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REGIONAL VARIATION IN ACUTE STROKE CARE ORGANISATION

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Abstract (227 words)

Background: Few studies have assessed regional variation in the organisation of stroke services, particularly health care resourcing, presence of protocols and discharge planning.

Aim: To compare stroke care organisation within middle- (MIC) and high-income country (HIC) hospitals participating in the Head Positioning in Stroke Trial (HeadPoST).

Methods: HeadPoST is an on-going international multicenter crossover cluster-randomized trial of 'sitting-up' versus 'lying-flat' head positioning in acute stroke. As part of the start-up phase, all hospitals were required to complete a stroke care organisation questionnaire.

Results: 94 hospitals from 9 countries completed the questionnaire, 51 corresponding to MIC and 43 to HIC. Most participating hospitals had a dedicated stroke care unit/ward, with access to diagnostic services and expert stroke physicians, and offering intravenous thrombolysis. There was a no difference for the presence of a dedicated multidisciplinary stroke team, although greater access to a broad spectrum of rehabilitation therapists in HIC compared to MIC hospitals was observed. Significantly more patients arrived within a 4-hour window of symptoms onset in HIC hospitals (41 vs. 13%; P<0.001), and a significantly higher proportion of acute ischemic stroke patients received intravenous thrombolysis (10 vs. 5%; P=0.002) compared to MIC hospitals.

Conclusions: Although all hospitals provided advanced care for people with stroke, differences were found in stroke care organisation and treatment. Future multilevel

analyses aim to determine the influence of specific organisational factors on patient

outcomes.

Introduction

Organisation of stroke care is a key factor in patient outcomes, having been shown to significantly reduce mortality and disability.(1) Presence of local protocols and pathways, adequate health care resources (including staff), regular quality assessments, and discharge planning appear to positively impact care. However, wide variations have been reported in acute stroke care processes, costs and outcomes worldwide.(2, 3) In addition, there are fewer stroke units in lower-income countries, where acute care tends to be inconsistent, with large differences in average length of hospital stay between countries.(3)

Disparity in stroke care is important as stroke disproportionately affects people in low- and middle-income countries (MIC), compared to high-income countries (HIC).(4) This has resulted in the World Stroke Organisation assigning the highest priority to the detection of barriers and implementation of evidence-based interventions for stroke care.(5) Unfortunately, gaps in information about stroke organisation care from different sites, and in particular according to country income, have hampered the implementation of specifically designed and focussed interventions.

Aim

To describe the organisation of care in stroke units from different countries participating in the Head Positioning in Stroke Trial (HeadPoST), and to compare health care resourcing, presence of protocols and discharge planning by country income.

Methods

HeadPoST is an international, cluster-randomised, crossover, open, blinded outcome-assessed clinical trial to determine the effects of lying-flat (0°) compared with sitting-up (≥ 30°) head positioning in the first 24 hours of hospital admission for patients with acute stroke. Methods have been published in detail elsewhere.(6) Hospitals with established acute stroke care programs have started recruitment and are at different stages of the trial. As part of the start-up phase, principal investigators at each hospital were required to complete a previously published Hospital Organisation Questionnaire (HOQ) designed by the study investigators to determine how stroke care is organized.(6) HOQ has only closed questions on a wide variety of stroke topics, including hospital details, stroke pathways, emergency department characteristics, imaging and clinical resources, acute treatment strategies, stroke care and stroke unit, discharge plans, rehabilitation and follow-up services, continuing education, research and quality improvement. Answers were uploaded into an electronic database, identified only by the study assigned number. The HOQ was designed in English and was also translated into Chinese.

To be included in HeadPoST, hospitals were required to have an established acute stroke care program within a geographically defined area and a sufficient projected throughput of patients to ensure feasibility of recruitment within a short time frame. Local Ethics and regulatory entities have approved the study in the different countries. Study data were collected and managed using REDCap electronic data capture tools hosted at The George Institute for Global Health.(7)

Statistical analysis

Chi squared or Fisher tests were used to compare categorical variables, and Mann-

Whitney test was used for continuous variables. IBM SPSS Statistics version 21 was used. A two-sided P value <0.05 was considered significant.

Results

Ninety-four hospitals completed the HOQ between 26th August 2014 and 1st February 2016. According to current world data bank criteria,(8) hospitals were allocated in the MIC (51 hospitals; India (5), Sri Lanka (4), Brazil (1), Colombia (1), China (40)), or HIC groups (43; Australia (6), Chile (6), UK (28), Taiwan (3)).

Health service capacity for stroke care

Hospital characteristics are depicted in Table 1, with most having a dedicated stroke care unit/ward. MIC hospitals were more likely to be academic, with a higher number of beds, including dedicated stroke beds, and also more likely to be located in a metropolitan area (Table 1). Access to diagnostic and other support services was comparable; with local urgent CT available in 49 [98%] of MIC and 43 [100%] of HIC hospitals, and emergency laboratory facilities in 49 [98%] and 43 [100%] of MIC and HIC hospitals, respectively. Most hospitals had access to on-going rehabilitation at the admitting or another hospital, and offered routine outpatient clinic follow-up review for all stroke patients (Table 2).

Stroke pathway for intravenous thrombolysis

Compared to MIC hospitals, HIC hospitals had more stroke patients arriving by ambulance and within 4 hours of symptom onset; 81% (interquartile range [IQR] 75-90) vs. 21% (IQR 10-48), P<0.001, and 35% (IQR 25-55) vs. 10% (IQR 6-20), P<0.001. In addition, HIC hospitals received more frequently a pre-alert from the ambulance service

to the Emergency Department (ED) prior to patient arrival (39 [91%] vs. 36 [72%],

P=0.034), and have an acute "code stroke" pager system for potential thrombolysis

patients with medical staff from the stroke service available in ED (38 [88%] vs. 21

[42%] hospitals, P<0.001). Though there was no significant difference in the proportion

of HIC and MIC hospitals offering thrombolysis (Table 1), more acute ischemic stroke

(AIS) patients received rtPA in HIC compared to MIC hospitals (10% [IQR 7-15] vs. 5%

[IQR 1-10], respectively, P=0.002). Interventional neuroradiology and neurosurgery were

more likely to be available in MIC hospitals (Table 1).

Human resources in stroke care

All hospitals had access to physicians with stroke expertise and a dedicated

multidisciplinary team. MIC hospitals had a higher total number, as well as range, of

medical officers, but this difference is associated with a higher number of dedicated

stroke beds in MIC hospitals. No difference was detected in the number of patients to one

nurse or health assistant in ED or stroke care unit between MIC and HIC hospitals.

However, HIC hospitals offer access to a broader range of rehabilitation therapists

compared to MIC. Further details of staffing are provided in Table 3 and Figure.

Protocols, discharge plans and quality assurance

The availability of a special pathway, or ward for stroke care was non-significantly

higher in HIC hospitals (41 [95%] vs. 40 [82%], P=0.056), as were referral protocols to

different health professionals more common (Table 2). However, most hospitals had local

protocols available for fever control, blood glucose management and swallow

assessment, with no significant difference between HIC and MIC hospitals (Table 2). In

addition, access to on-going rehabilitation, discharge services with home-based

rehabilitation and assessment, and routine outpatient follow-up review was similar between HIC and MIC hospitals, though home nursing service and palliative care were more frequently available in HIC hospitals (Table 2). Continuing stroke management education was available in most hospitals, though quality improvement activity was more frequent in HIC hospitals (Table 2).

Discussion

Most HeadPoST-participating hospitals had advanced health service capacity for stroke care following the recently published World Stroke Organisation classification,(5) with access to coordinated stroke care, physicians with stroke expertise, advanced diagnostic and interventional services, rehabilitation and discharge programs. We acknowledge that this manuscript relates to selected hospitals participating in a clinical trial, which may not necessarily represent other institutions in their country, and that China and the United Kingdom account for the majority of hospitals. Nonetheless, this article provides description of stroke care in MIC hospitals, which are currently under-represented in literature with most publications referring to Europe,(2) United States,(9) Canada(10) and Taiwan.(11) There is therefore an important opportunity to discuss stroke care between MIC and HIC health systems, and in particular the wide array of stroke care in different hospitals from a number of countries, with particular emphasis on facilities, patient pathways, staffing, and protocols and quality improvement activities.

Though most MIC hospitals have implemented pre-hospital ambulance pathways for the timely arrival of patients, have an emergency call number and are located in a metropolitan area, our data show that most stroke patients do not use the ambulance

service to go to hospital and therefore fail to arrive within the time window for intravenous thrombolysis. Importantly, responses to the HOQ indicate that service organisation in MIC hospitals is available to ensure appropriate door-to-needle time in those patients arriving within a thrombolysis time window. These data stress the need for comprehensive strategies to provide successful pre-hospital stroke care, with networks ensuring rapid access to health care, timely medical evaluation and diagnosis, and tailored treatment. In addition, educational programs and campaigns to raise awareness of stroke symptoms and the importance of immediate consultation can aid in improving the number of patients being evaluated during the reperfusion window and thus increase the number of rtPA-treated patients in MIC.(12, 13)

In contrast to thrombolysis management of ischemic stroke, MIC hospitals had more evidence of stroke care focused on intracerebral haemorrhage (ICH) management, including local clinical pathways and on-site neurosurgical availability. This probably reflects that most MIC hospitals in our study come from Asian regions, where ICH is highly prevalent,(14) but does confirm that it should be possible to implement care pathways for ischemic stroke too. This is important, because stroke distribution in Asian regions is rapidly changing with industrialization, causing an increase in ischemic stroke, a reduction in ICH, and a need to provide pathways and protocols similar to those reported in western countries.(15)

MIC hospitals were predominantly larger with higher patient-flow, and this 'volume–outcome relationship' has been shown to be an important predictor of short-term mortality in some,(16) though not all,(17) stroke studies. However, participating HIC hospitals may have adopted a two-tiered (or 'hub-and-spoke') model of stroke care in

which a central 'comprehensive stroke centre' serves a number of smaller hospitals working as 'primary stroke centres' for thrombolysis of AIS patients, using protocols for transfer to larger hospitals for interventional therapies and telemedicine assistance.(18) Therefore, the HOQ may not have captured the entire range of treatment options available to patients in participating HIC hospitals.

With respect to the rehabilitation component of the stroke pathway, MIC hospitals had fewer multidisciplinary stroke care and referral protocols to different health professionals when compared to HIC hospitals. This may have an adverse impact on stroke outcome, as the presence of occupational therapy or physiotherapy, stroke team assessment and continuing education are key for stroke care, showing an effect that is independent of the severity of the disease.(9, 10) Indeed, escalating levels of organised care, measured by the Organised Care Index - a summary score based on hospital availability of occupational therapy or physiotherapy, stroke team assessment of patients, and admission to a stroke unit - were independent predictors of improved stroke survival in Canada(10) and Europe.(2) How these differences in stroke care impact on patient outcome is not known.

In conclusion, differences exist in stroke care organisation within hospitals with advanced health service capacity that have been selected to participate in a large-scale stroke trial. Importantly, these differences exist along the whole stroke care pathway, from pre-hospital, including population awareness of stroke, through acute and rehabilitation hospital care, to long-term community care, including palliative care services. Whilst these differences may reflect variations in funding and/or national health programs between MIC and HIC hospitals, it is important to consider the potential impact on

patient outcome, including in the context of the on-going HeadPoST study. Future multilevel analyses will be required to determine the influence of these organisational factors on patient outcome in different stroke subtypes within MIC and HIC health economies.

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Table 1: Hospital characteristics by country income

	MIC	HIC	P value
Academic	47 (94%)	29 (67%)	0.001
Number of beds	1000 (800-1800)	600 (404-745)	<0.001
Metropolitan	43 (84%)	26 (61%)	0.011
Intensive care unit	51 (100%)	43 (100%)	NS
Neurosurgery	45 (90%)	16 (37%)	<0.001
Interventional neuroradiology	33 (67%)	15 (35%)	0.003
Dedicated stroke ward/unit	42 (84%)	41 (95%)	NS
Number of dedicated stroke beds	51 (10-100)	28 (10-38)	0.009
Number of acute stroke patients admitted annually	740 (400-2148)	576 (400-818)	0.046
Intravenous thrombolysis (rtPA)	46 (92%)	42 (98%)	NS
Stroke database	34 (68%)	40 (93%)	0.004

Data are presented in number and percentage or median and interquartile range (IQR)
MIC: middle-income countries, HIC: high-income countries, iv: intravenous, rtPA: recombinant tissue plasminogen activator, NS: not significant. Academic means it is a teaching hospital. P value from Chi squared, Fisher or Mann-Withney test as appropriate.

Table 2: Protocols, discharge plans, rehabilitation, follow-up services and continuing education by country income

	MIC	HIC	P value
Special pathway, ward or service organisation at the hospital for the management of patients with acute stroke	40 (82%)	41 (95%)	NS
Current ED pathway/checklist/protocol for AIS rapid triage and treatment	48 (94%)	38 (88%)	NS
Clinical pathway/checklist for ICH patients	42 (86%)	28 (68%)	0.048
Standardised protocol for administering intravenous rtPA	45 (100%)	41 (100%)	NS
Guidelines for acute treatment of stroke patients in the stroke care unit	44 (94%)	41 (95%)	NS
Local protocols for fever control	45 (88%)	34 (79%)	NS
Local protocols for reducing elevated blood glucose levels	44(86%)	37 (86%)	NS
Local protocols for swallow dysfunction	45 (88%)	41 (95%)	NS
Protocol for referral to the following health professionals:			
Physiotherapist	34 (67%)	36 (84%)	NS
Speech pathologist	16 (31%)	39 (91%)	<0.001
Occupational therapist	17 (33%)	36 (84%)	<0.001
Dietician	24 (47%)	37 (86%)	<0.001
Psychologist	22 (43%)	22 (51%)	NS
Psychiatrist	9 (18%)	21 (49%)	0.002
Social worker	6 (12%)	35 (81%)	<0.001
Swallowing screen performed within 24 hours for all stroke patients	28 (56%)	34 (79%)	0.027
Formal swallowing assessment by a speech pathologist or speech and language therapist performed on referral	17 (36%)	31 (72%)	0.001
Discharge summary sent to the General Practitioner	16 (36%)	41 (95%)	<0.001
Routine outpatient clinic follow-up review for all stroke patients	41 (87%)	37 (86%)	NS
Early supported discharge service	29 (60%)	33 (77%)	NS

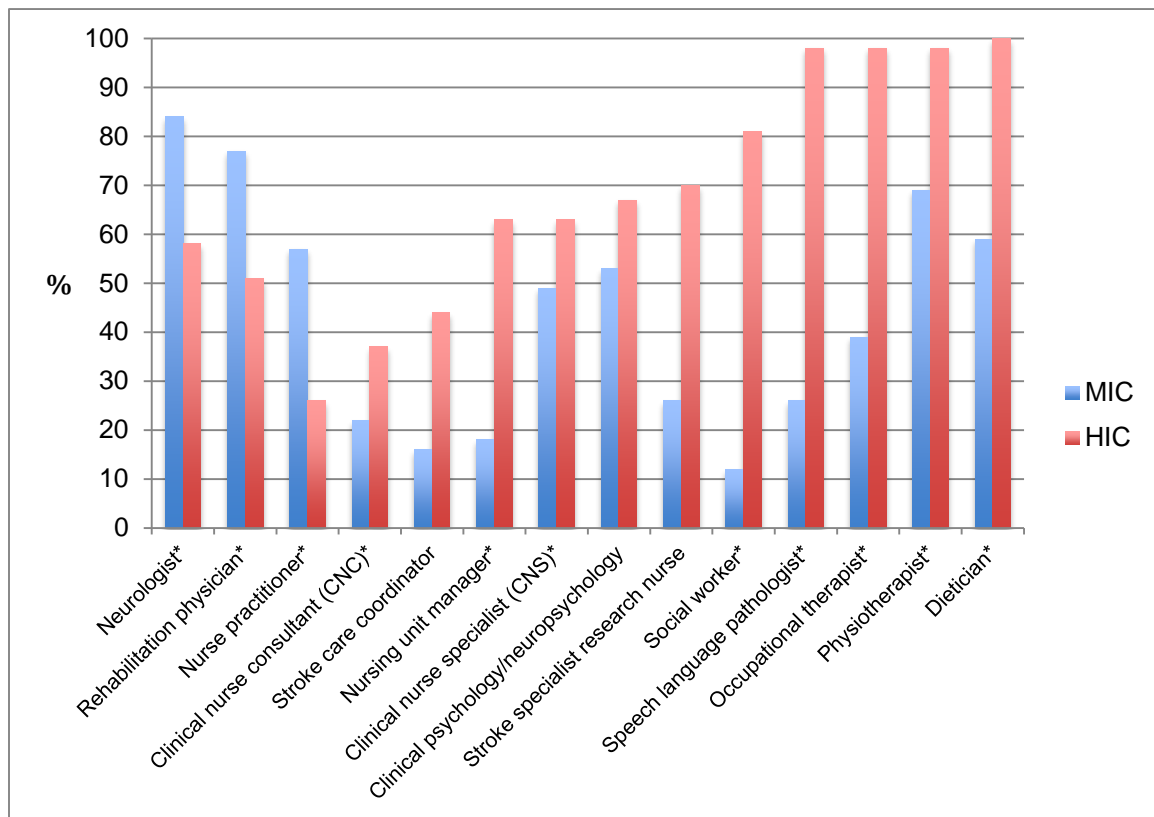|			
home-based rehabilitation and assessment			
Access to on-going rehabilitation at the hospital or at another hospital	44 (92%)	41 (95%)	NS
Home nursing service available for stroke patients	11 (23%)	26 (63%)	<0.001
Access to palliative care services	17 (37%)	42 (98%)	<0.001
Nursing staff attend continuing education on stroke management	42 (86%)	39 (91%)	NS
Quality improvement activities in the last 2 years for the stroke team	36 (75%)	40 (93%)	0.007

Data are presented in number and percentage or median and interquartile range (IQR)
ED: emergency department, AIS: acute ischemic stroke, ICH: intracerebral hemorrhage, MIC: middle income countries, HIC: high income countries, rtPA: recombinant tissue plasminogen activator, NS: not significant. P value from Chi squared, Fisher or Mann-Withney test as appropriate.

Table 3: Human resources in stroke care by country income

	MIC	HIC	P value
Onsite specialist physicians responsible for stroke patients	47 (92%)	42 (98%)	NS
Number of patients to one staff member in ED:			
Nurse	4.5 (2-8)	4 (3-7)	NS
Health assistant	5 (3-10)	6 (4-8)	NS
Number of patients to one staff member in the stroke care unit:			
Nurse	6 (4-9)	6 (4-8)	NS
Health assistant	5.5 (2-10)	7 (6-8)	NS
Medical staff from the stroke service available in ED	34 (68%)	36 (88%)	0.044
Medical officers who attend a typical ward in the stroke care unit:			
Consultants - weekday	3 (1-10)	1 (1-2)	0.012
Consultants - weekend	1 (0-5)	1 (0-1)	0.048
Fellow/advanced trainees - weekday	3 (1-5)	1 (0-1)	<0.001
Fellow/advanced trainees - weekend	1 (0-1)	0 (0-0)	<0.001
Registrars - weekday	4 (2-6)	1 (1-1)	<0.001
Registrars - weekend	1 (1-2)	0 (0-1)	<0.001
Junior medical officers - weekday	4 (2-7)	2 (1-3)	<0.001
Junior medical officers - weekend	2 (1-3)	0 (0-1)	<0.001
Special doctor rostered after hours to attend specifically to stroke patients who require admission to hospital	44 (92%)	26 (61%)	<0.001
Dedicated stroke team	42 (82%)	41 (95%)	NS
Multidisciplinary stroke team meetings held at least weekly	24 (48%)	38 (93%)	<0.001
Stroke unit team provide medical care or advice for patients not in the stroke care unit	36 (78%)	42 (100%)	0.001

Data are presented in number and percentage or median and interquartile range (IQR)
ED: emergency department, MIC: middle income countries, HIC: high income countries, NS: not significant. P value from Chi squared, Fisher or Mann-Withney test as appropriate.

Figure: Percentage of hospitals having different health professionals involved in the management of stroke patients, by country income

* indicates a statistically significant difference, with P value <0.05
MIC: middle-income countries, HIC: high-income countries