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Page 1 of 43 Page 1 of 43

 Deep Brain Stimulation (DBS) and Motor Cortex Stimulation (MCS) for Central Post-Stroke Pain: A Systematic Review And Meta-Analysis 3 Siddarth Kannan¹, Conor S Gillespie², Jeremy Hanemaaijer^{3,4,5}, John Eraifej^{4,5}, Andrew F 4 Alalade^{1,6}, Alex Green^{4,5} ¹ School of Medicine, University of Central Lancashire, Preston, United Kingdom *Department of Clinical Neurosciences, University of Cambridge, Cambridge, UK Department of Neurosurgery, RadboudUMC, Nijmegen, The Netherlands Oxford Functional Neurosurgery Group, John Radcliffe Hospital, Oxford, United Kingdom Nuffield Department of Surgical Sciences, University of Oxford, Oxford, United Kingdom ⁶Department of Neurosurgery, Royal Preston Hospital, UK* Total Number of Pages: 32 Total Number of Figures and Tables: 8 Corresponding author: Siddarth Kannan School of Medicine 135A Adelphi St, Preston PR1 7BH Email address: SKannan@uclan.ac.uk Telephone: (+44) 7788265067 21 Fax: n/a ORCID: 0009-0004-8703-4859

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Abstract

 Introduction: Deep Brain Stimulation (DBS) and Motor Cortex stimulation (MCS) are invasive interventions in order to treat various neuropathic pain syndromes such as Central Post-Stroke Pain. While each treatment has varying degree of success, comparative analysis has not yet been performed, and the success rates of these techniques using validated, objective pain scores have not been synthesised.

 Methods: A systematic review and meta-analysis was conducted in accordance with PRISMA guidelines. Three databases were searched, and articles published from January 2000 October 2024 were included (last search date 25 October 2024). Meta-Analysis was performed using random effects models. We evaluated the performance of DBS or MCS by assessing studies that reported pain relief using Visual Analogue Scale (VAS) or Numerical Rating Scale (NRS) scores.

 Results: Of the 478 articles identified, 32 were included in the analysis (330 patients- 139 DBS, & 191 MCS). The improvement in mean VAS score for patients that underwent DBS post-surgery was 48.6% compared to a score of 53.1% for patients that had MCS. The pooled number of patients who improved after DBS was 0.62 (95% CI, 0.51-0.71, I2=16%). The pooled number of patients who improved after MCS was 0.64 (95% CI, 0.53-0.74, I2=40%).

 Conclusion: The use of neurosurgical interventions such as DBS and MCS are last-resort treatments for Central Post-Stroke Pain, with limited studies exploring and comparing these two techniques. While our study shows that MCS might be a slightly better treatment option, further research would need to be done to determine the appropriate surgical intervention in the treatment of Central Post-Stroke Pain.

 INTRODUCTION Central Post-Stroke Pain (CPSP) is one of the most challenging and distressing complications that stroke survivors face during recovery, affecting approximately 10-39% of stroke patients [12,18]. The onset of CPSP typically occurs within 1 to 3 months following a stroke, with the majority of cases manifesting symptoms by 6 months [13]. This condition is characterized by chronic pain resulting from damage to the central nervous system, which severely impacts patients' quality of life. The duration of CPSP can be chronic, lasting for months or even years after the initial stroke event. Studies suggest that CPSP affects approximately 8% to 35% of stroke patients, with pain often persisting long after the stroke[6]. The type of pain in CPSP is typically described as sharp, paroxysmal, and often localized to the hemiplegic side, though some patients report a more diffuse pain experience[63]. Research indicates that stroke survivors with chronic pain often exhibit higher levels of depression and anxiety, which can exacerbate pain perception and complicate treatment[18].

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 Currently, pharmacological treatments, such as anticonvulsants (e.g., gabapentin), selective serotonin reuptake inhibitors (SSRIs), and antidepressants like amitriptyline, are commonly used to manage CPSP. However, these medications are often associated with significant side effects, especially at higher doses, and many patients are unable to tolerate these treatments [27]. As a result, there is a growing need for alternative therapeutic options.

 In recent years, invasive neuromodulation techniques have emerged as promising alternatives to manage CPSP, with Deep Brain Stimulation (DBS) and Motor Cortex Stimulation (MCS) at the forefront of innovative interventions [17]. Both techniques involve the application of electrical impulses to specific brain areas, aiming to modulate neural circuitry and provide

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Page 5 of 43

Pain Medicine

 We searched PubMed, Embase and Medline database of systematic reviews for full-text 2 articles published in English (Search date 25th October 2024). Search terms used a combination of the terms 'Central Post-Stroke Pain', 'Deep Brain Stimulation' and 'Motor Cortex Stimulation', and their associated synonyms. The full search strategy for all databases can be found in Supplementary Tables 1-3. The Population, Intervention, Comparator, Outcome, Study Design (PICOS) criteria was used (Supplementary table 4). Furthermore, excluded reviews and the reference list of retrieved articles were cross-referenced for enriching and 8 completing the included database. We included studies of adults $(\geq 18 \text{ years})$ that specifically mentioned the use of either DBS or MCS for the treatment of CPSP. We excluded studies that reported exclusively paediatric populations, and studies that examined other forms of neuropathic pain such as trigeminal neuralgia, diabetic and peripheral neuropathy. We excluded studies that were conference abstracts or if the primary language was not English. Two reviewers (SK, CSG) independently screened titles, abstracts and full texts to include articles. If reviewers failed to reach consensus, a third author was sought for clarification. Data extraction Data extraction was completed by two authors independently (SK, CSG). The following data were extracted from included studies: Year published, journal, type of study (Randomized

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 Control Trial [RCT] or observational study), single/multi centre, number of patients with CPSP, and number of these patients that underwent either MCS or DBS, number of patients that saw an improvement in pain, mean postoperative Visual Analogue Scale (VAS) or Numerical Rating Scale (NRS) scores and mean follow up time. The VAS or NRS score was used as primary outcome metric in this study, offering a validated and standardized measure of pain intensity; the scale in each study was measured in 0-10. Any study that did not report individual patient NRS/VAS improvement scores were excluded.

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Risk of Bias Assessment

Risk of bias assessment was completed by two reviewers independently (SK, CSG).

Retrospective studies were classified according to the Newcastle- Ottowa Scale

(NOS)[52][69]. NOS is a tool used to assess the quality of non-randomized studies,

particularly cohort and case-control studies. Evaluation is based on three broad criteria:

Selection, Comparability, and Outcome (for cohort studies) or Exposure (for case-control

studies). Each of these criteria includes several sub-criteria, with points awarded to studies

based on how well they meet each criterion.

Statistical analysis

 Baseline characteristics were presented as descriptive frequencies. For meta-analysis, we used random effects models of variables and endpoints, with pooled proportions used for the reduction of pain scores using VAS as a continuous outcome measure. We evaluated the performance of DBS or MCS by assessing studies that reported pain relief using VAS or NRS 16 scores. Pain improvement was defined as a reduction of \geq 30% on the VAS or NRS score. This is considered clinically significant, as previous studies have indicated that reductions in pain scores of around 30–40% are needed to reflect clinically useful improvements [9,40]. The 30% cut-off for defining improvement was consistently applied across all studies included in this analysis.

Page 7 of 43

Pain Medicine

 The total number of patients in each study, along with the number of patients who 2 experienced pain improvement of $\geq 30\%$, was extracted. These data were then pooled by calculating proportions representing the percentage of patients experiencing clinically significant pain relief. The pooled proportions were aggregated across studies using random effects models to provide an overall estimate. A 95% confidence interval (CI) was calculated to account for study variability and quantify the uncertainty around the estimated proportions. This approach allowed us to evaluate the clinical effectiveness of the interventions across different studies, providing a measure of precision for the pooled estimates and reflecting the range within which the true proportion is expected to lie 95% of the time. We carried out an additional sensitivity analysis by selectively removing studies at high risk of bias, then re-running the meta-analysis. Data analysis of descriptive statistics was performed using the software Statistical Package for the Social Sciences (version 27; IBM; Armonk; NY). R statistics (Rstudio Version 4.0.1) was used to perform a meta-analysis and create figures, forest, and funnel plots (ggplot2 and meta-packages). For each random effects model, we tested heterogeneity using the maximum restricted likelihood estimator. Prevalence was calculated using pooled proportions methods using the inverse variance method. The I² statistic was used to quantify the percentage of total variation across studies that is due to heterogeneity rather than chance [26]. I² values were interpreted as follows: 0–25%: Low heterogeneity, 26–50%: Moderate heterogeneity, >50%: Significant 21 heterogeneity [25]. Heterogeneity was considered significant when $I^2 > 50\%$ and the P-value < 0.1. In cases of significant heterogeneity, further investigation was conducted to explore the sources of variability among studies. Publication bias was assessed using Egger's test and by inspection of funnel plots.

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 Sensitivity analysis In DBS , twelve studies met the criteria for sensitivity analysis compared to thirteen MCS studies. Removing four studies (Pereira et al 2007, Kim et al 2012, Hunsche et al 2013 & Son et al 2014) [28,57,67]studies reduced the improvement in pain relief post DBS implantation to 0.59 (95% C1: 0.48-0.69, p=0.24) from 0.624 (95% CI: 0.51-0.71, p=0.27). This was similar for the MCS cohort where the removal of three studies (Yamamoto et al 2007, Delavallée et al 2008 & Ngyuen et al 2008) [12,45,78], reduced the improvement in pain relief to 0.63 (95% 9 C1: 0.52-0.72, p<0.05) from 0.64 (95% CI: 0.53-0.74, p=0.05) (Supplementary Figure 1-2). Egger's test The potential for publication bias in this meta-analysis was assessed using a funnel plot and Egger's regression test. The funnel plot demonstrated a symmetrical distribution of study effect sizes around the central line, indicating no obvious visual signs of asymmetry (Figure 5) . To statistically evaluate this, Egger's test was performed, yielding a non-significant result $(t = 0.81, df = 17, p = 0.4297)$. This p-value, which is well above the conventional threshold of 0.05, suggests no statistically significant asymmetry in the funnel plot. Consequently, these findings provide no strong evidence of publication bias within the studies included in this analysis. Thus, it can be reasonably concluded that the results of this meta-analysis are unlikely to be influenced by publication bias. Four studies were excluded from the Egger's test due to missing standard error.

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 As in MCS, the precise pain-relieving effect of DBS remains incompletely elucidated. The 2 main difference between DBS and MCS is that the effect ensured through stimulation in DBS varies depending on the targeted brain area. Pain improvement in VPL/VPM thalamus DBS could be caused by the alteration of the balance of excitatory and inhibitory neurotransmitters within the pain pathways [2,64], which partly aligns with the potential underlying mechanisms of MCS. Stimulating the PVG and PAG will result in releasing endogenous opioid peptides with a decrease in activity of nociceptive signal-transmitting neurons [2,64] . An alteration of the emotional and cognitive aspects of chronic pain is suggested when stimulating brain targets of the limbic system, such as the ACC [2,64]. The lack of insight in the underlying mechanisms of DBS and MCS, as well as the pathophysiology of CPSP, illustrates the complexity of this pain syndrome and the need for a multidimensional approach in pain modulation therapies. While the literature is limited on the direct comparison of DBS vs MCS on CPSP, several studies have scrutinized its efficacy individually. A study by Owen et al, (2007) examined the effect of DBS on 47 patients with CPSP and found a mean improvement in VAS score of 59%[53]. Studies have found varying results with mean VAS pain relief of between 38.1%- 68.4%[16,20,32]. However, determinant factors should be taken into consideration, such as heterogeneity in terms of targeted areas: PVG and VPL were the most common sites with 2 studies targeting the ACC and 1 study each targeting the PLIC. Studies looking at the effect of MCS are limited in comparison with DBS. A study by Zhang et al (2018) looked at the effect of MCS on 16 patients with CPSP and found a mean improvement in VAS score of 42.3% [82]. Similar to patients who underwent DBS surgery, MCS has been shown to improve VAS 23 scores by $40 - 63.8\%$. [47,71].

 A study by Nandi et al. (2002), analysed the use of MCS and VPL/PVG DBS on patients with CPSP. This study concluded that while MCS offers better pain relief, this varies between

Page 13 of 43

Pain Medicine

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 studies directly comparing the cost-effectiveness of DBS and MCS for neuropathic pain, a cost analysis study by Zaghi et al. (2009) indicated that MCS incurs significant initial expenses, estimated at \$42,000.00. After 1-year of follow-up, with monthly visits to assess the parameters and configurations, the total cost of treatment is estimated to be around \$45,600.00[80]. In comparison, an analysis by Bishay et al. (2024) of DBS costs across various disorders, neuropathic pain not included, estimated an inflation- and currency-7 adjusted mean cost of $$40,942.85 \pm $17,987.43$ for total DBS surgery. The initial cost of DBS 8 treatment increases to $$47,632.22 \pm $23,067.08$ after 1-year of follow-up [5]. Regardless, this is not a direct in-depth cost-effectiveness analysis between MCS and DBS for CPSP. It provides an impression of the estimated total costs for both interventions, including the first year of intensive follow-up. Follow-up care is essential for optimising treatment outcomes in both interventions. Although the nature and intensity of follow-up can vary significantly within patients. The "trial and error" approach to programming different configurations and parameters in patients treated with MCS or DBS is time consuming and patient specific. Therefore, novel research on investigating connectivity-based predictive models could potentially address these challenges. A personalized approach that optimizes configuration and parameters to target specific brain networks may improve the future application of MCS or DBS in the treatment of CPSP. Generally, DBS is considered more invasive than MCS. This procedure involves the implantation of electrodes into deeper brain regions, while MCS requires electrode placement on the surface of the dura mater. Nevertheless, a craniotomy has been carried out over the Rolandic region for appropriate placement in MCS, whereas a burr hole approach is used in DBS surgery.

Page 15 of 43

Pain Medicine

 Although MCS and DBS are distinct procedures, adverse events appear to be rare and manageable. Potential adverse effects following DBS and MCS surgery include seizures, hematomas, infections, headaches and hardware malfunction. Additionally, some complications are procedure specific, such as epidural fibrosis, electrode migration, and effusion formation are associated with MCS surgery [59]. In DBS, the side effects are more location dependent, such as paraesthesias, muscle spasms, and phosphenes. Side effects could also occur at accustomed therapeutic voltages, the electrode leads therefore should be repositioned into a slightly altered location [70]. The aforementioned findings highlight that while DBS and MCS are effective therapies for chronic neuropathic pain, careful and accurate post-surgical management is not only required for optimizing result, but also cost- effectiveness and minimizing the risk of adverse events. In contrast to the invasive nature of DBS and MCS, non-invasive brain stimulation (NIBS) techniques have been investigated to adjust the excitability of specific functional brain regions[31,72]. The most prevalent utilized NIBS techniques in clinical setting are transcranial magnetic stimulation (TMS) and transcranial direct current stimulation (tDCS). Research indicates that rTMS can effectively reduce neuropathic pain. A study demonstrated that rTMS targeting the motor cortex resulted in significant pain relief for patients suffering from refractory neuropathic pain[74]. The mechanism described by which rTMS alleviates pain is thought to involve modulation of cortical excitability and restoration of normal brain function in pain processing pathways[56]. A clinical trial found that anodal tDCS applied to the motor cortex significantly ameliorated chronic pain and reduced intracortical inhibition, suggesting a potential mechanism for its analgesic effects[4]. While there is no study directly comparing the effect of NIBS on CPSP, a recent meta-analysis on sensory function recovery in stroke patients showed that both tDCS and rTMS significantly outperformed control

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 conditions. NIBS was beneficial in the acute and subacute phases of stroke, while a moderate effect was observed in chronic stroke patients [11]. Although rTMS currently has been described as a predictive factor for MCS, the application of NIBS treatments could potentially being integrated in the treatment of CPSP after warrant future research. Moreover, the integration of individualized treatment protocols is likely to play a crucial role in the future of non-invasive neuromodulation[36]. Current research suggests that the same stimulation parameters may not yield uniform effects across different individuals due to variations in brain anatomy and neurophysiology [77]. Personalized approaches, such as adjusting stimulation intensity and targeting specific brain regions based on individual patient profiles, could enhance treatment outcomes and minimize side effects[10]. Though we analyse the efficacy of DBS and MCS on patient outcome there are certain aspects that need to be addressed. The success of MCS could be due to fewer studies present on this topic and the impact of information bias would need to be considered. Various factors

 impacting pain relief such as severity and location of stroke, age, and social habits could have an impact on the overall outcome [23,50]. Studies have also shown that coping strategies such

as social support can influence the effect of pain relief [62,79]. A cross-sectional study found

18 that access to a trusted healthcare professional, living with pain for \geq 10 years and

polypharmacy had a significant effect on the amount of pain relief [81].

Limitations

 This study has several limitations. Firstly, all studies included were retrospective, precluding pooled analysis of prospective studies. In addition, the region of the brain where DBS was performed is heterogeneous and could have impacted the effect of pain relief. In MCS, the

Page 17 of 43

Pain Medicine

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Figure 1: PRISMA flowchart detailing the selection process of studies included in this systematic review and meta-analysis.

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Figure 2: Forest plot demonstrating the pooled effect size for improvement in Visual Analog Scale (VAS) scores following Motor Cortex Stimulation (MCS), analysed using random effects models to account for between-study variability.

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Figure 3: Forest plot summarizing the improvement in Visual Analog Scale (VAS) scores achieved after Deep Brain Stimulation (DBS), with random effects modelling to address heterogeneity among studies.

79x42mm (300 x 300 DPI)

 $\begin{array}{l} 4.5\% \\ 14.2\% \\ 10.8\% \\ 8.4\% \\ 11.4\% \\ 2.5\% \\ 16.5\% \\ 2.6\% \\ 2.6\% \\ 7.8\% \end{array}$

100.0%

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Weight Weight **Events Total** 95%-CI (common) (random) **Study** Proportion 1.00 [0.29; 1.00]
1.00 [0.29; 1.00]
0.60 [0.15; 0.95] 7.3%
7.3% **Kim 2012** $\overline{3}$ $\overline{3}$ 7.3% $7.3%$ Hunsche 2013 $\overline{3}$ 3 **Gray 2014** $\frac{3}{3}$ 20.1% 20.1% $\overline{5}$ Boccard $\overline{5}$ 0.60 $[0.15; 0.95]$ 20.1%
20.1% 20.1%
20.1% $\frac{2}{3}$ 0.40 [0.05; 0.85] **Levi 2019** 5 25.1% 25.1% Abdallat 2021 0.50 $[0.12, 0.88]$ 6 Common effect model 27 0.59 [0.39; 0.76] 100.0% 100.0%

Senting the pooled VAS improvements from studies
using random effects models to incorporate variate
79x43mm (300 x 300 DPI) Figure 4B: Forest plot presenting the pooled VAS improvements from studies targeting alternative brain regions, evaluated using random effects models to incorporate variability across studies.

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