathryn E, Trusson, Diane, Craven, Kristelle et al (2024) Effectiveness of early vocational rehabilitation versus usual care to support RETurn to work After stroKE: a pragmatic, parallel arm multi-centre, randomised-controlled trial. International Journal of Stroke. ISSN 1747-4930
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It is advisable to refer to the publisher's version if you intend to cite from the work.
<https://doi.org/10.1177/17474930241306693>

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1 **Effectiveness of early vocational rehabilitation versus usual care to**
2 **support RETurn to work After stroKE: a pragmatic, parallel arm**
3 **multi-centre, randomised-controlled trial**
4
5

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10
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33 **Contributors**

34 KAR, AJF, AB, ROC, THS, CM, DJC, JS, JM, CW conceived the study. JP, JH, KP, ET, FD
35 operationalised the protocol. JH, JP, KAR designed the intervention. KAR, DJC, CM
36 designed the process evaluation. KAR, CM, DJC, DT, KC, JH, JP, KP operationalised the
37 process evaluation protocol. AWH, ET, AJF implemented the data management and
38 statistical analysis plan. THS designed the health economics plan; SP, HR, RC implemented
39 the health economics plan. JS, CM and JM acted as PPI collaborators to support plans for
40 trial design/delivery, management, and dissemination of trial findings. KAR had overall
41 responsibility in their role as chief investigator. AWH, ET had full access to, and verified, all
42 the data in the study. KAR, AWH drafted the manuscript; all authors read and approved the
43 final version.
44
45

46 Acknowledgements

47 We thank the 583 participants and 21 NHS stroke services involved in the study, the Trial
48 Steering Committee for support throughout, treating occupational therapists, research
49 assistants, and CTRU staff Marissa Arfan, Andrew Carter, Richard Brindle, Tom Morris,
50 Alison Fergusson, Joe Hill. We thank wider members of the RETAKE research group:
51 former members Vicki Mclellan, Suzanne Hartley, Ivana Holloway, Bonnie Cundill, Sara
52 Clarke, Professor Marion Walker; additional patient and public involvement partners
53 Margaret Cheng, Tony Boyce, Isabella Iyama, Martin Coult; and Occupational Therapy
54 Mentors Ruth Tyerman, Yash Bedekar, Jo Hurford.

55

56 Funding Declaration

57 National Institute for Health Research Health Technology Assessment (NIHR-HTA)
58 15/130/11

59

60 Declaration of Interest

61 KAR, AB, AJF, CM, CW, ROC, THS were awarded NIHR HTA grant funding (15/130/11)
62 for the RETAKE Trial. KR, KP, AB, JP, AF, AW-H, ET, DJC, DT, KC, CM, CW, RJOC,
63 JH, SP, HR, RC, TS and FD were paid a proportion of their salary from the NIHR HTA grant
64 funding the RETAKE trial.

65

66 AB, AJF, AWH, CW, FD, KAR, RJOC, RC & HR report other grant funding from NIHR.
67 KR and JH were unpaid advisors to NHS England in development of a toolkit for NHS
68 professionals to support return to work after stroke. JH was Chair of the Royal College of
69 Occupational therapists Specialist Section Work from 2019-present, received royalty
70 payments for a book ‘Vocational Rehabilitation’ published in 2007, and reports grant funding
71 from RCOT. KAR was a member of the HTA Clinical Evaluation and Trials panel between
72 2017-2021. KAR also received funding from EPSRC, Elisabeth Casson Trust, Ossie Newell
73 Foundation, MRFF. AJF was a member of the NIHR HTA Clinical Evaluation and Trials
74 panel until 2018, NIHR Clinical Trials Unit Standing Advisory Committee (2022) and the
75 NIHR COVID Prophylaxis Platform Study in Care Homes Funding Committee in 2020.
76 AWH was a committee member for the NIHR RfPB. CW was Implementation Lead for the
77 NIHR ARC NWC. AB also received funding from Wellcome Trust, Stroke Association.
78 RJOC is a Member of the Clinical Reference Group on Rehabilitation, Disability and Spinal
79 Cord Injury, NHS England. THS was the chair of an NIHR RfPB Committee to 2024 and
80 member of various NIHR HTA committees between 2013–2019. AJF, AWH and CW report
81 independent membership on NIHR & charity funded Data Monitoring and Advisory
82 Committees.

83

84 The views expressed are those of the authors and not necessarily those of the NHS, NIHR or
85 the Department of Health and Social Care.

86

87 Key Words

88 Stroke, Work, Rehabilitation, Occupational Therapy, Randomised controlled Trial

89

90

91 **Tables and Figures**
92 Figure-1 CONSORT Diagram
93 Table-1 Baseline Characteristics
94 Table-2 Primary and secondary return-to-work outcomes
95 Table-3 Secondary Outcomes
96 Figure-2 Forest Plot depicting exploratory subgroup analyses
97
98

99 **Supplementary Material**
100 Additional analysis methods
101 Additional Covid-19 information
102 Tables s1-s10
103 Figures s1-s4
104
105

106 **Word count: 7738**
107

108 Abstract

109

110 **Background**

111 Return-to-work is a major goal achieved by fewer than 50% stroke survivors. Evidence on
112 how to support return-to-work is lacking.

113

114 **Aims**

115 To evaluate the clinical effectiveness of Early Stroke Specialist Vocational Rehabilitation
116 (ESSVR) plus usual care (UC) (i.e. usual NHS rehabilitation) versus UC alone for helping
117 people return-to-work after stroke.

118

119 **Methods**

120 This pragmatic, multicentre, individually randomised controlled trial with embedded economic
121 and process evaluations, compared ESSVR with UC in 21 NHS stroke services across England
122 and Wales. Eligible participants were aged ≥ 18 years, in work at stroke onset, hospitalised with
123 new stroke and within 12-weeks of stroke. People not intending to return-to-work were
124 excluded. Participants were randomised (5:4) to individually-tailored ESSVR delivered by
125 stroke-specialist occupational-therapists for up to 12-months or usual National Health Service
126 rehabilitation. Primary outcome was self-reported return-to-work for ≥ 2 hours per week at 12-
127 months. Primary and safety analyses were done in the intention-to-treat population.

128

129 **Results**

130 Between 1st June-2018, and 7th March-2022, 583 participants (mean age 54.1 years [SD 11.0],
131 69% male) were randomised to ESSVR (n=324) or UC (n=259). Primary outcome data were
132 available for 454(77.9%) participants. Intention-to-treat analysis showed no evidence of a
133 difference in the proportion of participants returned-to-work at 12-months (165/257[64.2%]
134 ESSVR vs 117/197[59.4%] UC; adjusted odds ratio 1.12 [95%CI 0.8 to 1.87],p=0.3582). There
135 was some indication that older participants and those with more post-stroke impairment were
136 more likely to benefit from ESSVR (interaction p=0.0239 and p=0.0959 respectively).

137

138 **Conclusions**

139 To our knowledge, this is the largest trial of a stroke VR intervention ever conducted. We found
140 no evidence that ESSVR conferred any benefits over UC in improving return-to-work rates
141 12-months post-stroke. Return-to-work (for at least 2 hours per week) rates were higher than
142 in previous studies (64.2% ESSVR versus 59.4% UC) at 12-months and more than double that
143 observed in our feasibility trial (26%). Interpretation of findings was limited by a
144 predominantly mild-moderate sample of participants and the Covid-19 pandemic. The
145 pandemic impacted the trial, ESSVR and UC delivery, altering the work environment and
146 employer behaviour. These changes influenced our primary outcome and the meaning of work
147 in people's lives; all pivotal to the context of ESSVR delivery and its mechanisms of action.

148

149 **Data access:** Data available on reasonable request.

150

151 **Registration:** ISRCTN12464275.

152 Introduction

153

154 In the United Kingdom (UK), stroke occurs in over 100,000 people per year(1), with increasing
155 incidence among working-age people(2) and stroke-related productivity losses estimated to
156 reach £2.1 billion by 2025(3). Although reported rates vary, only approximately half UK stroke
157 survivors return-to-work by one year(4, 5). Work is a human right and central to identity
158 providing income, and a sense of purpose(6). Good work is protective of health, wellbeing, and
159 longevity(7, 8).

160

161 Government policy and clinical guidelines(9-11) recognise the need to support stroke survivors
162 of all ages to return-to-work. Vocational rehabilitation (VR) enables people who develop health
163 conditions to overcome obstacles to accessing, maintaining, or returning-to-work or other
164 meaningful occupation(12). However, there is little evidence of the effectiveness of post-stroke
165 VR interventions(13). A single South African trial (n=80) of a 6-week occupational therapist
166 (OT) and physiotherapist workplace intervention, reported more intervention participants
167 returned-to-work (60%) at 6-months post-stroke than usual care (20%)(14). Our single-centre
168 feasibility trial in 46 stroke survivors found that Early Stroke Specialist Vocational
169 Rehabilitation (ESSVR) could be delivered in people with a range of post-stroke disability
170 (37% moderate or moderate/severe stroke)(15, 16), with 39% versus 26% of controls returned-
171 to-work at 12-months (paid/unpaid \geq one-hour per week or full-time education).

172 Aims

173

174 We conducted the RETurn to work After stroKE (RETAKE) trial to test the clinical
175 effectiveness of ESSVR on stroke survivors' return-to-work at 12-months.

176 Methods

177

178 Study Design and participants

179 RETAKE was a pragmatic, multicentre, researcher-blinded, individually randomised
180 controlled, partially-nested, superiority trial of occupational-therapy-led Early Stroke
181 Specialist Vocational Rehabilitation plus Usual Care (ESSVR) versus Usual Care (UC) alone
182 conducted in 21 English and Welsh NHS stroke services(17). An eight-site internal pilot
183 assessed recruitment after 6-months and follow-up after another 6-months. An embedded cost-
184 effectiveness (18) and process evaluation are reported separately(19-24). Patient and public
185 Involvement (PPI) throughout provided valuable contributions to trial design, documentation,
186 progress and outputs. The methods have been reported in detail elsewhere (17, 25) and
187 undertaken after appropriate NHS ethical approval (East Midlands – Nottingham 2 Research
188 Ethics Committee Ref: 18/EM/0019)

189

190 Eligible participants were adults (\geq 18), admitted to hospital with new stroke and in work
191 (paid/unpaid \geq 2 hours per week) at stroke onset. Those not intending to return-to-work were
192 excluded. Nominated and eligible carers (main informal caregiver, providing support once or
193 more per week) could join the study. Stroke survivors and carers had to be willing and with
194 capacity to provide informed consent to participate in the study, and sufficient English to
195 contribute to data collection. Written informed consent was required, or verbal consent
196 observed by an independent witness if unable to sign their name or mark the consent form.

197

198 Stroke services were eligible if they had capacity to deliver ESSVR and were not routinely
199 providing well-defined VR within 12 weeks of stroke. OTs experienced in delivering specialist
200 stroke rehabilitation in community settings were preferred.
201

202 **Randomisation and masking**

203 Participants were randomly assigned to ESSVR or UC sequentially, with 5:4 allocation ratio
204 to account for the partially nested study design (participants nested within OTs in ESSVR).
205 Allocation was via a computer-generated minimisation programme incorporating random
206 element, stratified by site, participant age (<55, ≥ 55) and stroke severity (derived from EQ-
207 5D-5L mobility question, picture naming, and executive tasks from the Oxford Cognitive
208 Screen (OCS)(26)). Blinding of participants and OTs was not possible. Researchers were
209 masked to allocation.
210

211 **Procedures**

212 Following admission into a stroke service, screening, informed consent, and baseline
213 assessments will be completed within 12 weeks of stroke onset, prior to randomisation and
214 allocation.
215

216 ESSVR was developed according to the Medical Research Council framework for complex
217 interventions(24, 27) and underwent prior feasibility testing(15, 16). ESSVR was delivered by
218 specially trained RETAKE OTs using a case-coordination model of early intervention VR up
219 to 12-months post-randomisation. ESSVR was originally designed for in-person delivery at the
220 participants home, work or in the community, later adapted to remote delivery because of the
221 pandemic. ESSVR was individually tailored according to participants' needs, preferences, and
222 employment context; it included assessing the impact of stroke on the job, educating patients
223 and employers about stroke impact, work preparation and liaison with employers. RETAKE
224 OTs training, intervention delivery, mentoring and Competency assessment are described
225 elsewhere (20-23, 28, 29). UC was offered to participants in both trial arms according to site's
226 available routine rehabilitation services. RETAKE OTs could not provide treatment to UC
227 participants to prevent contamination. UC data was self-reported using participant
228 questionnaires.
229

230 Researchers collected baseline demographics, details of stroke, and the OCS(26) to assess
231 major cognitive domains. Questionnaires capturing patient and carer reported measures were
232 administered by post or online at baseline and 3-, 6-, and 12-months post-randomisation.
233 Priming calls, reminder letters/emails, and SMS text message prompts supported data return.
234 Two-way SMS text messages were sent to non-responders to confirm return-to-work only (the
235 primary outcome), followed by a telephone call or face-to-face home visit. Primary 12-month
236 return-to-work outcome data was collected retrospectively from non-responders latterly in the
237 overall trial follow-up period. We intended to obtain aggregated work status via routine data
238 transfers from the Department for Work and Pensions (DWP).
239

240 **Outcomes**

241 The primary outcome was self-reported return-to-work status at 12-months post-
242 randomisation. 'In' work, meant participants were in paid or unpaid work (including pre-stroke,
243 new, or adapted roles) for at least two hours per week.
244

245 Secondary outcomes, participant self-reported at 3-, 6- and 12-months post randomisation
246 (unless stated otherwise), included:

- 247 • return-to-work at 3- and 6-months,
- 248 • changes in role, hours worked per week, and days in work following return-to-work
- 249 • mood (Hospital Anxiety and Depression Scale [HADS](30)),
- 250 • functional ability (Nottingham Extended Activities of Daily Living [NEADL](31)),
- 251 • social participation (Community Integration Questionnaire [CIQ] social and
- 252 productivity scores(32)) at 12-months,
- 253 • work self-efficacy (single question from the work ability index [WAI](33)),
- 254 • confidence (Confidence After Stroke Measure [CASM](34)) at 12-months
- 255 • carer burden (Modified Caregiver Strain Index [MSCI](35))

256

257 Adverse events included death (reported by site), hospital attendances and work accidents
258 (participant self-report).

259

260 Usual care

261 Our approach to understanding usual care in the context of this trial was threefold and
262 described elsewhere(25); i) Self-reported resource use data were collected from participants
263 at each follow-up, ii) an embedded case study design and for a randomly selected 5% of
264 participants in both arms involving repeated a) observation of intervention delivered and b)
265 interviews with participants, treating therapists' and participants' employers (where
266 permitted), c) extracted detail from UC therapy records, SNAPP data and participants' self-
267 reported resource use to establish a 'complete' picture, iii) survey of participating sites pre
268 and post recruitment to understand usual care pathways and VR service developments in the
269 trial lifetime.

270

271 Statistical Analysis

272 We estimated 760 participants (420 ESSVR, 340 UC) would provide 90% power with two-
273 sided 5% significance level to detect a 13% absolute difference in the proportion of people
274 meeting the primary outcome, , allowing for 20% loss to follow-up. This assumed 26% return-
275 to-work in UC as per our feasibility study(15) and an average cluster size of 11 ESSVR
276 participants per OT (0.68 coefficient of variation), 0.03 intra-cluster-correlation. Due to the
277 pandemic, the sample size target was reduced to 582 participants (308 ESSVR, 274 UC) to
278 provide 80% power, with updated average cluster size assumption of seven participants per
279 OT.

280

281 We analysed effectiveness outcomes according to the intention-to-treat population, defined as
282 all participants randomly allocated, regardless of adherence. All statistical testing used two-
283 sided 5% significance levels and were conducted in SASv9.4. We undertook single final
284 analysis of outcomes data (including internal pilot data) with no interim analyses.

285

286 We analysed the primary outcome using a generalised logistic mixed-effects partially nested
287 regression model(36), adjusted for site, age, gender, mobility, OCS picture naming (aphasia)
288 and OCS executive mixed scores (cognition) as fixed effects, and OT random effect (see
289 Supplementary-materials), to test for differences between treatment groups on 12-months
290 return-to-work status. We analysed secondary outcomes similarly using logistic or linear
291 regression adjusted for respective baseline score, as appropriate. Results were expressed as
292 adjusted odds ratios (OR, ESSVR/UC) or mean differences (MD, ESSVR-UC), together with
293 95% CIs and p-values. Assumptions were checked for all regression models using residual
294 plots. Missing data were imputed by treatment group via multiple imputation by chained
295 equations with 50 imputations, including fixed covariates, variables predictive of missingness,

296 and outcome at preceding timepoints (see Supplementary-materials). Results of identical
297 analyses performed on each of the imputed datasets were combined using Rubin's rules.
298 Sensitivity analyses used complete data.

299

300 Prespecified exploratory moderator analyses of the primary outcome investigated whether the
301 treatment effect varied by covariates, number of impairments, role, pre-stroke working hours,
302 recruitment-period, and baseline questionnaire scores, by including a treatment-moderator
303 interaction in the primary analysis model. Further exploratory analysis explored the impact of
304 participant intervention adherence using complete data in a complier average causal effect
305 analysis and by excluding non-compliers.

306 Results

307

308 Between 1st June-2018, and 7th March-2022, 3672 patients were screened, and 583 participants
309 randomly assigned to ESSVR (n=324) and UC (n=259) (Figure-1). Carers were recruited for
310 137(23.5%) participants. Due to the pandemic, recruitment was paused 31st March to 1st
311 August-2020. Most participants were recruited pre-Covid (76.3%), but the trial completed for
312 only 28.5%; 12.3% were recruited during and 11.3% after the UK Coronavirus Job Retention
313 (furlough) scheme applied(37). The impact of Covid on trial participants is summarised in
314 Tables-S7-8.

315

316 Baseline characteristics were balanced across arms (Table-1,Table-S1-3). Participants were
317 mostly male (400, 69.0%), white (453, 83.7%), with mean age 54 years (SD 11.1); compared
318 to 52.1% male, mean age 64.2 years (SD 15.8) screened (Table-S1). Participants were well
319 educated (41.7% higher education, i.e. university degree or equivalent) and worked in an equal
320 mix of blue- and white-collar roles. Participants were mostly ischaemic stroke survivors
321 (82.8%), recruited a median 28-days post-stroke (IQR 13-44) having spent a median 4-days in
322 hospital (IQR 2-10). Half had no pre-stroke comorbidities known to affect work. Half had no
323 or mild post-stroke impairments in mobility (EQ-5D-5L indicated no/only slight problems
324 walking), cognition (OCS executive mixed task score $\leq 4/13$) or expressive language (OCS
325 picture naming task score $\leq 3/4$) and only 10.6% had more than one of these impairments,
326 indicative of a mostly mild-moderate severity sample.

327

328 Primary 12-month return-to-work outcome data was completed for 454/583 (77.9%)
329 participants. Greater loss-to-follow-up occurred for secondary outcomes; 316/583 (54.2%)
330 participants returned full 12-month questionnaires, and carer-burden was available for only
331 54/137 (39.4%). Participants lost-to-follow-up (any timepoint) had less favourable baseline
332 characteristics (ie impairments, length of hospital stay) and were more likely to have been
333 recruited pre-covid, female, older, non-white ethnicity, in blue-collar roles, not in paid
334 employment, not in a relationship, living alone, and without a recruited carer. Where primary
335 outcome data were available, participants missing secondary outcomes were less likely to have
336 returned-to-work. Results indicated differential missing data patterns by arm (Figure-S1-2).
337 Eligibility violations (in <1% participants), contamination (1.5%), unblinding (4.8%),
338 withdrawals (6.0%) and deaths (<1%) are detailed in Table-S4.

339

340 The intervention commenced in 309/324 (95.4%) ESSVR participants, 244 (75.3%) were
341 deemed to have complied(24), and participants attended a median seven (IQR 4-12) sessions
342 over 10.3 months (IQR 5.5-12.0). Median time to commence ESSVR was nine (IQR 6-13) days
343 post-randomisation; 38 (IQR 23-56) days post-stroke. Of those commencing ESSVR, 246
344 (82.3%) had at least one in-person session at home, 67 (22.4%) at work, 31 (10.4%) in the

345 community, 243 (81.3%) via telephone/videocall and 52 (17.4%) in hospital. Only 119 (40.3%)
346 consented to OT contact with their employer (67, 22.7%, had no employer or were self-
347 employed) and 74 (25.0%) had in-person or online employer visits. Sixty OTs were trained and
348 48 delivered ESSVR for at least one participant, treating a median 6 participants (range 1-16).
349 Analysis of ESSVR records for 39 participant-OT pairs showed OTs delivered ESSVR with
350 acceptable overall fidelity(21, 22), but lower fidelity to employer and family engagement.

351

352 Across methods used to capture usual care(23, 25), findings suggest there was little overall
353 difference in overall health services resource use albeit it slightly more counsellor, Speech
354 and Language Therapy (SLT), social worker, and rehabilitation assistant appointments in UC
355 and more OT, physiotherapist, General Practitioner (GP), district nurse, and health care
356 assistant in ESSVR and a similar number of secondary care outpatient visits between groups.
357 Inpatient-stays were slightly more frequent in usual care(18) Interview data from UC and
358 ESSVR participants consistently identified UC provision as typically of short duration (range
359 2-8 weeks), predominantly focused on treating physical impairments rather than work
360 goals. It was also perceived as poorly coordinated with limited communication between
361 treating therapists and between therapists and participants(19, 23).

362

363 On the 12-month primary outcome, 282/454 (62.1%) participants reported return-to-work of at
364 least 2-hours a week, 165/257 (64.2%) in ESSVR and 117/197 (59.4%) in UC, with equal
365 proportions of participants on graded return-to-work. The adjusted OR 1.12 (95% CI 0.75 to
366 1.68, $p=0.5678$) of return-to-work in ESSVR versus UC provided no evidence that ESSVR was
367 superior to UC (Table-2). Younger participants (OR 0.97 per year, 95% CI 0.96 to 0.99,
368 $p=0.0120$), those with better mobility (OR 1.43, 95% CI 1.20 to 1.72, $p<0.0001$) and cognition
369 (OR 1.09, 95% CI 1.02 to 1.16, $p=0.0081$) were more likely to return-to-work (Table-
370 S6,Figure-S4). Adjusted ORs of return-to-work in ESSVR versus UC were similar at 3-months
371 and 6-months, and there were no changes in conclusions in sensitivity analysis of complete
372 data at 12-months (Table-S5) or in analysis excluding non-compliers (135/201, 67.2%
373 intervention compliers versus 30/56, 53.6% intervention non-compliers reported having
374 returned-to-work). Pre-specified exploratory subgroup analyses found good evidence of a
375 differential treatment effect on the primary outcome according to participants' age (interaction
376 $p=0.0239$). Older participants were more likely to benefit from ESSVR, and; less likely to
377 return-to-work in UC but not ESSVR (Figure-2, Figure-S4). There was some indication that
378 participants with more post-stroke impairment were more likely to benefit from ESSVR
379 (interaction $p=0.0959$).

380

381 In participants who had returned-to-work at 12-months (Table-2), 41/103 (39.8%) ESSVR
382 versus 24/75 (32.0%) UC participants reported a change in working hours, of whom the mean
383 weekly hours were reduced in ESSVR (28.4, SD 11.65) compared to UC (31.5, SD 11.71). A
384 similar pattern was observed at 3- and 6-months but with a decreasing proportion of
385 participants with changes in working hours and increased working hours over time. At 12-
386 months, more ESSVR participants (22/98, 22.4%) reported having taken time off due to their
387 stroke over the past 3-months compared to UC (14/72, 19.4%), and 13/103 (12.6%) ESSVR
388 versus 9/76 (11.8%) UC participants reported a change in role.

389

390 Other secondary outcomes (Table-3,Figure-S3) were largely similar, with small differences
391 between trial arms and provided no evidence that ESSVR was superior to UC. However,
392 participants tended to have slightly improved outcomes in UC compared to ESSVR, and UC
393 participants reported statistically significantly better functional ability (NEADL: MD -3.37,
394 95% CI -6.26 to -0.48, $p=0.0230$) and carer burden (MSCI: MD 2.52, 95% CI 0.63 to 4.41,

395 p=0.0095) at 12-months in multiply imputed analyses. Statistically significant effects were not
396 observed at other timepoints, or in sensitivity analysis (Table-S4) and should be interpreted
397 with caution given substantial loss-to-follow-up. For further exploratory comparison of
398 secondary outcomes see Table-S9.

399
400 There were no Related and Unexpected Serious Adverse Events. Self-reported safety outcomes
401 were similar for both groups (Table-S10).
402

403 Discussion

404

405 Main Findings

406

407 In stroke survivors working at stroke onset, we found no quantitative evidence of benefit of
408 ESSVR over UC in self-reported return-to-work, mood, functional ability, social participation,
409 work self-efficacy, post-stroke confidence or carer burden. These findings are in a
410 predominantly male (69%, consistent with UK stroke registry data(4)), relatively young (mean
411 54 years) and mild to moderate sample of stroke survivors. The study was conducted during a
412 pandemic, a period marked by significant changes in UK work practices (see supplementary
413 material for further reflection) and results are influenced by high levels of missing data for
414 secondary outcomes and some limitations in employer engagement.

415

416 Although 5% more ESSVR than UC participants returned-to-work (64.2% versus 59.4%) this
417 was not statistically significant. More UC participants returned-to-work than expected, more
418 than double that observed in our feasibility trial (26%). Possibly due to case-mix, pandemic
419 effects, and recent evidence suggesting higher rates, in younger stroke survivors, motivated to
420 return-to-work(38).

421

422 Only 11% of RETAKE participants had more than one impairment in mobility, cognition or
423 expressive language indicative of a mild-moderate severity sample. Participants were also
424 predominantly male, white, well-educated, and half were employed in white collar roles. All
425 significant predictors of return-to-work(38). These stroke survivors may be capable of self-
426 advocating and navigating return-to-work without intensive ESSVR support.

427

428 Exploratory subgroup analyses found ESSVR was more likely to benefit people disadvantaged
429 by age and impairment. However, further research is required to confirm these findings.

430

431 In participants who returned-to-work, more ESSVR participants reported changes in working
432 hours and taking time off compared to UC, suggesting ESSVR might influence return to
433 modified work, possibly enabling those who might not otherwise return-to-work to do so, or
434 ensuring work is sustainable and work-life balanced maintained.

435

436 Our finding of slightly improved outcomes in UC compared to ESSVR on secondary outcomes,
437 particularly 12-month functional ability and carer burden, should be interpreted with caution.
438 Improvements largely represented very small effect sizes <0.2(39) and were unreliable due to
439 high levels of missing data.

440

441 Strengths

442 Despite challenges recruiting to multicentre stroke trials(40) and a global pandemic, this first,
443 large, powered, UK trial of ESSVR achieved our revised target, and almost 80% follow-up of
444 primary 12-month return-to-work outcomes.

445 Inclusion criteria were broad, aiming to support return-to paid or unpaid work irrespective of
446 age recognising increases in state pension age, the value of work to health and its meaning in
447 people's lives(6).

448 ESSVR was co-developed with expert service users and providers following MRC
449 guidance(27), drawing on best available evidence and clinical guidelines at the time (41, 42).
450 It was valued by participants, OTs and employers(30), compliance was good and fidelity
451 acceptable(22).

452

453 Our seven PPI representatives met 6-monthly to define our primary outcome, inform research
454 design, OT training, participant resources, troubleshoot issues, interpretation and
455 dissemination(43).

456 **Limitations**

457 The pandemic changed the healthcare and employment contexts in which ESSVR was
458 delivered.

459 It also changed the meaning of work in people's lives and influenced the 'great retirement'(44)
460 (Further details see supplementary-material). It impacted RETAKE recruitment, intervention
461 delivery, data collection and follow up. RETAKE paused to recruitment one week after the
462 first UK COVID-19 lockdown was mandated with the trial completed in just 28.5%
463 participants. Most post-Covid intervention delivery occurred online or by phone, rather than
464 face-to-face as in the feasibility trial, with more time spent addressing current issues, and
465 offering psychological support and increased difficulty engaging employers(24). This was
466 possibly in response to disruption caused to people's lives(45), heightened anxiety(46, 47),
467 limited access to NHS services(48) and Covid-19 symptoms, such as fatigue, possibly
468 compounding that related to stroke(2, 49). During the pandemic widespread implementation of
469 telehealth across the NHS, changed rehabilitation delivery, raising concerns about digital
470 exclusion(50). It is possible that telehealth enabled UC further advantaged socially
471 advantaged people with fewer disabilities. The impact of Covid-19 infection on work
472 ability(51) led to an NHS England-led nationwide initiative(52) to develop resources for NHS
473 healthcare professionals to support return-to-work following Covid-19 infection. This possibly
474 equipped OTs with VR skills that were transferable to stroke.

475

476 The pandemic also impacted the employment context. Efforts to minimise COVID-19
477 spread(37) necessitated flexible home-based working and widespread implementation of
478 videoconferencing software possibly advantaging the least disabled, and people conversant in
479 and with access to technology. Efforts to facilitate remote working and support employees
480 during lockdowns, coupled with heightened awareness of pandemic-related health inequity(53)
481 and labour shortages(54), may have expedited employer awareness of Equality, Diversity and
482 Inclusion. These changes compromised core intervention mechanisms (employer engagement
483 and education, cross-boundary working, negotiating reasonable adjustments). The pandemic
484 increased the length of the trial to over five years. In this time new guidelines(10, 11, 52)
485 advocating the need for VR, highlighted the need for 'early intervention', and the Stroke
486 Sentinel National Audit Programme, introduced VR specific questions to its audit, influencing
487 changes in clinical practice(55). Despite providing training and support to recruiting clinical

488 research network staff, only 10% of participants were cognitively impaired and 17% had
489 aphasia. High staff turnover(56), and use of pre-recorded training resources following the
490 pandemic, may have contributed. Interviews with recruiting teams highlighted varied
491 perceptions regarding the appropriateness of recruiting patients ‘early after stroke’.

492
493 Despite efforts to maintain participant engagement, full questionnaire completion was low with
494 secondary outcomes missing for more than half the sample. Those lost to follow-up tended to
495 represent more severe stroke, with differential missing data patterns by arm, limiting the
496 reliability of comparison between groups on secondary outcomes. Reducing questionnaire
497 length or collecting data via other means (ie|medical records) may have improved completion
498 rates. Contractual issues meant it was not possible to obtain aggregated non identifiable data
499 on work status via the DWP.

500
501 We were unable to explore the effect of contract type or flexible working in relation to
502 outcomes, and recommend future data collection include employment on zero hours contracts
503 and ability to work remotely. The NIH Stroke Scale for quantifying stroke severity was not
504 collected, therefore we quantified using the number of impairments in mobility, aphasia and
505 cognition.

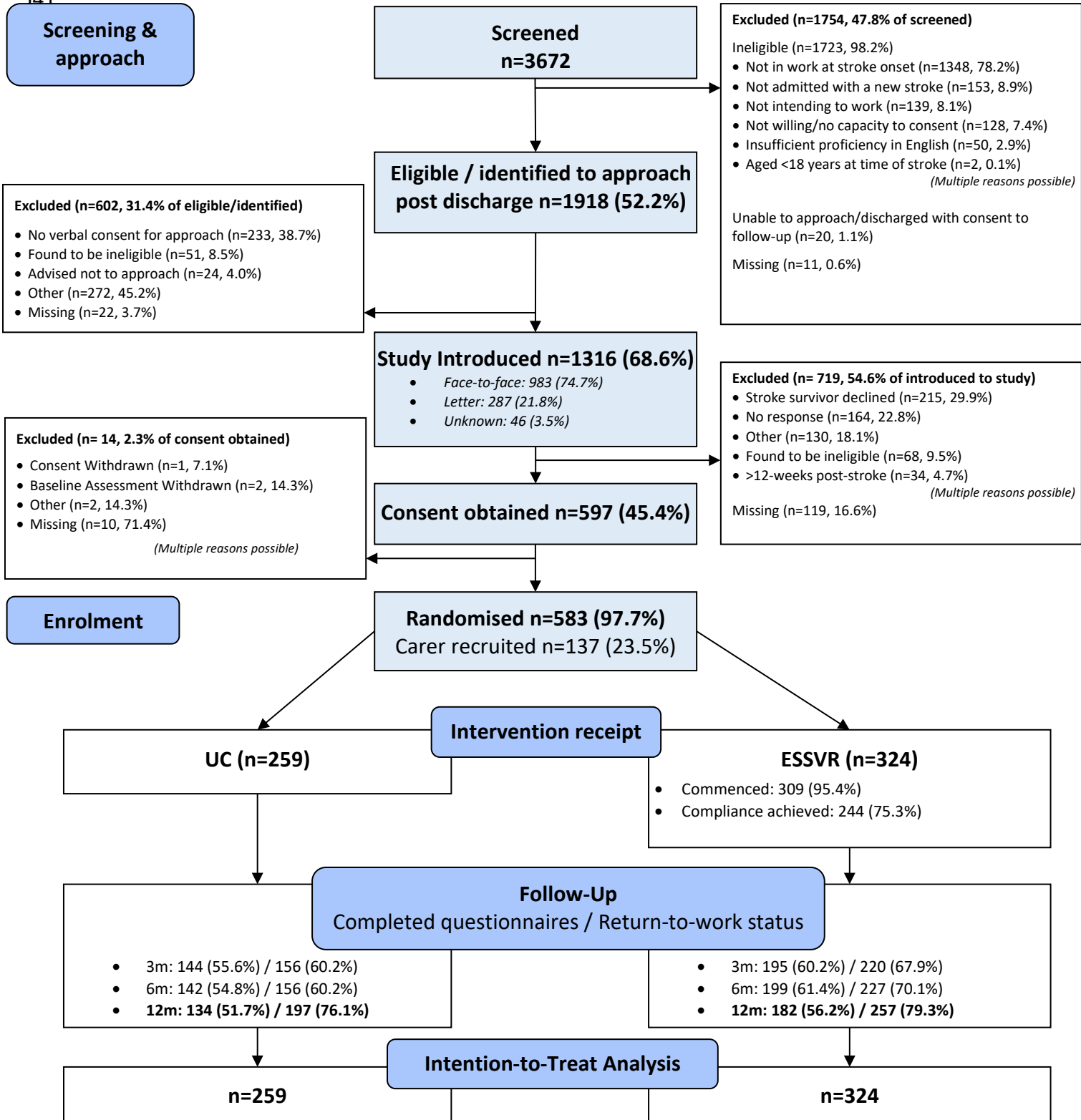
506
507 **Future research directions**
508 Younger age, high education, believing work is important and self-expectations of return to
509 work are positive predictors for return to work(57, 58) (refs) . These factors have undoubtedly
510 influenced the findings of this trial, which recruited a predominantly male, relatively young
511 (mean 54 years) and mild to moderate sample of stroke survivors and where intention to return
512 to work was a trial inclusion criterion. Where resource are limited, our findings suggest
513 ESSVR should be targeted, potentially at older patients and those with greater post-stroke
514 impairment. Further research to confirm this finding is needed, as is research to better
515 understand the needs of people with aphasia, less well-educated stroke survivors on lower
516 incomes and younger stroke survivors with little or no residual disability who are able to self-
517 advocate and motivated to return.

518
519 Longer follow-up studies are needed. Future trials should consider minimising data collection
520 to reduce participant burden, and resourcing data collection support for those who need it;
521 stratify by stroke severity; and comprehensively document usual care. Involving PPI members
522 in training recruiters may also help overcome recruitment bias.

523
524 **Conclusions**
525
526 The quantitative findings from this first definitive RCT of a stroke-specialist VR intervention
527 found no evidence of benefit of ESSVR on return-to-work. The pandemic changed the world
528 of work irreversibly, and healthcare delivery beyond anything that could have been anticipated
529 in the trial lifetime. It changed the meaning of work in people’s lives, increasing rates of early
530 retirement, and compromised key ESSVR mechanisms, the overall effectiveness of the
531 intervention, our primary outcome, and trial delivery.

532 **Data Sharing**
533 Data supporting this work are available on reasonable request. All requests will be reviewed
534 by relevant stakeholders, based on the principles of a controlled access approach. Requests to
535 access data should be made to CTRU-DataAccess@leeds.ac.uk in the first instance.

536
537
538



545 **Table-1 Baseline Characteristics***

	ESSVR (n=324)	UC (n=259)	Total (n=583)
Recruitment period			
Pre-covid <31.03.20	248(76.5%)	197(76.1%)	445(76.3%)
12m pre-covid <31.03.2019	93(28.7%)	73(28.2%)	166(28.5%)
During furlough scheme <30.09.21	38(11.7%)	34(13.1%)	72(12.3%)
Post furlough >30.09.21	38(11.7%)	28(10.8%)	66(11.3%)
Location of assessment			
Hospital	152(47.6%)	121(47.8%)	273(47.7%)
Home	165(51.7%)	130(51.4%)	295(51.6%)
Age, mean (SD)			
	53.7(10.48)	54.3(11.88)	54.0(11.12)
Male			
	235(72.8%)	165(64.2%)	400(69.0%)
Ethnicity			
White	254(84.1%)	199(83.3%)	453(83.7%)
Black	19(6.3%)	23(9.6%)	42(7.8%)
Asian	13(4.3%)	12(5.0%)	25(4.6%)
Mixed	2(0.7%)	2(0.8%)	4(0.7%)
Other	14(4.6%)	3(1.3%)	17(3.1%)
Living with another			
	244(75.5%)	203(79.0%)	447(77.1%)
Married/long-term relationship			
	212(65.8%)	183(71.2%)	395(68.2%)
Carer recruited			
	71(21.9%)	66(25.5%)	137(23.5%)
Highest qualification			
Higher education	129(40.8%)	108(42.9%)	237(41.7%)
Further education	93(29.4%)	75(29.8%)	168(29.6%)
Job Type, n(%)			
Blue Collar	156(51.5%)	120(50.2%)	276(50.9%)
White Collar	147(48.5%)	119(49.8%)	266(49.1%)
In paid/self-employment pre-stroke			
	301(94.7%)	234(94.4%)	535(94.5%)
Pre-stroke working hours, mean(SD)			
	38.3(12.88)	37.7(12.65)	38.1(12.78)
Type of stroke			
Subarachnoid haemorrhage	8(2.6%)	1(0.4%)	9(1.6%)
Intracerebral haemorrhage	48(15.5%)	37(15.6%)	85(15.6%)
Ischaemic stroke	253(81.9%)	199(84.0%)	452(82.8%)
Length of hospital stay (days), Median(IQR)			
	4.0(2.0,10.0)	4.0(2.0,10.0)	4.0(2.0,10.0)
Days from stroke to randomisation, Median(IQR)			
	28.0(112.0,46.0)	29.0(13.0,42.0)	28.0(13.0,44.0)
Comorbidities			
Cardiac Complications	65(20.1%)	64(24.9%)	129(22.2%)
Mental health Problems	29(9.0%)	26(10.1%)	55(9.5%)
Seizures	6(1.9%)	6(2.3%)	12(2.1%)
Musculoskeletal Conditions	54(16.7%)	39(15.2%)	93(16.0%)
Diabetes	59(18.3%)	40(15.6%)	99(17.1%)
None	165(51.1%)	130(50.6%)	295(50.9%)
Post-stroke impairments			
None	161(49.7%)	134(51.7%)	295(50.6%)
One	131(40.4%)	95(36.7%)	226(38.8%)
Multiple	32(9.9%)	30(11.6%)	62(10.6%)
Type of impairment			
Mobility [†]	119(36.7%)	91(35.1%)	210(36.0%)
Aphasia [‡]	53(16.4%)	48(18.5%)	101(17.3%)
Cognitive [§]	32(9.9%)	21(8.1%)	53(9.1%)

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547

* Missing: n=11 location (other n=4), n=42 ethnicity, n=3 living arrangements, n=4 marital status, n=15 education, n=41 job type, n=37 type of stroke, n=208 length of stay, n=3 time since stroke, n=3 comorbidities.

[†] Mobility impairment=Eq-5D-5L moderate/severe problems walking about/unable to walk.

[‡] Aphasia impairment=OCS picture naming task score $\leq 3/4$ (≤ 5 th centile of normative data on **expressive language**).

[§] Cognitive impairment=OCS executive mixed task scores $\leq 4/13$ (≤ 5 th centile of normative data on **Task switching/Attention**).

Table-2 Primary and secondary return-to-work outcomes

	3-months			6-months			12-months		
	ESSVR(n=324)	UC(n=259)	Total(n=583)	ESSVR(n=324)	UC(n=259)	Total(n=583)	ESSVR(n=324)	UC(n=259)	Total(n=583)
Primary outcome available	220(67.9%)	156(60.2%)	376(64.5%)	227(70.1%)	156(60.2%)	383(65.7%)	257(79.3%)	197(76.1%)	454(77.9%)
Primary outcome: Return-to-work									
Yes	133(60.5%)	95(60.9%)	228(60.6%)	152(67.0%)	108(69.2%)	260(67.9%)	165(64.2%)	117(59.4%)	282(62.1%)
No	87(39.5%)	61(39.1%)	148(39.4%)	75(33.0%)	48(30.8%)	123(32.1%)	92(35.8%)	80(40.6%)	172(37.9%)
Missing	104	103	207	97	103	200	67	62	129
Odds Ratio (95%CI),p-value	1.02(0.65,1.60),p=0.9283			1.00(0.65,1.52),p=0.9884			1.12(0.75,1.68),p=0.5678		
Retuned as part of:									
Graded return-to-work							35(33.7%)	26(34.7%)	
Supported work							2(1.9%)	0(0.0%)	
None							28(26.9%)	31(41.3%)	
Other							39(37.5%)	18(24.0%)	
Missing							61	42	
Secondary outcomes:	In those reporting return to work at follow-up								
Stroke impacted work status*	103/113(91.2%)	73/85(85.9%)	176/198(88.9%)	78/127(61.4%)	54/89(60.7%)	132/216(61.1%)	51/105(48.6%)	34/77(44.2%)	85/182(46.7%)
Hours									
Change in working hours	66/108(61.1%)	39/80(48.8%)	105/188(55.9%)	59/124(47.6%)	33/87(37.9%)	92/211(43.6%)	41/103(39.8%)	24/75(32.0%)	65/178(36.5%)
If yes, current working hours, mean(SD)	18.3(12.24),n=51	20.3(12.15),n=35	19.1(12.17),n=86	19.9(11.11),n=31	24.2(8.90),n=18	21.5(10.47),n=49	28.4(11.65),n=33	31.5(11.71),n=15	29.4(11.64),n=48
Pre-stroke working hours, mean(SD)	41.2(12.04),n=118	37.3(12.89),n=78	39.7(12.50),n=196	38.7(12.45),n=135	38.5(12.89),n=94	38.6(12.61),n=229	39.0(11.77),n=145	39.3(10.78),n=103	39.1(11.35),n=248
Days worked									
Have had to take time off	91/111(82.0%)	61/83(73.5%)	152/194(78.4%)	42/124(34.4%)	31/85(36.5%)	73/207(35.3%)	22/98(22.4%)	14/72(19.4%)	36/170(21.2%)
If yes, weeks taken off, mean(SD)	10.2(4.30),n=78	10.3(5.97),n=54	10.2(5.02),n=132	6.7(5.91),n=32	5.9(5.04),n=23	6.3(5.52),n=55	13.5(15.78),n=15	7.8(8.26),n=11	11.1(13.22),n=26
Role									
Changed role	12/102(11.8%)	9/75(12.0%)	21/177(11.9%)	12/122(9.8%)	15/87(17.2%)	27/209(12.9%)	13/103(12.6%)	9/76(11.8%)	22/179(12.3%)

* Over the past 3-months

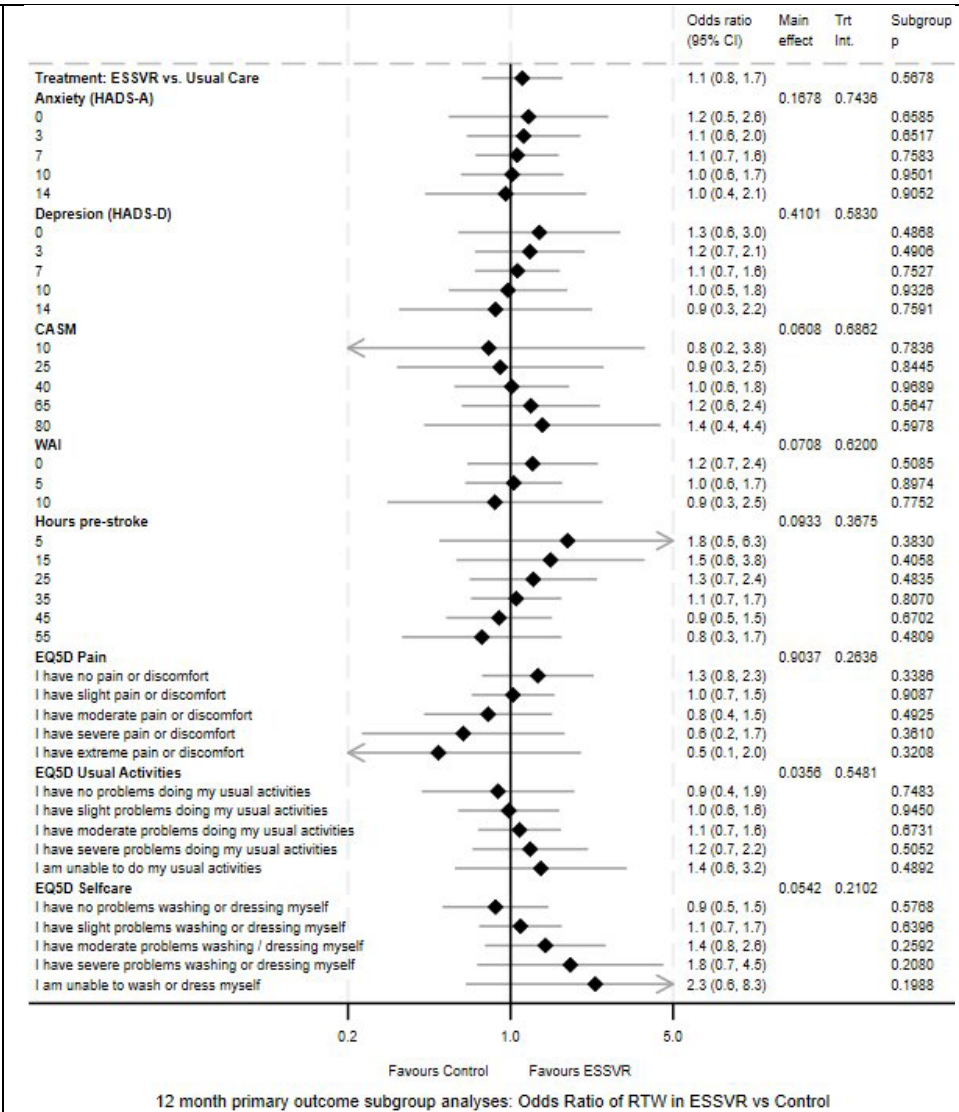
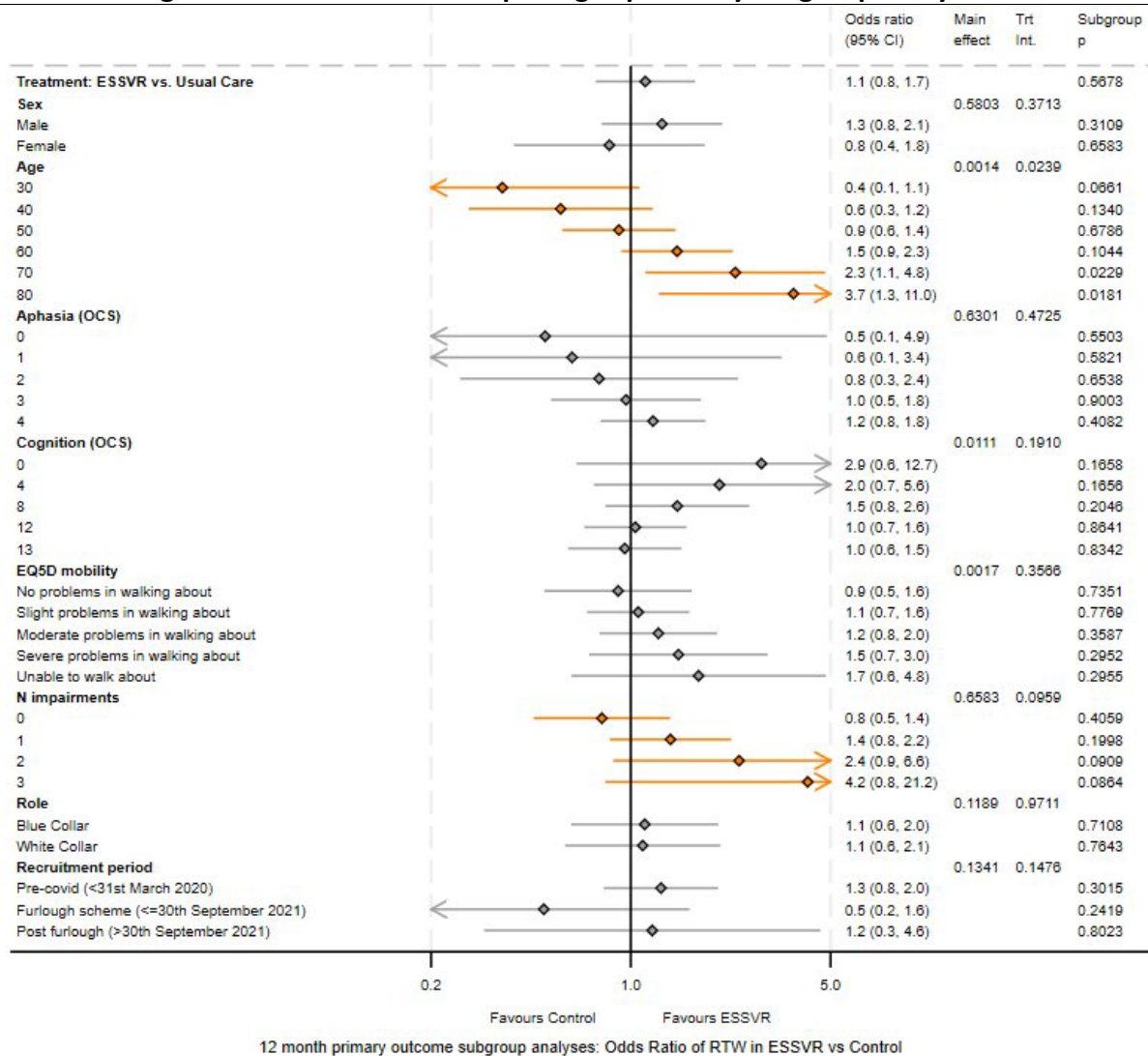
Table-3 Secondary Outcomes[†]

	Baseline			3-months			6-months			12-months		
	ESSVR (n=324)	UC (n=259)	Total (n=583)	ESSVR (n=324)	UC (n=259)	MD (95%CI),p- value	ESSVR (n=324)	UC (n=259)	MD (95%CI),p- value	ESSVR (n=324)	UC (n=259)	MD (95%CI),p- value
Questionnaire returned				195(60.2%)	144(55.6%)	339(58.1%)	199(61.4%)	142(54.8%)	341(58.5%)	182(56.2%)	134(51.7%)	316(54.2%)
Mood: HADS-Anxiety[‡], mean(SD)	6.6(4.38), n=314	7.0(4.65), n=247	6.8(4.50), n=561	7.5(4.86), n=179	7.4(4.45), n=127	0.43(-0.48,1.34), p=0.3518	6.5(4.74), n=180	6.7(4.44), n=127	0.60(-0.32,1.53), p=0.2000	6.8(5.01), n=155	7.2(4.56), n=104	0.24(-0.71,1.20), p=0.6174
Normal (0-7)	187(59.6%)	134(54.3%)	321(57.2%)	96(53.6%)	64(50.4%)	160(52.3%)	109(60.6%)	76(59.8%)	185(60.3%)	92(59.4%)	62(59.6%)	154(59.5%)
Mild (8-10)	67(21.3%)	56(22.7%)	123(21.9%)	36(20.1%)	32(25.2%)	68(22.2%)	33(18.3%)	23(18.1%)	56(18.2%)	25(16.1%)	15(14.4%)	40(15.4%)
Moderate (11-14)	45(14.3%)	39(15.8%)	84(15.0%)	31(17.3%)	24(18.9%)	55(18.0%)	25(13.9%)	21(16.5%)	46(15.0%)	24(15.5%)	21(20.2%)	45(17.4%)
Severe (15-21),	15(4.8%)	18(7.3%)	33(5.9%)	16(8.9%)	7(5.5%)	23(7.5%)	13(7.2%)	7(5.5%)	20(6.5%)	14(9.0%)	6(5.8%)	20(7.7%)
Mood: HADS-Depression[‡], mean(SD)	6.1(3.94), n=311	6.2(4.18), n=247	6.1(4.04), n=558	6.3(4.38), n=179	5.9(3.98), n=127	0.40(-0.49,1.29), p=0.3772	5.9(4.28), n=180	5.6(4.14), n=128	0.56(-0.36,1.48), p=0.2305	5.7(4.59), n=158	5.4(4.13), n=105	0.58(-0.40,1.56), p=0.2416
Normal (0-7)	201(64.6%)	156(63.2%)	357(64.0%)	108(60.3%)	86(67.7%)	194(63.4%)	119(66.1%)	90(70.3%)	209(67.9%)	114(72.2%)	78(74.3%)	192(73.0%)
Mild (8-10)	68(21.9%)	50(20.2%)	118(21.1%)	40(22.3%)	21(16.5%)	61(19.9%)	35(19.4%)	18(14.1%)	53(17.2%)	19(12.0%)	15(14.3%)	34(12.9%)
Moderate (11-14)	32(10.3%)	31(12.6%)	63(11.3%)	20(11.2%)	18(14.2%)	38(12.4%)	17(9.4%)	17(13.3%)	34(11.0%)	16(10.1%)	9(8.6%)	25(9.5%)
Severe (15-21)	10(3.2%)	10(4.0%)	20(3.6%)	11(6.1%)	2(1.6%)	13(4.2%)	9(5.0%)	3(2.3%)	12(3.9%)	9(5.7%)	3(2.9%)	12(4.6%)
Functional ability: NEADL, mean(SD)	61.4(12.21), n=315	62.5(11.04), n=252	61.9(11.71), n=567				54.9(13.08), n=179	56.3(11.92), n=129	-1.05(-3.96,1.86), p=0.4755	54.3(13.20),n=157	57.9(10.75),n=109	-3.37(-6.26,-0.48), p=0.0230**
Participation: CIQ-R Social Integration, mean(SD)	7.1(1.89), n=315	7.1(1.92), n=250	7.1(1.90), n=565							6.0(2.24), n=153	6.5(2.16), n=109	-0.36(-0.86,0.13), p=0.1493
Participation: CIQ-R Productivity, mean(SD)	5.6(1.18), n=285	5.6(1.22), n=234	5.6(1.20), n=519							4.3(2.04), n=149	4.6(2.03), n=106	-0.40(-0.82,0.01), p=0.0571
Work self-efficacy: WAI, mean(SD)	3.7(3.00), n=311	3.6(3.07), n=246	3.6(3.03), n=557	5.0(3.14), n=182	5.4(3.13), n=127	-0.44(- 1.06,0.17),p=0.1551	6.0(2.71), n=180	6.2(3.07), n=129	-0.27(- 0.84,0.30),p=0.3537	6.2(3.08), n=154	6.6(2.82), n=111	-0.45(-1.18,0.28), p=0.2226
Post-stroke confidence: CASM, mean(SD)	51.0(13.09), n=312	50.9(12.83), n=236	50.9(12.97), n=548							51.2(15.42), n=149	52.0(13.89), n=104	-0.79(-3.64,2.06) ,p=0.5837
Carer burden: MSC1[‡], mean(SD)	9.0(6.08), n=67	8.5(6.23), n=61	8.7(6.13), n=128	8.3(6.47), n=37	7.7(6.01), n=24	-0.27(- 2.08,1.54),p=0.7681	7.5(6.68), n=38	6.2(5.37), n=18	0.87(- 1.59,3.32),p=0.4858	8.1(6.08), n=37	3.9(4.31), n=17	2.52(0.63,4.41), p=0.0095**

[†] MD(95% CI) represents the adjusted mean difference between treatment groups, ESSVR–UC. HADS scores range 0-21, higher scores indicate more severe anxiety/depression. NEADL scores range 0-66, higher scores indicate greater functional ability. CIQ-R Social Integration scores range 0-10, productivity scores 0-7; higher scores indicate greater community integration. WAI scores range 0-10, higher values indicate better work ability. CASM Scores range 0-81, higher scores indicate greater confidence. MCS1 scores range 0-26, higher scores indicate greater carer burden. ** indicates statistically significant effects.

[‡] Lower scores indicate better outcomes for measures with a [‡], otherwise higher scores indicate better outcomes.

Figure-2 Forest Plot depicting exploratory subgroup analyses



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